

# A Comparative Performance of SMOTE, ADASYN and Random Oversampling in Machine Learning Models on Prostate Cancer Dataset

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## ABSTRACT

Class imbalance in medical datasets, including prostate cancer, can affect the performance of machine learning models in detecting minority cases. This study compares three oversampling techniques - SMOTE, ADASYN, and Random Oversampling - to address data imbalance in prostate cancer classification. These techniques are applied to Random Forest (RF), Decision Tree (DT), and LightGBM (LGBM), which are evaluated using accuracy, precision, recall, F1-score, and ROC-AUC. In improving the reliability of the evaluation, K-Fold Cross Validation was used to reduce the risk of overfitting and ensure stable results. The findings show that oversampling techniques improve model performance compared to the baseline. Random Oversampling has the best performance for Random Forest with accuracy 0.85, recall 0.888, precision 0.873, F1-score 0.879, and ROC-AUC 0.838. SMOTE produced the highest Decision Tree performance with accuracy 0.80, recall 0.838, precision 0.843, F1-score 0.839, and ROC-AUC 0.788. ADASYN provided the most improvement for LightGBM, achieving accuracy 0.89, recall 0.919, precision 0.913, F1-score 0.913, and ROC-AUC 0.879. These results confirm that the oversampling method improves prostate cancer classification performance by tailoring the resampling technique to the model characteristics.



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## I. INTRODUCTION

Machine learning optimization in detecting prostate cancer is very important in the medical world because it can increase the chances of successful treatment and extend the life expectancy of patients. Machine learning has gotten to be an progressively imperative apparatus in helping the conclusion of medical data-based diseases, including prostate cancer. With its ability to detect patterns that are difficult for humans to identify, machine learning can provide more accurate predictions in supporting medical decisions [1]. However, when applied to medical classification, one of the main challenges often faced is class imbalance in the dataset used [2].

Class imbalance is characterized by the presence of an excessive number of samples in one class relative to other classes. In the case of prostate cancer datasets, there are often more patients with malignant tumors than patients diagnosed with benign tumors. This imbalance can cause machine learning models to be more accurate in classifying the

majority class (malignant tumours) but less sensitive in detecting the minority class (benign tumours)[3]. Therefore, there is a need for a strategy to be used to overcome the imbalance in the data, which will allow the model to predict more accurately.

One of the commonly used methods to handle class imbalance is the data resampling technique. The resampling process is used to manipulate data with a particular method by changing the number of samples. One of the resampling methods is oversampling [4]. Basically, oversampling can be done by increasing the sample of minority classes, either by creating a synthetic sample or by duplicating an existing sample. [5]. There are several studies that have discussed the importance of oversampling techniques in handling class imbalance. For example, a study by Dey et al. showed that SMOTE and ADASYN were able to give good performance to Random Forest (RF) and Decision Tree (DT) in detecting Breast Cancer [6]. However, research conducted by M. Khushi et al. proved that over-sampling techniques with Random Oversampling (ROS) and Random Forest (RF) proved to be

the most effective in improving lung cancer prediction on unbalanced datasets [2]. In addition, there is also a mention that the Adaptive Synthetic (ADASYN) technique has a satisfactory performance in the case of lung cancer with several models. This research was conducted by Assegie et al. who proved this technique on several models, one of which is Random Forest (RF). [7]. From several studies that have been conducted, it shows the effectiveness of using smote, random oversampling, and adasyn techniques to improve the performance of machine learning models in cancer cases.

Therefore, in this research, a comparison of oversampling methods will be conducted on several machine learning algorithms, namely Decision Tree (DT), Random Forest (RF), and LightGBM (LGBM). The selection of these three models is based on the performance of Random Forest (RF) and Decision Tree (DT) which have proven to have a good ability to predict cancer cases in previous studies. Meanwhile, LightGBM (LGBM) was chosen because it is a gradient boosting-based algorithm known for its high computational speed and efficiency in handling small datasets [8]. The purpose of this study is to compare and contrast the efficiency of different oversampling techniques, with the aim of improving the ability of machine learning models to recognize prostate cancer more effectively.

