

# MATH 292Z: FIRST STEPS IN INFINITY CATEGORIES

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## PRELIMINARIES

These notes roughly correspond to the course **MATH 292Z: First Steps in Infinity Categories** taught by Prof. Michael Hopkins at Harvard University in the Fall 2023 semester. These notes are  $\text{\LaTeX}$ -ed after the fact with significant alteration and are subject to misinterpretation and mistranscription. Use with caution. Any errors are undoubtedly my own and any virtues that could be ascribed to these notes ought be attributed to the instructor and not the typist. A special thanks to Prof. Hopkins, who made his lecture notes available to the class, and in referencing it have greatly improved my own notes and understanding of the subject.

## CONTENTS

Preliminaries	1
1. Lecture 1 – 6th September 2023	2
2. Lecture 2 – 11th September 2023	7
3. Lecture 3 – 13th September 2023	10
4. Lecture 4 – 18th September 2023	14
5. Lecture 5 – 20th September 2023	18
6. Lecture 6 – 2nd October 2023	23
7. Lecture 7 – 4th October 2023	28
8. Lecture 8 – 11th October 2023	31
9. Lecture 9 – 16th October 2023	32
10. Lecture 10 – 18th October 2023	34
11. Lecture 11 – 23rd October 2023	37
12. Lecture 12 – 25th October 2023	41
13. Lecture 13 – 30th October 2023	46
14. Lecture 14 – 1st November 2023	50
15. Lecture 15 – 6th November 2023	52
16. Lecture 16 – 8th November 2023	54
17. Lecture 17 – 13th November 2023	57
18. Lecture 18 – 15th November 2023	60
References	61

## 1. LECTURE 1 – 6TH SEPTEMBER 2023

Understanding infinity categories is a daunting task. Ideally, we will end this class with the student being conversant in the language of infinity categories, though this may be difficult to achieve. Let us start with the definition of a (1-)category.

**Definition 1.1** (Category). A category  $\mathbf{C}$  is a class  $\text{Obj}(\mathbf{C})$  and for  $A, B \in \text{Obj}(\mathbf{C})$  a set  $\text{Mor}_{\mathbf{C}}(A, B)$  of morphisms from  $A$  to  $B$  such that

- (a)  $\text{id}_A \in \text{Mor}_{\mathbf{C}}(A, A)$ ,
- (b) and a composition law  $\text{Mor}_{\mathbf{C}}(B, C) \times \text{Mor}_{\mathbf{C}}(A, B) \rightarrow \text{Mor}_{\mathbf{C}}(A, C)$  by  $(g, f) \mapsto g \circ f$  that is unital  $\text{id}_A \circ f = f = f \circ \text{id}_B$  and associating  $(g \circ f) \circ h = g \circ (f \circ h)$ .

**Remark.** We consider the underlying collection of objects of a category a class to avoid set-theoretic difficulties such as Russell's Paradox when dealing with the category of sets.

Let us consider some examples.

**Example 1.2.** Sets whose objects are sets and whose morphisms are set functions.

**Example 1.3.** Top whose objects are topological spaces and whose morphisms are continuous maps between them.

**Example 1.4** (Representable Spaces of Groups). Let  $G$  be a group. We can construct a category  $B_G$  whose object is a point  $*$  and whose morphisms are given by  $\text{Mor}_{B_G}(*, *) = G$  where the unital element is  $\text{id}_G$  and composition given by group multiplication.

**Example 1.5** (Fundamental Groupoid). Let  $X$  be a topological space. Consider  $\Pi_{\leq 1} X$  the fundamental groupoid of  $X$  whose object is  $X$  itself and whose morphisms  $\text{Mor}_{\Pi_{\leq 1} X}(x, y)$  homotopy classes of paths from  $x, y \in X$ . Note that the unital and associative properties of path composition only hold after passing to homotopy equivalence.

Let us now define a functor.

**Definition 1.6** (Functor). Let  $\mathbf{C}, \mathbf{D}$  be categories. A functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  consists of a function  $F : \text{Obj}(\mathbf{C}) \rightarrow \text{Obj}(\mathbf{D})$  such that for all  $A, B \in \text{Obj}(\mathbf{C})$ , there is a function  $\text{Mor}_{\mathbf{C}}(A, B) \rightarrow \text{Mor}_{\mathbf{D}}(F(A), F(B))$  satisfying  $F(\text{id}_A) = \text{id}_{F(A)}$  and  $F(g \circ f) = F(g) \circ F(f)$ .

A functor is a map between categories. Correspondingly, we can define a map between functors as a natural transformation.

**Definition 1.7** (Natural Transformation). Let  $F, G : \mathbf{C} \rightarrow \mathbf{D}$  be functors.  $T$  is a natural transformation between  $F$  and  $G$  consists of a morphism  $T(A) : F(A) \rightarrow G(A)$  in  $\mathbf{D}$  for all  $A \in \text{Obj}(\mathbf{C})$  and the diagram

$$\begin{array}{ccc} F(A) & \xrightarrow{T(A)} & G(A) \\ F(f) \downarrow & & \downarrow G(f) \\ F(B) & \xrightarrow{T(B)} & G(B) \end{array}$$

commutes for all  $f \in \text{Mor}_{\mathbf{C}}(A, B)$ .

**Example 1.8.** Let  $X$  be a topological space. We can construct a covering space of  $X$  denoted  $\tilde{X}$  such that for the solid diagram,

$$\begin{array}{ccc} * & \xrightarrow{\quad} & \tilde{X} \\ \downarrow & \nearrow \exists! & \downarrow p \\ [0, 1] & \xrightarrow{\quad} & X \end{array}$$

there is a unique dotted arrow lifting a path in  $X$  to  $\tilde{X}$ . In other words, this is a functor  $\Pi_{\leq 1} X \rightarrow \mathbf{Sets}$  by  $x \mapsto p^{-1}(x)$ .

In fact, an old theorem of Grothendieck states the following.

**Theorem 1.9** (Grothendieck). Let  $X$  be a topological space. The category of covering spaces of  $X$  is equivalent to the category of functors  $\Pi_{\leq 1} X \rightarrow \mathbf{Sets}$ .

Within this framework, topological statements like Van-Kampen's theorem become much easier.

In topology, we have paths, and homotopies between paths. Indeed, we can construct homotopies between homotopies, and so on. This is the root of the concept of an infinity category.

And much of the topological information we wish to incorporate above is easiest done with simplices, leading the formalism of infinity categories to be built on the backbone of the rich combinatorial structure of simplicial sets. Let's start with an easy example of the partially ordered set, or poset.

**Definition 1.10** (Poset). A poset  $(S, \leq)$  is a set  $S$  together with a relation  $\leq$  such that

- (a)  $a \leq a$  for all  $a \in S$ ,
- (b) if  $a \leq b$  and  $b \leq c$  then  $a \leq c$ ,
- (c) and if  $a \leq b$  and  $b \leq a$  then  $a = b$ .

A poset can be seen as a category whose objects are the elements of  $S$  and

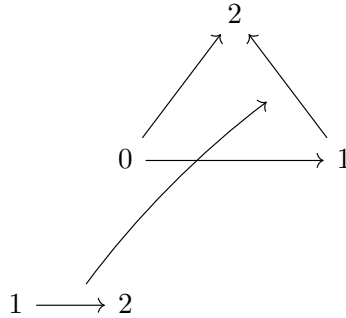
$$\mathrm{Mor}_S(a, b) = \begin{cases} \emptyset & a \not\leq b \\ * & a \leq b. \end{cases}$$

Indeed, one can show that any category with at most one morphism between any two objects is a poset under the relation induced by the morphisms. We can now introduce simplicial sets.

**Definition 1.11** (Simplices). The category of simplices  $\Delta$  has objects finite totally ordered sets  $[n] = \{0, 1, 2, \dots, n\}$  and morphisms order-preserving maps.

There is a functor  $\Delta \rightarrow \mathbf{Top}$  taking  $[n]$  to  $|\Delta^n|$  the standard  $n$ -simplex, the convex hull of  $\{e_0, \dots, e_n\}$  in  $\mathbb{R}^{n+1}$  and taking a morphism  $[n] \rightarrow [m]$  to linear-maps  $e_i \mapsto e_{f(i)}$ . Such maps  $f : [n] \rightarrow [m]$  are determined by their (finite) images  $0 \leq f(1) \leq f(2) \leq \dots \leq f(n) \leq m$  which we will write as  $\langle f(1), \dots, f(n) \rangle$ .

**Example 1.12.** There are maps  $\langle i, i+1 \rangle : [1] \rightarrow [n]$  for  $0 \leq i \leq n-1$ .



The above demonstrates the map  $\langle 1, 2 \rangle : [1] \rightarrow [2]$ .

Let  $X$  be an arbitrary topological space. We can get a contravariant functor  $\Delta \rightarrow \mathbf{Sets}$  by taking  $[n] \rightarrow \mathrm{Mor}_{\mathbf{Top}}(|\Delta^n|, X)$ . We denote this functor  $\mathrm{Sing} X : \Delta \rightarrow \mathbf{Sets}$ . This allows us to define simplicial sets.

**Definition 1.13** (Simplicial Sets). The category of simplicial sets  $\mathbf{SSets}$  is the functor category whose objects are contravariant functors  $\Delta \rightarrow \mathbf{Sets}$  and morphisms natural transformations between such functors.

Let us switch directions for a moment and consider nerves of categories. We have already seen how a poset can be made into a category. Analogously, a totally ordered set can be made into a category in a similar way, yielding a functor  $\Delta \rightarrow \mathbf{Cat}$ , the category whose objects are categories and whose morphisms are functors. Nerves help us understand how simplices live within categories.

**Definition 1.14** (Nerve). Let  $\mathbf{C}$  be a category. The nerve of  $\mathbf{C}$  is the simplicial set  $NC$  so that

$$(NC)_n = \text{Fun}([n], \mathbf{C})$$

the set of functors from  $[n]$  to  $\mathbf{C}$  such that the simplicial operators  $f : [n] \rightarrow [m]$  act by pre-composition where  $a \mapsto a \circ f$  for  $a : [n] \rightarrow \mathbf{C}$  in  $(NC)_n$ .

We can make this more concrete with a few examples.

**Example 1.15.**  $(NC)_0$  is the simplicial set of maps from the point to  $\mathbf{C}$  and hence corresponds to the objects of  $\mathbf{C}$ ,  $\text{Obj}(\mathbf{C})$ .

$(NC)_1$  is the simplicial set of maps from  $0 \rightarrow 1$  to  $\mathbf{C}$  and hence corresponds to the morphisms of  $\mathbf{C}$ .

$(NC)_2$  is the simplicial set of maps

$$\begin{array}{ccc} 0 & \xrightarrow{\quad} & 1 \\ & \searrow & \downarrow \\ & & 2 \end{array}$$

to  $\mathbf{C}$ , that is, to pairs of composable morphisms in  $\mathbf{C}$ .

More generally  $(NC)_n$  is the set of composable  $n$ -tuples of morphisms in  $\mathbf{C}$ .

**Theorem 1.16.** A simplicial set  $X$  is isomorphic to the nerve of some category  $\mathbf{C}$  if and only if for all  $n \geq 2$ , the map

$$X_n \rightarrow \underbrace{X_1 \times_{X_0} X_1 \times_{X_0} X_0 \cdots \times_{X_0} X_1}_{n \text{ times}}$$

by

$$g \mapsto (\langle 0, 1 \rangle^* g, \langle 1, 2 \rangle^* g, \dots, \langle n-1, n \rangle^* g)$$

is an isomorphism.

This proof follows that in [5, Proposition 1.4.8].

*Proof.* ( $\implies$ ) Suppose  $X = NC$  for some category  $\mathbf{C}$ . A tuple of  $n$  composable morphisms in  $\mathbf{C}$  is precisely the datum of the  $n$ -nerve  $(NC)_n$ .

( $\impliedby$ ) Suppose  $X$  is a simplicial set such that the function above is a bijection. We construct the category  $\mathbf{C}$  whose objects  $\text{Obj}(\mathbf{C}) = X_0$  and whose morphisms are  $X_1$ . For  $g \in X_1$ , we have two functors  $X_1 \rightarrow X_0$  taking  $g \mapsto \langle 0 \rangle^* g$  its source object and  $g \mapsto \langle 1 \rangle^* g$  its target object. Since  $0 \rightarrow 0$  is a morphism in  $|\Delta^1|$ , we trivially have identity morphisms on each object. Moreover, given a morphism  $f \in X_1$ , we can recover its source object  $\langle 0 \rangle^* f$  and its target object  $\langle 1 \rangle^* f$ . And for any  $(g, h) \in X_1 \times_{X_0} X_1$ , the composite  $h \circ g \in X_2$  is the unique morphism where  $\langle 0, 1 \rangle^*(g \circ h) = h$  and  $\langle 1, 2 \rangle^*(g \circ h) = g$  where uniqueness follows from bijectivity. We now claim

that for any  $g \in X_n$  and  $0 \leq i \leq j \leq k \leq n$  we have  $\langle i, k \rangle^* g = \langle j, k \rangle^* g \circ \langle j, i \rangle^* g$  where each of  $\langle i, k \rangle^* g, \langle j, k \rangle^* g, \langle j, i \rangle^* g \in X_1$  as images of maps  $[1] \rightarrow [n]$ . Indeed any element of  $X_2$  decomposes in this way. We can thus define maps  $X_n \rightarrow (NC)_n$  by sending  $g \in X_n$  to  $[n] \rightarrow \mathbb{C}$  by  $g \mapsto g$  and  $(i \rightarrow j) \mapsto \langle j, i \rangle^* g$  which is a functor by the above. We can verify these maps are bijections since  $((i-1) \rightarrow i) \mapsto \langle i, i-1 \rangle^* g$ . Furthermore, if  $f : [m] \rightarrow [n]$  is a map of simplices, we compute

$$\langle j, i \rangle^* (g \circ f) = \langle f(j), f(i) \rangle^* g$$

giving an isomorphism  $X \rightarrow NC$  of simplicial sets.  $\blacksquare$

We now discuss the further significance of  $\text{Sing } X$ . To do this, we first have to discuss horns and Kan complexes. Horns are subcomplexes of standard simplices.

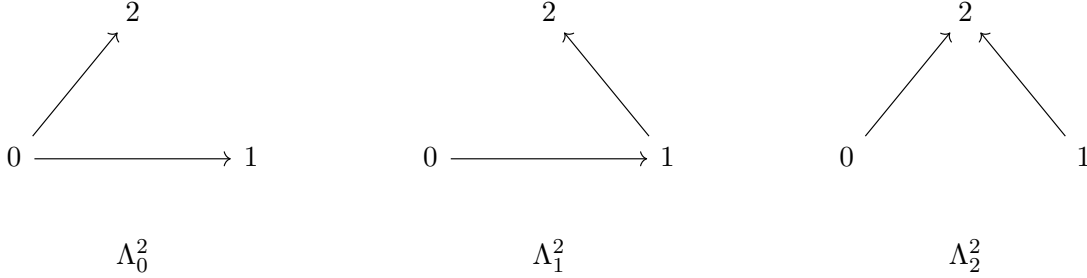
**Definition 1.17** (Horn). For each  $n \geq 1$ , there are subcomplexes  $\Lambda_j^n \subseteq \Delta^n$  for each  $0 \leq j \leq n$  defined by

$$(\Lambda_j^n)_k = \{f : [k] \rightarrow [n] \mid ([n] \setminus [j]) \not\subseteq f([k])\}.$$

Morally, we should think of a horn  $\Lambda_j^n$  is the union of faces of the  $n$  simplex other than the  $j$ th.

**Example 1.18** (1-Horns). The horns in  $\Delta^1$  are the vertices  $\Lambda_0^1 = \{0\}, \Lambda_1^1 = \{1\}$ .

**Example 1.19** (2-Horns). There are three horns in the 2-simplex.



We can now define Kan complexes.

**Definition 1.20** (Kan Complex). A simplicial set is a Kan complex if for all  $k, n$  the solid diagram

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \text{dotted} & \\ \Delta^n & & \end{array}$$

admits a dotted map making the diagram commute.

A theorem of Kan states the following:

**Theorem 1.21** (Kan).  $\text{Sing } X$  is a Kan complex.

This eventually led to the definition of quasicategories.

**Definition 1.22** (Quasicategory; Boardman-Vogt, Joyal, Lurie). A simplicial set is a quasicategory if every solid diagram of an inner horn inclusion

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \text{dotted} & \\ \Delta^n & & \end{array} \quad \exists!$$

for  $0 < k < n$  admits a dotted map making the diagram commute.

We will revisit these ideas in the following lecture.

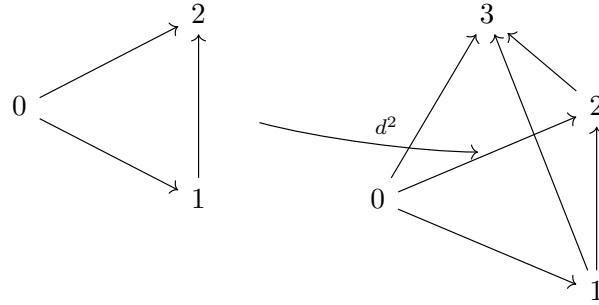
## 2. LECTURE 2 – 11TH SEPTEMBER 2023

We made a very quick pass of simplicial sets in the previous lecture. Let us go through it in more detail.

Let  $\Delta$  be the category whose objects are finite ordered sets and whose morphisms are order-preserving maps. Following the notation in Rezk's text [5], for a morphism  $[n] \rightarrow [k]$ , we write it  $\langle k_0, \dots, k_n \rangle$  where  $0 \leq k_0 \leq \dots \leq k_n \leq k$ . In the category  $\Delta$ , there are two types of distinguished maps  $d_i$  and  $s_i$  which we now define.

**Definition 2.1** (Face Maps). In the category  $\Delta$ , there are maps  $d^k : [n-1] \rightarrow [n]$  by  $\langle 0, 1, \dots, \hat{k}, \dots, n \rangle = \langle 0, 1, \dots, k-1, k+1, \dots, n \rangle \rightarrow [n]$ .

**Example 2.2.** We should think of these as the inclusion of a face into the simplex.

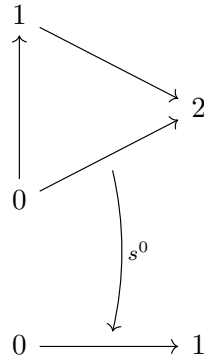


Here, we show the inclusion  $d^2 : [2] \rightarrow [3]$  by  $\langle 0, 1, 3 \rangle$ , taking the 2-simplex to the face “opposite” the vertex 2, that is, the face bounded by the vertices 0, 1, and 3.

We can also define degeneracy maps as follows.

**Definition 2.3** (Degeneracy Map). In the category  $\Delta$ , there are maps  $s^k : [n] \rightarrow [n-1]$  by  $[n] \mapsto \langle 0, 1, 2, \dots, k, k, k+1, \dots, n \rangle$ .

**Example 2.4.** We should think of this as collapsing the  $k$ th to the  $(k-1)$ th vertex.



Here we show  $s^0 : [2] \rightarrow [1]$  by  $\langle 0, 0, 1 \rangle$  collapsing the vertex 1 to the vertex 0.

With these operations on  $\Delta$ , one can in fact show the following theorem.

**Theorem 2.5.** If  $f$  is a morphism in  $\Delta$ , then  $f$  factors as the composition of face and degeneracy maps.

We omit the proof.

Now recall that a simplicial set  $X$  is a covariant functor  $X : \Delta^{\text{opp}} \rightarrow \mathbf{Sets}$ . We write

$$X_n = \text{Fun}([n], X).$$





there is a dotted map making the diagram commute.

We omit the proof of Theorem 2.10 which can be found in [5, §1.7.10].

We want to consider an analogue of Theorem 2.10 in the case of quasicategories. Let  $X$  be a quasicategory with objects  $X_0$  and morphisms  $X_1$ . For  $f \in X_0$ , let  $\langle 0 \rangle^* f$  denote the source of the map  $f$  and  $\langle 1 \rangle^* f$  its target. Let

$$\begin{array}{ccc} A & & C \\ f \downarrow & \nearrow g & \\ B & & \end{array}$$

be the image of a horn  $\Lambda_1^2$  and  $h = \tau\langle 0, 2 \rangle : A \rightarrow C$  be the fill.

$$\begin{array}{ccc} A & \xrightarrow{\tau\langle 0, 2 \rangle = h} & C \\ f \downarrow & \nearrow g & \\ B & & \end{array}$$

Since the fill is not unique, we say  $\tau$  witnesses  $h$  as the composition of  $g$  with  $f$ . This begs the question of how we can perform some operation to make  $X$  into a category. This is done via the construction of the fundamental category, which can be done on simplicial sets in general.

**Definition 2.11** (Fundamental Category). Let  $X$  be a simplicial set. A category  $\mathbf{C}$  is the fundamental category of  $X$  if every map  $X \rightarrow \mathbf{D}$  for  $\mathbf{D}$  factors through  $\mathbf{C}$  and  $\mathbf{C}$  is final with respect to that property.

