# Polar Decoding on Sparse Graphs with Deep Learning

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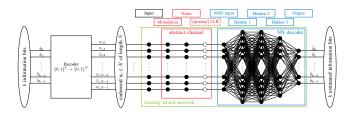
#### **Outline**

- 1. Related Work
- 2. Deep Learning for Polar Codes on Sparse Graphs
- 3. Results and Analysis
- 4. Conclusion

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#### **Neural Network Decoder for Polar Codes**

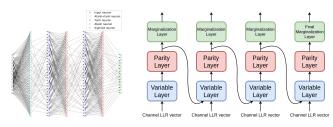


- Construct polar decoder based on fully-connected neural networks.
- Pros & cons:
  - Near-optimal performance for very short codes.
  - \* Hard to be extended to long codes.
  - \* Prohibitive complexity of NN inference.



<sup>&</sup>lt;sup>1</sup>[Gruber, Cammerer, Hoydis, et al., CISS 2017]

#### **Neural Network Decoder for Linear Codes**



Feed-forward network

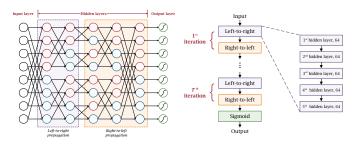
Recurrent network

- Tanner graph is unfolded into feed-forward or recurrent neural networks.
- Pros & cons:
  - Improving performance through training.
  - \* Easy to co-operate with other decoding methods, such as permutation.
  - \* Complexity: Near-BP. Latency: 21



<sup>&</sup>lt;sup>2</sup>[Nachmani, Marciano, Lugosch, et al., JSTSP 2018]

#### **Neural Network Decoder for Polar Codes**



- Construct polar decoder based on factor graph.
- Pros & cons:
  - \* Near-BP performance.
  - \* Easy to extend.
  - \* Complexity:  $\mathcal{O}(IN\log_2 N)$  with min-sum. Latency:  $2I\log_2 N$



<sup>&</sup>lt;sup>3</sup>[Xu, Wu, Ueng, et al., SiPS 2017]

## **Some Questions**

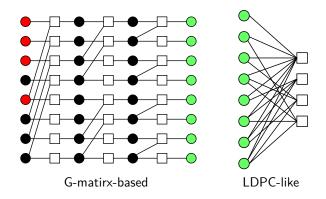
- Substantial weights are unfriendly for implementation.
- Is it necessary to parameterize every edge?
- Constructing neural network decoder of polar codes on Tanner graph?



### **Outline**

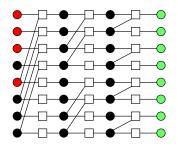
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# **Two Types of Factor Graphs**





## **BP Decoding for Polar Codes**

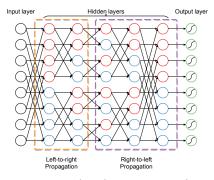


#### Original BP Decoding:

$$\begin{cases} L_{i,j}^{(t)} = \ g(L_{i+1,j}^{(t-1)}, L_{i+1,j+N/2^i}^{(t-1)} + R_{i,j+N/2^i}^{(t)}), \\ L_{i,j+N/2^i}^{(t)} = \ g(L_{i+1,j}^{(t-1)}, R_{i,j}^{(t)}) + L_{i+1,j+N/2^i}^{(t-1)}, \\ R_{i+1,j}^{(t)} = \ g(R_{i,j}^{(t)}, L_{i+1,j+N/2^i}^{(t-1)} + R_{i,j+N/2^i}^{(t)}), \\ R_{i+1,j+N/2^i}^{(t)} = \ g(R_{i,j}^{(t)}, L_{i+1,j}^{(t-1)}) + R_{i,j+N/2^i}^{(t)}, \end{cases}$$



