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# Abstract

## 摘要

# Table of Contents

|  |           |
|--|-----------|
| <b>Abstract</b>  | <b>i</b>  |
| <b>摘要</b>  | <b>ii</b> |
| <b>List of Figures</b>                                     | <b>iv</b> |
| <b>List of Tables</b>                                      | <b>v</b>  |
| <b>Chapter 1 Introduction</b>                              | <b>1</b>  |
| <b>Chapter 2 Related works</b>                             | <b>3</b>  |
| 2.1 lexical semantic change                                | 3         |
| 2.2 The Concept of Home in Literature                      | 5         |
| 2.3 Diachronic Word Embeddings                             | 6         |
| 2.4 Visualizing semantic change                            | 11        |
| <b>Chapter 3 Methodology</b>                               | <b>13</b> |
| 3.1 Data Collection and Preprocessing                      | 13        |
| 3.2 The variability-based neighbor clustering method (VNC) | 17        |
| 3.3 Word-level Embeddings                                  | 18        |
| 3.4 Sense-level Embeddings                                 | 19        |
| <b>Chapter 4 Results</b>                                   | <b>21</b> |
| 4.1 Collocational-based approach                           | 21        |
| 4.2 Diachronic word embeddings                             | 22        |
| 4.3 Diachronic sense embeddings                            | 28        |
| <b>Chapter 5 Discussion</b>                                | <b>29</b> |
| <b>Chapter 6 Conclusions</b>                               | <b>31</b> |

|            |                               |    |
|------------|-------------------------------|----|
| Appendix A | Title of Appendix A . . . . . | 43 |
| Appendix B | Title of Appendix B . . . . . | 44 |

## List of Figures

|     |  |    |
|-----|--|----|
| 2.1 | The concept of home split into 3 regions (“Personal”, “Physical”, and “Social”). The spatial distribution of the 20 categories are yielded from Kendall’s Tau correlation between the types and meanings of home defined by participants (Adopted from Sixsmith (1986)). . . . . | 6  |
| 3.1 | Frequency change derived from the bootstrapping test on characters between the Tang and Qing dynasty * The line in red represents the frequency change of <i>jiā</i> . . . . .   | 16 |
| 4.1 | VNC periodization of collograms occurring before <i>jiā</i> . . . . .  | 21 |
| 4.2 | VNC periodization of collograms occurring after <i>jiā</i> . . . . .   | 21 |
| 4.3 | VNC periodization of collograms occurring with <i>jiā</i> . . . . .  | 21 |
| 4.4 | Snapshot of PCA Embedding Projector in TensorBoard * Total variance described: 34.6%. * Tang (dark blue); Song (red); Yuan (pink); Ming (sky blue); Qing (green); 1980s (brown); 2010s (mustard). . . . .  | 22 |
| 4.5 | Snapshot of t-SNE Embedding Projector in TensorBoard * Perplexity: 74; learning rate: 10 Iteration: 67 (left panel); 102 (right panel) * Tang (dark blue); Song (red); Yuan (pink); Ming (sky blue); Qing (green); 1980s (brown); 2010s (mustard). . . . .                       | 23 |
| 4.6 | Neighboring words of <i>jiā</i> projected in a three-dimensional space . . . . .   | 24 |
| 4.7 | VNC results of word-level embeddings . . . . .   | 27 |
| 4.8 | Diachronic interactions of senses . . . . .  | 28 |

# List of Tables

|     |   |    |
|-----|---|----|
| 3.1 | Data composition of the Chinese Text Project (CTEXT) corpus . . .   | 14 |
| 3.2 | Token and type counts of the diachronic corpora . . . . .   | 14 |
| 4.1 | Neighboring words with the highest similarity scores to the words <i>jiā</i><br>, 家庭 <i>jiāting</i> ‘family/household’, 家人 <i>jiārén</i> ‘family members’, 家<br>族 <i>jiāzú</i> ‘a family’s clan’. . . . . | 25 |





# Chapter 1

## Introduction

Language is constantly changing and evolving. The emergence of new senses, the demise of old ones, and the polysemous nature of lexical items make the process of semantic change a dynamic phenomenon (Robert, 2008). As individuals learn new words and meanings throughout their life, so does a language. While recent studies have used time-sliced collections of texts to observe swift meaning changes, the digitalization of texts from earlier time periods opens up research opportunities that incorporates a corpus-driven approach to trace the diachronic development of words and their meanings (Camacho-Collados and Pilehvar, 2018; Kutuzov, Øvrelid, et al., 2018; Tahmasebi et al., 2018).

“The quick and the dead”, quoted from the Bible, means “the living and the dead”, but the collective adjective “the quick” no longer makes sense in Present-Day English (Crowley and Bown, 2010b: 199).

Renouf (2002) reflects on how textual data starts to be treated more than “a static entity.” In 1982, Sinclair envisions the possibility of “vast, slowly changing stores of text” and “detailed evidence of language evolution” (as cited in Renouf, 2002). The use of digitalized libraries as rich linguistic resources to observe how certain linguistic features are “assimilated” into the language becomes more and more feasible (Renouf, 2002).

Additionally, the change in meaning is captured by translating discrete linguistic data into numeric vectors such as word embeddings, especially after the release of Word2vec (Mikolov et al., 2013), GloVe (Pennington et al., 2014) and FastText

(Bojanowski et al., 2016). An initial attempt is to generate word embeddings from different time spans and explore whether semantic change occurs based on the neighboring words of the target word from each time period.

The concept of home is an ancient, seemingly familiar and encompassing, but tangible one. Various humanities disciplines have sought to grasp the full picture. Defined by the Oxford English Dictionary (OED), the word *home* is “the place where a person or animal dwells” (“Home”, 2020). As one of the earliest 1% entries to be included in the OED, this word has 35 main senses and 214 total senses—Home is a physical space, a place where we feel a “sense of belonging [and] comfort”, and even a person’s “country or native land.” In Mandarin Chinese, the MOE Revised Mandarin Chinese Dictionary defines its translated equivalent 家 *jiā* ‘home’ as “a place where family members live together (眷屬共同生活的場所)”, “a private property (私有財產)”, and “people in certain professional fields (經營某種行業或具有某種身份的人)” (“Jia”, 2015). Yet, how is the concept of home encoded linguistically? Specifically, how is diachrony interacts with synchrony and variations?