Fundamental categories are in general hard to construct, but much easier in the case of quasicategories. Indeed if  $X$  is a quasicategory, its fundamental category coincides with its homotopy category  $hX$ . The homotopy category will have objects  $X_0$  and morphisms those in  $X_1$  up to “witnessing”-equivalence. We will have to discuss these notions of equivalence before defining the homotopy category rigorously.

**Definition 2.12** (Left-Equivalence). Let  $f, g \in \text{Mor}_X(A, B)$ . We say that  $f$  is left-equivalent to  $g$  if there is  $\tau \in X_2$  such that  $\tau\langle 0, 1 \rangle = \text{id}_A, \tau\langle 0, 2 \rangle = f, \tau\langle 1, 2 \rangle = g$ .

$$\begin{array}{ccc} A & & B \\ \text{id}_A \downarrow & \searrow f & \\ A & \xrightarrow{g} & B \end{array}$$

Similarly, we have right-equivalence.

**Definition 2.13** (Right-Equivalence). Let  $f, g \in \text{Mor}_X(A, B)$ . We say that  $f$  is right-equivalent to  $g$  if there is  $\tau \in X_2$  such that  $\tau\langle 1, 2 \rangle = \text{id}_B, \tau\langle 0, 1 \rangle = f, \tau\langle 0, 2 \rangle = g$ .

$$\begin{array}{ccc} & B & \\ & \uparrow \text{id}_B & \\ A & \xrightarrow{g} & B \\ & \nwarrow f & \\ & A & \end{array}$$

One then shows the following proposition before defining the homotopy category.

**Proposition 2.14.** The relations of left equivalence (Definition 2.12) and right equivalence (Definition 2.13) coincide.

We can now define the homotopy category.

**Definition 2.15** (Homotopy Category). Let  $X$  be a simplicial set. The homotopy category  $hX$  has objects those in  $X_0$  and morphisms those in  $X_1$  up to equivalence.

## 3. LECTURE 3 – 13TH SEPTEMBER 2023

We will discuss some constructions in ordinary category theory. Thinking about these constructions will give us a better idea of analogous constructions in infinity category theory.

**Definition 3.1** (Isomorphism). Let  $\mathbf{C}$  be a category. A morphism  $f \in \text{Mor}_{\mathbf{C}}(A, B)$  is an isomorphism if there exists  $g \in \text{Mor}_{\mathbf{C}}(B, A)$  such that  $g \circ f = \text{id}_A$  and  $f \circ g = \text{id}_B$ .

One can easily show that isomorphisms are preserved by functors.

**Proposition 3.2.** Let  $F : \mathbf{C} \rightarrow \mathbf{D}$  be a functor. If  $f \in \text{Mor}_{\mathbf{C}}(A, B)$  is an isomorphism then  $F(f) \in \text{Mor}_{\mathbf{D}}(F(A), F(B))$  is an isomorphism.

*Proof.* The statement follows by the commutativity of the following diagram.

$$\begin{array}{ccc} A & \xrightleftharpoons[g]{f} & B \\ F \downarrow & & \downarrow F \\ F(A) & \xrightleftharpoons[F(g)]{F(f)} & F(B) \end{array}$$

More explicitly, there exists  $g \in \text{Mor}_{\mathbf{C}}(B, A)$  such that  $g \circ f = \text{id}_A$  and  $f \circ g = \text{id}_B$  so by functoriality we have  $F(g) \circ F(f) = \text{id}_{F(A)}$ ,  $F(f) \circ F(g) = \text{id}_{F(B)}$  as desired. ■

The acute would perceive that Definition 3.1 may be slightly problematic since it involves some choice of  $g \in \text{Mor}_{\mathbf{C}}(B, A)$ . The following proposition resolves this issue, showing that such a choice is unique.

**Proposition 3.3.** Let  $\mathbf{C}$  be a category and  $f \in \text{Mor}_{\mathbf{C}}(A, B)$  is an isomorphism. If  $g_1, g_2 \in \text{Mor}_{\mathbf{C}}(B, A)$  satisfies  $g_i \circ f = \text{id}_A$  and  $f \circ g_i = \text{id}_B$  for  $i \in \{1, 2\}$  then  $g_1 = g_2$ .

*Proof.* We have  $g_1 = g_1 \circ \text{id}_Y = g_1 \circ f \circ g_2 = \text{id}_X \circ g_2 = g_2$ . ■

In some settings, it can be difficult to find such  $g$  that satisfies the composition identities as set out in Definition 3.1. Fortunately, we can use the Yoneda functors from Lemma 2.8 to characterize isomorphisms.

**Theorem 3.4** (Representable Functors Determine Isomorphism). Let  $\mathbf{C}$  be a category. A map  $f : A \rightarrow B$  is an isomorphism if and only if there is an isomorphic natural transformation of the functors they represent  $\text{Mor}_{\mathbf{C}}(-, A) \rightarrow \text{Mor}_{\mathbf{C}}(-, B)$ .

**Remark.** It is equivalent to require that for all  $Z \in \text{Obj}(\mathbf{C})$ ,  $\text{Mor}_{\mathbf{C}}(Z, A) = \text{Mor}_{\mathbf{C}}(Z, B)$ .

*Proof of Theorem 3.4.* This follows from the Yoneda lemma, Lemma 2.8. ■

Note the increasing levels of abstraction we have encountered. We started with the “traditional” definition of isomorphism that one encounters in say a course on the theory of groups, followed by the characterization of isomorphisms via representable functors. Let us look at one more characterization of isomorphisms in the flavor of how we defined the objects of a category as the 0th nerve  $(NC)_0$ , we can define a category  $I_{\cong}$  with two objects and two non-identity morphisms

$$* \xrightleftharpoons{\quad} *$$

and define an isomorphism as follows:

**Definition 3.5** (Isomorphism). Let  $\mathbf{C}$  be a category. An isomorphism in  $\mathbf{C}$  is a functor  $I_{\cong} \rightarrow \mathbf{C}$ .

We will no doubt revisit isomorphisms in the infinity categorical setting later in the course. Now, let us recall the following definitions.

**Definition 3.6** (Initial Object). Let  $\mathcal{C}$  be a category. An object  $X \in \text{Obj}(\mathcal{C})$  is initial if for all  $Y \in \text{Obj}(\mathcal{C})$ , there is a unique map  $X \rightarrow Y$ .

**Definition 3.7** (Final Object). Let  $\mathcal{C}$  be a category. An object  $X \in \text{Obj}(\mathcal{C})$  is final if for all  $Y \in \text{Obj}(\mathcal{C})$ , there is a unique map  $Y \rightarrow X$ .

We now show that final objects (and initial objects, by taking the opposite category) are unique up to unique isomorphism. In other words, they satisfy a universal property. Universal properties are ubiquitous in many fields of mathematics and significantly streamlines one's thinking about mathematical constructions.

**Proposition 3.8.** If  $X_1, X_2$  be final objects of a category  $\mathcal{C}$  then  $X_1$  is isomorphic to  $X_2$ .

*Proof.* Both  $X_1$  and  $X_2$  represent the same functor  $\mathcal{C} \rightarrow \mathbf{Sets}$ , taking any object of  $\mathcal{C}$  to the one-point set  $\{*\}$ .  $\blacksquare$

We have already seen how isomorphisms work in a category. We now define them in the setting of quasicategories.

**Definition 3.9** (Isomorphism in Quasicategories). Let  $X$  be a quasicategory. A morphism  $f \in X_1$  is an isomorphism if and only if  $[f] \in (hX)_1$  is an isomorphism.

Isomorphisms arising in homotopy categories will soon provide a tool for characterizing Kan complexes. We begin by defining the groupoid.

**Definition 3.10** (Groupoid). A category  $\mathcal{C}$  is a groupoid if all morphisms in  $\mathcal{C}$  are isomorphisms.

**Example 3.11.** The fundamental groupoid of a topological space  $\Pi_{\leq 1} X$  is a groupoid. Morphisms correspond to paths, which are invertible by sending  $\gamma(t) \rightarrow \gamma(1-t)$ .

The following theorem outlines a correspondence between quasicategories and Kan complexes with the language of groupoids.

**Theorem 3.12.** A quasicategory  $X$  is a Kan complex if and only if its homotopy category  $hX$  is a groupoid.

*Partial Proof of Theorem 3.12.* ( $\implies$ ) We prove that if  $X$  is a Kan complex, its homotopy category is a groupoid.

$$\begin{array}{ccc} & A & \\ \text{id}_A \uparrow & \swarrow \exists & \\ A & \xrightarrow{f} & B \end{array}$$

Let  $f : A \rightarrow B$  be a morphism in  $X$  and since  $X$  is a Kan complex, the horn  $\Lambda_0^2$  admits a fill by some  $\tau \in X_2$ . One verifies that for  $g = \tau\langle 1, 2 \rangle$  we have  $g \circ f = \text{id}_A$ . To show  $f \circ g = \text{id}_B$  we construct the diagram

$$\begin{array}{ccc} & B & \\ & \uparrow \text{id}_B & \\ A & \xrightarrow{f} & B \\ & \swarrow \exists & \\ & B & \end{array}$$

and by the fact that  $X$  is a Kan complex, the horn  $\Lambda_2^2$  admits a fill by some  $\tau' \in X_2$  such that  $\tau'\langle 0, 1 \rangle = h$  satisfying  $f \circ h = \text{id}_B$ . But we have  $g = g \circ \text{id}_B = g \circ f \circ h = \text{id}_A \circ h = h$  proving that there is a unique inverse in the homotopy category.  $\blacksquare$

The converse, showing that a homotopy category in which all morphisms are isomorphisms arises as the homotopy category of a Kan complex is much more difficult requiring a significant combinatorial assertion. We will return to Joyal's proof of the converse later in this course.

**Definition 3.13** (Quasigroupoid). A quasicategory  $X$  is a quasigroupoid if  $hX$  is a groupoid.

As Theorem 3.12 shows, Kan complexes are examples of quasigroupoids.

**Definition 3.14** (Small Category). A category  $\mathbf{C}$  is a small category if  $\text{Obj}(\mathbf{C})$  is a set as opposed to a class.

Having defined a small category, we can define the category of small categories.

**Definition 3.15** (Category of Small Categories). Denote  $\mathbf{Cat}_1$  the category of small categories whose objects are small categories and whose morphisms are functors between them.

Analogously to nerves, we can consider  $(\mathbf{Cat}_1)_n$  be the data of a category  $\mathbf{C}_i$  for each  $1 \leq i \leq n$ , a functor  $F_{ij} : \mathbf{C}_i \rightarrow \mathbf{C}_j$  for each  $i \leq j$ , and a natural isomorphism of functors  $\varepsilon_{ijk} : F_{ik} \Rightarrow F_{jk} \circ F_{ij}$  making the following diagram commute.

$$\begin{array}{ccc}
 & \mathbf{C}_j & \\
 F_{ij} \nearrow & \varepsilon_{ijk} \Uparrow & \nwarrow F_{jk} \\
 \mathbf{C}_i & \xrightarrow{F_{ik}} & \mathbf{C}_k
 \end{array}$$

Additionally, we require the functors to satisfy the following “cocycle conditons” which we now explain. Given  $(\mathbf{Cat}_1)_3$

$$\begin{array}{ccccc}
 & & \mathbf{C}_k & & \\
 & \nearrow F_{ik} & & \nwarrow F_{kl} & \\
 & \mathbf{C}_i & & \mathbf{C}_l & \\
 & \nearrow F_{il} & \nearrow F_{jk} & \nwarrow F_{jl} & \\
 & \mathbf{C}_j & & & 
 \end{array}$$

we can “flatten” the tetrahedron to observe

$$\begin{array}{ccccc}
 & & \mathbf{C}_l & & \\
 & \nearrow F_{il} & & \nwarrow F_{kl} & \\
 \mathbf{C}_i & & \mathbf{C}_j & & \mathbf{C}_k \\
 & \nwarrow F_{ij} & \nearrow F_{jk} & & \\
 & & \mathbf{C}_j & & 
 \end{array}
 \quad
 \begin{array}{ccccc}
 & & \mathbf{C}_l & & \\
 & \nearrow F_{il} & & \nwarrow F_{kl} & \\
 \mathbf{C}_i & \xrightarrow{F_{ik}} & \mathbf{C}_k & & \\
 & \nwarrow F_{ij} & \nearrow F_{jk} & & \\
 & & \mathbf{C}_j & & 
 \end{array}$$

that  $\varepsilon_{ijl} \circ \varepsilon_{jkl} = \varepsilon_{ijk} \circ \varepsilon_{ikl}$ . More explicitly, we have natural transformations

$$\begin{aligned}
 \varepsilon_{ijl} : F_{il} &\rightarrow F_{jl} \circ F_{ij} & \varepsilon_{ikl} : F_{il} &\rightarrow F_{kl} \circ F_{ik} \\
 \varepsilon_{jkl} : F_{jl} &\rightarrow F_{kl} \circ F_{jk} & \varepsilon_{ijk} : F_{ik} &\rightarrow F_{jk} \circ F_{ij}
 \end{aligned}$$

where the “cocycle condition” asserts that the the composition of functors is associative

$$\begin{aligned}
 \varepsilon_{jkl} \circ \varepsilon_{ijl} : F_{il} &\rightarrow (F_{kl} \circ F_{jk}) \circ F_{ij} \\
 \varepsilon_{ijk} \circ \varepsilon_{ikl} : F_{il} &\rightarrow F_{kl} \circ (F_{jk} \circ F_{ij})
 \end{aligned}$$

by forcing the natural transformations to agree as one would expect.

**Remark.**  $h\mathbf{Cat}_1$  is the category whose objects are categories and whose morphisms are functors modulo isomorphic natural transformations.

This would imply that in  $h\mathbf{Cat}_1$  the morphisms are isomorphisms of categories in some appropriate sense. We will characterize isomorphisms of categories using fullness, faithfulness, and essential surjectivity which we now define.

**Definition 3.16** (Full Functor). A functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  is full if for all  $A, B \in \mathbf{Obj}(\mathbf{C})$  the map of sets  $\mathbf{Mor}_{\mathbf{C}}(A, B) \rightarrow \mathbf{Mor}_{\mathbf{D}}(F(A), F(B))$  is surjective.

**Definition 3.17** (Faithful Functor). A functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  is faithful if for all  $A, B \in \mathbf{Obj}(\mathbf{C})$  the map of sets  $\mathbf{Mor}_{\mathbf{C}}(A, B) \rightarrow \mathbf{Mor}_{\mathbf{D}}(F(A), F(B))$  is injective.

Naturally, one defines a fully faithful functor as follows.

**Definition 3.18** (Fully Faithful Functor). A functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  is fully faithful if it is full and faithful, that is for all  $A, B \in \mathbf{Obj}(\mathbf{C})$  the map of sets  $\mathbf{Mor}_{\mathbf{C}}(A, B) \rightarrow \mathbf{Mor}_{\mathbf{D}}(F(A), F(B))$  is a bijection.

We now define essential surjectivity as follows.

**Definition 3.19** (Essentially Surjective Functor). A functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  is essentially surjective if for all  $B \in \mathbf{Obj}(\mathbf{D})$  there is an isomorphism  $F(X) \rightarrow B$  in  $\mathbf{D}$ .

We then define an isomorphism of functors as follows.

**Definition 3.20** (Categorical Equivalence). A functor is an isomorphism if it is fully faithful and essentially surjective.

We have done a lot of work to think about basic notions such as isomorphisms in new ways. Now let us return to the humble morphism. Recall that a morphism in a category  $\mathbf{C}$  is a morphism from the free walking morphism  $0 \rightarrow 1$  to  $\mathbf{C}$ . More explicitly, we have a diagram

$$\begin{array}{ccc} \mathbf{Mor}_{\mathbf{C}}(A, B) & \xrightarrow{\quad\quad\quad} & \mathbf{Fun}([1], \mathbf{C}) \\ \downarrow & & \downarrow \\ * & \xrightarrow{(A, B)} & \mathbf{C} \times \mathbf{C} \end{array}$$

taking a morphism  $f$  to the tuple of objects in  $\mathbf{C}$ ,  $(\langle 0 \rangle^* f, \langle 1 \rangle^* f) \in \mathbf{Obj}(\mathbf{C}) \times \mathbf{Obj}(\mathbf{C})$ . A key notion here is the realization of regular morphisms as functors. This naturally generalizes to the functor category.

**Definition 3.21.** Let  $\mathbf{C}, \mathbf{D}$  be categories. The functor category  $\mathbf{Fun}(\mathbf{C}, \mathbf{D})$  has objects functors  $\mathbf{C} \rightarrow \mathbf{D}$  and morphisms natural transformations between such functors.

In the case of simplicial sets, this admits an even nicer description. Let  $X, Y$  be simplicial sets.  $\mathbf{Mor}_{\mathbf{SSets}}(X, Y) = Y^X$  is itself a simplicial set such that maps  $Z \rightarrow Y^X$  can be identified with maps  $X \times Z \rightarrow Y$ . More generally, one can show that for  $\mathbf{C}, \mathbf{D}$  categories  $\mathbf{Fun}(N\mathbf{C}, N\mathbf{D}) = N\mathbf{D}^{N\mathbf{C}}$  is isomorphic to the nerve of the functor category  $N\mathbf{Fun}(\mathbf{C}, \mathbf{D})$ . In the case of quasicategories, a theorem of Joyal states that we have the following.

**Theorem 3.22** (Joyal). If  $X$  is a quasicategory and  $A$  a simplicial set, the functor category  $\mathbf{Fun}(A, X) = X^A$  is a quasicategory.

## 4. LECTURE 4 – 18TH SEPTEMBER 2023

We begin with some philosophical ruminations about category theory. Here is a question to stimulate some thought: what would it mean to do math in a quasicategory instead of a category (as we do now)?

Our original plan was to discuss category theory and quasicategory theory in parallel. But to do so will require significant knowledge of category theory in the first place. As with the last class, we devote today to further discussions of notions in ordinary category theory.

Recall our discussion of initial objects (Definition 3.6) and final objects (Definition 3.7). We denote these  $\emptyset$  and  $\{*\}$ , respectively, since these are the initial and final objects in the category of sets **Sets**.

Let  $I$  be an indexing category, that is a directed graph whose objects are the vertices and morphisms the directed edges.

**Example 4.1.** Both of the following are examples of indexing categories.



**Example 4.2.** Recall the definition of representable spaces of groups Example 1.4. Let  $BG$  be the representable space of a group  $G$ . A functor  $BG \rightarrow \mathbf{C}$  is an object of  $\mathbf{C}$  equipped with a left  $G$ -action. Indeed, if

**Remark.** What if there were beings on another planet with arms atop and on the bottom of their body. Saying a left action would make absolutely no sense. To be more precise, one ought use the language of contravariant and covariant functors. Suppose we have the following in  $BG$

$$\bullet - g_1 \rightarrow \bullet - g_2 \rightarrow \bullet$$

The image of  $BG$  under the functor is an object  $g_2(g_1 \cdot x) = (g_1 g_2) \cdot x$ .

We can now define the cone over an indexing category.

**Definition 4.3** (Cones). Let  $F : I \rightarrow \mathbf{C}$  be a functor for some indexing category  $I$ . A cone on  $F$  is a object  $A \in \text{Obj}(\mathbf{C})$  along with maps  $g_i : F(i) \rightarrow A$  for all  $i \in \text{Obj}(I)$  such that for all  $i \rightarrow j \in \text{Mor}_I$  the diagram

$$\begin{array}{ccc} F(i) & \xrightarrow{F(i \rightarrow j)} & F(j) \\ & \searrow g_i & \downarrow g_j \\ & & A \end{array}$$

commutes.

Let us now look at some examples.

**Example 4.4.** Let  $I$  be the indexing category/diagram



the cone over the diagram is an object  $* \in \text{Obj}(I)$  such that the diagram

$$\begin{array}{ccc} \bullet & \xrightarrow{\quad} & \bullet \\ \downarrow & & \downarrow \\ \bullet & \xrightarrow{\quad\quad\quad} & * \end{array}$$

commutes.

**Remark.** In such a situation, we write  $F \rightarrow *$  for the cone on  $F$ .

**Definition 4.5** (Colimit Cone). Let  $\mathbf{C}$  be a category and  $F \rightarrow A$  with  $A \in \text{Obj}(\mathbf{C})$  for some indexing category  $I$ . The cone  $F \rightarrow A$  is a colimit cone if for all other cones  $F \rightarrow B$  with  $B \in \text{Obj}(\mathbf{C})$  the diagram

$$\begin{array}{ccc} & F & \\ \swarrow & & \searrow \\ A & \xrightarrow{\quad\quad\quad} & B \end{array}$$

commutes.

If  $F \rightarrow A$  is a colimit cone, we say  $A$  is the colimit of  $F$  where one writes

$$\varinjlim F = \text{colim}_I F = A.$$

**Example 4.6** (Pushout). Let  $I$  be the indexing category as in Example 4.4. Let  $F : I \rightarrow \mathbf{C}$  be a functor. The colimit of  $F$  is the pushout of the diagram.

We can actually define colimits differently using universal properties.