## **Optimization on G-matrix Factor Graph**



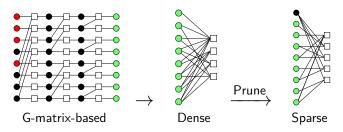
▶ Each node is approximated and parameterized:

$$g(x,y) = \ln \frac{1 + e^{x+y}}{e^x + e^y} \approx \alpha_{i,j} \times \operatorname{sign}(x)\operatorname{sign}(y) \times \min(|x|,|y|).$$



<sup>&</sup>lt;sup>3</sup>[Xu, Wu, Ueng, et al., SiPS 2017]

## **BP Decoding for Polar Codes**



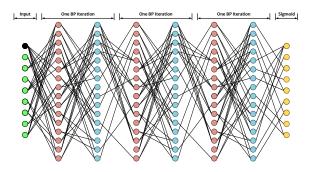
#### BP Decoding on Tanner Graph:

$$\begin{split} L_{t,e=(v,c)} &= L_v + \sum_{e'=(c',v),c'\neq c} L_{t-1,e'}, \\ L_{t,e=(c,v)} &= \left(\prod_{e'=(v',c),v'\neq v} \operatorname{sign}\left(L_{t,e'}\right)\right) \times 2 \tanh^{-1}\left(\prod_{e'=(v',c),v'\neq v} \tanh\left(\frac{\left|L_{t,e'}\right|}{2}\right)\right). \end{split}$$



<sup>&</sup>lt;sup>4</sup>[Cammerer, Ebada, Elkelesh, et al., ISIT 2018]

## **Optimization on Tanner Graph**



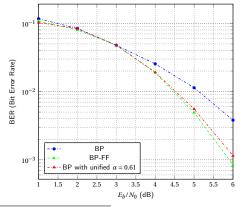
 $\,\,\vartriangleright\,$  Assign weights  $\alpha_{i,e,e'}$  to check-to-variable edges:

$$x_{i,e=(c,v)} = \alpha_{i,e,e^{'}} \times \prod_{e^{'}} \mathrm{sign}(x_{i-1,e^{'}}) \times \min_{e^{'}}(|x_{i-1,e^{'}}|).$$



# An Example on BCH (63, 36)

- ▶ Using one unified weight achieves comparative performance.
- ▷ Assigning weights to every edge is redundant.



<sup>&</sup>lt;sup>2</sup>[Nachmani, Marciano, Lugosch, et al., JSTSP 2018]



## Weights Reduction

- ▷ Assigning weights to every edge is redundant.
- $\triangleright$  Restrict training weights to one  $\alpha$  parameter:

$$x_{i,e=(c,v)} = \alpha \times \prod_{e'} \operatorname{sign}(x_{i-1,e'}) \times \min_{e'}(|x_{i-1,e'}|).$$

# **Training Methods**

 $\triangleright$  The output LLRs are squashed to (0,1) probability by sigmoid:

$$o_i = \sigma(L_i) = \frac{1}{1 + e^{-L_i}}.$$

▶ Binary cross entropy (BCE) is adopted as loss function:

$$\mathcal{L}(\boldsymbol{x}, \boldsymbol{o}) = -\frac{1}{N} \sum_{i} x_i \log(o_i) + (1 - x_i) \log(1 - o_i).$$

▶ **Optimization target**: Search optimal parameters or their combination resulting in minimum BCE loss.

$$\alpha^* = \arg\min_{\alpha} \mathcal{L}(\boldsymbol{x}, \boldsymbol{o}).$$

#### **Outline**

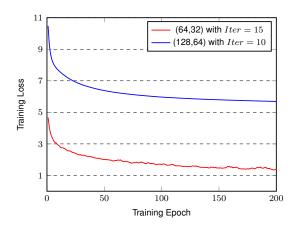
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# **Experiment on Tanner Graph**

Parameters	Value
Code Length	64, 128, 256
Channel	AWGN with BPSK
SNR Range	1, 2, 3, 4, 5, 6
Optimizer	Mini-batch SGD with Adam
Learning Rate	Lr=0.001
Weights Initialization	$\mathcal{N} \sim (\mu = 1, \sigma = 0.1)$
Training Samples per SNR	20
Training mini-batch Size	120
Training Codewords	All zero codewords with noise
Validation Set Size	50000 per SNR
Validation Codewords	Random codewords with noise