From the perspective of corpus-based computational linguistics, questions are invoked as to how the concept of home is understood by the computer? What words are semantically related to this concept? Because we live at home, far from home, or in a place we call home, and we are constantly searching for the meanings of home, this study aims to explore how semantically-related words construct the meanings of home, and how this concept comes into shape through the lens of time.

This paper is organized as follows. An overview and reflections of semantic change and diachronic word embeddings are given in section 2. The development of word-level and sense-level word representations brings to the fine-grained analyses and generalizations of semantic change. The topic of home is introduced in the second section. Section 3 describes how semantic change is captured and visualized.

# Chapter 2

## Related works

### 2.1 lexical semantic change

Language is dynamic; it changes in the passage of time. Previous studies have shown that lexical semantic change is both linguistically and socially motivated (Hamilton et al., 2016a; Kutuzov, Øvrelid, et al., 2018; Kutuzov, Velldal, et al., 2017). In Hamilton et al. (2016a), linguistic drift and cultural shift are distinguished and measured based on diachronic word embeddings, with the latter restricted to a smaller set of neighboring words.

Semantic change can be divided into a semasiological and an onomasiological path of meaning change. A semasiological perspective deals with meaning change of the fixed form of a word or linguistic unit, while an onomasiological one is framed within a given concept expressed by a set of candidate words.

Semantic change can be broadly understood as the “reanalysis” of a word (Fortson IV, 2017: 650), and recognizing different types of semantic change does not entail an absolute distinction of a certain type, but outlines the research foci of previous studies (Fortson IV, 2017: 650; Traugott, 2017). Bloomfield (1933) classification of semantic change highlights the denotative (broadening/narrowing), connotative (degeneration/elevation), intensity (hyperbole), figurative (metonymy/metaphor), and relational (synecdoche) aspects of a lexical item that undergoes semantic change. In Crowley and Bower (2010b: 199–205), types of semantic change are distinguished from the forces. The former includes broadening, narrowing,

bifurcation (split), and shift, and the latter includes hyperbole, metaphor, euphemism, interference, folk etymology, and hypercorrection. Whether an instance of semantic change is bifurcation or shift is determined by the absence of the original sense. Semantic shift is reflected in the cognate words from target languages, which do not come to have the new meaning. In terms of hyperbole, words in constant use become more and more neutral. Interference describes the semantic relations of synonyms or homonyms; other word are in place to avoid confusion in communication.

Among the driving forces of lexical semantic change, synchronic polysemy is highlighted as the essential component (Robert, 2008). The construction of meanings is flexible and sensitive to the context of use (Miller and Charles, 1991/2007; Zellig, 1954/2015). Additionally, the mechanism of metonymy allows the co-existence of referential and conceptual meanings in the same word (Hilpert, 2019; Nerlich and Clarke, 2001). Specifically, an understanding of metonymic change builds upon the familiarity of the culture in which the language is spoken, which leads to the diversity of attested examples (Fortson IV, 2017: 649). Nonetheless, semantic change is a complicated phenomenon resulting from not only polysemy, but also subjectification (Traugott and Dasher, 2001), prototypicality (Geeraerts, 1997), and other contributing factors. Linguistic variations of language use is omnipresent in the synchronic settings, but is amplified in a diachronic scope.

To measure semantic change quantitatively, frequency and collocational patterns allows for exploratory insights. If the word studied is one of the words with the highest frequencies, but stable, the establishment of a “collocational profile” for each character can be identified (Firth, 1957).

The application of computation to larger sets of words across longer periods of time enables the generalization of regularities on semantic change (Hamilton et al., 2016b). Semantic change driven by technological innovations are prominent examples, while shifts of meanings with linguistic cause tend to occur relatively more slowly (Hamilton et al., 2016b). The changes encompass changes to “core meanings of words” or “subtle shifts of cultural associations” (Hamilton et al., 2016a). The term “brachychrony” is even coined by Renouf (2002)Mair (1998) to refer to a time span of 10 to 30 years, indicating how the change of a linguistic feature can be

delineated within a short time frame.

## 2.2 The Concept of Home in Literature

The concept of home has been extensively studied in (environmental) psychology, sociology, anthropology, architecture, and other fields of study (Mallett, 2004; Moore, 2000; Samanani and Lenhard, 2019; Sixsmith, 1986). Specialized topics on homelessness, journeying, migration, gender, and aging are also discussed. Previously, the meanings and concept of home are explored through questionnaires, interviews, and by examining quotes and literary works. When described using language, this concept becomes intertwined with such words as home, house, dwelling, and family, with these words used interchangeably (Mallett, 2004; Sixsmith, 1986). Nonetheless, home is “not only of belonging but also of potential alienation when attempts to make home fail or are subverted” (Samanani and Lenhard, 2019). The emphasized aspects of different word choices from literature can be summarized as follows:

1. House: physical space, reification of material circumstances and home concept organization through its layout, furnishings, renovation, and decoration (Samanani and Lenhard, 2019). For instance, Bourdieu compares how Kabyle people see the pair of light and dark to public and private, and asserts that a house “reflect[s] structured worldview” and “reproduce[s] it” (Samanani and Lenhard, 2019). Furthermore, materiality facilitates the development of a sense of belonging (Moore, 2000).
2. Family: a structured social unit of living. A family is symbolic of marriage, kinship, togetherness, and homeliness (Samanani and Lenhard, 2019). A household is established through the process of homemaking, and the feeling of rootedness, safety, and value is thus deepened (Moore, 2000; Samanani and Lenhard, 2019). On top of that, marriage consolidates the concept of home through physical renovation and expansion of the house. From generation to generation, reproduction of class and gender differences is also strengthened or challenged (Mallett, 2004; Samanani and Lenhard, 2019).