**Definition 4.7** (Colimit Cone). Let  $\mathbf{C}$  be a category and  $F : I \rightarrow \mathbf{C}$  a functor from some indexing category  $I$ . Let  $F/\mathbf{C}$  be the category whose objects are cones on  $F$  and whose morphisms are maps  $(F \rightarrow A) \rightarrow (F \rightarrow B)$  are morphisms  $f \in \text{Mor}_{\mathbf{C}}(A, B)$  making the diagram

$$\begin{array}{ccc} & F & \\ \swarrow & & \searrow \\ A & \xrightarrow{\quad f \quad} & B \end{array}$$

commutes. The colimit cone of  $F$  is the initial object of the category  $F/\mathbf{C}$ .

One can check that the above definitions of the colimit agree, and that the colimit can be described by a universal property, that is, it is unique up to unique isomorphism.

*Universal properties allow you to dream about an object. – Emily Riehl*

**Definition 4.8** (Coequalizer). Let  $I$  be the indexing category

$$\bullet \rightrightarrows \bullet$$

and if  $F : I \rightarrow \mathbf{C}$  is a functor for any category  $\mathbf{C}$ , we define the colimit of  $I$  to be the coequalizer of  $f$  and  $g$ .

**Example 4.9.** If  $\mathbf{C} = \text{Sets}$  then the coequalizer of the diagram

$$\bullet \rightrightarrows \bullet$$

is  $S_2 / \sim$  where  $\sim$  is the equivalence relation generated by  $f(s) \sim g(s)$  for all  $s \in S_1$ .

**Example 4.10.** If  $\mathbf{C} = \mathbf{AbGrp}$  the coequalizer of the diagram

$$\bullet \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} \bullet$$

is the cokernel of  $A \rightarrow B$ , that is  $B/\text{im}(A)$ .

**Example 4.11.** Let  $I$  be the indexing category with no non-identity morphisms. The colimit over  $I$  is the coproduct. In **Sets** the coproduct is the disjoint union, in **Grp** the coproduct is the free product, and in **AbGrp** the coproduct is the direct sum.

One can in fact show the following theorem.

**Theorem 4.12.** Let  $\mathbf{C}$  be a category. If  $\mathbf{C}$  has all coproducts and coequalizers, then  $\mathbf{C}$  has all colimits.

One can consider dual objects by taking the opposite category to define co-cones, limits, etc.

The guiding philosophy here is that categories and categorical structures can be built out of functors from indexing categories/diagrams.

**Definition 4.13** (Constant Functor). Let  $I$  be an indexing category,  $\mathbf{C}$  a category, and  $F : I \rightarrow \mathbf{C}$  a functor. For  $A \in \text{Obj}(\mathbf{C})$ , define the constant functor at  $A$   $\delta_A : I \rightarrow \mathbf{C}$  the functor that maps each object of  $I$  to  $A \in \text{Obj}(\mathbf{C})$  and each morphism to  $\text{id}_A$ .

We can think of a cone on  $F$  as a natural transformation  $F \rightarrow \delta_A$ . Consider  $\mathbf{C}^I = \text{Fun}(I, \mathbf{C})$  the category of functors from  $I$  to  $\mathbf{C}$ . There is a functor  $\text{colim}_I : \mathbf{C}^I \rightarrow \mathbf{C}$  taking a diagram to its colimit. Dually, there is a functor  $\delta_{\text{Obj}(\mathbf{C})} : \mathbf{C} \rightarrow \mathbf{C}^I$  by  $A \mapsto \delta_A$ . There is a natural transformation  $\text{id}_{\mathbf{C}^I} \rightarrow \delta_{\text{colim}_I}$ . This is the universal property of colimits, that there is an isomorphism in **Sets** between  $\text{Mor}_{\mathbf{C}^I}(F, \delta_A)$  and  $\text{Mor}_{\mathbf{C}}(\text{colim}_I F, A)$ . This was our first example of adjoint functors, first defined by Dan Kan.

**Definition 4.14** (Adjunction). Let  $\mathbf{C}, \mathbf{D}$  be categories and  $F : \mathbf{C} \rightarrow \mathbf{D}, G : \mathbf{D} \rightarrow \mathbf{C}$  be functors. An adjunction between  $F$  and  $G$  is a natural isomorphism of functors  $\mathbf{C}^{\text{Opp}} \times \mathbf{D} \rightarrow \mathbf{Sets}$  by  $\text{Mor}_{\mathbf{D}}(F(X), Y) \rightarrow \text{Mor}_{\mathbf{C}}(X, F(Y))$  for all  $X \in \text{Obj}(\mathbf{C}), Y \in \text{Obj}(\mathbf{D})$ .

This leads to several closely related notions.

**Definition 4.15** (Adjoint Pair). Let  $\mathbf{C}, \mathbf{D}$  be categories and  $F : \mathbf{C} \rightarrow \mathbf{D}, G : \mathbf{D} \rightarrow \mathbf{C}$  be functors. If there is an adjunction between  $F$  and  $G$  then we say  $F$  and  $G$  form an adjoint pair.

**Definition 4.16** (Left and Right Adjoints). Let  $\mathbf{C}, \mathbf{D}$  be categories and  $F : \mathbf{C} \rightarrow \mathbf{D}, G : \mathbf{D} \rightarrow \mathbf{C}$  be an adjoint pair. We say  $F$  is the left adjoint and  $G$  is the right adjoint.

**Remark.** The language of left and right adjoints can be confusing. See, for example, the remark above. The directionality could be deduced from the following diagram, which is often used in the literature.

$$F : \mathbf{C} \rightleftarrows \mathbf{D} : G$$

We revisit colimits in a new language.

**Example 4.17.** Let  $\mathbf{C}$  be a category,  $I$  an indexing category, and  $F : I \rightarrow \mathbf{C}$  a functor. The colimit functor  $\text{colim}_I : \mathbf{C}^I \rightarrow \mathbf{C}$  and  $\delta_{\text{Obj}(\mathbf{C})} : \mathbf{C} \rightarrow \mathbf{C}^I$  form an adjoint pair. The functor  $\text{colim}_I : \mathbf{C}^I \rightarrow \mathbf{C}$  is the left adjoint to  $\delta_{\text{Obj}(\mathbf{C})} : \mathbf{C} \rightarrow \mathbf{C}^I$ . Analogously,  $\delta_{\text{Obj}(\mathbf{C})} : \mathbf{C} \rightarrow \mathbf{C}^I$  is the right adjoint to  $\text{colim}_I : \mathbf{C}^I \rightarrow \mathbf{C}$ .



**Remark.** Dan Kan began writing the paper on adjoint functors without full knowledge of how it would develop. The lesson here is to start writing early, even when you don't think something will be significant.

One can easily deduce the following about adjunctions.

**Proposition 4.18.** Suppose we have categories  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  and functors  $F_1, F_2, G_1, G_2$  as below where  $F_1, G_1$  and  $F_2, G_2$  are adjoint pairs. The pair  $F_2 \circ F_1, G_1 \circ G_2$  is an adjoint pair as well.

$$\mathcal{C} \begin{array}{c} \xrightarrow{F_1} \\ \xleftarrow{G_1} \end{array} \mathcal{D} \begin{array}{c} \xrightarrow{F_2} \\ \xleftarrow{G_2} \end{array} \mathcal{E}$$

*Proof.* We have natural isomorphisms

$$\mathrm{Mor}_{\mathcal{E}}(F_2(F_1(X)), Y) \rightarrow \mathrm{Mor}_{\mathcal{D}}(F_1(X), G_2(Y)) \rightarrow \mathrm{Mor}_{\mathcal{C}}(X, G_2(G_1(Y)))$$

for all  $X \in \mathrm{Obj}(\mathcal{C}), Y \in \mathrm{Obj}(\mathcal{E})$  proving the claim. ■

We now introduce sheaves. One likely encounters this in an algebraic geometry course.

**Example 4.19** (Sheaves on a Space). Let  $X$  be a topological space and  $X^{\mathrm{Opens}}$  be the category of open sets of  $X$  whose objects are open sets and whose morphisms are inclusion maps. A  $\mathcal{C}$ -valued sheaf on  $X$  is a contravariant functor  $\mathrm{Fun}((X^{\mathrm{Opens}})^{\mathrm{Opp}}, \mathcal{C})$  from the category of open sets on  $X$  to  $\mathcal{C}$ .

We now define sheaves on categories, a generalization of the abovementioned concept.

**Definition 4.20** (Sheaves on Categories). Let  $\mathcal{C}$  be a category. The category of  $\mathcal{D}$ -valued presheaves on  $\mathcal{C}$  is the functor category  $\mathrm{Fun}(\mathcal{C}^{\mathrm{Opp}}, \mathcal{D}) = \mathrm{PSh}(\mathcal{C})$ .

**Remark.** The notation  $\mathrm{PSh}(\mathcal{C})$  does not indicate the category in which the presheaf is valued. This is often obvious from context. If this is not indicated, we will take  $\mathcal{D} = \mathbf{Sets}$ .

Naturally, there is a map from the (higher) category of categories  $\mathbf{Cat}$  taking a category  $\mathcal{C}$  to the category of presheaves on it  $\mathrm{PSh}(\mathcal{C})$ .

**Definition 4.21** (Representable Presheaves). A presheaf on  $\mathcal{C}$  is representable if it is naturally isomorphic to  $\mathrm{Fun}(-, \mathcal{C})$ .

One can then show that this is a category that fulfils several nice properties.

**Theorem 4.22.** Let  $\mathcal{C}$  be a category. The category  $\mathrm{PSh}(\mathcal{C})$  admits all limits and colimits, and every  $F \in \mathrm{Obj}(\mathrm{PSh}(\mathcal{C}))$  is the colimit of representable functors.

## 5. LECTURE 5 – 20TH SEPTEMBER 2023

We want to define adjoints, initial and final objects, and analogous constructions from ordinary category theory in the setting of quasicategories. Unfortunately, technical stuff gets in the way. Let's try and wade through this today.

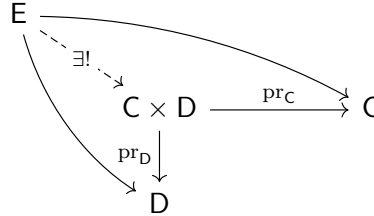
Let  $I$  be a directed graph and let  $\mathbf{C}^I = \text{Fun}(I, \mathbf{C})$  be the category whose objects are functors  $I \rightarrow \mathbf{C}$  and whose morphisms are natural transformations between such functors. We will now see how  $\text{Fun}(I, \mathbf{C})$  is naturally isomorphic to  $\text{Mor}_{\mathbf{S}\mathbf{Sets}}(NI, NC)$ .

**Proposition 5.1.** There exists a natural isomorphism  $\text{Fun}(I, \mathbf{C}) \rightarrow \text{Mor}_{\mathbf{S}\mathbf{Sets}}(NI, NC)$ .

*Proof.* This is [4, 002Z]. ■

We now define products.

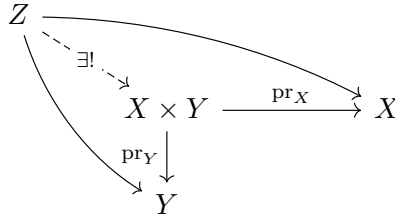
**Definition 5.2** (Products of Categories). Let  $\mathbf{C}, \mathbf{D}$  be categories. The product  $\mathbf{C} \times \mathbf{D}$  is final among categories with functors to both  $\mathbf{C}$  and  $\mathbf{D}$ , that is for the solid diagram



there is a unique dotted functor making the diagram commute.

Analogously for simplicial sets, we have the following definition.

**Definition 5.3** (Products of Simplicial Sets). Let  $X, Y$  be simplicial sets. The product  $X \times Y$  is final among simplicial sets with simplicial set morphisms to  $X$  and to  $Y$ , that is for the solid diagram,

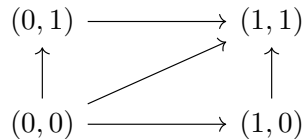


there is a unique simplicial set morphism making the diagram commute.

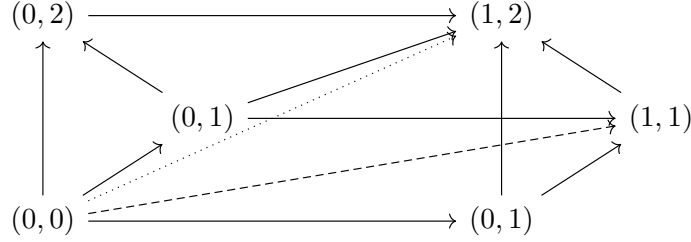
This is equivalent to the universal property that for all simplicial sets  $Z$ ,  $\text{Mor}_{\mathbf{S}\mathbf{Sets}}(Z, X \times Y) = \text{Mor}_{\mathbf{S}\mathbf{Sets}}(Z, X) \times \text{Mor}_{\mathbf{S}\mathbf{Sets}}(Z, Y)$ . We can thus compute the  $n$ -simplices of a the product of simplicial sets by

$$\begin{aligned} (X \times Y)_n &= \text{Mor}_{\mathbf{S}\mathbf{Sets}}(\Delta^n, X \times Y) \\ &= \text{Mor}_{\mathbf{S}\mathbf{Sets}}(\Delta^n, X) \times \text{Mor}_{\mathbf{S}\mathbf{Sets}}(\Delta^n, Y) && \text{by universal property} \\ &= X_n \times Y_n. \end{aligned}$$

**Example 5.4.** The simplicial set  $\Delta^1 \times \Delta^1$  is a triangulated square.



**Example 5.5.** The simplicial set  $\Delta^1 \times \Delta^2$  is a triangulated triangular prism.



**Proposition 5.6.** The nerve functor  $N : \mathbf{Cat} \rightarrow \mathbf{SSets}$  preserves products.

*Proof.* This is direct from the universal properties of categorical products and products of simplicial sets. ■

We have previously discussed natural transformations (see Definition 1.7). For functors  $F, G : \mathbf{C} \rightarrow \mathbf{D}$  a natural transformation between them  $\alpha \in \mathbf{NatTrans}(F, G)$

$$\begin{array}{ccc} & F & \\ \mathbf{C} & \begin{array}{c} \curvearrowright \\ \alpha \downarrow \\ \curvearrowleft \end{array} & \mathbf{D} \\ & G & \end{array}$$

such that for all  $A, B \in \mathbf{Obj}(\mathbf{C})$  and  $f \in \mathbf{Mor}_{\mathbf{C}}(A, B)$  the diagram

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(B) \\ \alpha(A) \downarrow & & \downarrow \alpha(B) \\ G(A) & \xrightarrow{G(f)} & G(B) \end{array}$$

commutes in  $\mathbf{D}$ .

**Proposition 5.7.** Let  $F, G : \mathbf{C} \rightarrow \mathbf{D}$  be functors. We have a bijection of sets

$$\mathbf{NatTrans}(\mathbf{C}, \mathbf{D}) \rightarrow \mathbf{Fun}(\mathbf{C} \times [1], \mathbf{D}).$$

*Proof.* Let  $i \in \mathbf{Mor}_{[2]}$  where  $i : 0 \rightarrow 1$  and let  $H(-, 0) = F(-)$ ,  $H(-, 1) = G(-)$ . By  $F, G$  being functors we have the diagram on the left, and rewriting with  $H$  we have the diagram on the right

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(B) \\ G(A) & \xrightarrow{G(f)} & G(B) \end{array} \quad \begin{array}{ccc} H(A, 0) & \xrightarrow{H(f, 0)} & H(B, 0) \\ i \downarrow & & \downarrow i \\ H(A, 1) & \xrightarrow{H(f, 1)} & H(B, 1) \end{array}$$

where  $i$  induces a map  $H(-, 0) \rightarrow H(-, 1)$  which is a natural transformation of functors. ■

**Corollary 5.8.** Natural transformations between functors  $\mathbf{NatTrans}(\mathbf{C}, \mathbf{D})$  are in bijection with maps of simplicial sets  $NC \times \Delta^1 \rightarrow ND$ .

*Proof.* We have  $\mathbf{NatTrans}(\mathbf{C}, \mathbf{D}) = \mathbf{Fun}(\mathbf{C} \times [1], \mathbf{D})$  and apply the nerve functor. ■

Let  $X, Y$  be simplicial sets. We have a simplicial function space  $Y^X = \mathbf{Mor}_{\mathbf{SSets}}(X, Y)$  of simplicial set morphisms  $X \rightarrow Y$  with the property that  $\mathbf{Mor}_{\mathbf{SSets}}(Z, \mathbf{Mor}_{\mathbf{SSets}}(X, Y)) = \mathbf{Mor}_{\mathbf{SSets}}(X \times Z, Y)$ . We can prove this simplicial function space with  $n$ -simplices where

$$(Y^X)_n = \mathbf{Mor}_{\mathbf{SSets}}(\Delta^n, Y^X) = \mathbf{Mor}_{\mathbf{SSets}}(X \times \Delta^n, Y).$$

Under the correspondence described above, functors  $\mathbf{C} \rightarrow \mathbf{D}$  correspond to maps of simplicial sets  $NC \rightarrow ND$  otherwise described  $((ND)^{NC})_0$  and natural transformations between functors  $\mathbf{C} \rightarrow \mathbf{D}$  correspond to simplicial set maps  $NC \times \Delta^1 \rightarrow ND$  otherwise described  $((ND)^{NC})_1$ . This is only the beginning of the interactions between category theory and the theory of quasicategories. A theorem of Joyal states the following.

**Theorem 5.9** (Joyal). If  $W$  is a quasicategory and  $X$  a simplicial set, the category  $\text{Mor}_{\mathbf{S}\mathbf{Sets}}(X, W) = W^X$  is a quasicategory.

Let us say a few words about the proof. Recall from Definition 1.22 that  $Y$  is a quasicategory if every inner horn has a fill.

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & Y \\ \downarrow & \dashrightarrow \exists & \\ \Delta^n & & \end{array}$$

It suffices to show that if  $W$  is a quasicategory and  $X$  a simplicial set, either of the equivalent solid diagrams admits a fill.

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & W^X \\ \downarrow & \dashrightarrow \exists & \\ \Delta_k^n & & \end{array} \qquad \begin{array}{ccc} X \times \Lambda_k^n & \xrightarrow{\quad} & W \\ \downarrow & \dashrightarrow \exists & \\ X \times \Delta_k^n & & \end{array}$$

Let us take a step back and take stock of the situation. The theory of categories and of quasicategories are not that distant as one might first imagine. Quasicategories and simplicial sets also give us a number of tools to understand constructions in category theory. Let us introduce a few more categorical notions, before we discuss morphisms of simplicial sets.

**Definition 5.10** (Cobase Change). Let

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ i \downarrow & & \\ X & & \end{array}$$

be a diagram. In the pushout

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ i \downarrow & & \downarrow \\ X & \xrightarrow{g} & Y \end{array}$$

we say  $g$  is obtained from  $f$  by cobase change along  $i$ .

Dually, we have a notion of base change.

**Definition 5.11** (Base Change). Let

$$\begin{array}{ccc} & B & \\ & \downarrow f & \\ X & \xrightarrow{i} & Y \end{array}$$

be a diagram. In the pullback

$$\begin{array}{ccc} A & \dashrightarrow & B \\ g \downarrow & & \downarrow f \\ X & \xrightarrow{i} & Y \end{array}$$

we say  $g$  is obtained from  $f$  by base change along  $i$ .

We're working towards a definition of a class of morphisms of simplicial sets. We will now develop several more necessary simplicial notions.

**Definition 5.12** (Transfinite Composition). A collection of morphisms of simplicial sets  $S$  is closed under transfinite composition if for a diagram

$$X_{i_0} \longrightarrow X_{i_1} \longrightarrow X_{i_2} \longrightarrow X_{i_3} \longrightarrow \dots$$

with  $f_i \in S$  for all  $i_j \in I$  an arbitrary indexing set, the induced morphism  $X_0 \rightarrow \operatorname{colim}_k X_k$  is in  $S$ .

**Definition 5.13** (Retracts). A morphism  $f \in \operatorname{Mor}_{\mathbf{SSets}}(X, Y)$  is a retract of  $g \in \operatorname{Mor}_{\mathbf{SSets}}(X', Y')$  if there is a diagram in  $\mathbf{SSets}$  of the following form.

$$\begin{array}{ccccc} & & \operatorname{id}_X & & \\ X & \xrightarrow{\quad} & X' & \xrightarrow{\quad} & X \\ f \downarrow & & g \downarrow & & f \downarrow \\ Y & \xrightarrow{\quad} & Y' & \xrightarrow{\quad} & Y \\ & & \operatorname{id}_Y & & \end{array}$$

This allows us to define weakly saturated morphisms, an important class of morphisms.

**Definition 5.14** (Weakly Saturated Maps). Let  $\mathcal{A} \subseteq \operatorname{Mor}_{\mathbf{SSets}}$  be a collection of maps of simplicial sets. The collection  $\mathcal{A}$  is weakly saturated if it satisfies all of the following conditions:

- (a)  $\mathcal{A}$  contains all isomorphisms.
- (b)  $\mathcal{A}$  is closed under cobase change.
- (c)  $\mathcal{A}$  is closed under composition.
- (d)  $\mathcal{A}$  is closed under transfinite composition.
- (e)  $\mathcal{A}$  is closed under coproducts.
- (f)  $\mathcal{A}$  is closed under retracts.