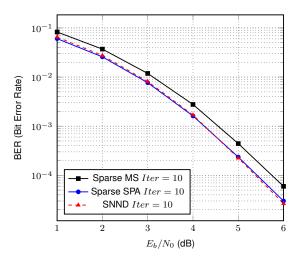
## **Training Results**



 $\,\triangleright\,$  Converge at around 150 epochs.

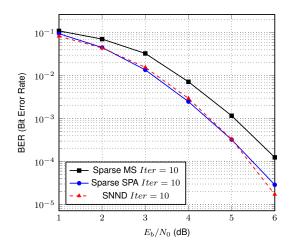


# Performance Comparison with N=64





# **Performance Comparison with** N = 128





# **Optimizing with Just One Weight**

- $\triangleright$  Constraint multiple weights to one unified  $\alpha$ .
- $\triangleright$  **Initialization**: Initializing  $\alpha$  to one provides a good starting point (equivalent to Min-sum):

$$x_{i,e=(c,v)} = \prod_{e^{'}} \mathrm{sign}(x_{i-1,e^{'}}) \times \min_{e^{'}}(|x_{i-1,e^{'}}|).$$

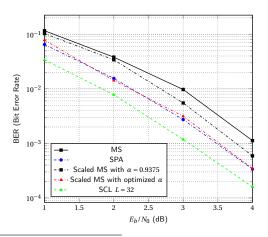
- ▷ Obtain good parameter through adaptive training instead of greedy searching as in [5].
- ▶ Train by unfolding to 10 iterations and test with 50 iterations.



<sup>&</sup>lt;sup>5</sup>[Yuan and Parhi, TSP 2014]

## **Performance Comparison with** N = 128

- $\triangleright$  Trained  $\alpha$  is close to 0.85.
- $\triangleright 0.2$  dB gain over empirical scaling factor  $\alpha = 0.9375$  suggested in [5].

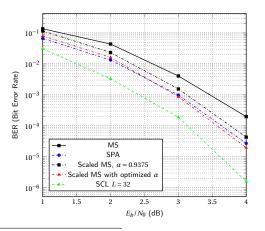


<sup>&</sup>lt;sup>5</sup>[Yuan and Parhi, TSP 2014]



# **Performance Comparison with** N = 256

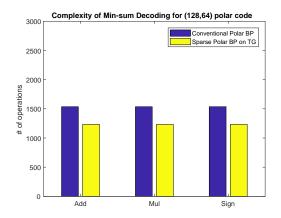
 $\triangleright 0.1$  dB gain over empirical scaling factor  $\alpha = 0.9375$  suggested in [5].



<sup>&</sup>lt;sup>5</sup>[Yuan and Parhi, TSP 2014]

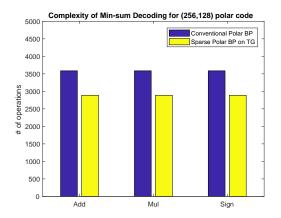


# **Complexity Comparison**





## **Complexity Comparison**



 $\triangleright$  About 25% complexity reduction compared with original polar BP.



## **Comparison of Decoding Latency**

- ho Latency on G-matrix factor graph:  $2I\log_2 N$ .
- $\triangleright$  Latency on sparse Tanner graph: 2I code length independent.
- **▶** Latency reduction:  $\log_2 N$ .



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#### Conclusion

- Polar neural network decoder based on Tanner graph.
  - Reduced decoding complexity
  - Higher parallelism
- Reduction of training weights.
  - Restricting training weights to only one
- Optimizing polar codes on two types of graphs.
  - Tanner graph
  - Original factor graph



#### Reference

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Thanks for Your Attention!