The most detailed analysis is provided by Sixsmith (1986). The co-existing relationships of home is plotted as three regions from questionnaire responses, as shown in Figure 2.1 (Sixsmith, 1986).

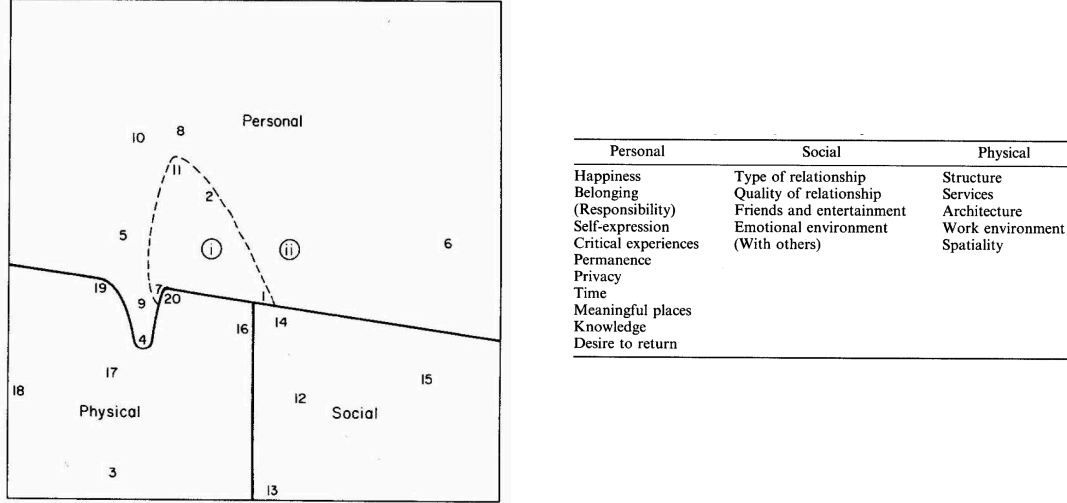


Figure 2.1. The concept of home split into 3 regions (“Personal”, “Physical”, and “Social”). The spatial distribution of the 20 categories are yielded from Kendall’s Tau correlation between the types and meanings of home defined by participants (Adopted from Sixsmith (1986)).

Culturally, the concept of home in Taiwan as a physical space has undergone changes caused by the sway of the world order (Chen and Fu 沈孟穎, 傅朝卿, 2015). Traditionally, *heyuan* houses are common architectural forms reflecting Chinese analogy of an abode to an extension of the human figure and Chinese cultures of calligraphy and sculpture. Later, influenced by Japanese power, Japanese-Western Eclectic style was introduced to Taiwan, and 街屋 *jie-wu* ‘street house’ transforms the architectural landscape by incorporating the commercial use into the residential function. This hybridization is embodied and preserved in places like Dihua Street and Dadaocheng Area.

## 2.3 Diachronic Word Embeddings

Semantic change is a manifestation of language use in both conventional and creative ways by the language community, making textual data temporal-dependent in essence (Kutuzov, Øvrelid, et al., 2018). As more attention is paid to the

design of diachronic corpora and digitalization of historical text, a gap bridge and rapid advancements are seen in investigating semantic change in a data-driven way, especially from a distributional semantic perspective like diachronic word embeddings (Hamilton et al., 2016b; Kutuzov, Øvrelid, et al., 2018; Tahmasebi et al., 2018). With a growing interest in this research topic, insights have been made to highlight some key and challenging aspects of semantic change modeling (Camacho-Collados and Pilehvar, 2018; Kutuzov, Øvrelid, et al., 2018; Tahmasebi et al., 2018).

In Natural Language Processing, word embeddings are commonly added to the last layer of a deep learning model to translate discrete linguistic data to continuous numeric vectors. On the other, another line of research focuses on the use of word embeddings as evidence for certain linguistic feature. (Antoniak and Mimno, 2018) Unsupervised lexical semantic change detection refers to the task of tracing semantic change based on diachronic word embeddings trained on time-sliced textual data or (sub)corpora. The modeling rests on the assumption that change in meaning is captured if change in word co-occurrences is identified. One of the crucial steps is the collection of text and its temporal information in order to build word embeddings of different time epochs. The division of time periods, or the granularity, is also decided in the meantime of corpora compilation. Typically, the more recent the text is created, the more refined or specific the time units are set (Kutuzov, Øvrelid, et al., 2018). Among the diachronic textual data currently available, the main source includes but not limited to the Google Books Ngrams Corpus<sup>1</sup>, Corpus of Historical American English (COHA)<sup>2</sup>, Project Gutenberg Corpus<sup>3</sup> and self-compiled corpora with text from newspapers and online social media. While large-scale projects have led to the release of various pre-trained word embeddings, new word embeddings continue to be trained to allow for more diversity and richness of the textual contents, and to adapt to specific research questions to be answered. This trend pertains to the definition of “diachronic”, which highlights the characteristics of the source data with long stretch of time, and even from a long time ago in history.

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<sup>1</sup><http://books.google.com/ngrams>. A comprehensive review of diachronic corpora is provided by Tahmasebi et al. (2018: 38–41)

<sup>2</sup><https://www.english-corpora.org/coha/>

<sup>3</sup><https://www.sketchengine.eu/project-gutenberg-corpus/>

Diachronic word embeddings can be used to discover more possibilities of unknown change cases and underlying causes of general semantic change (Hamilton et al., 2016a). In Hamilton et al. (2016a), it is concluded that linguistically-driven semantic change occur more slowly than socially-motivated phenomenon. The invention of new technologies serves as prominent examples of cultural drift, as in *apple* and *cell*. Kutuzov, Velldal, et al. (2017) exemplifies how social events such as armed conflicts are traced by monitoring word associations with “anchor words” like *war*, *peace*, and *stable*. Lists of words with the highest similarity scores or analogous pairs of words are analyzed to verify the results of diachronic word embeddings. In Hamilton et al. (2016a), the results of linear regression shows that a local measure of this partial list is sufficient to account for the phenomenon of a cultural drift.

On top of that, based on the self-similarity scores of the English lexicon between 1850 and 2009, Dubossarsky, Tsvetkov, et al. (2015) find that lexical semantic change positively correlates with the centroid of a word’s cluster, which is symbolic of the word’s prototype, hence the “law of prototypicality.” The law of conformity and innovation are put forward by Hamilton et al. (2016b); the former posits that observed frequency positively correlates with the rate of semantic change, while the latter asserts that semantic change is positively influenced by a word’s polysemy, the number of a word’s senses, in controlled frequency. However, different conclusions exist given different experiment settings and source data, so no consensus has been reached regarding a wider generalization of semantic change in more languages building upon diachronic word embeddings.

Additionally, if time-specific embeddings are separately trained, the embeddings are randomly initialized, and it is necessary to align them in the same vector space (Hamilton et al., 2016b). Thus, the alignment of embeddings leads to the comparability of cosine similarity scores of words from different time periods. To project separately trained word embeddings, linear transformation, distance-preserving projection, second-order embeddings that consist of vectors of word’s similarities to all other words in the shared vocabulary of all models are used. The most widely adopted alignment algorithm is proposed by Hamilton et al. (2016b), who utilizes second-order embeddings and orthogonal Procrustes transformations at the same time. Another line of research resorts to jointly



learning word representations of all time periods by incrementally updating the model. Furthermore, the hierarchical softmax function is introduced to improve the efficiency of the updating.

Nonetheless, the scarcity of ground-truth test data has made it difficult to evaluate the employed approach. The rating-based and dictionary-based collection of evaluation data are met with low inter-rater agreement of recruited annotators and/or inaccessibility of sources from the time period of interest (Tang, 2018). Kutuzov and Giulianelli (2020) reveal that the results based on the test data can be distinctively varied across different languages. In contrast, evaluation datasets for Present-Day English are available, as well as translations and crowd-sourced human-annotated datasets in Mandarin Chinese. In downstream tasks, the importance of constructing temporal-aware embeddings as input data is acknowledged (X. Huang and Paul, 2019). Temporal adaptation is introduced as a form of domain adaptation to diachronic word embeddings and proves effective in the task of document classification (X. Huang and Paul, 2019).

Another challenge, namely the “meaning conflation deficiency”, is brought up by Camacho-Collados and Pilehvar (2018). Previously, word embedding technique is first implemented by Mikolov et al. in 2013. The embeddings models such as Continuous Bag-Of-Words (CBOW), Skip-gram with negative sampling (SGNS), Singular value decomposition on Positive Pointwise Mutual Information (SVD-based PPMI) are static, for only one vector is generated to represent each word type in the diachronic textual data. Word-level vector representations do not account for the context of the keyword. Therefore, two words are likely to move closer toward each other in vector space not necessarily because they become semantically closer, possibly because one of the words undergoes meaning change on the sense level. Due to the static nature of word embeddings, Hu et al. (2019) point out that the results do not show which sense has changed, and which remains stable, if not at a “coarse-grained” level. While static word embeddings rely on the analysis of neighboring words with the keyword to determine the presence or absence of meaning change, contextualized word embeddings mapped tokens to a possibly infinite sets of data points, allowing various methods to depict the subset of data. Pre-trained language models like ELMo and BERT are dynamic and contextualized. Multiple

embeddings can be extracted to represent a word in various contexts, thus allowing different senses of a word to be distinguished. It is possible to produce mappings between contextualized word representations and sense descriptions from external linguistic resources (e.g. the Oxford English Dictionary) (Hu et al., 2019).

In comparison with other approaches of semantic change detection, diachronic word embeddings exhibit a stronger explanatory power than frequency-based methodologies such as raw and relative frequency counts, collocational analysis (Kutuzov, Øvrelid, et al., 2018). Indeed, it is convenient to manipulate word vectors, but past literature also presents the results and analysis in combination of the above two or more approaches to generalize the underlying principles of semantic change or echo with the proposed linguistic hypotheses (Tahmasebi et al., 2018).

The compilation of corpora to include historical texts and annotations enables more detailed linguistic analysis. Examples include the Corpus of Historical American English (COHA, 1810-2000)<sup>4</sup>, A Representative Corpus of Historical English Registers (ARCHER, 1600-1999)<sup>5</sup> Royal Society Corpus (RSC, 1665-1996)<sup>6</sup>, Corpus of Late Modern English Texts (CLMET, 1710-1920)<sup>7</sup>, Hansard Corpus (1803-2005)<sup>8</sup>, among many others.

In Chinese, the number of diachronic corpora is relatively scarce, including Sheffield Corpus of Chinese<sup>9</sup> and Academia Sinica Ancient Chinese Corpus (中央研究院古漢語語料庫, hereafter ASAC Corpus)<sup>10</sup>. The ASAC Corpus is divided into 3 sub-corpora based on the development of Chinese syntax, namely Old Chinese subcorpus (上古 from pre-Qing to pre-Han), Middle Chinese subcorpus (中古 from Late Han to the Six Dynasties), and Early Mandarin Chinese subcorpus (近代 from Tang to Qing) to offer a synchronic sketch and a basis for diachronic comparisons. In the Academia Sinica Tagged Corpus of Early Mandarin Chinese (中央研究院近代漢語語料庫), raw texts are available from the Western Han dynasty to the Pre-Qing dynasty, with part of the texts imported from Scripta Sinica (漢籍全文資料庫計

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<sup>4</sup><https://www.english-corpora.org/coha/>

<sup>5</sup><https://www.projects.alc.manchester.ac.uk/archer/>

<sup>6</sup><https://fedora.clarin-d.uni-saarland.de/rsc/>

<sup>7</sup><https://perswww.kuleuven.be/u0044428/>

<sup>8</sup><https://www.english-corpora.org/hansard/>

<sup>9</sup><https://www.dhi.ac.uk/scc/>

<sup>10</sup><http://lingcorpus.iis.sinica.edu.tw/early/>

畫). It is believed that corpora creation is the foundation for a more thorough and accurate depiction for data collection during the establishment of lexical databases.

## 2.4 Visualizing semantic change

In view of the scale of data, semantic change modeling is evaluated on two grounds—the combination of statistical testing and visualizations, as well as classification tasks (Tang, 2018). In addition to the exploration of linear relationships such as word analogies, high-dimensional visualization techniques are employed to assess the results of word representation learning (S. Liu et al., 2018). Visualization of diachronic data allows researchers to explore any target word to see how the data changes along with time.

To visualize the results, vectors originally trained in high-dimensional space are transformed and projected in two or three dimensions. Principal Component Analysis (PCA) and t-distributed Stochastic Neighboring Embedding (t-SNE) (Van der Maaten and Hinton, 2008) are two common methods of dimensionality reduction. Only the most influential dimensions are retained using the former approach, while the latter reflects more geometrical structure of the high-dimensional data. However, the exploration of the internal structure and properties of an embedding is generally non-interactive (Smilkov et al., 2016). In 2016, Google releases the Embedding Projector under the TensorBoard framework, which provides users with many interactive functionalities such as zooming, filtering, inspection of data points with metadata created in the table format by users (Smilkov et al., 2016).

Coenen et al. (2019) recognizes the adaptability of BERT to various downstream tasks and the possibility of the language model to extract useful features from raw textual data. To understand the internal structure of BERT and how discrete linguistic units are translated into continuous numeric vectors, Coenen et al. (2019) use UMAP visualization of the token vectors and nearest-neighbor classifier. Semantically, fine-grained sense information is encoded in BERT, even in low-dimensional subspace. Coenen et al. (2019) conclude that both semantic and syntactic information are encoded in the contextualized embeddings in “complementary subspaces.” Yet, an attention-based model like BERT does not

necessarily “respect semantic boundaries when attending to neighboring tokens, but rather indiscriminately absorb meaning from all neighbors.” (Coenen et al., 2019)

It is summarized in Tang (2018) that the novelty of a sense can be understood as the change in sense distribution of different time intervals. The diachronic sense distribution can be visualized based on both word-level and sense-level embeddings (Dubossarsky, Tsvetkov, et al., 2015; Hu et al., 2019). In Dubossarsky, Tsvetkov, et al. (2015), the distance of a word’s centroid is pinpointed to find out the emergence of new senses. A trajectory of sense evolution is graphically represented in Hu et al. (2019). The rise of a new sense can be depicted in company with other senses in a competitive or cooperative relationship.

# Chapter 3

## Methodology

### 3.1 Data Collection and Preprocessing

The textual data in this study is written text of pre-modern Chinese and present-day Chinese, and obtained from three sources, namely CTEXT (Sturgeon, 2019), Academia Sinica Balanced Corpus of Modern Chinese (ASBC) (Chen et al., 1996), and Dcard<sup>1</sup>. The data from the aforementioned sources are sequential in time and large in size, which allows for a diachronic view of how the concept of home evolves.

Firstly, the Chinese Text Project collects “pre-modern Chinese texts” with time spanning from 1046 B.C. of the Western Zhou dynasty onward (Sturgeon, 2019). Since the number of texts available from each era varies, and given that the higher the number of texts, the more diverse the content, the time frame with the highest number of texts is chosen to construct the sub-corpora of pre-modern Chinese in this study, and the database can be expanded if data of an earlier era is directly followed by data of the next era. As shown in Table 3.1, texts from Tang, Song, Yuan, Ming, and Qing dynasties are the largest in size, and are continuous in order, and thus are retrieved from the CTEXT database using `ctext`, a Python wrapper for the CTEXT Application Programming Interface (API) developed by Dr. Donald Sturgeon.

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<sup>1</sup><https://www.dcard.tw/>

| Time span (A.C.)   | Number of texts | Number of unique texts |
|--------------------|-----------------|------------------------|
| 618 – 907 (Tang)   | 956             | 623 (-333)             |
| 960 – 1279 (Song)  | 2998            | 2145 (-853)            |
| 1271 – 1368 (Yuan) | 991             | 742 (-249)             |
| 1368 – 1644 (Ming) | 4248            | 3497 (-751)            |
| 1644 – 1911 (Qing) | 9669            | 7719 (-1950)           |
| Average            | 3772            | 2945 (-827)            |

Table 3.1. Data composition of the CTEXT corpus

| Corpus | Time span (A.C.) | All texts         |                   | Selected texts    |       |
|--------|------------------|-------------------|-------------------|-------------------|-------|
|        |                  | Tokens            | Types             | Tokens            | Types |
| CTEXT  | Tang             | $1.0 \times 10^8$ | $1.2 \times 10^4$ | $3.9 \times 10^7$ |       |
|        | Song             | $4.5 \times 10^8$ | $1.7 \times 10^4$ | $5.7 \times 10^7$ |       |
|        | Yuan             | $1.0 \times 10^8$ | $1.2 \times 10^4$ | $4.0 \times 10^7$ |       |
|        | Ming             | $1.8 \times 10^8$ | $1.5 \times 10^4$ | $7.1 \times 10^7$ |       |
|        | Qing             | $6.4 \times 10^6$ | $1.5 \times 10^4$ | $8.4 \times 10^7$ |       |
| ASBC   | 1981 – 2007      | $9.2 \times 10^6$ | $2.1 \times 10^5$ | N/A               | N/A   |
| Dcard  | 2011 – 2019      | $4.4 \times 10^5$ | $4.9 \times 10^4$ | N/A               | N/A   |

Table 3.2. Token and type counts of the diachronic corpora

Secondly, ASBC contains articles from the year of 1981 to 2007. The total number of segmented word tokens and type in the corpus is 8,940,871 and 66,562 respectively. In addition, not only are articles in ASBC temporal-labeled, but the texts are also carefully segmented, which makes it an ideal input data for word vector representations.

The third source of data is Dcard, an online social discussion platform established in December, 2011, in Taiwan. In order to retrieve posts with the most diverse topics Dcard API is utilized to retrieve posts from the section of most lately published articles, rather than posts from a number of selected channels.

Apart from the provision of the API access, the CTEXT project website is informative of how textual data and metadata are stored in the retrieved format. Following the instructions<sup>2</sup>, the preprocessing of raw texts is done as described below:

- (1) The raw text is cleaned by (a) removing commentaries and marginal notes, (b) segmenting the text into two levels of chunks to indicate possible sentence and word/phrase boundaries according to the list of punctuations in the Instructions, and (c) extracting Chinese characters encoded in Unicode.
- (2) To compile time-sliced subcorpora with equal size and relevant information, only one version is selected. The CTEXT digital library contains multiple versions of a text converted by different OCR (Optical Character Recognition) techniques, and the metadata includes tags that differentiate versions at varying degrees of OCR accuracy. In the case where no tags are provided, the version with the largest file size is selected, which is also the reason why text cleaning preceeds version selection.

Chinese words are not delimited by space, nor is punctuation systems adopted in pre-modern Chinese text. As a consequence, the punctuations should be viewed as symbols to mark 句讀 *jùdòu* ‘pauses or breaks’. Only the symbols specified in the website’s instructions are treated as indications of sentence boundaries, namely the newlines, full-width periods (。), and vertical bars (|). During the preprocessing, the set of punctuation marks used for phrase-level segmentation include the CJK Symbols and Punctuations, their half-width counterparts, and variants listed in the Unicode Standard<sup>3</sup>.

Unicode range between U+4e00 and U+9fff are retained and used to construct word embeddings.

Text surrounded by quotation marks indicates conversations, sayings, or allusions, and is not removed during the preprocessing. On one hand, conversations are an integral part of the text; on the other, sayings and allusions reveal what is still in use or understandable in the time period of their appearance.

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<sup>2</sup><https://ctext.org/instructions/wiki-formatting>

<sup>3</sup><https://unicode.org/charts/PDF/U3000.pdf>

After the completion of preprocessing, this study proceeds to a preliminary quantitative analysis using the R Quanteda library (Benoit et al., 2018). Since it is difficult to infer statistically significant frequency changes because linguistic resources of pre-modern Chinese are essentially insufficient and not of good quality, the bootstrapping method proposed by Lijffijt et al. (2016) is applied to reduce the influence of uneven distribution of linguistic features in texts and provide a more solid ground for the quantitative analysis. To understand the frequency distribution of characters in a diachronic view, the bootstrapping test is performed with 1K samples of 50 texts from the 500 texts of the Tang and Qing dynasties, as shown in Figure 3.1.

Specifically, although the relative frequency of *jiā* slightly increases from 1260.92 to 1609.15 (The raw frequencies are 61 420 and 1 831 222), the difference in the use of the character is not statistically significant:  $p=0.5404595$ , 1k samples. Consequently, the use of *jiā* does not change in frequency, and is regarded as being stable in use.

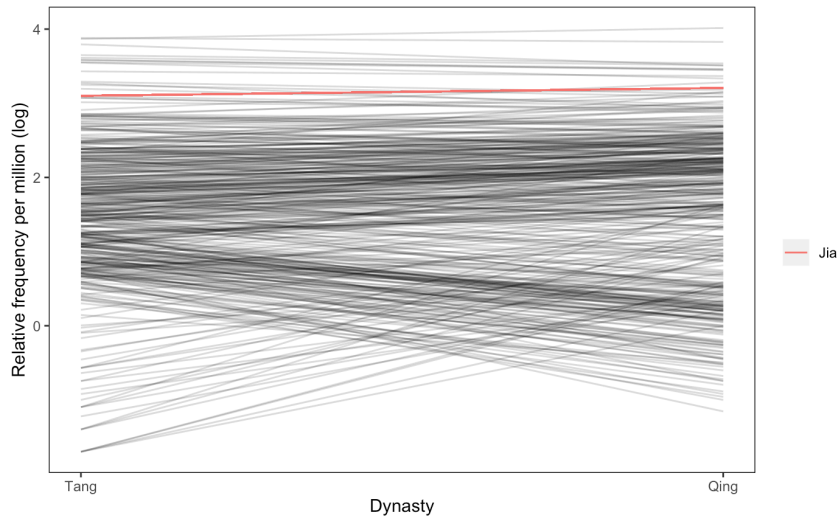


Figure 3.1. Frequency change derived from the bootstrapping test on characters between the Tang and Qing dynasty

\* The line in red represents the frequency change of *jiā*.



## 3.2 The variability-based neighbor clustering method (VNC)

To investigate the semantic change of *jiā*, both word-level and sense-level analyses are employed. To begin with, word-level analysis is performed using the Variability-based neighbor clustering (VNC) method (Gries and Hilpert, 2012) and the Word2Vec algorithm (Mikolov et al., 2013). Proposed by Gries and Hilpert (2012), the VNC method is used to divide the development of a linguistic phenomenon into sequential periods based on the input data of each time span. Previous techniques like cluster analysis and principal component/factor analysis do not take the temporal ordering of data into consideration. As a hierarchical agglomerative clustering method, data points that are similar, homogeneous and temporally adjacent are grouped together. In other words, the variability between temporally continuous data points determines whether they are put in groups or not. The resulting groupings can be graphically represented with a dendrogram and further analyzed.

If the data is sparsely distributed, the VNC method can be applied prior to data analysis. The VNC method can also be conducted and repeated to remove noise by finding out anomaly clusters that are not merged with other subgroups, and therefore minimize the influence of the outliers.

For example, if a year-by-year dataset is available to study the decline of a linguistic phenomenon, and the VNC periodization method reveals a number of one-year clusters, they are the anomalies and can be excluded from subsequent analyses.

The choice of amalgamation rules includes two common similarity measures, namely standard deviations and Euclidean distance. Typically, the former is applied to numerical data, and the latter is suited for vector data, which makes the VNC method especially useful even if a linguistic phenomenon does not change in frequency, but in other distributional ways. In addition, the merging of two neighboring time periods is based on the chosen amalgamation rule such as the average of values.

In this study, the distributional approach is based on the quantitative information

of word co-occurrences drawn from the time-sliced sub-corpora. Association measures are applied to quantify the strength of word co-occurrences, or the “collocability” of words studied (Gablasova et al., 2017). Particularly, the LogDice score is standardized and scaled, and thus comparable across corpora (Gablasova et al., 2017; Rychlý, 2008). To construct the vector data of the keyword *jiā* for each time slice, the frequency of the keyword and its collograms, the unigrams before and after the keyword (Gablasova et al., 2017), are first calculated, and the LogDice score of each collogram is then computed. Collograms that do not appear consecutively across all time slices are filtering out, and the LogDice scores of the shared collograms form a vector per time slice. Eventually, the LogDice vectors of all time slices is structured as a matrix. Two matrices are prepared for cases where collograms occur before and after the keyword, as well as another one regardless of the position of the collograms. Building upon the matrices, the VNC method is performed and the dendrogram is plotted using the R script offered on the Lancaster Stats Tools Online (Brezina, 2018) <sup>4</sup>.

### 3.3 Word-level Embeddings

In addition to the analysis by the VNC method, to learn what observations are supported by linguistic data in the three sub-corpora, embeddings are generated with Word2Vec in the Python Gensim package, and the linguistic data from different time periods are separately trained. Additionally, as suggested by Meng et al. (2019), character-based methods are likely to produce a more desirable results than word-based ones at some times, especially when the input data are “vulnerable to the presence of out-of-vocabulary (OOV) words,” and the words will thus be removed or left out from the subsequent computing process. To address the problem arising from word segmentation, character-based word embeddings are also generated for texts from pre-modern time, with the hyperparameter of window size set to 1 for both the precontext and postcontext. The choice of an immediate vicinity reflects the uni-syllabification of pre-modern Chinese. However, it is not to conclude that word segmentation is unnecessary, but that alternatives exist. It is also worth noting

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<sup>4</sup><http://corpora.lancs.ac.uk/stats/toolbox.php>

that not all word tokens are retained from the sources, as indicated by the percentage in parenthesis of the table. In this study, words of which frequency is lower than 5 are filtered out and not used for word embeddings. In addition, because unlike English, words are not separated with space in Chinese, the prediction capabilities of word embeddings can be hindered by the properties of each language. That is also likely to be the reason for which the number of word tokens are far higher in the CTEXT sub-corpus than that of the other two sub-corpora.

In terms of separately trained word vectors, vector alignment is based on Procrustes analysis by Hamilton and Heuser on GitHub (Hamilton et al., 2016b). After the training of Word2Vec embeddings, embeddings are imported to TensorBoard to visualize the data points (Smilkov et al., 2016), and further analyzed in the discussion section.

### 3.4 Sense-level Embeddings

As for contextualized embeddings, the chosen BERT pre-trained language model is bert-base-chinese (Devlin et al., 2018), which is a Transformer architecture with 12 layers, 768 hidden units, 12 heads, and 110M parameters, and is trained on both Traditional and Simplified Chinese text from Wikipedia and BookCorpus with masked training and next sentence prediction task. Conventionally, the final or last 4 hidden layers are used as the token embeddings, which is followed by the averaging of multiple embeddings of a target word, yielding a 768-dimensional vector to represent the target word being studied.

Using the BERT pre-trained language model, Hu et al. (2019) track the evolution of 4881 English words from 1810 to 2009 in the Corpus of Historical American English (COHA), and visualizes the interactions of words' senses. The source texts from COHA are concordance lines which contain target words with a frequency of at least 10 times for over 50 consecutive years. Additionally, the sense identification task is performed by using example sentences in the Oxford English Dictionary (OED) as the knowledge base for similarity comparison with texts from COHA, and the total number of senses from the OED is 15836. Firstly, the last hidden layer of a target word's embedding is extracted from the pre-trained BERT language model.

This token embedding is then compared with each sense representation retrieved from the OED word entry to determine which sense the target word belongs to.

# Chapter 4

## Results

### 4.1 Collocational-based approach

The results of the VNC periodization are plotted as dendrograms (See Figure 4.3, Figure 4.1, and Figure 4.2

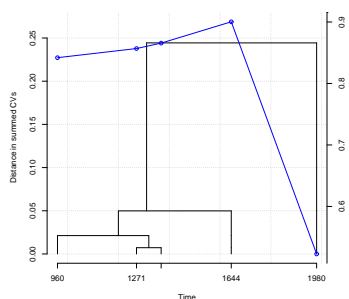


Figure 4.1. VNC periodization of collograms occurring before *jiā*

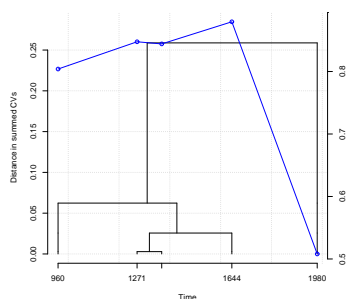


Figure 4.2. VNC periodization of collograms occurring after *jiā*

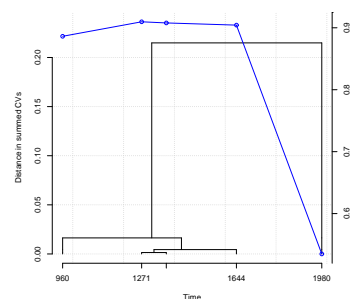


Figure 4.3. VNC periodization of collograms occurring with *jiā*

The correlation between the Qing dynasty and 1980s shows a drastically decreasing trend compared to that of its predecessor, the Ming dynasty and the Qing dynasty, marking a distinct new stage of development. Furthermore, the flattening of the line at 2 clusters in the scree plot suggests no subgroups are identified. It is generalized from the results of the VNC method that while modern Chinese is drastically different from pre-modern Chinese, the timeframe from the Tang dynasty

to the Qing dynasty shows that each dynasty is dissimilar from one another and cannot be merged, even for the shortest dynasty Yuan. The granularity of diachronic data is not equally partitioned.

## 4.2 Diachronic word embeddings

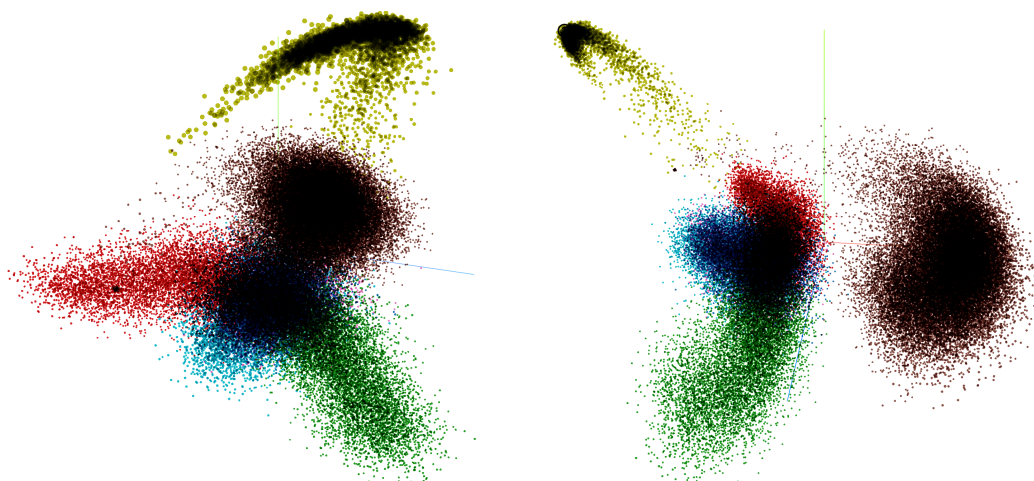


Figure 4.4. Snapshot of PCA Embedding Projector in TensorBoard

\* Total variance described: 34.6%.

Tang (dark blue); Song (red); Yuan (pink); Ming (sky blue); Qing (green); 1980s (brown); 2010s (mustard).

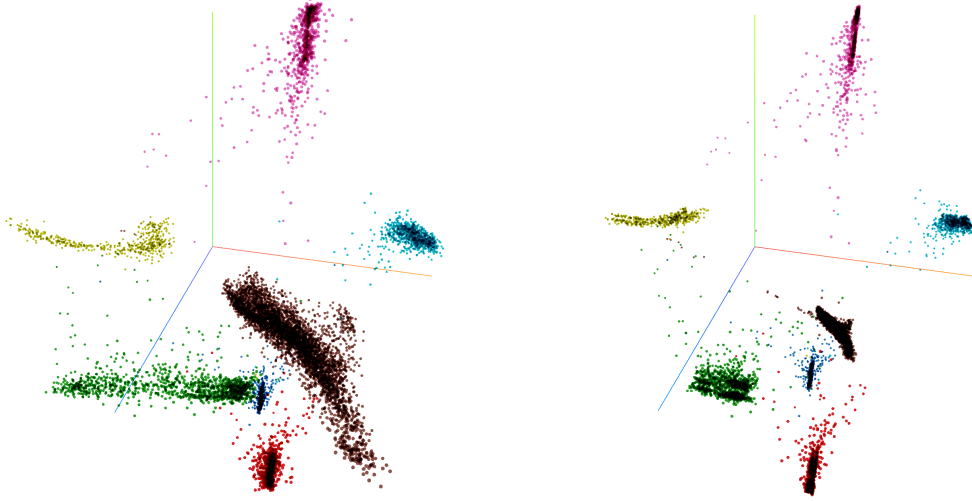


Figure 4.5. Snapshot of t-SNE Embedding Projector in TensorBoard

\* Perplexity: 74; learning rate: 10

Iteration: 67 (left panel); 102 (right panel)

Tang (dark blue); Song (red); Yuan (pink); Ming (sky blue); Qing (green); 1980s (brown); 2010s (mustard).

After word embeddings from Tang dynasty to Qing dynasty are generated, 10 words with the highest cosine similarity scores of *jia* are extracted from each dynasty. Character-based results are shown in Fig. 1, and word-segmented results are provided in the Appendix. It is found that character-based word embeddings yield a set of words with meanings that are closer to the definitions listed in the OED and MOE dictionaries.

Nonetheless, it is probable that *zhong* ‘burial mound’ tops the list because it could be coded for its resemblance of strokes to *jia*, or because the word was also used to refer to the eldest male offspring in the family, as in *jia-zhong* and *zhong-fu* ‘wife of the eldest male offspring.’ From the perspective of nearest neighboring words (Hamilton et al., 2016a), the core meanings of *jia* remains stable from pre-modern time, indicating a strong association with the family clan and the role of a wife, as in *zu* and *qi*. Secondly, the words *li* ‘village; neighborhood’ and *cun* ‘village; country’ are evident of the structured social unit of living from pre-modern time. However, the nearest neighboring words of *li* falls into the category of measurement units such as *zhang* ‘one-tenth of *chi*’ and *chi*, whereas *zun* is still closely linked to words like *zhuang* ‘village; town’ and *xiang* ‘lane; valley.’ Interestingly, the most semantically related words to *jia* in pre-modern Chinese time depicts the idea of home more as

a social concept than a physical one. If such words as zhi ