

CSCI317 Database Performance Tuning

Indexing Examples

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Indexing Examples

Outline

Examples

Examples

Consider a relational table

PRODUCT(PNUM, PNAME, PRICE, MANUFACTURER)

Assume that

- the table contains 10^5 rows,
- an attribute **PNUM** is a primary key,
- the products are manufactured by **100** manufacturers,
- the table contains **1000** product names,
- an average number of rows per disk block (blocking factor) is **50**
- a database administrator created a non-clustered B*-tree index on attribute **MANUFACTURER**,
- a fanout of B*-tree is equal to **f**
- a width (total number of blocks at leaf level) of B*-tree implementing an index on the primary key is equal to w_p
- a width (total number of blocks at leaf level) of B*-tree implementing an index on an attribute **MANUFACTURER** is equal to w_m

Example 1

To compute a query

```
SELECT PNAME, PRICE
FROM PRODUCT;
```

Projection query, no index can be used

we have to read $10^5/50$ (total number of rows/blocking factor) blocks because the entire relational table **PRODUCT** must be read

A fragment of respective query processing plan

...
Id	Operation	Name	
...
0	SELECT STATEMENT		
1	TABLE ACCESS FULL	PRODUCT	
...

A fragment of query processing plan

Example 2

To compute a query

```
SELECT PNAME, PRICE
FROM   PRODUCT
WHERE  PNUM = 12345;
```

Selection and projection query, index on primary key can be used

we have to read $\lceil \log_{10} 10^5 \rceil + 1 + 1$ blocks because the primary key **PNUM** is automatically indexed

A fragment of respective query processing plan

A fragment of query processing plan

Id	Operation	Name	...
0	SELECT STATEMENT		...
1	TABLE ACCESS BY INDEX ROWID	PRODUCT	
*2	INDEX UNIQUE SCAN	PRODUCT_PKEY	...

Example 3

To compute a query

```
SELECT PNAME, PRICE
FROM PRODUCT
WHERE PNUM = 1234567 AND
      PRICE <= 20.0;
```

Selection and projection query, index on primary key can be used

we have to read $\lceil \log_f 10^5 + 1 \rceil + 1$ blocks because the primary key **PNUM** is automatically indexed

A fragment of respective query processing plan

...		
Id	Operation	Name
...		
0	SELECT STATEMENT	
1	TABLE ACCESS BY INDEX ROWID	PRODUCT
*2	INDEX UNIQUE SCAN	PRODUCT_PKEY
...		

A fragment of query processing plan

Example 4

To compute a query

Selection and projection query, index on primary key cannot be used due to disjunction (OR)

```
SELECT PNAME, PRICE
FROM PRODUCT
WHERE PNUM = 1234567 OR
      PRICE <= 20.0;
```

we have to read $10^5 / 50$ (total number of rows/blocking factor) blocks because the entire relational table **PRODUCT** must be read

A fragment of query processing plan

...
Id	Operation	Name	
...
0	SELECT STATEMENT		
1	TABLE ACCESS FULL	PRODUCT	
...

Example 5

To compute a query

```
SELECT PNAME, PRICE  
FROM PRODUCT  
WHERE MANUFACTURER = 'GoldenBolts';
```

Selection and projection query, index on MANUFACTURER can be used

we have to read

$[\log_f 10^2 + 1] + ((10^5 / 100) + 10^5 / (100 * 50)) / 2$ data blocks

Index processing: $[\log_f 10^2 + 1]$ read block operations

Total number of row identifiers found: $10^5 / 100$

Table processing:

- The best case (full clustering: $10^5 / (100 * 50)$) read block operations
- The worst case (each row in a different block): $10^5 / 100$ read block operations

Example 5 (continuation)

To compute a query

```
SELECT PNAME, PRICE
FROM   PRODUCT
WHERE  MANUFACTURER = 'GoldenBolts';
```

Selection and projection query, index on MANUFACTURER can be used

we have to read

$[\log_f 10^2 + 1] + ((10^5 / 100) + 10^5 / (100 * 50)) / 2$ data blocks

A fragment of query processing plan

			...
Id	Operation	Name	
			...
0	SELECT STATEMENT		
1	TABLE ACCESS BY INDEX ROWID	PRODUCT	
*2	INDEX RANGE SCAN	PIDX	
			...

Example 6

To compute a query

```
SELECT COUNT(*)
FROM PRODUCT;
```

Counting the rows, and index on primary key can be used

we have to read w_p data blocks (width of leaf level on an index on primary key)

Index processing: w_p read block operations

Counting row identifiers: 0 read block operations, counting is performed in transient memory

A fragment of query processing plan

	Id	Operation	Name	...
	0	SELECT STATEMENT		...
	1	SORT AGGREGATE		...
	2	INDEX FAST FULL SCAN	PRODUCT_PKEY	...

Example 7

To compute a query

```
SELECT COUNT(*)
FROM PRODUCT;
WHERE MANUFACTURER = 'GoldenBolts';
```

Counting the rows with selection, an index on MANUFACTURER can be used

we have to read $\lceil \log_f 10^2 + 1 \rceil$ data blocks

Index processing: $\lceil \log_f 10^2 + 1 \rceil$ read block operations

Counting row identifiers: 0 read block operations, counting is performed in transient memory

----- ...			
Id	Operation	Name	
----- ...			
0	SELECT STATEMENT		
1	SORT AGGREGATE		
*2	INDEX RANGE SCAN	PIDX	
----- ...			

A fragment of query processing plan

Example 8

To compute a query

```
SELECT MANUFACTURER
FROM   PRODUCT
WHERE  MANUFACTURER LIKE 'M%';
```

Projection and selection with patter matching

we have to read w_m data blocks (width of a leaf level of an index on **MANUFACTURER**)

Index processing: w_m read block operations

A fragment of query processing plan

-----	...
Id Operation Name	
-----	...
0 SELECT STATEMENT	
*1 INDEX RANGE SCAN PIDX	
-----	...

Example 9

To compute a query

Projection query with elimination of duplicates, an index on MANUFACTURER can be used

```
SELECT DISTINCT MANUFACTURER
FROM PRODUCT;
```

we have to read w_m data blocks

Index processing: w_m read block operations (horizontal traversal through leaf level on an index on **MANUFACTURER**)

A fragment of query processing plan

			..
Id	Operation	Name	
			..
0	SELECT STATEMENT		
1	SORT UNIQUE		
2	INDEX FAST FULL SCAN	PRODUCT_PKEY	
			..

Example 10

To compute a query

```
SELECT MANUFACTURER, COUNT(*)
FROM PRODUCT
GROUP BY MANUFACTURER;
```

Aggregation query, an index on MANUFACTURER can be used

we have to read w_m data blocks

Index processing: w_m read block operations

A fragment of query processing plan

			...
Id	Operation	Name	
			...
0	SELECT STATEMENT		
1	SORT GROUP BY NOSORT		
2	INDEX FULL SCAN	PRODUCT_PKEY	
			...

Examples (continuation)

Consider a relational table

PRODUCT(PNUM, PNAME, PRICE, MANUFACTURER)

Assume that

- a database administrator created a **non-clustered B*-tree index** on attribute **PNAME**,
- the table contains **1000** distinct product name
- a **fanout** of B*-tree is equal to **f**
- a **width** (total number of blocks at leaf level) of B*-tree implementing an index on an attribute **PNAME** is equal to **w_n**

Example 10

To compute a query

```
Selection query with conjunction WHERE condition, both indexes on MANUFACTURER and PNAME can be used  
SELECT *  
FROM PRODUCT  
WHERE PNAME = 'bolt' AND  
      MANUFACTURER = 'Golden Bolts';
```

we have to read $\lceil \log_f 1000 \rceil + 1 + \lceil \log_f 100 \rceil + 1 + 1$ data blocks

Index processing: $\lceil \log_f 1000 \rceil + 1$ read block operations to process an index on **PNAME**

The total number of row identifiers found: $10^5 / 1000$

Index processing: $\lceil \log_f 100 \rceil + 1$ read block operations to process an index on **MANUFACTURER**

The total number of row identifiers found: $10^5 / 100$

Intersection of the sets of row identifiers obtained from index processing: **0** read block operations

Example 10

Total number of row identifiers found: $10^5 / (1000 * 100) = 1$

Table processing: 1 read block operations

Example 11

To compute a query

Selection query with disjunction WHERE condition, both indexes on MANUFACTURER and PNAME can be used

```
SELECT *
FROM PRODUCT
WHERE PNAME = 'bolt' OR
      MANUFACTURER = 'Golden Bolts';
```

we have to read $[\log_f 1000 + 1] + [\log_f 100 + 1] + ((10^2 + 10^3 - 1) + (10^2 + 10^3 - 1)/50)/2$ data blocks

Index processing: $[\log_f 1000 + 1]$ read block operations to process an index on PNAME

The total number of row identifiers found: $10^5/1000$

Index processing: $[\log_f 100 + 1]$ read block operations to process an index on MANUFACTURER

The total number of row identifiers found: $10^5/100$

Example 11

Union of the sets of row identifiers obtained from index processing: 0
read block operations

Total number of row identifiers found: $(10^5/1000) + (10^5/100) - (10^5/(1000*100)) = 10^2 + 10^3 - 1$

Table processing: $((10^2 + 10^3 - 1) + (10^2 + 10^3 - 1)/50)/2$ read
block operations

Example 12

To compute a query

```
SELECT *  
FROM PRODUCT  
WHERE PNAME > 'bolt';
```

Selection query with a range condition, an index on PNAME can be used

we have to read $[\log_f 1000 + 1] + w_p * n / 10^5 + (n + n/50)/2$ data blocks

Vertical index processing: $[\log_f 1000 + 1]$ read block operations to process an index on PNAME

Horizontal index processing: $w_p * n / 10^5$ where w_p is the total number of leaf level blocks and n is the total number of rows that satisfy a condition $PNAME > 'bolt'$

References

[Cookbook, How to measure and how to improve performance of database applications, how to choose the best index, how to analyze index structures ?](#)

Ramakrishnan R., J. Gehrke Database Management Systems, chapters 8.1-8.3

Lightstone, S., Teorey T., Nadeau T., Physical Database Design, The Database Professional's Guide to Exploiting Indexes, Views, Storage, and More, Morgan Kaufmann Publishers, 2007, chapter 4