**Definition 5.15** (Weak Saturation). For  $\mathcal{A}$  a class of simplicial maps we define its weak saturation  $\overline{\mathcal{A}}$  the smallest weakly saturated class containing  $\mathcal{A}$ .

This allows us to introduce anodyne functors, a construction introduced by Gabriel and Zisman.

**Definition 5.16** (Left Anodyne). The left anodyne functors are those given by the weak saturation of inner horn maps

$$\overline{\{\Lambda_k^n \hookrightarrow \Delta^n \mid 0 \leq k < n\}}.$$

**Definition 5.17** (Right Anodyne). The right anodyne functors are given by the weak saturation of outer horn maps

$$\overline{\{\Lambda_k^n \hookrightarrow \Delta^n \mid 0 < k \leq n\}}.$$

**Definition 5.18** (Anodyne). The anodyne functors are functors given by the weak saturation of horn maps

$$\overline{\{\Lambda_k^n \hookrightarrow \Delta^n \mid 0 \leq k \leq n\}}.$$

So returning to our discussion of the proof of Theorem 5.9, we want to show that for  $X$  a simplicial set, the functors

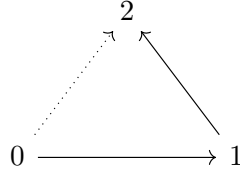
$$X \times \Lambda_k^n \rightarrow X \times \Delta^n$$

is inner anodyne for  $0 < k < n$ .

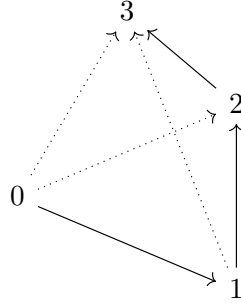
We conclude with the notion of the spine of a category.

**Definition 5.19** (Spine). The spine  $I^n \subseteq \Delta^n$  is the union of the faces  $\langle 0, 1 \rangle, \dots, \langle n-1, n \rangle$ .

**Example 5.20.** The spine of  $\Delta^2$  consists of the solid arrows.



**Example 5.21.** The spine of  $\Delta^3$  consists of the solid arrows.



**Proposition 5.22.** The map  $I^n \hookrightarrow \Delta^n$  is inner anodyne for  $n \geq 2$ .

*Proof.* This is done by filling the horns  $\Lambda_1^2, \Lambda_2^3, \dots, \Lambda_{n-1}^n$  in succession. ■

## 6. LECTURE 6 – 2ND OCTOBER 2023

Recall the definition of weakly saturated maps in a category Definition 5.14 and the result that the category of simplicial sets  $\mathbf{SSets}$  contains all small limits and colimits which follows from the more general statement that the presheaf category over a base category admits all limits and colimits (see, for example [7, 00VB]).

**Example 6.1.** Consider the category of simplicial sets  $\mathbf{SSets}$  and  $\mathcal{A}$  the class of all monomorphisms, those morphisms such that if  $f \circ g_1 = f \circ g_2$  then  $g_1 = g_2$ . The class  $\mathcal{A}$  is weakly saturated.

**Example 6.2.** Let  $X \in \text{Obj}(\mathbf{SSets})$  and consider the following collection of morphisms.

$$\mathcal{A} = \left\{ A \rightarrow B : \begin{array}{ccc} A & \longrightarrow & X \\ \downarrow & \nearrow \exists & \\ B & & \end{array} \right\}$$

The class  $\mathcal{A}$  is weakly saturated.

**Example 6.3.** Let  $\mathcal{B} \subseteq \text{Obj}(\mathbf{SSets})$  and consider the following class of morphisms.

$$\mathcal{A} = \left\{ A \rightarrow B : \forall X \in \mathcal{B}, \begin{array}{ccc} A & \longrightarrow & X \\ \downarrow & \nearrow \exists & \\ B & & \end{array} \right\}$$

The class  $\mathcal{A}$  is weakly saturated.

Now recall for the notation for weak saturation Definition 5.15, for any  $S \subseteq \text{Mor}_{\mathbf{C}}$  we define  $\overline{S} \subseteq \text{Mor}_{\mathbf{C}}$  to be the smallest class of weakly saturated maps containing  $S$ .

**Example 6.4.** Let  $\text{InnHorn} = \{\Lambda_k^n \hookrightarrow \Delta^n \mid 0 < k < n\}$ . The weak saturation of this class  $\overline{\text{InnHorn}}$  are the inner anodyne maps.

**Remark.** Let  $X$  be a quasicategory and  $A \rightarrow B$  an inner anodyne map in  $\mathbf{SSets}$ . Any map  $A \rightarrow X$  can be extended through  $B$  via horn filling.

$$\begin{array}{ccc} A & \longrightarrow & X \\ f \downarrow & \nearrow \exists & \\ B & & \end{array}$$

This controls the amount of information  $X$  has. If there are many maps to  $X$ , then  $X$  contains little information and if there are few maps to  $X$ ,  $X$  contains much information. A useful thing to think of here is the final object and how it is characterized by their universal property: a final object is unique up to unique isomorphism, and admits only one morphism from any object in the category.

Returning to our discussion of Joyal's theorem, Theorem 3.22, we want to show that for all inner horns, there exists a filler, that is, in any one of the following two equivalent diagrams, there exists a dotted morphism making the diagrams commute.

$$\begin{array}{ccc} \Lambda_k^n & \longrightarrow & \mathbf{C}^I \\ \downarrow & \nearrow \exists & \\ \Delta^n & & \end{array} \qquad \begin{array}{ccc} \Lambda_k^n \times I & \longrightarrow & \mathbf{C} \\ \downarrow & \nearrow \exists & \\ \Delta^n \times I & & \end{array}$$

Using our newfound language of anodyne functors, we can phrase this condition as showing that  $\Lambda_k^n \times I \hookrightarrow \Delta^n \times I$  is inner anodyne.

More generally, this can be understood through solving a lifting problem.

**Definition 6.5** (Lifting). Suppose we have the following solid commutative diagram.

$$\begin{array}{ccc} A & \xrightarrow{\quad} & X \\ f \downarrow & \nearrow \text{dotted} & \downarrow g \\ B & \xrightarrow{\quad} & Y \end{array}$$

If the dotted map exists, we say that  $f$  lifts against  $g$ , writing  $f \sqsupset g$ .

Given the definition of lifting, we can define lifting with respect to classes of morphisms.

**Definition 6.6** (Right Complement). Let  $\mathcal{A}$  be a class of maps. We define the left complement of  $\mathcal{A}$  as

$$\mathcal{A}^\sqsupset \{g \in \text{Mor}_{\mathbf{SSets}} \mid a \sqsupset g, \forall a \in \mathcal{A}\}$$

those  $f \in \text{Mor}_{\mathbf{SSets}}$  such that the lift

$$\begin{array}{ccc} A & \xrightarrow{\quad} & X \\ a \downarrow & \nearrow \exists \text{ dotted} & \downarrow g \\ B & \xrightarrow{\quad} & Y \end{array}$$

for all  $a \in \mathcal{A}$ .

**Remark.** Equivalently, we say that  $g : X \rightarrow Y$  has the right lifting property with respect to  $\mathcal{A}$ .

**Definition 6.7** (Left Complement). Let  $\mathcal{A}$  be a class of maps. We define the left complement of  $\mathcal{A}$  as

$$\mathcal{A}^\sqsubset = \{f \in \text{Mor}_{\mathbf{SSets}} \mid f \sqsubset a, \forall a \in \mathcal{A}\}$$

those  $g \in \text{Mor}_{\mathbf{SSets}}$  such that the lift

$$\begin{array}{ccc} A & \xrightarrow{\quad} & X \\ f \downarrow & \nearrow \exists \text{ dotted} & \downarrow a \\ B & \xrightarrow{\quad} & Y \end{array}$$

for all  $a \in \mathcal{A}$ .

**Remark.** Equivalently, we say that  $f$  has the left lifting property with respect to  $\mathcal{A}$ .

For any  $\mathcal{A}$  a class of maps, the right complement  $\mathcal{A}^\sqsupset$  is a weakly saturated class. This generalizes the case where  $\mathcal{A}$  consists of the the map to the point, the final object in  $\mathbf{SSets}$ .

**Example 6.8.** Let  $\mathcal{A}$  be the inner anodyne maps.

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \text{dotted} & \downarrow g \\ \Delta^n & \xrightarrow{\quad} & * \end{array}$$

A map  $g : X \rightarrow *$  for  $X$  a simplicial set is in  $\mathcal{A}^\sqsupset$  if and only if  $X$  is a quasicategory.

This allows us to define the collection of inner fibrations, an important class of morphisms in simplicial sets.



**Definition 6.9** (Inner Fibrations). Let  $g \in \text{Mor}_{\mathbf{S}\text{Sets}}$ . The map  $g$  is an inner fibration if  $\text{InnHorn} \boxtimes g$ . That is, if for all inner horns  $\Lambda_k^n$ ,

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \exists & \downarrow g \\ \Delta^n & \xrightarrow{\quad} & Y \end{array}$$

the lift exists.

We now want to prove a theorem about the factorization between maps of simplicial sets into inner anodyne maps and inner fibrations. To do so, we will first need to go over an argument known as the small object argument.

**Lemma 6.10** (Small Object Argument).

*Proof.* ■

We now prove the theorem we alluded to earlier.

**Theorem 6.11.** Let  $f \in \text{Mor}_{\mathbf{S}\text{Sets}}(X, Y)$ . The morphism admits a factorization  $p \circ i$

$$\begin{array}{ccc} X & \xrightarrow{\quad f \quad} & Y \\ & \searrow i \quad \nearrow p & \\ & \tilde{X} & \end{array}$$

where  $i$  is inner anodyne and  $p$  is an inner fibration.

*Proof.* We begin by constructing a sequence of objects

$$(6.1) \quad \begin{array}{ccccccc} X = X_{(0)} & \longrightarrow & X_{(1)} & \longrightarrow & X_{(2)} & \longrightarrow & X_{(3)} \longrightarrow \dots \\ & & \searrow & \nearrow & \nearrow & & \nearrow \\ & & Y & & & & \end{array}$$

where each  $X_{(i)} \rightarrow X_{(i+1)}$  is inner anodyne and every lifting problem for  $X_{(m)}$  (left) admits a solution in  $X_{(m+1)}$  (right).

$$\begin{array}{ccc} \Lambda_k^n \longrightarrow X_{(m)} & & \Lambda_k^n \longrightarrow X_{(m)} \longrightarrow X_{(m+1)} \\ \downarrow & & \downarrow \quad \nearrow \exists \quad \downarrow \\ \Delta^n \longrightarrow Y & & \Delta^n \longrightarrow Y \xrightarrow{\sim} Y \end{array}$$

Existence

Having shown that the diagram (6.1) exists, let  $\tilde{X} = \lim_{n \rightarrow \infty} X_{(n)} = \text{colim}_n X_{(n)}$ . The map  $i : X \rightarrow \tilde{X}$  is inner anodyne as weakly saturated classes are closed under infinite composition. Moreover, note that  $Y$  is the cone over the diagram  $X_{(0)} \rightarrow X_{(1)} \rightarrow X_{(2)} \rightarrow \dots$  so by the universal property of the colimit, the map  $p : \tilde{X} \rightarrow Y$  exists. It remains to show that  $p$  is an inner fibration. Given the lifting problem

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad p \quad} & \tilde{X} \\ \downarrow & & \downarrow \\ \Delta^n & \xrightarrow{\quad q \quad} & Y \end{array}$$

there exists  $m$  large such that

$$\Lambda_k^n \xrightarrow{p_n} X_{(m)} \xrightarrow{\quad} \tilde{X}$$

$\quad \quad \quad p \quad \quad \quad$

and noting that the data of a map  $\Lambda_k^n \rightarrow Y$  is the data of the map on the faces

$$y_0, \dots, y_{k-1}, y_{k+1}, \dots, y_n$$

in  $Y_{n-1}$  the  $(n-1)$ -cells of  $Y$ . This factors through the map  $p$  ■

We started with inner horn maps  $\text{InnHorn}$  and used weak saturation to produce the class  $\overline{\text{InnHorn}}$ , the inner anodyne maps. Now consider the left complement of the inner horn maps, this is exactly the collection of inner fibrations as defined in Definition 6.9. We denote this  $\text{InnFib}$ . Suppose  $p : X \rightarrow Y$  and  $f : A \rightarrow B$  lifts against all inner fibrations.

$$\begin{array}{ccc} A & \xrightarrow{\quad} & X \\ f \downarrow & \dashrightarrow \exists & \downarrow p \\ B & \xrightarrow{\quad} & Y \end{array}$$

That is,  $f \in \text{InnFib}$ , or equivalently  $f \in \text{InnFib}$ . We know that the collection of inner fibrations are weakly saturated so the collection of inner fibrations contains the inner anodyne maps, that is,

$$(6.2) \quad \overline{\text{InnHorn}} \subseteq \text{InnFib}.$$

One might wonder what other classes of maps lift against inner fibrations, but there are no others. Indeed, the expression in (6.2) is in fact an equality as we now show.

**Proposition 6.12.** The inner anodyne maps are precisely those lifting against inner fibrations, in the left complement of inner fibrations. That is, an equality

$$\overline{\text{InnHorn}} \subseteq \text{InnFib}.$$

*Proof.* Given the containment in (6.2), we show that if  $f : A \rightarrow B$  lifts against an inner fibration, then it is inner anodyne. Given Theorem 6.11, we write

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ & \searrow i & \nearrow p \\ & \tilde{A} & \end{array}$$

where  $i$  is inner anodyne and  $p$  is an inner fibration. We can consider the diagram

$$\begin{array}{ccc} A & \xrightarrow{i} & \tilde{A} \\ f \downarrow & \dashrightarrow h & \downarrow p \\ B & \xrightarrow{\sim} & B \end{array}$$

where the map  $h$  exists since  $f$  lifts against inner fibrations. Now consider the diagram

$$\begin{array}{ccccc} & \text{id}_A & & & \\ A & \xrightarrow{\sim} & A & \xrightarrow{\sim} & A \\ f \downarrow & & i \downarrow & & \downarrow f \\ B & \xrightarrow{h} & \tilde{A} & \xrightarrow{p} & B \\ & \text{id}_B & & & \end{array}$$

where we can see that  $f$  is inner anodyne as  $i$  is inner anodyne by assumption and the inner anodyne maps are closed under retracts. ■

## 7. LECTURE 7 – 4TH OCTOBER 2023

Today we will prove the theorem of Joyal, Theorem 3.22 via reduction to a technical combinatorial result not salient to the exposition of quasicategories. Recalling the statement, we have the following:

**Theorem 7.1** (Joyal; =Theorem 3.22). If  $X$  is a quasicategory and  $A$  a simplicial set, the functor category  $\text{Fun}(A, X) = X^A$  is a quasicategory.

Recalling the discussion following Example 6.4, we want to show that given the diagram

$$\begin{array}{ccc} \Lambda_k^n \times I & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \text{dashed} & \\ \Delta^n \times I & & \end{array}$$

the map  $\Lambda_k^n \times I \rightarrow \Delta^n \times I$  is inner anodyne. Let us consider some small examples.

**Example 7.2.** Let  $I = \{*\} = \Delta^0$ . The diagram above reduces to

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \exists \text{ dashed} & \\ \Delta^n & & \end{array}$$

where the dotted map exists since  $X$  is a quasicategory.

**Example 7.3.** Let  $I = \partial\Delta^1$  the category with two objects and only identity morphisms. We have the diagram

$$\begin{array}{ccc} \Lambda_k^n \amalg \Lambda_k^n & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \exists \text{ dashed} & \\ \Delta^n \amalg \Delta^n & & \end{array}$$

by lifting one at a time.

How do we generalize Example 7.3 to  $\Delta^1$  with the addition of the data of the morphism? We have the diagram

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & X^{\Delta^1} \\ \downarrow & \nearrow ? \text{ dashed} & \downarrow \\ \Delta^n & \xrightarrow{\quad} & X^{\partial\Delta^1} \end{array}$$

where the map  $\Delta^n \rightarrow X^{\partial\Delta^1}$  is given by Example 7.3. We have to incorporate the data of the morphism by building  $\Delta^1$  from  $\partial\Delta^1 = \Delta^0 \amalg \Delta^0$ . More generally, given  $J \subseteq I$  for  $I, J \in \text{Obj}(\mathbf{SSets})$  and  $X^J$  a quasicategory, we want to construct a quasicategory  $X^I$  from  $X^J$ . That is, finding a dotted morphism making the following diagram commute.

$$\begin{array}{ccc} \Lambda_k^n & \xrightarrow{\quad} & X^I \\ \downarrow & \nearrow \text{dashed} & \downarrow \\ \Delta^n & \xrightarrow{\quad} & X^J \end{array}$$

We begin with some prerequisites for the proof.

**Definition 7.4** (Product-Pushout Map). Let  $f : A \rightarrow B, g : X \rightarrow Y$  be morphisms. There is a product pushout morphism  $f \square g$  from the pushout of

$$\begin{array}{ccc} X \times A & \xrightarrow{g \times \text{id}_A} & Y \times A \\ \text{id}_X \times f \downarrow & & \\ X \times B & & \end{array}$$

to  $Y \times B$ .

**Remark.** The map from the pushout to  $Y \times B$  is unique by the universal property of the pushout.

Let  $I$  be a simplicial set. How do we build  $I$  out of smaller simplicial sets. To describe this process, we first need to explain some notation. Suppose  $X$  is a simplicial set and  $X_n = \text{Fun}([n], X)$ . We can write  $X_n$  as a disjoint union  $X_n^{\text{nd}} \sqcup X_n^{\text{d}}$  a disjoint union of the non-degenerate and degenerate simplices. We likely have an intuitive understanding of what these are, but we first give an example before stating the formal definition.

**Example 7.5.** The 2-simplex  $\langle 0, 1, 2 \rangle$  is nondegenerate.

$$\begin{array}{ccc} & 2 & \\ & \nearrow & \nwarrow \\ 0 & \xrightarrow{\quad} & 1 \end{array}$$

**Example 7.6.** The 2-simplices  $\langle 0, 0, 1 \rangle$  (left) and  $\langle 0, 0, 2 \rangle$  (right) are degenerate.

$$\begin{array}{ccc} & 2 & \\ & \nearrow & \\ 0 & \xrightarrow{\quad} & 1 \end{array} \qquad \begin{array}{ccc} & 2 & \\ & \nearrow & \\ 0 & & 1 \end{array}$$

This leads us to the definition of degenerate simplices. Nondegenerate simplices will simply be those that do not satisfy the definition below.

**Definition 7.7** (Degenerate Simplices). Let  $X$  be a simplicial set. A simplex  $x \in X_n$  is degenerate if there is a surjective map  $p : [n] \rightarrow [k]$  for  $k < n$  and  $x' \in X_k$  such that  $x = x' \circ p$ .

**Remark.** The definition above means that a simplex is degenerate if is the image the composition of a collection of degeneracy maps, or equivalently that it factors through a simplex of strictly lower degree.

Suppose  $X$  is a simplicial set and  $X' \subseteq X$  a simplicial subset. How can we “fill in”  $X'$  such that it gets closer to  $X$ ? Let  $x \in X$  be a minimal non-degenerate simplex not in  $X'$  so if  $x$  is a  $n$ -simplex – that is  $X'_k \rightarrow X_k$  is an isomorphism of simplicial sets for  $k < n$ . Let  $X''$  be the smallest simplicial set of  $X$  containing  $X'$  and  $x$ . Recall that  $x$  is a functor  $x : \Delta^n \rightarrow X$  and  $\partial\Delta^n$  the union of  $\Delta^{n-1}$ s constituting the facets of  $\Delta^n$ . We thus have a diagram of the following form.

$$\begin{array}{ccc} \Delta^n & \longrightarrow & X \\ \downarrow & & \uparrow \\ \partial\Delta^n & \longrightarrow & X' \end{array}$$

There is an obvious inclusion map  $\partial\Delta^n \rightarrow \Delta^n$  from which we construct the following diagram

$$(7.1) \quad \begin{array}{ccc} \partial\Delta^n & \hookrightarrow & \Delta^n \\ \downarrow & & \downarrow \\ X' & \longrightarrow & X' \amalg_{\partial\Delta^n} \Delta^n \\ & \searrow & \nearrow \exists \\ & & X'' \end{array}$$

where the dotted morphism is the product-pushout map from Definition 7.4.

**Proposition 7.8.** The map of simplicial sets  $X' \amalg_{\partial\Delta^n} \Delta^n \rightarrow X''$  in (7.1) is an isomorphism.

*Proof.* ■

## 8. LECTURE 8 – 11TH OCTOBER 2023

Recall that if  $I \rightarrow J$  a morphism of simplicial sets and  $\mathcal{C}$  a quasicategory,  $\mathcal{C}^J \rightarrow \mathcal{C}^I$  is an inner fibration. From this, one can deduce Joyal's theorem by taking  $I = \emptyset$  the initial object in  $\mathbf{SSets}$  to see that  $\mathcal{C}^J$  is a quasicategory.