## II. METHOD

In this research, several experiments will be conducted to test three oversampling techniques namely SMOTE, Random Oversampling and ADASYN on several machine learning methods. This research flow begins with data collection from Kaggle. Then the data is pre-processed through label encoding and normalization stages before being divided into training and test data. Next, three resampling techniques are applied, namely SMOTE, Random Oversampling, and ADASYN, to handle class imbalance in the dataset. The resampled data was then used to train various machine learning models, including Random Forest, Decision Tree and LightGBM. After training, the models were evaluated to assess their performance before the research process was completed. The research flow is depicted in figure 1.

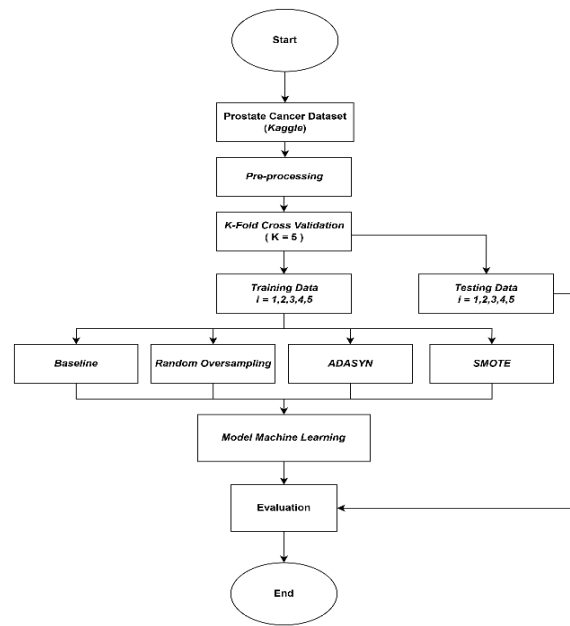


Figure 1. Research Scheme

### A. Data Collection

This study used the public PROSTATE\_CANCER dataset stored on Kaggle. This dataset contains information on 100 patients who have been diagnosed with prostate cancer. Each data has 8 numerical variables as features, which include radius, texture, perimeter, area, smoothness, compactness, symmetry, and fractal dimension. In addition, this dataset has one categorical variable as a label that classifies the data into two categories, namely Malignant (M) for malignant prostate cancer and Benign (B) for benign prostate cancer. The visualization of the dataset is depicted in table 1.

TABLE I  
PROSTATE CANCER DATASET PARAMETERS

Name	Data Type	Value Range
Radius	Int64	9 – 25
Texture	Int64	11 – 27
Perimeter	Int64	52 - 172
Area	Int64	202 - 1878
Smoothness	Float64	0.07 - 0.143
Compactness	Float64	0.038 - 0.345
Symmetry	Float64	0.135 - 0.304
Fractal_Dimension	Float64	0.053 - 0.097
Diagnosis_Result	Object	M, B

This dataset is used as the basis in building a classification model to detect prostate cancer more accurately. The dataset has an imbalance in class distribution, with 62 malignant cases and 38 benign cases. This results in a class ratio of 62:38, or approximately 1.63:1, indicating a moderate imbalance. While not extremely severe, this imbalance can

still impact model performance by biasing predictions toward the majority class. The visualization of the imbalance data is shown in Figure 2.

