

# Ethical AI Validator - Compliance Report

## Report Information

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## Model Information

model\_name: Random Forest  
config\_name: conservative  
version: 1.3

## Training Scenario and Hyperparameters

Scenario: RF-conservative

Hyperparameter	Value
bootstrap	True
ccp_alpha	0.0
class_weight	None
criterion	gini
max_depth	5
max_features	sqrt
max_leaf_nodes	None
max_samples	None
min_impurity_decrease	0.0
min_samples_leaf	1
min_samples_split	10
min_weight_fraction_leaf	0.0
monotonic_cst	None
n_estimators	50
n_jobs	None
oob_score	False
random_state	42

verbose	0
warm_start	False

Audit Criteria

bias_threshold	0.3
fairness_threshold	0.7

Bias Analysis Results

Protected Attribute	Group	Bias Score
gender	female	0.198
gender	male	0.256
age_group	18-25	0.198
age_group	26-35	0.198
age_group	36-50	0.551
age_group	50+	0.138
education	bachelor	0.194
education	high_school	0.562
education	master	0.198
education	phd	0.198

Fairness Assessment Results

Protected Attribute	Fairness Score
gender	0.772
age_group	0.713
education	0.740

Hyperparameter Impact Analysis

Parameter	Value	Risk	Rationale
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min_samples_leaf	1	MEDIUM	Tiny leaves can produce unstable decisions for small groups.
class_weight	None	LOW	No class weighting can under-serve minority groups if data is imbalanced.

## Likely Contributing Factors

1. Low fairness score observed for 'age\_group' (score=0.713).
2. Highest bias in education -> high\_school (bias\_score=0.562).
3. Suspected hyperparameters: min\_samples\_leaf=1 (MEDIUM) - Tiny leaves can produce unstable decisions for small groups.; class\_weight=None (LOW) - No class weighting can under-serve minority groups if data is imbalanced.

## Overall Compliance Summary

Overall Status	PARTIALLY COMPLIANT
Bias Issues	Yes
Fairness Issues	No
Total Issues	1
Summary	Minor issues detected. Some compliance requirements need attention.

## GDPR Compliance Assessment

Requirement	Status	Notes
Data Minimization	Compliant	Audit completed successfully
Purpose Limitation	Compliant	Audit completed successfully
Transparency	Non-Compliant	Bias detected - transparency compromised
Accountability	Compliant	Audit completed successfully
Right to Explanation	Non-Compliant	Bias/fairness issues affect explainability

## AI Act Compliance Assessment

Requirement	Status	Notes
Risk Assessment	Non-Compliant	Bias/fairness risks identified

Transparency Requirements	Non-Compliant	Bias affects transparency
Human Oversight	Non-Compliant	Bias/fairness issues require oversight
Accuracy Requirements	Compliant	Audit completed successfully
Documentation	Non-Compliant	Bias/fairness issues need documentation

## Recommendations

- 1: HIGH PRIORITY: Apply post-processing bias correction
- 2: Implement equalized odds post-processing
- 3: Implement comprehensive bias monitoring in production
- 4: Document all mitigation strategies implemented
- 5: Establish regular bias monitoring procedures
- 6: Provide model explanations for affected groups
- 7: Consider human oversight for high-stakes decisions