Consider the composition of morphisms,  $f : A \rightarrow B, g : B \rightarrow C$  in a category  $\mathcal{C}$ . In a simplicial set, we see this as a horn filling condition. That is, the horn  $\Lambda_1^2$  admits a fill in the simplicial set.

$$\begin{array}{ccc} & B & \\ f \nearrow & & \searrow g \\ A & & C \end{array} \qquad \begin{array}{ccc} \Lambda_1^2 & \xrightarrow{\quad} & \mathcal{C} \\ \downarrow & \dashrightarrow \exists & \\ \Delta^2 & & \end{array}$$

As a corollary to Joyal's theorem Theorem 3.22, we have the following.

**Corollary 8.1.** If  $X$  is a quasicategory then  $X^{\Delta^2} \rightarrow X^{\Lambda_1^2}$  has the right lifting property for all morphisms of simplicial sets.

Put another way, for all monomorphisms of simplicial sets  $Y \rightarrow Z$ , the diagram

$$\begin{array}{ccc} Y & \xrightarrow{\quad} & X^{\Delta^2} \\ \downarrow & \dashrightarrow \exists & \downarrow \\ Z & \xrightarrow{\quad} & X^{\Lambda_1^2} \end{array}$$

admits a lift.

*Proof.* Suppose  $Y \rightarrow Z$  is a monomorphism of simplicial sets and by functoriality and contravariance of simplicial sets to verify  $\mathrm{Fun}(Y, X^{\Delta^2}) = \mathrm{Fun}(Y \times \Delta^2, X) = \mathrm{Fun}(\Delta^2, X^Y)$ ,  $\mathrm{Fun}(Z, X^{\Lambda_1^2}) = \mathrm{Fun}(Z \times \Lambda_1^2, X) = \mathrm{Fun}(Z, X^{\Lambda_1^2})$  and it would suffice to show a lift of the following diagram.

$$\begin{array}{ccc} \Lambda_1^2 & \xrightarrow{\quad} & X^Z \\ \downarrow & \dashrightarrow ? & \downarrow \\ \Delta^2 & \xrightarrow{\quad} & X^Y \end{array}$$

Note that in the above,  $X^Z \rightarrow X^Y$  is an inner fibration by Joyal's theorem, Theorem 3.22. We can rewrite this lifting this problem as the following

■

## 9. LECTURE 9 – 16TH OCTOBER 2023

Recall that in the analogue of universal properties in the setting of quasicategories are isolating them as members of acyclic Kan complexes. Let us recall the definition.

**Definition 9.1** (Acyclic Kan Fibration). ????

These will be the formulations to construct limits and colimits in the setting of quasicategories. Let us recall the definition of colimits.

**Definition 9.2** (Cone, Cf. Definition 4.5). Let  $\mathcal{C}$  be a category and  $F : I \rightarrow \mathcal{C}$  be a functor. Let  $I_+ = I \sqcup \{\infty\}$  where  $\text{Mor}_{I_+}(-, \infty) = \{*\}$ . A cone on  $F$  is a functor extending  $F : I \rightarrow \mathcal{C}$  to  $\overline{F} : I_+ \rightarrow \mathcal{C}$ .

This allows us to define colimits as follows.

**Definition 9.3** (Colimit). Following the notation of Definition 9.2, the colimit of  $F : I \rightarrow \mathcal{C}$  is  $\overline{F}(\infty)$ .

We seek to generalize the notion of cones and related notions to the setting of quasicategories. We do this via joins and slices in an analogous way to constructing  $I_+$ .

**Definition 9.4** (Joins). Let  $\mathcal{C}, \mathcal{D}$  be categories. We define the join  $\mathcal{C} \star \mathcal{D}$  as the category whose objects  $\text{Obj}(\mathcal{C} \star \mathcal{D}) = \text{Obj}(\mathcal{C}) \sqcup \text{Obj}(\mathcal{D})$  and whose morphisms are given by the following:

$$\text{Mor}_{\mathcal{C} \star \mathcal{D}}(A, B) = \begin{cases} \text{Mor}_{\mathcal{C}}(A, B) & A, B \in \text{Obj}(\mathcal{C}) \\ \text{Mor}_{\mathcal{D}}(A, B) & A, B \in \text{Obj}(\mathcal{D}) \\ \{*\} & A \in \text{Obj}(\mathcal{C}), B \in \text{Obj}(\mathcal{D}) \\ \emptyset & A \in \text{Obj}(\mathcal{D}), B \in \text{Obj}(\mathcal{C}). \end{cases}$$

We can consider the nerves of these categories to verify

$$\begin{aligned} (\mathcal{C} \star \mathcal{D})_0 &= (\mathcal{C})_0 \sqcup (\mathcal{D})_0 \\ (\mathcal{C} \star \mathcal{D})_1 &= (\mathcal{C})_1 \sqcup (\mathcal{C} \times \mathcal{D})_0 \sqcup (\mathcal{D})_1. \end{aligned}$$

We want to consider this in terms of simplicial sets. In  $\Delta$  take  $[-1] = \emptyset$  to be initial and we can define a binary operation  $\sqcup$  on simplicial sets by extending total orders on both.

**Example 9.5.**  $[2] \sqcup [3]$  is  $0 \leq 1 \leq 2 \leq 0' \leq 1' \leq 2' \leq 3'$ , that is,  $[6]$ . More generally,  $[m] \sqcup [n] = [m + n + 1]$ .

This allows us to define joins on simplicial sets.

**Definition 9.6** (Joins of Simplicial Sets). Let  $X, Y$  be simplicial sets. Their join  $X \star Y$  is given by the nerves at each level by taking  $(X \star Y)_0 = X_0 \sqcup Y_0$  and inducting by

$$(X \star Y)_n = \bigsqcup_{[n]=[n_1] \sqcup [n_2]} X_{n_1} \times Y_{n_2}.$$

We would desire that these respect maps  $[n] \rightarrow [m]$  in  $\Delta$ . That is, for  $[n] \rightarrow [m]$  a map in  $\Delta$ , that there is a map of simplicial sets  $(X \star Y)_m \rightarrow (X \star Y)_n$ . This is made possible by the following lemma.

**Lemma 9.7.** Given order-preserving maps  $f : [n] \rightarrow [m_1] \sqcup [m_2]$ , there are  $k_1, k_2$  such that  $k_1 + k_2 + 1 = n$ ,  $f_i : [k_i] \rightarrow [m_i]$  order-preserving maps for  $i \in \{1, 2\}$  such that  $f$  decomposes as  $f = f_1 \sqcup f_2$ .

We omit the proof.



**Example 9.8.** For  $f : [5] \rightarrow [2] \sqcup [3]$  by  $\langle 0, 2, 0', 1', 2', 3' \rangle$  we can decompose  $f = f_1 \sqcup f_2$  where  $f_1 : [1] \rightarrow [2]$  by  $\langle 0, 2 \rangle$  and  $f_2 : [2] \rightarrow [3]$  by  $\langle 0, 1, 2, 3 \rangle$ .

Since joins of simplicial sets are functorial, we have shown the following.

**Proposition 9.9.** If  $X, Y$  are quasicategories then  $X \star Y$  is a quasicategory.

## 10. LECTURE 10 – 18TH OCTOBER 2023

When working in a category, as opposed to working in a set, we seek to formulate things as maps between objects instead of going inside objects themselves. We will try to work towards a discussion of limits, colimits, and cones in quasicategories by embodying this ethos.

Let  $\mathbf{C}$  be a category and  $C \in \text{Obj}(\mathbf{C})$ . We can define the overcategory of  $C \in \text{Obj}(\mathbf{C})$  which we denote as  $\mathbf{C}_{(-/C)}$  whose objects are  $\{A \rightarrow C\}$  and whose morphisms  $\text{Mor}_{\mathbf{C}_{(-/C)}}((A \rightarrow C), (B \rightarrow C))$  are commuting triangles as follows.

$$\begin{array}{ccc} A & \xrightarrow{\quad} & B \\ & \searrow & \swarrow \\ & C & \end{array}$$

We often surpress notation and write  $\text{Mor}_{\mathbf{C}_{(-/C)}}(A, B)$  where the maps to  $C$  in the category  $\mathbf{C}$  are taken to be implicit. One develops the notion of an undercategory in an analogous way.

More generally for  $I$  and indexing category and  $F : I \rightarrow \mathbf{C}$  a functor, we can consider the overcategory and undercategory of a diagram  $I$  in  $\mathbf{C}$ . More precisely, we have the following definitions.

**Definition 10.1** (Overcategory). Let  $I$  be an indexing category,  $\mathbf{C}$  a category, and  $F : I \rightarrow \mathbf{C}$  a functor. The overcategory of the diagram  $\mathbf{C}_{(-/F)}$  consists of objects of  $\mathbf{C}$  admitting a morphism to each vertex of the diagram  $F(I)$  and whose morphisms are those between those objects over the diagram  $F(I)$  such that the morphisms to the diagram commute.

**Definition 10.2** (Undercategory). Let  $I$  be an indexing category,  $\mathbf{C}$  a category, and  $F : I \rightarrow \mathbf{C}$  a functor. The undercategory of the diagram  $\mathbf{C}_{(F/-)}$  consists of objects of  $\mathbf{C}$  admitting a morphism from each vertex of the diagram  $F(I)$  and whose morphisms are those between those objects under the diagram  $F(I)$  such that the morphisms from the diagram commute.

We can use these notions to define limits and colimits.

**Definition 10.3** (Limit). Let  $I$  be an indexing category,  $\mathbf{C}$  a category, and  $F : I \rightarrow \mathbf{C}$  a functor. The limit over the diagram indexed by  $I$  is the final object in the overcategory  $\mathbf{C}_{(-/F)}$ .

**Definition 10.4** (Colimit). Let  $I$  be an indexing category,  $\mathbf{C}$  a category, and  $F : I \rightarrow \mathbf{C}$  a functor. The colimit over the diagram indexed by  $I$  is the initial object in the undercategory  $\mathbf{C}_{(F/-)}$ .

We want to generalize this to simplicial sets. We can follow the setup of our previous discussion and take  $I$  to be the 0-simplex  $\Delta^0$ . Indeed for  $F : I \rightarrow X$  where  $X$  is a simplicial set, we can analogously the simplicial sets  $X_{(F/-)}$ ,  $X_{(-/F)}$ . For  $J$  another simplicial set and  $J \rightarrow X_{(F/-)}$  to the undercategory we have a commutative diagram

$$\begin{array}{ccc} I & \xrightarrow{\quad} & X \\ \downarrow & & \nearrow \\ I \star J & & \end{array}$$

where the diagram  $J$  in the undercategory has the property that maps from  $X_{(F/-)}$  are compatible with the maps in the  $J$ -indexed diagram in the undercategory. Dually, one defines maps

to the overcategory  $J \rightarrow X_{(-/F)}$  with the following commutative diagram.

$$\begin{array}{ccc} I & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \text{dashed} & \\ J \star I & & \end{array}$$

This gives the following adjoint pairs.

$$\mathbf{SSets} \begin{array}{c} \xleftarrow{(-)_{(F/-)}} \\ \xrightarrow{I \star (-)} \end{array} \mathbf{SSets}_{(F/-)}$$

$$\mathbf{SSets} \begin{array}{c} \xleftarrow{(-)_{(-/F)}} \\ \xrightarrow{(-) \star I} \end{array} \mathbf{SSets}_{(-/F)}$$

Returning to our discussion of ordinary category, we can think of an object  $C$  in a category as a map  $I = \Delta^0 \rightarrow C$ . We can do our overcategory and undercategory constructions to see that a map in the overcategory  $C_{(-/C)}$  is given by

$$\begin{array}{ccc} \Delta^0 \star I & \xrightarrow{\quad} & C \\ \uparrow & \nearrow & \\ I & & \end{array}$$

that is, a morphism with image  $C$ . The construction for the undercategory is formally dual.

Recall the definition of the final object Definition 3.7. Using the language of representable functors, this is the object which represents the functor to the one point set. How do we define the terminal object without reference to objects?

Let  $Z \in \text{Obj}(\mathbf{C})$  and consider the overcategory  $C_{(-/Z)}$ . We have a forgetful functor  $C_{(-/Z)} \rightarrow \mathbf{C}$  taking  $(X \rightarrow Z) \mapsto X$ . This forgetful functor can be thought of as a bifunctor by letting  $F : I \rightarrow \mathbf{C}$  be the inclusion of  $Z$  into the category  $\mathbf{C}$  and taking the functor  $C_{(-/Z)} \rightarrow \mathbf{C}$  as a bifunctor  $\mathbf{C} \star I \rightarrow \mathbf{C}$ . For  $F$  as above and  $G : J \rightarrow I$  we have  $F \circ G : J \rightarrow \mathbf{C}$ . Recall that the data of a map  $A \rightarrow C_{(-/I)}$  is the data of a commutative diagram as below.

$$\begin{array}{ccc} I & \xrightarrow{\quad} & C \\ \downarrow & \nearrow \text{dashed } \exists & \\ A \star I & & \end{array}$$

So given functors  $F, G$  we have a commutative diagram

$$\begin{array}{ccccc} A \star J & \xrightarrow{\text{id}_A \star G} & A \star I & \xrightarrow{\quad} & C \\ \uparrow & & \uparrow & \nearrow F & \\ J & \xrightarrow{G} & I & & \end{array}$$

and there is a functor  $C_{(-/F)} \rightarrow C_{(-/F \circ G)}$  induced by  $G$ . Now suppose  $H : \mathbf{C} \rightarrow \mathbf{D}$  is a functor. We can similarly compose to yield a functor  $F \circ H : I \rightarrow \mathbf{D}$  inducing a functor  $C_{(-/F)} \rightarrow \mathbf{D}_{(-/H \circ F)}$ .

Recall that  $\emptyset$  is the initial object in the category **Sets** so we have

$$\emptyset \longrightarrow I \xrightarrow{F} \mathbf{C}$$

and consequently for any  $\mathbf{D} \rightarrow \mathbf{C}$ , a functor  $\mathbf{D} \rightarrow \mathbf{C}_{(-/\emptyset)}$ . In particular, we can take  $\mathbf{D} = \mathbf{C}$  to yield a functor  $\mathbf{C} \rightarrow \mathbf{C}_{(-/\emptyset)}$  which is an equivalence of categories by the adjunction. This allows us to redefine initial and final objects of categories in terms of over and undercategories.

**Definition 10.5** (Categorical Initial Object). Let  $\mathbf{C}$  be a category.  $Z \in \text{Obj}(\mathbf{C})$  is an initial object if and only if  $\mathbf{C}_{(-/Z)} \rightarrow \mathbf{C}$  is an equivalence of categories.

**Definition 10.6** (Categorical Final Object). Let  $\mathbf{C}$  be a category.  $Z \in \text{Obj}(\mathbf{C})$  is a final object if and only if  $\mathbf{C}_{(-/Z)} \rightarrow \mathbf{C}$  is an equivalence of categories.

This immediately generalizes to the setting of quasicategories.

**Definition 10.7** (Quasicategorical Initial Object). Let  $X$  be a quasicategory. A 0-simplex  $x \in X_0$  is an initial object if  $X_{(x/-)} \rightarrow X$  is an acyclic Kan fibration.

**Definition 10.8** (Quasicategorical Final Object). Let  $X$  be a quasicategory. A 0-simplex  $x \in X_0$  is a final object if  $X_{(-/x)} \rightarrow X$  is an acyclic Kan fibration.

Let us take the dual view and focus on initial objects.

**Proposition 10.9.** Let  $X$  be a simplicial set and  $x \in X_0$ . If every factorization problem

$$\begin{array}{ccc} \Delta^0 & & \\ \downarrow & \searrow x & \\ \partial\Delta^n & \longrightarrow & X \\ \downarrow & \nearrow ? & \\ \Delta^n & & \end{array}$$

admits a solution for  $n \geq 1$ , then  $x$  is initial.

## 11. LECTURE 11 – 23RD OCTOBER 2023

We are trying to think about mathematics inside a quasicategory, and define constructions such as initial and final objects, limits and colimits, and more. Let us consider something that we have yet to develop the language to prove.

Suppose  $F : I \rightarrow \mathcal{C}$  be a functor from an indexing category  $I$  to a category  $\mathcal{C}$ . Let  $G : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. When is  $G(\operatorname{colim}_I F(i)) = \operatorname{colim}_I (G \circ F)(i)$ ? Evidently, this holds if  $G$  is an equivalence of categories, but in what other settings does it hold? What is the analogue in the setting of quasicategories?

We will consider isomorphisms in quasicategories.

**Definition 11.1** (Joyal). Let  $X$  be a quasicategory and  $f \in X_1$ . If the image of  $f$  is an isomorphism in the fundamental category of  $X$ , then  $f$  is an isomorphism in  $X$ .

Recall that to define the homotopy category we had to consider left and right inverses that were witnessed by 2-simplices. For  $f \in X_1$  with  $f : A \rightarrow B$  and  $A, B \in X_0$ , we say that  $f$  admits a left inverse  $g$  if the diagram

$$\begin{array}{ccc} A & & \\ \wr \downarrow & \swarrow g & \\ A & \xrightarrow{f} & B \end{array}$$

commutes where  $g \circ f \simeq \operatorname{id}_A$ . Similarly, we say  $f$  admits a right inverse  $g'$  if the diagram

$$\begin{array}{ccc} & & B \\ & \nearrow g' & \wr \uparrow \\ A & \xrightarrow{f} & B \end{array}$$

commutes where  $f \circ g' = \operatorname{id}_B$ .

**Remark.** In the setting of an ordinary category, we can verify

$$g = g \circ \operatorname{id}_B = g \circ f \circ g' = \operatorname{id}_A \circ g' = g'$$

showing that  $g = g'$ .

We now state another theorem of Joyal, known as the Joyal Extension theorem.

**Theorem 11.2** (Joyal – Extension). Let  $X$  be a quasicategory and  $f \in X_1$ . The following are equivalent.

- (a)  $f$  is an isomorphism.
- (b) The horn inclusion of  $f$  into the leading edge of a horn can be lifted.

$$\begin{array}{ccccc} & & f & & \\ & \nearrow & & \searrow & \\ \Delta^1 & \xrightarrow{\langle 0,1 \rangle} & \Lambda_0^n & \xrightarrow{\quad} & X \\ & \downarrow & & \nearrow \exists & \\ & \Delta^n & & & \end{array}$$

(c) The horn inclusion of  $f$  into the tailing edge of a horn can be lifted.

$$\begin{array}{ccccc}
 \Delta^1 & \xrightarrow{\langle n-1, n \rangle} & \Lambda_n^n & \xrightarrow{\quad} & X \\
 & \searrow f & \downarrow & \nearrow \exists & \\
 & & \Delta^n & & 
 \end{array}$$

The proof of this theorem will take some additional tools that we have yet to discuss. Following the correspondence between isomorphisms in quasicategories and isomorphisms in categories, we define the following.

**Definition 11.3** (Conservative Functor). Let  $F : X \rightarrow Y$  be a functor between quasicategories. The functor  $F$  is conservative if for all  $f \in X_1$  and  $F(f) \in Y_1$  is an isomorphism then  $f$  is an isomorphism in  $X$ .

**Remark.** This is a very important concept. In fact, some conjectures in algebraic geometry reduce to showing a functor is conservative.

**Proposition 11.4.** If  $F$  is a left (resp. right) fibration then  $F$  is conservative.

*Proof.* We prove the case of left fibrations.

Suppose that  $F : X \rightarrow Y$  is a left fibration,  $f \in X_1, F(f) \in Y_1$  is an isomorphism. Choose  $\tau \in Y_2$  witnessing the inverse  $g$  of  $F(f)$ .

$$\begin{array}{ccc}
 & 2 & \\
 \sim \nearrow & & \nwarrow g \\
 0 & \xrightarrow{F(f)} & 1
 \end{array}$$

We now consider the lifting problem

$$\begin{array}{ccc}
 \Lambda_0^2 & \xrightarrow{\sigma} & X \\
 \downarrow & \nearrow \tilde{\tau} & \downarrow F \\
 \Delta^2 & \xrightarrow{\tau} & Y
 \end{array}$$

where  $\sigma\langle 0, 2 \rangle = \text{id}, \sigma\langle 0, 1 \rangle = f$  and the lift  $\tilde{\tau}$  exists by  $F$  being a left fibration where we take  $\tilde{\tau}\langle 1, 2 \rangle$  to be the left inverse of  $f$  showing that  $f$  is an isomorphism.  $\blacksquare$

We can now define isofibrations.

**Definition 11.5** (Isofibration; Riehl-Verity, Lurie). A functor  $F : X \rightarrow Y$  between quasicategories is an isofibration if it is an inner fibration and for every diagram of the form

$$\begin{array}{ccc}
 \Delta^0 & \xrightarrow{\quad} & X \\
 \langle 0 \rangle \downarrow & \nearrow g & \downarrow F \\
 \Delta^1 & \xrightarrow{f} & Y
 \end{array}$$

$f$  being an isomorphism implies  $g$  being an isomorphism.

In fact, we can show that the lifting the tail in the definition above can be rephrased as lifting a tip.

**Lemma 11.6.** Let  $F : X \rightarrow Y$  be an inner fibration between quasicategories. The diagram

$$\begin{array}{ccc} \Delta^0 & \xrightarrow{\quad} & X \\ \langle 0 \rangle \downarrow & \nearrow g & \downarrow F \\ \Delta^1 & \xrightarrow{f} & Y \end{array}$$

admits a lift if and only if the diagram

$$\begin{array}{ccc} \Delta^0 & \xrightarrow{\quad} & X \\ \langle 1 \rangle \downarrow & \nearrow g & \downarrow F \\ \Delta^1 & \xrightarrow{f} & Y \end{array}$$

does.

*Proof.* Both diagrams show that  $f$  is an isomorphism admitting left or right inverses, that is, invertible in the homotopy category with inverse  $g$ . ■

We can then show the following.

**Proposition 11.7.** Let  $F : X \rightarrow Y$  be a functor between quasicategories. If  $F$  is a left or right fibration then  $F$  is an isofibration.

*Proof.* Left and right fibrations are conservative by Proposition 11.4 and hence isofibrations. ■

We now can prove Joyal's extension theorem.

*Proof of Theorem 11.2.* (a)  $\implies$  (b) and (a)  $\implies$  (c) follow from the definition of the homotopy category. We will show (b)  $\implies$  (a) from which one shows (c)  $\implies$  (a) by passing to the opposite quasicategory.

Suppose we have a diagram

$$\begin{array}{ccccc} & & f & & \\ & \nearrow & & \searrow & \\ \Delta^1 & \xrightarrow{\langle 0,1 \rangle} & \Lambda_0^n & \xrightarrow{\quad} & X \\ & & \downarrow & & \\ & & \Delta^n & & \end{array}$$

and writing the 0-horn as

$$\Lambda_0^n = \Delta^1 \star \partial \Delta^{n-2} \bigcup_{\Delta^{\{1\}} \star \partial \Delta^{n-2}} \Delta^{\{1\}} \star \Delta^{n-2}$$

the data of the diagram

$$\begin{array}{ccc} \Delta^{\{1\}} & \xrightarrow{\quad} & \Delta^1 \\ \downarrow & \nearrow \langle 1 \rangle & \\ \Delta^0 & & \end{array}$$

and  $\Delta^0 \star \Delta^1 = \Delta^1$  we can transform our factorization problem into the following lifting problem

$$\begin{array}{ccc}
 \Delta^{\{1\}} & \xrightarrow{\quad} & X_{(-/\Delta^{n-2})} \\
 \downarrow & \nearrow \exists & \downarrow \\
 \Delta^1 & \xrightarrow{\quad} & X_{(-/\partial\Delta^{n-2})} \\
 & \searrow f & \downarrow \\
 & & X
 \end{array}$$

where the dotted arrow exists by  $X_{(-/\Delta^{n-2})} \rightarrow X_{(-/\partial\Delta^{n-2})}$  is an isofibration and  $X_{(-/\partial\Delta^{n-2})} \rightarrow X$  a right fibration.  $\blacksquare$

Theorem 11.2 implies the following corollary.

**Corollary 11.8.** If every morphism is an isomorphism in a quasicategory  $X$  then  $X$  is a Kan complex.

*Proof.* Inner horns have fills by  $X$  being a quasicategory and left and right horns have fills by Theorem 11.2.  $\blacksquare$

Now let us recall the definition of a quasigroupoid.

**Definition 11.9** (Quasigroupoid). Let  $X$  be a quasicategory.  $X$  is a quasigroupoid if every morphism  $f \in X_1$  is an isomorphism.

**Remark.** The definition of a quasigroupoid Definition 11.9 is one of the rare instances where the definition in categories lifts to the definition in quasicategories.

We can now show the following corollary.

**Corollary 11.10.** If  $X \rightarrow \Delta^0$  is a left fibration, then  $X$  is a Kan complex.

**Remark.** We could equivalently say that if  $X$  is a quasicategory with left horn fillers, then  $X$  has all horn fillers.

*Proof.* We consider the diagram

$$\begin{array}{ccc}
 \Lambda_k^n & \xrightarrow{\quad} & X \\
 \downarrow & \nearrow \exists & \downarrow \\
 \Delta^n & \xrightarrow{\quad} & \Delta^0
 \end{array}$$

where  $k = 0$  is by assumption, and  $k = n$  follows by Theorem 11.2 so every morphism is an isomorphism showing that  $X$  is a Kan complex by Corollary 11.8.  $\blacksquare$



## 12. LECTURE 12 – 25TH OCTOBER 2023

Today we will continue learning about what is happening inside a quasicategory. In particular, getting a better understanding of isomorphisms and natural transformations.

Let  $\mathcal{C}, \mathcal{D}$  be categories. For  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  and  $\alpha : F \Rightarrow G$  a natural transformation, we can represent this data as a diagram as follows.

$$\begin{array}{ccc} & F & \\ \mathcal{C} & \begin{array}{c} \Downarrow \alpha \\ \Downarrow \end{array} & \mathcal{D} \\ & G & \end{array}$$

If further  $\alpha$  is a natural isomorphism of functors  $F : \mathcal{C} \rightarrow \mathcal{D}, G : \mathcal{C} \rightarrow \mathcal{D}$   $\alpha(A) : F(A) \rightarrow G(A)$  is an isomorphism in  $\mathcal{D}$  for all  $A \in \text{Obj}(\mathcal{C})$ .

Considering  $F, G$  as objects of the functor category  $\text{Fun}(\mathcal{C}, \mathcal{D}) = \mathcal{D}^{\mathcal{C}}$  we can show that  $\alpha \in \text{Mor}_{\mathcal{D}^{\mathcal{C}}}(F, G)$  is a natural isomorphism if and only if  $\alpha$  is an isomorphism in the functor category. In the setting of categories, however, we can appeal to uniqueness of morphisms as between any two objects there is a set worth of morphisms between them.

Let us fix some notation to consider natural isomorphisms in the setting of quasicategories. Let  $X$  be a quasicategory,  $I$  a simplicial set, and  $F, G : I \rightarrow X$  functors. A natural transformation  $\alpha$  between  $F$  and  $G$  is the data of some inclusion of a 1-simplex that restricts to  $F$  and  $G$  at the tip and tail of the arrow, respectively.

$$\begin{array}{ccc} \Delta^1 & \xrightarrow{\quad} & X^I \\ \swarrow \langle 0 \rangle & & \searrow F \\ & \Delta^0 & \end{array} \qquad \begin{array}{ccc} \Delta^1 & \xrightarrow{\quad} & X^I \\ \swarrow \langle 1 \rangle & & \searrow G \\ & \Delta^0 & \end{array}$$

This can be phrased as an inclusion of a 1-simplex  $\alpha : \Delta^1 \rightarrow X^I$  in the functor category that restricts appropriately to  $F$  and  $G$ .

$$\begin{array}{ccc} \Delta^0 & & \\ \searrow \langle 0 \rangle & \searrow F & \\ & \Delta^1 & \xrightarrow{\alpha} X^I \\ \nearrow \langle 1 \rangle & \nearrow G & \\ \Delta^0 & & \end{array}$$

This formulation of a natural transformation between simplicial sets allows us to formulate a condition for natural isomorphism as a condition of morphisms in the simplicial set  $X^I$ , here recalling the theorem of Joyal, Theorem 3.22, showing that  $X^I$  is endowed with the structure of a quasicategory.

We rewrite the 1-categorical condition for natural isomorphisms in the setting of quasicategories. Suppose  $\alpha : \Delta^1 \rightarrow X^I$  is a natural isomorphism. As in the case of 1-categories, we want for all  $i \in I$ ,  $\alpha_i : \Delta^1 \rightarrow X^I$ . Rephrasing this as condition over the product of all  $i \in I$ , we take this as the condition for  $I_0 \rightarrow I$ , the induced map of simplicial sets  $X^{I_0} \rightarrow X^I$  has the property

for all  $\alpha : \Delta^1 \rightarrow X^I$ , the map  $\alpha$  extends to a map  $\tilde{\alpha} : \Delta^1 \rightarrow X^{I_0}$  making the diagram

$$\begin{array}{ccc} \Delta^1 & \xrightarrow{\alpha} & X^I \\ & \searrow \tilde{\alpha} & \downarrow \\ & & X^{I_0} \end{array}$$

commute. This condition is stated as the following theorem.

**Theorem 12.1** (Pointwise Criterion for Natural Isomorphisms). If  $X$  is a quasicategory and  $I$  a simplicial set, the map  $X^I \rightarrow X^{I_0}$  is conservative.

We can see the beginning of the proof by considering the case of monomorphisms.

**Proposition 12.2.** Suppose  $X, Y, Z$  are quasicategories,  $F : X \rightarrow Y, G : Y \rightarrow Z$  admitting a composition  $G \circ F : X \rightarrow Z$ . If  $G \circ F$  is conservative then  $F$  is conservative.

*Proof.* If  $f \in X_1$  is such that  $F(f)$  is an isomorphism in  $Y$  then  $(G \circ F)(f)$  is an isomorphism in  $Z$ . But  $G \circ F$  is conservative so  $f$  is an isomorphism. ■

More generally one can show the following.

**Lemma 12.3.** Let  $X$  be a quasicategory and  $J \rightarrow I$  a monomorphism of simplicial sets inducing an isomorphism  $I_0 \rightarrow J_0$ . If  $X^I \rightarrow X^{I_0}$  is a conservative inner fibration then so is  $X^J \rightarrow X^I$ .

*Proof.* A theorem of Joyal, Theorem 3.22, states that each of  $X^I \rightarrow X^{I_0}, X^J \rightarrow X^{J_0}$  are inner fibrations. Considering the diagram

$$\begin{array}{ccc} X^J & \longrightarrow & X^I \\ \downarrow & & \downarrow \\ X^{J_0} & \xrightarrow{\sim} & X^{I_0} \end{array}$$

the lower map is an isomorphism, so taking the composite  $X^I \rightarrow X^J \rightarrow X^{J_0}$  is an inner fibration, in particular a left and right fibration, and thus conservative. Thus  $X^I \rightarrow X^J$  is conservative by Proposition 12.2. ■

We now work towards the proof of Theorem 12.1 by proving the following lemmata.

**Lemma 12.4.** For  $n \geq 2$ , the map  $X^{\Delta^n} \rightarrow X^{\partial\Delta^n}$  is a conservative inner fibration.

*Proof.* Let  $I^n \rightarrow \Delta^n$  be the spine inclusion to the  $n$ -simplex, recall this is the inclusion of  $0 \rightarrow 1 \rightarrow 2 \rightarrow \dots \rightarrow n$  to  $\Delta^n$ . This is inner anodyne for  $n \geq 1$  so  $X^{\Delta^n} \rightarrow X^{I^n}$  is an acyclic Kan fibration, and hence conservative. ■

The following result for the  $\Delta^1$  is surprisingly more technical.

**Lemma 12.5.** The map  $X^{\Delta^1} \rightarrow X^{\partial\Delta^1}$  is a conservative inner fibration.

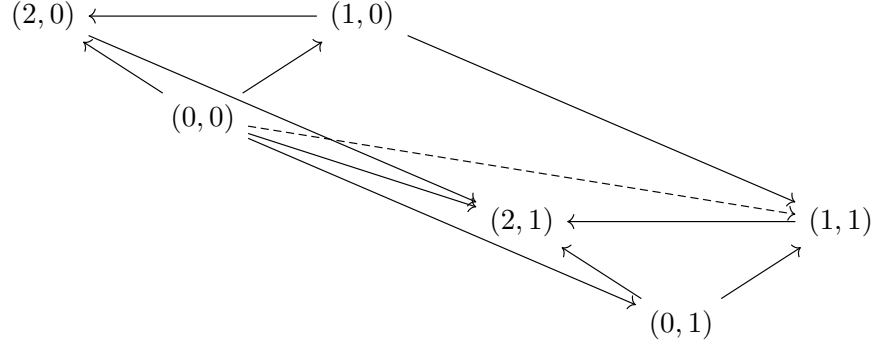
*Proof.* This map is an inner fibration, induced by a the stronger version of Joyal's theorem, since  $\partial\Delta^1 \rightarrow \Delta^1$  is a monomorphism of simplicial sets. We want to show that if the lifting problem

$$\begin{array}{ccc} \Lambda_0^2 & \longrightarrow & X^{\Delta^1} \\ \downarrow & \nearrow ? & \downarrow \\ \Delta^2 & \longrightarrow & X \times X \end{array}$$

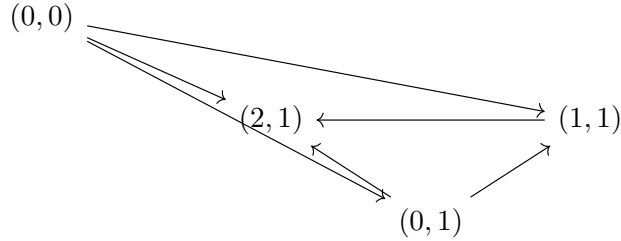
has a solution. By the product-mapping space equivalence, this is the existence of a solution to the following factorization problem.

$$\begin{array}{ccc} \Lambda_0^2 \times \Delta^1 \cup_{\Lambda_0^2 \times \partial \Delta^1} \Delta^2 \times \partial \Delta^1 & \xrightarrow{\quad} & X \\ \downarrow & \nearrow ? & \\ \Delta^2 \times \Delta^1 & & \end{array}$$

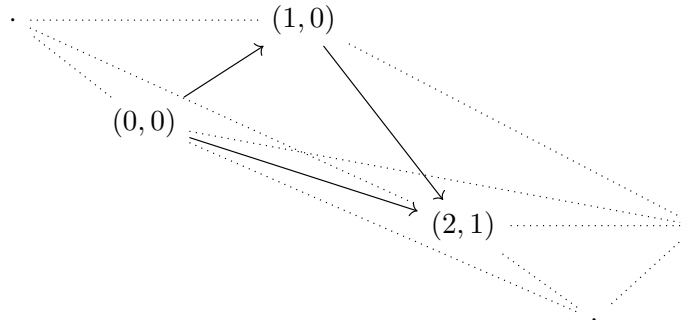
We can think of  $\Lambda_0^2 \times \Delta^1 \cup_{\Lambda_0^2 \times \partial \Delta^1} \Delta^2 \times \partial \Delta^1$  as a trough where the faces bounded by  $(0, 0), (1, 0), (0, 1), (1, 0)$  and  $(0, 0), (2, 0), (0, 1), (2, 1)$  are filled, but the face  $(1, 0), (2, 0), (1, 1), (2, 1)$  is not.



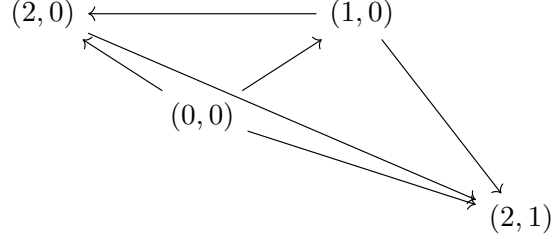
We first observe that there is an inner horn  $\lambda_3^2$  with vertices  $(0, 0), (0, 1), (2, 1), (1, 1)$  having face  $(0, 0), (2, 1), (1, 1)$  unfilled, which can be filled by  $X$  a quasicategory.



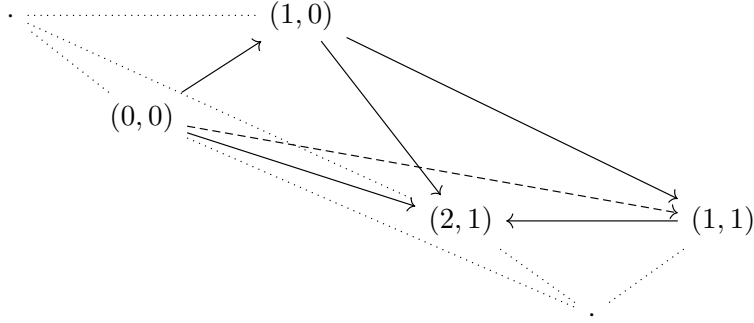
Next, note that we have another inner horn  $\Lambda_1^2$  with vertices  $(0, 0), (1, 0), (2, 1)$  and edge  $(1, 0), (2, 1)$  unfilled, which can be filled by  $X$  a quasicategory.



Subsequently, we have 3-horn  $\Lambda_2^3$  with vertices  $(2, 0), (1, 0), (0, 0), (2, 1)$  with face  $(0, 0), (1, 0), (2, 0)$  unfilled, which can be filled by  $X$  a quasicategory.



It remains to fill the horn  $\Lambda_0^3$  with vertices  $(0, 0), (1, 0), (1, 1), (2, 1)$  with face  $(0, 1), (1, 1), (2, 1)$  unfilled.



But we have a leading edge isomorphism, and the horn can be filled by the Joyal extension theorem, Theorem 11.2. ■

We are now prepared to prove Theorem 12.1.

*Proof of Theorem 12.1.* We proceed via induction, appealing to Zorn's lemma.

Let  $S$  be the poset of simplicial sets  $I_\alpha$  satisfying the conditions that (i)  $(I_\alpha)_0 = I_0$  and (ii) the map  $X^{I_0} \rightarrow X^{I_\alpha}$  induced by  $I_\alpha \rightarrow I_0$  is a conservative inner fibration with  $S$  ordered by inclusion.  $S$  trivially contains  $I_0$  and hence is nonempty. Now take  $I_\alpha$  maximal such that there exists some  $x \in (I)_n \setminus (I_\alpha)_n$ , choosing  $x$  minimal such that  $\partial x \in (I_\alpha)_n$ . We have a diagram for each  $n$

$$\begin{array}{ccc} \partial\Delta^n & \longrightarrow & \Delta^n \\ \downarrow & & \\ (I_\alpha)_n & & \end{array}$$

where we label the pushout  $(I_\beta)_n$ , with a product-pushout map to  $I$  in the sense of Definition 7.4 as follows.

$$\begin{array}{ccc} \partial\Delta^n & \xrightarrow{\partial x} & \Delta^n \\ \downarrow & & \downarrow \\ (I_\alpha)_n & \longrightarrow & (I_\beta)_n \end{array} \quad \begin{array}{c} \searrow \\ \downarrow \\ \xrightarrow{\quad} I \end{array}$$

By functoriality, we have a pullback diagram

$$\begin{array}{ccc}
 X^I & & \\
 \downarrow \exists! & \searrow & \\
 X^{I_\beta} & \xrightarrow{\quad} & X^{\Delta^n} \\
 \downarrow & & \downarrow \\
 X^{I_\alpha} & \xrightarrow{\quad} & X^{\partial\Delta^n}
 \end{array}$$

and it suffices to show that  $X^{\Delta^n} \rightarrow X^{\partial\Delta^n}$  is a conservative inner fibration, which is given by Lemmas 12.4 and 12.5.  $\blacksquare$

Here is an important consequence of what we have proven.

Recall the notion of a function space. For  $X$  a quasicategory, and  $A, B \in X_0$ , we have a diagram

$$\begin{array}{ccc}
 \mathrm{Mor}_X(A, B) & \xrightarrow{\quad} & X^{\Delta^1} \\
 \downarrow & & \downarrow \\
 \Delta^0 & \xrightarrow{(a,b)} & X^{\partial\Delta^1} = X \times X
 \end{array}$$

where  $\mathrm{Mor}_X(A, B) \rightarrow \Delta^0$  and  $X^{\Delta^1} \rightarrow X^{\partial\Delta^1}$  are inner fibrations. This shows that the mapping space  $\mathrm{Mor}_X(A, B)$  is itself a quasicategory from  $X^{\Delta^1} \rightarrow X^{\partial\Delta^1} = X \times X$  is conservative by Lemma 12.5. More generally, this is an example about how pulling back a conservative map is conservative. In particular, one can show that the mapping space is a Kan complex.

## 13. LECTURE 13 – 30TH OCTOBER 2023

One of the most interesting facts in category theory is the Yoneda lemma, Lemma 2.8, stating that for a category  $\mathbf{C}$  there is a fully faithful functor  $\mathbf{C} \rightarrow \mathbf{Sets}^{\mathbf{C}^{\text{opp}}}$  by  $A \mapsto \text{Mor}_{\mathbf{C}}(-, A)$  for  $A \in X_0$ . We seek a quasicategorical analogue. For  $X$  a quasicategory, we can define a functor  $X \rightarrow \mathbf{Sets}^{X^{\text{opp}}}$  by  $A \mapsto \text{Mor}_X(-, A)$  for  $A \in X_0$ . This is problematic, as we are mapping a quasicategory into Kan complexes. We seek to solve this problem via Cartesian fibrations.

Recall from algebraic topology the following theorem relating the fundamental group of a base space and covering spaces of the base space.

**Theorem 13.1.** Let  $X$  be a locally simply connected pointed topological space. There is a bijective correspondence between covering spaces of  $X$  and sets with an action of  $\pi_1(X, x)$ .

Let us think about this theorem through a categorical lens. Recall from Example 1.5 that the fundamental groupoid of  $X$ ,  $\Pi_{\leq 1}X$  whose objects are points on  $X$  and whose morphisms are homotopy classes of paths between these points. If  $\tilde{X}$  is a covering space of  $X$ , uniqueness of path lifting tells us that we have a lift  $\tilde{\gamma}$  of  $\gamma$

$$\begin{array}{ccc} \{0\} & \xrightarrow{\tilde{a}} & \tilde{X} \\ \downarrow & \nearrow \tilde{\gamma} & \downarrow p \\ [0, 1] & \xrightarrow{\gamma} & X \end{array}$$

such that  $\gamma_*(\tilde{a}) = \tilde{\gamma}(1)$  from which we construct a functor  $\Pi_{\leq 1}X \rightarrow \mathbf{Sets}$  by  $c_a \mapsto p^{-1}(a)$  denoting the constant path at  $a$  by  $c_a$ . Conversely, let  $\tilde{X} = \{(x, a) : x \in X, a \in T(x)\}$  for  $T : \Pi_{\leq 1}X \rightarrow \mathbf{Sets}$ . We get an equivalence of categories from the category of covering spaces  $\text{Cov}(X)$  to  $\mathbf{Sets}^{\Pi_{\leq 1}X}$ . Suppose we can realize  $X$  as a pushout

$$\begin{array}{ccc} U \cap V & \longrightarrow & U \\ \downarrow & & \downarrow \\ V & \longrightarrow & X \end{array}$$

we have a pushout of covers

$$\begin{array}{ccc} \tilde{U} \cap \tilde{V} & \longrightarrow & \tilde{U} \\ \downarrow & & \downarrow \\ \tilde{V} & \longrightarrow & \tilde{X} \end{array}$$

whence we recover  $\text{Cov}(X)$  as the pullback

$$\begin{array}{ccc} \text{Cov}(X) & \longrightarrow & \text{Cov}(V) \\ \downarrow & & \downarrow \\ \text{Cov}(U) & \longrightarrow & \text{Cov}(U \cap V) \end{array}$$

so in the category of groupoids,  $\mathbf{Grpd}$  we have a pushout

$$\begin{array}{ccc} \Pi_{\leq 1}U \cap V & \longrightarrow & \Pi_{\leq 1}U \\ \downarrow & & \downarrow \\ \Pi_{\leq 1}V & \longrightarrow & \Pi_{\leq 1}X \end{array}$$

as desired.

This is a special case of a more general notion, where we can try to develop a notion of covering spaces for categories.

**Definition 13.2.** Let  $T : \mathcal{C} \rightarrow \mathbf{Sets}$  be a functor. Let  $\tilde{\mathcal{C}}$  be the category whose objects are pairs  $(A, t)$  with  $t \in T(A)$  and morphisms  $\tilde{f} : (A, t) \rightarrow (A', t')$  with  $(f : A \rightarrow A') \in \text{Mor}_{\mathcal{C}}(A, A')$  such that  $T(\tilde{f}) : T(A) \rightarrow T(A')$  satisfying  $T(\tilde{f})(t) = t'$ .

There is a natural forgetful map  $\tilde{\mathcal{C}} \rightarrow \mathcal{C}$  by  $(x, t) \mapsto x$ . A natural question arises: for a functor  $D \rightarrow \mathcal{C}$ , when is  $D = \tilde{\mathcal{C}}$  for some  $T : \mathcal{C} \rightarrow \mathbf{Sets}$ ? This will eventually lead to a discussion of Grothendieck fibrations and a discussion of functoriality of pullbacks.

**Example 13.3.** Let  $E \rightarrow B$  be a fibration in the category of topological spaces  $\mathbf{Top}$ . We get a functor of spaces over  $B$  to spaces,  $\mathbf{Top}_{(-/B)} \rightarrow \mathbf{Top}$  by pulling back. For  $X \rightarrow B$  another morphism, we consider the pullback

$$\begin{array}{ccc} E \times_B X & \longrightarrow & E \\ \downarrow & & \downarrow \\ X & \longrightarrow & B \end{array}$$

$$E \times_B X \rightarrow X.$$

**Definition 13.4** (Cartesian Morphism). Let  $P : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. A morphism  $f : A \rightarrow B$  in  $\mathcal{C}$  is Cartesian if for every  $A' \in \text{Obj}(\mathcal{C})$ , a map  $g : A' \rightarrow B$ , and a map  $\bar{h} : P(A') \rightarrow P(A)$ , such that the diagram in  $\mathcal{D}$

$$\begin{array}{ccc} P(A') & & \\ \bar{h} \downarrow & \searrow P(g) & \\ P(A) & \xrightarrow{P(f)} & P(B) \end{array}$$

commutes, there is a unique map  $h : A' \rightarrow A$  such that the diagram in  $\mathcal{C}$

$$\begin{array}{ccc} A' & & \\ \exists! h \downarrow & \searrow g & \\ A & \xrightarrow{f} & B \end{array}$$

commutes.

Alternatively, we can state that  $f$  is cartesian if there is a pullback square of the following form.

$$(13.1) \quad \begin{array}{ccc} \text{Mor}_{\mathcal{C}}(A, A') & \xrightarrow{f \circ (-)} & \text{Mor}_{\mathcal{C}}(A, B) \\ P(-) \downarrow & & \downarrow P(-) \\ \text{Mor}_{\mathcal{D}}(P(A), P(A')) & \xrightarrow{P(f) \circ (-)} & \text{Mor}_{\mathcal{D}}(P(A), P(B)) \end{array}$$

This allows us to define a Grothendieck fibration.

**Definition 13.5** (Grothendieck Fibration). Let  $P : \mathcal{C} \rightarrow \mathcal{D}$  be a functor.  $P$  is a Grothendieck fibration if for all  $(f : A \rightarrow B) \in \text{Mor}_{\mathcal{D}}$  and  $\tilde{B}$  such that  $P(\tilde{B}) = B$ , there is a Cartesian

morphism  $(\tilde{f} : \tilde{A} \rightarrow \tilde{B}) \in \text{Mor}_{\mathcal{C}}$  such that the diagram

$$\begin{array}{ccc} \tilde{A} & \xrightarrow{\tilde{f}} & \tilde{B} \\ P \downarrow & & \downarrow P \\ A & \xrightarrow{f} & B \end{array}$$

commutes.

The idea here is that if  $P : \mathcal{C} \rightarrow \mathcal{D}$  is a Grothendieck fibration and  $(f : A \rightarrow B) \in \text{Mor}_{\mathcal{D}}$ , then for some choice of  $\tilde{B} \in P^{-1}(B)$  we say that  $\tilde{f} : \tilde{A} \rightarrow \tilde{B}$  is a Cartesian lift of  $f$  to  $\tilde{B}$ .

We consider the quasicategorical analogue.

**Definition 13.6** (Quasicategorical Cartesian Morphism). Let  $P : X \rightarrow Y$  be an inner fibration of simplicial sets. A morphism  $f \in X_1$  is Cartesian if for any  $n \geq 2$  any lifting problem of the following form

$$\begin{array}{ccccc} \Delta^{\{n-1,n\}} & \xrightarrow{\quad} & \Lambda_n^n & \xrightarrow{\quad} & X \\ & \searrow f & \downarrow & \nearrow \exists & \downarrow P \\ & & \Delta^n & \xrightarrow{\quad} & Y \end{array}$$

admits a solution.

We can define coCartesian morphisms by lifting from the leading edge of a horn.

**Definition 13.7** (CoCartesian Morphism). Let  $P : X \rightarrow Y$  be an inner fibration of simplicial sets. A morphism  $f \in X_1$  is coCartesian if for any  $n \geq 2$  any lifting problem of the following form

$$\begin{array}{ccccc} \Delta^{\{0,1\}} & \xrightarrow{\quad} & \Lambda_0^n & \xrightarrow{\quad} & X \\ & \searrow f & \downarrow & \nearrow \exists & \downarrow P \\ & & \Delta^n & \xrightarrow{\quad} & Y \end{array}$$

admits a solution.

We can rephrase the (co)Cartesian condition as via slice categories in a very natural way, generalizing Equation (13.1).

**Theorem 13.8.** Let  $P : X \rightarrow Y$  be an inner fibration of simplicial sets and  $(f : A \rightarrow B) \in X_1$ .  $f$  is Cartesian if and only if the map  $X_{(-/f)} \rightarrow X_{(-/B)} \times_{Y_{(-/P(B))}} Y_{(-/P(f))}$  is an acyclic Kan fibration.

**Remark.** The map  $X_{(-/f)} \rightarrow X_{(-/B)} \times_{Y_{(-/P(B))}} Y_{(-/P(f))}$  arises as the morphism from  $X_{(-/f)}$  to the following pullback square:

$$\begin{array}{ccccc} X_{(-/f)} & \xrightarrow{\quad} & X_{(-/B)} \times_{Y_{(-/P(B))}} Y_{(-/P(f))} & \xrightarrow{\quad} & X_{(-/B)} \\ \downarrow P & \searrow \exists & \downarrow & \nearrow f_* & \downarrow \\ & & Y_{(-/P(f))} & \xrightarrow{\quad} & Y_{(-/P(B))} \end{array}$$



*Proof.* Let  $n \geq 0$  and consider a lifting problem

$$\begin{array}{ccccc}
 \partial\Delta^n & \xrightarrow{\quad} & X_{(-/f)} & & \\
 \downarrow & \nearrow \exists? & \downarrow & & \\
 \Delta^n & \xrightarrow{\quad} & X_{(-/B)} \times_{Y_{(-/P(B))}} Y_{(-/P(f))} & \xrightarrow{\quad} & X_{(-/B)} \\
 & & \downarrow & & \downarrow \\
 & & Y_{(-/P(f))} & \xrightarrow{\quad} & Y_{(-/P(B))}
 \end{array}$$

which is equivalent to the solution to the following lifting problem

$$\begin{array}{ccc}
 \Delta^1 \star \emptyset & \xrightarrow{\quad} & \Delta^0 \star \Delta^n \coprod_{\partial\Delta^n \star \Delta^0} \partial\Delta^n \star \Delta^1 \xrightarrow{\quad} X \\
 & \searrow f & \downarrow \nearrow \exists? \\
 & & \Delta^1 \star \Delta^n \xrightarrow{\quad} Y
 \end{array}$$

$\downarrow P$

but this is precisely the condition of lifting against an outer horn

$$\begin{array}{ccc}
 \Delta^{\{n+1, n+2\}} & \xrightarrow{\quad} & \Lambda_{n+2}^{n+2} \xrightarrow{\quad} X \\
 & \searrow f & \downarrow \nearrow \exists \\
 & & \Delta^{n+2} \xrightarrow{\quad} Y
 \end{array}$$

which has a solution if and only if  $f$  is Cartesian per Definition 13.6. ■

## 14. LECTURE 14 – 1ST NOVEMBER 2023

Let us recall the definition of categorical equivalence Definition 3.20. We develop its analogue in quasicategories.

**Definition 14.1** (Quasicategorical Equivalence). Let  $F : X \rightarrow Y$  be a functor between quasicategories.  $F$  is an equivalence of quasicategories if there exists  $G : Y \rightarrow X$  and natural transformations  $G \circ F \Rightarrow \text{id}_X, F \circ G \Rightarrow \text{id}_Y$ .

**Remark.** Since we are in a quasicategory, the maps  $G \circ F \Rightarrow \text{id}_X, F \circ G \Rightarrow \text{id}_Y$  are not natural isomorphisms.

When we try to do this for simplicial sets, things get a little more complicated. But we can use Joyal's theorem, Theorem 3.22 to state the following.

**Definition 14.2** (Equivalence of Simplicial Sets). Let  $F : X \rightarrow Y$  be a map of simplicial sets.  $F$  is a categorical equivalence if for all quasicategories  $Z$ ,  $Z^F : Z^Y \rightarrow Z^X$  is an equivalence of quasicategories in the sense of Definition 14.1.

Quasicategories are in fact simplicial sets (with a horn filling condition). So we would expect the definitions Definition 14.1 and Definition 14.2 to coincide. This is in fact the case.

**Proposition 14.3.** For quasicategories, the definitions of equivalence in Definition 14.1 and Definition 14.2 coincide.

To make Definition 14.2 more precise, we seek  $\mathcal{B}$  a category of simplicial sets. This would be a category  $\mathcal{B}$  where  $\mathcal{B}_0$  are a collection of simplicial sets<sup>1</sup>. Let  $X$  be a simplicial set. What is a functor  $X : \Delta^0 \rightarrow \mathcal{B}$ , viewed as the inclusion of  $X$  as a simplicial set into  $\mathcal{B}$ ? This is a sort of moduli problem which we would expect to take values in Kan complexes. More precisely, Yoneda's lemma suggests that there is an equivalence between natural transformations between the functor  $X$  represents and some Kan complex which is a subquasicategory of  $\mathcal{B}$  with maps  $Y \rightarrow X$ . Expecting  $\mathcal{B}$  to be a simplicial set, we would ask what the functor  $\text{id}_{\mathcal{B}} : \mathcal{B} \rightarrow \mathcal{B}$  induces? Naturally, we would expect it to have some universal property, namely a map of simplicial sets  $\mathcal{E} \rightarrow \mathcal{B}$  such that for all maps  $X \rightarrow \mathcal{B}$ ,  $Y$  is obtained by pulling back  $\mathcal{E} \rightarrow \mathcal{B}$  along  $X \rightarrow \mathcal{B}$ .

$$\begin{array}{ccc} Y & \longrightarrow & \mathcal{E} \\ \downarrow & & \downarrow \\ X & \longrightarrow & \mathcal{B} \end{array}$$

Here, we say that  $\mathcal{B}$  is the target of the universal map of simplicial sets,  $\mathcal{E} \rightarrow \mathcal{B}$  being the universal map of simplicial sets.

Let's try to figure out what  $\mathcal{B}$  looks like. Naturally, we have the equality

$$\mathcal{B}_n = \text{Mor}_{\text{SSets}}(\Delta^n, \mathcal{B}) = \{Y \rightarrow \Delta^n \mid Y \in \text{Obj}(\text{SSets})\}.$$

**Remark.**  $\mathcal{B}_n$  is not a simplicial set in general. In particular,  $\mathcal{B}_n$  is given by a choice of data  $Y \rightarrow \Delta^n$  and for each  $[m] \rightarrow [n]$  in  $\Delta$ , a choice of pullback square.

$$\begin{array}{ccc} Y' & \longrightarrow & Y \\ \downarrow & & \downarrow \\ \Delta^m & \longrightarrow & \Delta^n \end{array}$$

---

<sup>1</sup>The astute among us would bound the size of these simplicial sets, say by some cardinal  $\kappa$ , but we omit this for ease of exposition (and also because set theory can be scary).

The universal property of  $\mathcal{E} \rightarrow \mathcal{B}$  is that given every map of simplicial sets  $Y \rightarrow X$ , there is a unique pullback square

$$\begin{array}{ccc} Y & \longrightarrow & \mathcal{E} \\ \downarrow & & \downarrow \\ X & \longrightarrow & \mathcal{B} \end{array}$$

such that given a solid diagram of maps,

$$\begin{array}{ccccc} & & & & \mathcal{E} \\ & & & \nearrow & \downarrow \\ X & & & & \mathcal{B} \\ \downarrow & \searrow & \nearrow & \nearrow & \downarrow \\ Y & & X' & & \\ & \searrow & \downarrow & \nearrow & \\ & & Y' & & \end{array}$$

(Dotted arrows from  $X'$  to  $\mathcal{E}$  and  $\mathcal{B}$ , and from  $Y'$  to  $\mathcal{B}$  are labeled  $\exists$ )

there exist dotted maps making the diagram commute.

Is  $\mathcal{B}$  a quasicategory? Equivalently, do we have inner horn fillers?

$$\begin{array}{ccc} \Lambda_k^n & \longrightarrow & \mathcal{B} \\ \downarrow & \nearrow \exists? & \\ \Delta^n & & \end{array}$$

The top arrow corresponds to  $Y \rightarrow \Lambda_k^n$  so this extending to  $\Delta^n$  means that there exists some  $Z \rightarrow \Delta^n$  from which  $Y \rightarrow \Lambda_k^n$  is obtained by pulling back in the following square.

$$\begin{array}{ccc} Y & \longrightarrow & Z \\ \downarrow & & \downarrow \\ \Lambda_k^n & \longrightarrow & \Delta^n \end{array}$$

But we can set  $Z = Y$  from which horn filling follows.

## 15. LECTURE 15 – 6TH NOVEMBER 2023

We have alluded to some form of quasicategorical Yoneda lemma which would take 0-simplices of a quasicategory functors from the opposite quasicategory to Kan complexes, that is,

$$X \rightarrow \text{Kan Complexes}^{X^{\text{Opp}}}.$$

Adopting Grothendieck's language in category theory, the categorical Yoneda  $\mathbf{C}^{\text{Opp}} \rightarrow \mathbf{Sets}$  can be stated in terms of Grothendieck opp-fibrations. In the theory of quasicategory theory, we consider Cartesian fibrations, those inner fibrations  $P : X \rightarrow Y$  such that lifting problems of the form

$$\begin{array}{ccc} \{1\} & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \exists \tilde{f} & \downarrow P \\ \Delta^1 & \xrightarrow{f} & Y \end{array}$$

admit solutions with  $\tilde{f}$  Cartesian. Suppose  $P : X \rightarrow Y$  is a Cartesian fibration. Given  $b \in Y_0$  treated as an inclusion  $b : \Delta^0 \rightarrow Y$ , we can consider the following pullback.

$$\begin{array}{ccc} X_b & \xrightarrow{\quad} & X \\ \downarrow & & \downarrow P \\ \Delta^0 & \xrightarrow{b} & Y \end{array}$$

In  $\mathbf{Top}$ ,  $X_b$  is the fiber over  $b$ . If this is a Cartesian fibration and  $(f : a \rightarrow b) \in Y_1$  then we can form the pullback

$$\begin{array}{ccccc} & & & & X \\ & & & & \downarrow P \\ X_b & \xrightarrow{\quad} & X & \xrightarrow{\quad} & Y \\ \downarrow & \nearrow f^* & \downarrow & \nearrow a & \\ \Delta^0 & \xrightarrow{\quad} & X_a & \xrightarrow{\quad} & Y \\ & & \downarrow & & \\ & & \Delta^0 & & \end{array}$$

and consider the map  $f^* : X_b \rightarrow X_a$ . Here's an example for some intuition.

**Example 15.1.** Let  $T : \mathbf{C}^{\text{Opp}} \rightarrow \mathbf{Sets}$  be a functor. Given  $(f : A \rightarrow B) \in \text{Mor}_{\mathbf{C}}$ , we have a map of sets  $T(A) \rightarrow T(B)$ . Let  $\mathbf{C}_T = \{(A, t) \in \text{Obj}(\mathbf{C}) \times T(A)\}$ . We have a natural functor  $\mathbf{C}_T \rightarrow \mathbf{C}$  by  $(A, t) \mapsto A$  so considering the pullback

$$\begin{array}{ccc} (\mathbf{C}_T)_B & \xrightarrow{\quad} & \mathbf{C}_T \\ \downarrow & & \downarrow \\ * & \xrightarrow{B} & \mathbf{C} \end{array}$$

we have  $(\mathbf{C}_T)_B = \{(B, t) \in B \times T(B)\}$  which is a (discrete) set  $T(B)$ .

We now state some combinatorial lemmata that we will need later.

**Lemma 15.2.** The inclusion  $\partial\Delta^n \times \Delta^1 \cup \Delta^n \times \{1\} \hookrightarrow \Delta^n \times \Delta^1$  is the product-pushout

$$(\partial\Delta^n \rightarrow \Delta^n) \square (\{1\} \rightarrow \Delta^1).$$

Let  $\mathcal{A} = \partial\Delta^n \times \Delta^1 \cup \Delta^n \times \{1\}$ . We know that we have  $a_i : \Delta^{n+1} \rightarrow \Delta^n \times \Delta^1$  with  $\Delta^n \times \Delta^1 = \mathcal{A} \cup a_0 \cup \dots \cup a_n$  (see, for example, the intuition in Lemma 12.5). All faces of  $a_i$  are in  $\mathcal{A}$  except  $d^i a_i, d^i a_{i+1}$

From this, we deduce the following corollaries.

**Corollary 15.3.** The map  $\partial\Delta^n \times \Delta^1 \cup \Delta^n \times \{1\} \rightarrow \mathcal{A}_n$  is inner anodyne.

**Corollary 15.4.** The map  $\rightarrow \Delta^n \times \Delta^1$  is a right horn filling with a terminal edge  $\Delta^{\{n\}} \times \Delta^1$ .

Let  $P : X \rightarrow Y$  be a Cartesian fibration. We want to understand the space of Cartesian lifts, that is, the space of solutions to the following lifting problem.

$$\begin{array}{ccc} \{1\} & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \exists & \downarrow P \\ \Delta^1 & \xrightarrow{\quad} & Y \end{array}$$

We have a natural map

$$X^{\Delta^1} \rightarrow X^{\{1\}} \times_{Y^{\{1\}}} Y^{\Delta^1}$$

from all morphisms in  $X$  to those morphisms in  $X$  with codomain in the fiber over  $f\langle 1 \rangle \in Y$ . Recall here that a 0-simplex of  $X^{\Delta^1}$  is a map  $\Delta^1 \rightarrow X$ . We consider the full subcategory  $X_{\text{Cart}}^{\Delta^1}$  of Cartesian lifts.

**Proposition 15.5.** The map of quasicategories  $X_{\text{Cart}}^{\Delta^1} \rightarrow X^{\{1\}} \times_{Y^{\{1\}}} Y^{\Delta^1}$  is an acyclic Kan fibration.

*Proof.* We want to show that the lifting problem

$$\begin{array}{ccc} \partial\Delta^n & \xrightarrow{\quad} & X_{\text{Cart}}^{\Delta^1} \\ \downarrow & \nearrow \exists & \downarrow \\ \Delta^n & \xrightarrow{\quad} & X^{\{1\}} \times_{Y^{\{1\}}} Y^{\Delta^1} \end{array}$$

always has a solution. This is equivalent to the factorization problem

$$\begin{array}{ccccc} \partial\Delta^n & \xrightarrow{\quad} & X_{\text{Cart}}^{\Delta^1} & \xrightarrow{\quad} & X^{\Delta^1} \\ \downarrow & \nearrow \exists & & \searrow & \downarrow \\ \Delta^n & \xrightarrow{\quad} & & \xrightarrow{\quad} & X^{\{1\}} \times_{Y^{\{1\}}} Y^{\Delta^1} \end{array}$$

where it suffices to show that  $X_{\text{Cart}}^{\Delta^1} \rightarrow X^{\Delta^1}$  is a full subcategory. This is showing that the following lifting problem can be solved

$$\begin{array}{ccc} \{i\} \times \Delta^1 & & \\ \downarrow & \searrow & \\ \partial\Delta^n \times \Delta^1 \cup \Delta^n \times \{1\} & \xrightarrow{\quad} & X \\ \downarrow & \nearrow \exists & \downarrow \\ \Delta^n \times \Delta^1 & \xrightarrow{\quad} & Y \end{array}$$

when  $\{i\} \times \Delta^1 \rightarrow X$  are Cartesian in  $X$  for  $0 \leq i \leq n+1$ . ■

## 16. LECTURE 16 – 8TH NOVEMBER 2023

Recall that we are trying to progress towards a quasicategorical version of the Yoneda lemma Lemma 2.8. In ordinary category theory, for  $\mathbf{C}$  a category and  $A \in \text{Obj}(\mathbf{C})$ , we get a functor  $\mathbf{C}^{\text{Opp}} \rightarrow \mathbf{Sets}$  by  $A \mapsto \text{Mor}_{\mathbf{C}}(-, A)$ . For  $X$  a quasicategory and  $x \in X_0$ , we get a functor  $X^{\text{Opp}} \rightarrow \mathbf{Kan}_{\infty}$  some  $\infty$ -category of Kan complexes by  $x \mapsto \text{Mor}_X(-, x)$ . With the notion of Cartesian fibrations, we can develop a notion of  $\mathbf{Kan}_{\infty}$ .

We seek a definition of  $\mathbf{Kan}_{\infty}$  as an  $\infty$ -category that is a “universal” Kan complex in an appropriate sense. We do this via Cartesian fibrations, in particular by realizing  $\mathbf{Kan}_{\infty}$  as a fibration.

Let us consider for  $B$  a simplicial set, left fibrations  $E \rightarrow B$ .

**Proposition 16.1.** A map of simplicial sets  $P : E \rightarrow B$  is a left fibration if and only if it is a coCartesian fibration and every morphism in  $E$  is coCartesian.

*Proof.* Since  $P : E \rightarrow B$  is a coCartesian fibration and  $f$  is coCartesian per Definition 13.7, the lifting problem

$$\begin{array}{ccc} \Delta^{\{0,1\}} & \xrightarrow{\quad f \quad} & E \\ \downarrow & \nearrow \exists & \downarrow P \\ \Delta^n & \xrightarrow{\quad} & B \end{array}$$

admits a solution. But this is exactly the condition of lifting against left horns, that is,  $P$  a left fibration.  $\blacksquare$

**Proposition 16.2.** If  $P : E \rightarrow B$  is a left fibration, for any  $b \in B_0$ ,  $p^{-1}(b)$  is a Kan complex.

*Proof.*  $E_b = p^{-1}(b)$  is a simplicial set admitting left horn fillers. By Joyal’s Extension theorem, Theorem 11.2, every edge is an isomorphism. Applying Theorem 11.2, outer horns can be filled too, showing  $E_b$  is a Kan complex by Definition 1.20.  $\blacksquare$

Consider a pullback diagram

$$\begin{array}{ccc} & & E \\ & & \downarrow P \\ \Delta^1 & \xrightarrow{(f:b_0 \rightarrow b_1)} & B \end{array}$$

where we note the map  $\Delta^{\{0\}} \rightarrow \Delta^1$  induces a map  $B^{\Delta^1} \rightarrow B^{\Delta^{\{0\}}}$  sending  $f$  to  $b_0$  yielding an acyclic Kan fibration  $E_b \rightarrow E \times_B B^{\Delta^1}$  by the statement dual to Proposition 15.5. Pulling back along the acyclic Kan fibration  $E_{\text{coCart}}^{\Delta^1}$ , we get a pullback diagram

$$\begin{array}{ccc} E_{\text{coCart}, b_0}^{\Delta^1} & \xrightarrow{\quad} & E_{\text{coCart}}^{\Delta^1} \\ \downarrow & & \downarrow \\ E_{b_0} & \xrightarrow{\quad} & E \times_B B^{\Delta^1} \end{array}$$

where the map  $E_{\text{coCart},b_0}^{\Delta^1} \rightarrow E_{b_0}$  is an acyclic Kan fibration. Pushing forward along  $f$ , we have maps

$$\begin{array}{ccc} & E_{\text{coCart},f}^{\Delta^1} & \\ \swarrow & & \searrow \\ E_{b_0} & \xrightarrow{\quad \exists \quad} & E_{b_1} \end{array}$$

with the left one an acyclic Kan fibration, making the map  $E_{b_0} \rightarrow E_{b_1}$  exist, the map on fibers given by pushforward.

This starts to give us an idea of how to define  $\mathbf{Kan}_\infty$ . Naturally, for say  $\Delta^n \rightarrow \mathbf{Kan}_\infty$ , we would expect this to be a fibration over  $\Delta^n$  by Kan complexes. As Propositions 16.1 and 16.2 suggest, this should correspond to left fibrations  $E \rightarrow \Delta^n$ . So  $(\mathbf{Kan}_\infty)_n$  consists of a left fibration  $E \rightarrow \Delta^n$  and for each  $([m] \rightarrow [n]) \in \text{Mor}_\Delta$ , a choice of pullback  $E'$  given by the following commuting square.

$$\begin{array}{ccc} E' & \xrightarrow{\quad} & E \\ \downarrow & & \downarrow \\ \Delta^m & \xrightarrow{\quad} & \Delta^n \end{array}$$

We show this data amalgamates into a quasicategory.

**Theorem 16.3.**  $\mathbf{Kan}_\infty$  is a quasicategory.

**Definition 16.4** ( $\mathbf{Kan}_\infty$ ). The quasicategory  $\mathbf{Kan}_\infty$  is the data of  $(\mathbf{Kan}_\infty)_n$ , left fibrations over  $\Delta^n$ , for all  $n$ , where the simplicial operators act by precomposition.

Associated to the functor  $\text{id}_{\mathbf{Kan}_\infty} : \mathbf{Kan}_\infty \rightarrow \mathbf{Kan}_\infty$  is a universal pullback fibration, so for any left fibration  $E \rightarrow B$  there is a pullback square

$$\begin{array}{ccc} E & \xrightarrow{\quad} & E_\infty \\ \downarrow & & \downarrow \\ B & \xrightarrow{\quad} & \mathbf{Kan}_\infty \end{array}$$

where for a commutative square of left fibrations (left),

$$\begin{array}{ccc} E & \xrightarrow{\quad} & E' \\ \downarrow & & \downarrow \\ B & \xrightarrow{\quad} & B' \end{array} \quad \begin{array}{ccc} & & E_\infty \\ & \nearrow & \downarrow \\ E & \xrightarrow{\quad} & \mathbf{Kan}_\infty \\ \downarrow & \searrow & \uparrow \\ B & \xrightarrow{\quad} & E' \\ & \searrow & \downarrow \\ & & B' \end{array}$$

there are dotted morphisms making the diagram on the right commute. In this way, we say that the quasicategory of Kan complexes  $\mathbf{Kan}_\infty$  is the base of the universal left fibration. One can repeat the steps we have done so far using Cartesian fibrations to show that  $\mathbf{Kan}_\infty$  is similarly the base of the universal right fibration.

Returning to the quasicategorical Yoneda lemma, for  $X$  a quasicategory, we have a functor

$X^{\text{Opp}} \rightarrow \mathbf{Kan}_\infty$  which are right fibrations over  $X$ . A natural question arises: which right fibrations  $E \rightarrow X$  correspond to representable functors?

In the case of a slice category  $X_{(-/x)}$  for  $x \in X_0$ ,

**Definition 16.5** (Representable Right Fibration). Let  $X$  be a quasicategory. A right fibration  $E \rightarrow X$  is representable if  $E$  has a terminal object.

We want to show that right fibrations arise along slice restrictions.

**Proposition 16.6.** If we have a commutative diagram

$$\begin{array}{ccc} E_1 & \xrightarrow{F} & E_2 \\ & \searrow P_1 & \swarrow P_2 \\ & X & \end{array}$$

with  $P_1, P_2$  representable right fibrations and  $F$  any map then  $F$  is an equivalence of quasicategories.

Recall from above that  $\mathbf{Kan}_\infty$  is a quasicategory of Kan complexes. Can we construct a quasicategory of quasicategories? We can realize a similar construction of  $\mathbf{Cat}_\infty$  which is the base of the universal Cartesian and coCartesian fibration. Similarly,  $(\mathbf{Cat}_\infty)_n$  is given by a (co)Cartesian fibration  $E \rightarrow \Delta^n$  and for all  $([m] \rightarrow [n]) \in \text{Mor}_\Delta$ , a choice of pullback  $E'$  from the following commuting square.

$$\begin{array}{ccc} E' & \longrightarrow & E \\ \downarrow & & \downarrow \\ \Delta^m & \longrightarrow & \Delta^n \end{array}$$

**Theorem 16.7** (Cisinski-Nguyen, 2022).  $\mathbf{Cat}_\infty$  is a quasicategory.

*Proof.* See [1, Theorem 3.8]. ■

**Definition 16.8** ( $\mathbf{Cat}_\infty$ ). The quasicategory  $\mathbf{Cat}_\infty$  is the data of  $(\mathbf{Cat}_\infty)_n$ , (co)Cartesian fibrations over  $\Delta^n$ , for all  $n$ , where the simplicial operators act by precomposition.

We naturally define an  $\infty$ -category as an object (0-simplex) of  $\mathbf{Cat}_\infty$ . This leads us to the following long-awaited definition.

**Definition 16.9** ( $\infty$ -Category). An  $\infty$ -category is a Cartesian fibration over  $\Delta^0$ .

One naturally defines a morphism of  $\infty$ -categories as a choice of map  $\Delta^1 \rightarrow \mathbf{Cat}_\infty$ .



## 17. LECTURE 17 – 13TH NOVEMBER 2023

In this lecture and in the remainder of this course we will consider examples of  $\infty$ -categories, developing whatever further formalisms of  $\infty$ -categories we may need on the fly. We will try to embody a philosophy apocryphally attributed to Grothendieck, allowing the mathematical structure to tell us what it wants to be.

We begin by exploring simplicially enriched categories, which were first studied by Dan Kan, and are related to simplicial categories. Recall for  $X, Y$  simplicial sets, we had  $\text{Mor}_{\text{SSets}}(X, Y) = Y^X$  a simplicial set of maps from  $X$  to  $Y$ . Naturally, there is an identity map on  $X$ ,  $\text{id}_X$  which we can view as the map of a 0-simplex to a mapping space  $\text{id}_X : \Delta^0 \rightarrow X^X$ . For simplicial sets  $X, Y, Z$ , there is naturally a composition law  $Z^Y \times Y^X \rightarrow Z^X$  that is unital and associative. This leads to the following definition of a simplicially enriched category.

**Definition 17.1** (Simplicially Enriched Category). A simplicially enriched category  $\mathbf{C}$  consists of a class  $\text{Obj}(\mathbf{C})$  and for  $A, B \in \text{Obj}(\mathbf{C})$  a simplicial set  $\text{Mor}_{\mathbf{C}}(A, B)$  such that

- (a) there is a map  $\text{id}_A : \Delta^0 \rightarrow \text{Mor}_{\mathbf{C}}(A, A)$ ,
- (b) and a composition law  $\text{Mor}_{\mathbf{C}}(B, C) \times \text{Mor}_{\mathbf{C}}(A, B) \rightarrow \text{Mor}_{\mathbf{C}}(A, C)$  that is unital and associative.

Note that in a simplicially enriched category, morphisms have a higher structure. For a commuting diagram

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ & \searrow h & \swarrow g \\ & C & \end{array}$$

we have maps  $f : \Delta^0 \rightarrow \text{Mor}_{\mathbf{C}}(A, B)$ ,  $g : \Delta^0 \rightarrow \text{Mor}_{\mathbf{C}}(B, C)$  and  $\tau : \Delta^1 \rightarrow \text{Mor}_{\mathbf{C}}(A, C)$  such that  $\tau\langle 0 \rangle = h$  and  $\tau\langle 1 \rangle = g \circ f$ . One naturally defines a functor of simplicially enriched categories as a map on objects and a simplicial set map on morphisms preserving units and composition.

Denote  $\text{Cat}_{\Delta}$  the 2-category of simplicially enriched categories. We want to construct a functor  $\text{Cat}_{\Delta} \rightarrow \text{SSets}$ . We will do this via the homotopy coherent nerve. We first consider the following construction of a path category.

**Definition 17.2** (Path Category). Let  $(S, \leq)$  be a poset. The path category on  $S$   $\text{Path}(S)$  is a category with objects those of  $S$  and for  $s, t \in S$ ,  $\text{Mor}_{\text{Path}(S)}(s, t)$  is the nerve of the finite linearly ordered set between  $s$  and  $t$ .

**Example 17.3.** Consider  $\text{Path}([1])$  where we have  $\text{Mor}_{\text{Path}(S)}(0, 1) = \{*\}$  so the simplicial set has two 0-simplices with a 1-simplex connecting them.

**Example 17.4.** For  $\text{Path}([2])$  we have the following.

$$\begin{array}{ccccc} & & \{1\} & & \\ & \nearrow & \downarrow & \searrow & \\ 0 & & \emptyset & & 2 \\ & \searrow & \uparrow & \nearrow & \\ & & 1 & & \end{array}$$

This allows us to define the homotopy coherent nerve of a simplicially enriched category.

**Definition 17.5** (Homotopy Coherent Nerve). Let  $\mathbf{C}$  be a simplicially enriched category. The homotopy coherent nerve  $N^{\text{hc}}\mathbf{C}$  is such that  $(N^{\text{hc}}\mathbf{C})_n = \text{Mor}_{\text{Cat}_{\Delta}}(\text{Path}([n]), \mathbf{C})$  such that the simplicial operators act by precomposition.

One naturally wonders if  $N^{\text{hc}}\mathbf{C}$  is a quasicategory. It turns out this is not always the case. In fact, one can show the following.

**Theorem 17.6** (Cordier and Porter). Let  $\mathbf{C}$  be a simplicially enriched category. If  $\text{Mor}_{\mathbf{C}}(A, B)$  is a Kan complex for all  $A, B \in \text{Obj}(\mathbf{C})$ , then  $N^{\text{hc}}\mathbf{C}$  is a quasicategory.

Let  $\mathbf{Kan}$  be the full subcategory of simplicial sets  $\mathbf{S}\mathbf{Sets}$  containing all Kan complexes. But we also defined  $\mathbf{Kan}_{\infty}$  in Definition 16.4 which was the base of the universal left fibration. These two objects are related by the following theorem.

**Theorem 17.7** (Lurie).  $\mathbf{Kan}_{\infty}$  and  $N^{\text{hc}}\mathbf{Kan}$  are equivalent.

One proves this by showing that  $N^{\text{hc}}(\mathbf{Kan})$  is the base of the universal left fibration. This is known as straightening and unstraightening, more information on which can be found in [2, Ch. 4] and [3, Ch. 3.2]. One can also make a similar construction to show that for  $\mathbf{QCat}$  the quasicategory of quasicategories,  $N^{\text{hc}}\mathbf{QCat}$  and  $\mathbf{Cat}_{\infty}$  are equivalent.

Let  $I$  be a category and consider  $\mathbf{SPSh}(I)$ , functors  $I^{\text{Opp}} \rightarrow \mathbf{S}\mathbf{Sets}$ . Otherwise put,  $\mathbf{SPSh}(I)$  is the category of presheaves of simplicial sets on  $I$ . Restricting our attention to presheaves on  $I$  that take values in Kan complexes instead of arbitrary simplicial sets, this category is  $N^{\text{hc}}\mathbf{SPSh}(I)$ .

Maps  $N^{\text{hc}}\mathbf{SPSh}(I) \rightarrow X$  for  $X$  a cocomplete quasicategory correspond to  $I \rightarrow X$  in  $\mathbf{Cat}_{\infty}$ , that is, a coCartesian fibration over  $\Delta^1$  restricting to  $I$  on one end and  $X$  on the other.

More generally, in the topological category, we define a presheaf of sets on a topological space  $X$  as follows.

**Definition 17.8** (Presheaf on a Topological Space). Let  $X$  be a topological space and  $X^{\text{Opens}}$  the category whose objects are open sets of  $X$  and whose morphisms are inclusions. A presheaf of sets on  $X$  is a functor  $\mathcal{F} : (X^{\text{Opens}})^{\text{Opp}} \rightarrow \mathbf{Sets}$ .

The prototypical example here is of continuous functions on a manifold  $X$ . In such a situation, continuous functions glue: for  $f_1$  on  $U_1$  and  $f_2$  on  $U_2$  continuous such that  $f_1|_{U_1 \cap U_2} = f_2|_{U_1 \cap U_2}$  then there is a continuous function on  $U_1 \cup U_2$  restricting to  $f_1$  and  $f_2$  on  $U_1$  and  $U_2$ , respectively. This leads to the definition of a sheaf.

**Definition 17.9** (Sheaf). Let  $X$  be a topological space and  $X^{\text{Opens}}$  the category whose objects are open sets of  $X$  and whose morphisms are inclusions. A presheaf of sets  $\mathcal{F} : (X^{\text{Opens}})^{\text{Opp}} \rightarrow \mathbf{Sets}$  is a sheaf if the sequence

$$\mathcal{F}(X) \longrightarrow \prod_i \mathcal{F}(X_i) \rightrightarrows \prod_{i,j} \mathcal{F}(X_i \cap X_j)$$

is an equalizer for  $\{X_i\}$  an open cover of  $X$ .

In this sense, we say that a sheaf is a presheaf satisfying descent.

This leads to the definition of localization, where we force certain maps to be isomorphisms. We consider the definition of localization in quasicategories.

**Definition 17.10** (Localization in Quasicategories). Let  $X$  be a quasicategory and  $S \subseteq X$  a simplicial subset. The localization of  $X$  at  $S$  denoted  $X[S^{-1}]$  is such that for every quasicategory  $Y$  the map  $Y^{X[S^{-1}]} \rightarrow (Y^\sim)^S \times_Y Y^X$  in the diagram

$$\begin{array}{ccccc}
 Y^{X[S^{-1}]} & & & & \\
 \swarrow \text{dashed} & & \searrow & & \\
 & (Y^\sim)^S \times_Y Y^X & \xrightarrow{\quad} & Y^X & \\
 & \downarrow & & \downarrow & \\
 & (Y^\sim)^S & \xrightarrow{\quad} & Y^S & 
 \end{array}$$

is an acyclic Kan fibration.

We can consider the following examples.

**Example 17.11.** Let  $\mathbf{C}$  be a category with a Grothendieck topology and  $S$  the collection of nerves of covers of  $\mathbf{C}$ . We can consider the localization

$$N^{\text{hc}}(\text{SPSh}(\mathbf{C}))[S^{-1}]$$

the homotopy coherent sheaf theory on  $\mathbf{C}$ .

**Example 17.12.** Let  $k$  be a field and  $\text{SmSch}_k$  the category of smooth quasiprojective schemes over  $k$ . We consider the localization

$$\text{SPSh}(\text{SmSch}_k)[\text{Nis}^{-1}, \{M \times \{0\} \rightarrow M \times \mathbb{A}_k^1\}^{-1}]$$

the  $\mathbb{A}^1$ -homotopy category of schemes. This forces a notion of  $\mathbb{A}^1$ -equivalence similar to the notion of rational equivalence on schemes.

## 18. LECTURE 18 – 15TH NOVEMBER 2023

Today, we consider yet another example of

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