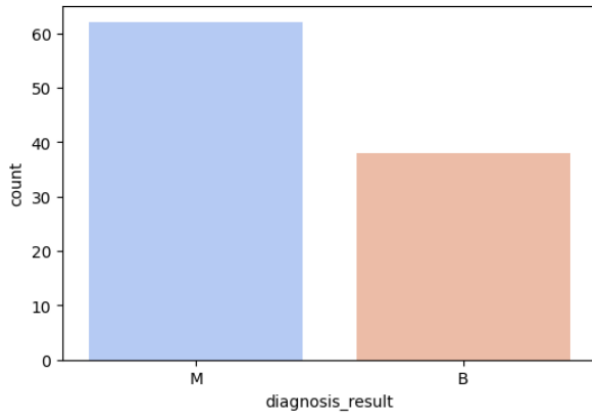


Figure 2. Class Distribution on Dataset

### B. Preprocessing

In the preprocessing stage, the data will be subjected to a label encoding process. Label Encoding is a technique in machine learning that transforms text-based categorical data into a numerical format. This process is done by assigning a unique numerical value to each category or label in a categorical variable, so that the data can be more easily processed by the model [9]. At this stage, the category on the malignant label (M) is converted to 1 while the benign (B) is converted to 0. Then the data is normalized on each feature, namely radius, texture, perimeter, area, smoothness, compactness, symmetry, and fractal dimension to ensure uniform data scale and avoid dominance of certain features in the model training process. At this stage, normalization uses the StandardScaler technique. Standard Scaler is a preprocessing method that standardizes features by removing the mean and scaling the unit variance for each sample [10]. This technique is used to prevent the dominance of features with large values so that the model training process is more optimal.

### C. K-Fold Cross Validation

K-Fold Cross Validation is a validation method in machine learning used to assess model performance by dividing the dataset into K parts (folds). In this research, the K-Fold Cross Validation method is used to evaluate the performance of the model by dividing the dataset into 5 folds (K=5). The purpose of using this technique is to help reduce the risk of overfitting by ensuring the model is tested on various subsets of data, so that it does not rely solely on one particular division of data. By alternately splitting the data as training and test data, this method results in a more stable and accurate evaluation and ensures the model can generalize well to new data [11]. In this dataset, the division is done with a ratio of 80% for training data and 20% for test data. With this split data ratio,

the model has enough data to learn as well as can be tested with a balanced proportion [12]. The training data is used to teach the model to recognize patterns in the data, while the test data serves to measure the extent to which the model can generalize to new data that has never been seen before. [12], [13]. Each section is alternately used as test data, while the rest is used as training data. This process is repeated K times so that each section is used as test data once. This technique helps reduce the risk of overfitting in model evaluation and ensures more stable and accurate accuracy results as the model is tested with various subsets of data [14]. The following is the formula for Kfold Cross Validation.

$$CV = \frac{1}{K} \sum_{i=1}^K Acc_i$$

K : Number of folds  
 $Acc_i$  : Model accuracy  
 CV : Average accuracy of all folds

### D. Oversampling

In this study, oversampling techniques are applied to handle class imbalance in prostate cancer datasets. The three methods used are SMOTE (Synthetic Minority Over-sampling Technique), Random Oversampling, and ADASYN (Adaptive Synthetic Sampling Approach). Oversampling is applied after data splitting and only applied to the training data within each fold to ensure the model is evaluated with test data that still represents the original distribution. This is done to avoid data leakage which can cause the model evaluation to be invalid if information from the test data enters the training process. The following is a further explanation of each oversampling method used in this study.

#### 1. SMOTE (Synthetic Minority Over-sampling Technique)

SMOTE is an oversampling technique that generates new synthetic data to increase the number of samples in the minority class. This approach utilizes k-nearest neighbor to create synthetic samples in the feature space based on a certain percentage of minority classes [15], [16]. First, SMOTE identifies the minority classes in the dataset, then randomly selects samples from those classes. After that, the algorithm searches for k-nearest neighbors of the selected sample using a distance metric such as Euclidean distance. From this, synthetic data is generated by interpolating between the selected data point and one of its neighbors using the following formula:

$$X_{syn} = X_i + (X_{knn} - X_i) \times \delta$$

- $X_{syn}$  : Synthetic data generated  
 $X_i$  : Original sample data from the minority class  
 $X_{knn}$  : One of the nearest neighbors of  $X_i$

$\delta$  : Random value between 0 and 1

This process is repeated until the number of minority class samples reaches a better level of balance with the majority class. Thus, SMOTE helps address the problem of imbalanced data without simply duplicating the original data, thereby reducing the risk of overfitting and improving the performance of machine learning models [16].

## 2. Random Oversampling

Random Oversampling is done by adding random copies of the minority class data until it reaches a balance with the majority class [17], [18]. This technique works by randomly selecting samples from the minority class and duplicating them so that the data distribution becomes more balanced. Mathematically, in determining the number of new samples for the minority class after oversampling, it is calculated by the following formula.

$$N_{result} = N_{minor} + k \times (N_{major} - N_{minor})$$

$N_{minor}$  = Initial sample size of the minority class

$N_{major}$  = Initial sample size of the majority class

$K$  = Oversampling factor ( $0 \leq k \leq 1$ )

$N_{result}$  = The number of minority samples after oversampling.

3. ADASYN (Adaptive Synthetic Sampling Approach)  
ADASYN is a method like SMOTE that works by adjusting the number of synthetic samples generated based on the complexity of the data [6]. If a minority sample is in a low-density region or close to the majority class boundary, then more synthetic samples will be generated to improve the representation of the minority class. Conversely, if a minority sample is already in a high-density region, fewer synthetic samples will be generated.

## E. Model Machine Learning

Machine learning is a data-driven approach that allows computers to recognize patterns from previous data and predict without explicit programming instructions. In this study, machine learning is used to build a classification model to detect prostate cancer based on numerical features available in the dataset. Evaluation of classification performance is done by applying three main algorithms, namely Random Forest, Decision Tree, and LightGBM.

Random Forest is the general principle of a random ensemble consisting of Decision trees in which this model divides classes in a binary manner repeatedly until reaching the final result [19]. Meanwhile, Decision Tree is a classification method that divides data into branches based on certain features that are used to make decisions hierarchically [20]. LightGBM is based on Gradient Boosting Decision Tree

(GBDT), optimizing training speed and memory efficiency through Gradient-Based One-Side Sampling (GOSS) and Exclusive Feature Bundling (EFB) techniques without compromising accuracy [8]. With this approach, the research aims to determine the best algorithm to detect prostate cancer more efficiently and accurately.

## F. Evaluation

The last stage in this research is evaluation. Evaluation in machine learning is the process of measuring the performance of a model using certain metrics to determine how well the model can make predictions. The evaluation metrics used in this research are as follows:

1. Accuracy: The value resulting from how often the model makes correct predictions overall [21]. The accuracy value is obtained by calculating with the following formula.

$$Accuracy = \frac{True\ Positive + True\ Negative}{Total\ Prediction}$$

2. Precision: A value resulting from how many of the positive predictions are actually positive [22]. High precision means that the model rarely misclassifies negatives as positives.

$$Precision = \frac{True\ Positive}{True\ Positive + False\ Positive}$$

3. Recall: A value that measures how much positive data was correctly classified [23]. This value is used when positive data but predicted as negative should be minimized.

$$Recall = \frac{True\ Positive}{True\ Positive + False\ Negative}$$

4. F1-Score: the harmonic means of precision and recall. This is useful when the dataset is not balanced, as it considers the balance between precision and recall [24]. The F1-Score value can be obtained through the following formula:

$$F1 - Score = 2 \times \frac{True\ Positive}{True\ Positive + False\ Negative}$$

5. ROC AUC: The metrics used to survey the model can separate between positive and negative classes [25]. ROC (Receiver Operating Characteristic) can be a curve that describes the relationship between True Positive Rate (TPR) and False Positive Rate (FPR) on different sides of the choice. AUC (Area Under the Curve) measures the area under the ROC curve, with values between 0 and 1. An AUC close to 1 indicates that the event has good classification execution. An AUC around 0.5 implies that the event is no better than

a random guess. An AUC close to 1 indicates that the event is very poor at recognizing classes.

### III. RESULT AND DISCUSSION

#### A. RESULT

In this section, we will show the results of testing three oversampling methods, namely SMOTE, ADASYN, and Random Oversampling applied to several Machine Learning models. This aims to find the oversampling method that has the most optimal performance in Machine Learning on prostate cancer datasets. In each test result, the value obtained is the average of each iteration of K-Fold Cross Validation that has been carried out 5 times, thus helping to provide a more reliable performance evaluation while reducing the risk of overfitting because the model is trained and tested with different segments to avoid the tendency to memorize training data.

##### a) Distribution Data

Initially, the training dataset consisted of 50 samples from class M and 30 samples from class B, indicating an imbalance. To address this, three oversampling techniques were applied: SMOTE, Random Oversampling, and ADASYN. SMOTE achieved a 50:50 distribution by generating synthetic samples through interpolation of the minority class, while Random Oversampling reached 50:50 by randomly duplicating class B samples. Meanwhile, ADASYN, which is more adaptive, generated synthetic samples based on local density, resulting in a nearly balanced 50:49 distribution. The visualization of the class distribution is shown in Figure 3.

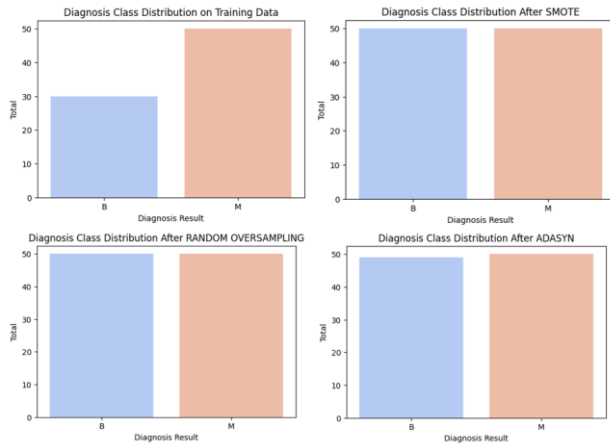


Figure 3. Diagnosis Class Distribution Before and After Oversampling

##### b) Test Results Based on Accuracy on the Model

Based on the results shown in Table 2, each model shows a different response to the resampling method. In the Random Forest (RF) model, the Random Oversampling technique produced the highest accuracy of 0.85 outperforming other resampling methods as well as the baseline. The Decision

Tree (DT) model obtained the highest accuracy improvement with SMOTE reaching 0.80 while the ADASYN method decreased the model accuracy to 0.71. Meanwhile, the LightGBM (LGBM) model showed the most significant improvement in accuracy with ADASYN reaching 0.89 which is higher than all other resampling techniques.

TABLE 2  
MODEL TESTING ACCURACY RESULTS

Model	Baseline	SMOTE	ADASYN	Random Oversampling
RF	0,84	0,82	0,83	<b>0,85</b>
DT	0,77	<b>0,80</b>	0,71	0,77
LGBM	0,81	0,80	<b>0,89</b>	0,85

##### c) Testing Results Based on Precision on the Model

Based on the test results shown in Table 3, each oversampling method has a varying impact on the precision value depending on the model used. In the Random Forest (RF) model, the Random Oversampling technique gives the highest precision of 0.873, slightly better than ADASYN 0.871 and baseline 0.860, while SMOTE 0.858 shows a small decrease. The Decision Tree (DT) model had the highest precision at Baseline of 0.850 but experienced a slight decrease after applying SMOTE at 0.843 and dropped further with ADASYN at 0.786. Nevertheless, the Random Oversampling method managed to increase the precision of the DT model to 0.826, although it has not exceeded the baseline. Meanwhile, the LightGBM (LGBM) model showed the most significant increase in precision with ADASYN of 0.913, which is the highest value compared to other oversampling methods. The precision value in this model also increases with Random Oversampling, which is 0.864. However, SMOTE which gets a value of 0.824 only provides a slight change compared to the baseline of 0.825.

TABLE 3  
MODEL TESTING PRECISION RESULTS

Model	Baseline	SMOTE	ADASYN	Random Oversampling
RF	0,860	0,858	0,871	<b>0,873</b>
DT	<b>0,850</b>	0,843	0,786	0,826
LGBM	0,825	0,824	<b>0,913</b>	0,864

##### d) Test Results Based on Recall on the Model

Based on the test results in Table 4, the Random Forest (RF) model achieved the highest recall in the Baseline with a value of 0.888, which is the same as the results using Random Oversampling. Meanwhile, the SMOTE method resulted in a slight decrease in recall to 0.857, followed by ADASYN which recorded a value of 0.855. In the Decision Tree (DT) model, the SMOTE technique showed an increase in recall compared to the baseline with a value of 0.838, while ADASYN decreased to 0.743. Even so, the Random

Oversampling method was still able to increase recall to 0.808, although it did not surpass the results obtained with SMOTE. Meanwhile, the LightGBM (LGBM) model recorded the best recall performance with ADASYN, reaching 0.919, which is the highest value compared to other oversampling methods. Recall in this model also increased with the application of Random Oversampling, reaching 0.905. On the other hand, the SMOTE method produced a recall of 0.871, slightly lower than the baseline of 0.885.

TABLE 4  
MODEL TESTING RECALL RESULTS

Model	Baseline	SMOTE	ADASYN	Random Oversampling
RF	<b>0,888</b>	0,857	0,855	<b>0,888</b>
DT	0,775	<b>0,838</b>	0,743	0,808
LGBM	0,885	0,871	<b>0,919</b>	0,905

e) *Testing Results Based on F1-Score on the Model*

In Table 5, each machine learning model shows the highest F1-Score performance with different oversampling methods. The Random Forest (RF) model achieves the highest F1-Score of 0.879 when using Random Oversampling, indicating that this method can improve the balance between precision and recall in the model. In the Decision Tree (DT) model, the SMOTE method provided the best results with an F1-Score of 0.839, which was superior to the other methods. This shows that SMOTE can improve the classification of minority classes in the Decision Tree, improving the balance in detecting positive samples without increasing false positives too much. Meanwhile, the LightGBM (LGBM) model achieved the highest F1-Score of 0.913 with ADASYN, making it the most effective oversampling method for this model. ADASYN successfully improved the model's performance in better detecting positive samples, strengthening the model's generalizability to unbalanced data.

TABLE 5  
MODEL TESTING F1-SCORE RESULTS

Model	Baseline	SMOTE	ADASYN	Random Oversampling
RF	0,872	0,855	0,862	<b>0,879</b>
DT	0,806	<b>0,839</b>	0,759	0,813
LGBM	0,852	0,844	<b>0,913</b>	0,882

f) *Testing Results Based on ROC-AUC on the Model*

Based on the test results using ROC AUC, each model shows the best performance with different oversampling methods. In Table 6, the Random Forest (RF) model achieves the highest ROC AUC of 0.838 with Random Oversampling,

indicating that this method can improve the model's ability to distinguish between positive and negative classes better. In the Decision Tree (DT) model, the SMOTE method provides the best results with an ROC AUC of 0.788, indicating that this technique is more effective in improving model performance than other resampling methods. Meanwhile, the LightGBM (LGBM) model obtained the highest ROC AUC of 0.879 when using ADASYN, making it the most optimal oversampling method to improve the model's ability to better classify the data.

TABLE 5  
MODEL TESTING ROC-AUC RESULTS

Model	Baseline	SMOTE	ADASYN	Random Oversampling
RF	0,824	0,809	0,822	<b>0,838</b>
DT	0,768	<b>0,788</b>	0,703	0,759
LGBM	0,784	0,776	<b>0,879</b>	0,831

## B. DISCUSSION

This section presents a visualization of the test results to see the impact of applying oversampling techniques on model performance. The graphs show a comparison of the results before and after oversampling is applied to the unbalanced data. With this visualization, we can observe the pattern of changes and improvements that occur after adjusting the data distribution. The visualization of the test results is presented in the figure below.

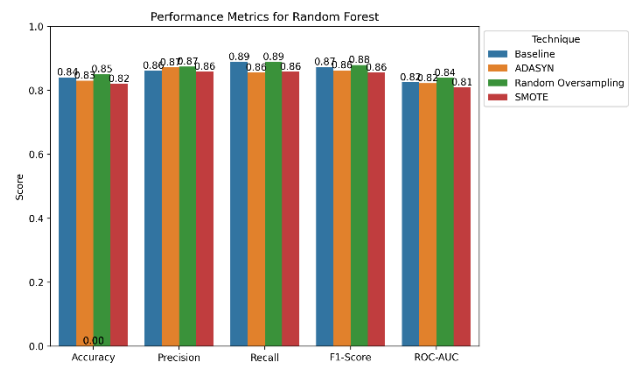


Figure 4. Evaluation Matrix for Random Forest

Based on Figure 4, the Random Oversampling (RO) technique shows improved performance compared to the baseline in the Random Forest model. RO has a higher accuracy compared to the baseline, which indicates that the model can classify the data better overall. In addition, the improved recall and F1-score values indicate that this technique is more effective in recognizing minority classes without significantly sacrificing precision.

In addition, the higher ROC-AUC value of the RO technique compared to the baseline indicates that the model is



better at distinguishing between positive and negative classes. Thus, compared to the model without resampling, Random Oversampling can improve the predictive balance and optimize the performance of the Random Forest model in classifying data more accurately.

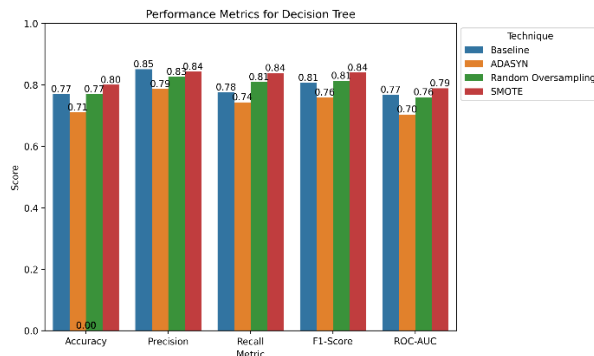


Figure 5. Evaluation Matrix for Decision Tree

Based on Figure 5, the SMOTE technique shows better performance than the baseline and other resampling methods in the Decision Tree model. This improvement can be seen from the higher accuracy, better recall, and more balanced F1-score, indicating that the model is more effective in identifying majority and minority classes. In addition, the higher ROC-AUC values indicate that SMOTE improves the model's ability to distinguish class labels, leading to more reliable classification. By generating synthetic samples instead of duplicating data, SMOTE helps reduce class imbalance, making it a more effective resampling technique for improving the performance of Decision Tree models.

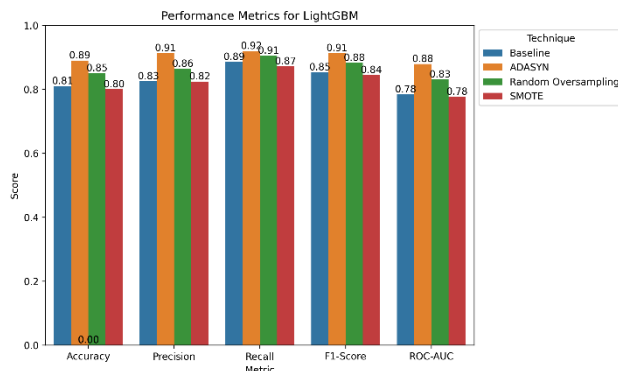


Figure 6. Evaluation Matrix for LightGBM

ADASYN proved to be the best technique in improving model performance compared to the baseline and other techniques such as SMOTE and Random Oversampling. In all evaluation metrics, namely Accuracy, Precision, Recall, F1-Score, and ROC-AUC, ADASYN consistently showed the highest results. This improvement shows that ADASYN is not only able to increase the overall accuracy of the model, but also improve the balance between Precision and Recall.

With a higher Recall, the model becomes more sensitive in detecting minority classes, while the high Precision shows that the model still maintains the accuracy in classifying positive classes. This is also reflected in the significantly improved F1-Score, proving that the model can handle unbalanced data better. In addition, the higher ROC-AUC score indicates that the model with ADASYN has a better ability to distinguish between the classes.

#### IV. CONCLUSION

The optimal oversampling method depends on the characteristics of the Machine Learning model and the metric evaluation results. The oversampling method is proven to improve the model performance. In conclusion, Random Oversampling is suitable for Random Forest because it keeps the performance stable, SMOTE is effective for Decision Tree because it balances the classes, and ADASYN is the best choice for LightGBM because of its ability to fit the data more flexibly. This can help improve the classification performance of prostate cancer detection by addressing data imbalance, ensuring that minority class cases are better recognized, and reducing the risk of misclassification.

For future research, it is recommended to combine oversampling and undersampling techniques to optimize data imbalance handling. In addition, testing on more complex models, such as advanced deep learning or ensemble learning, can provide greater insight into the effectiveness of resampling methods. That way, further research can help improve the accuracy and generalizability of the model in detecting prostate cancer or other diseases with similar dataset characteristics.

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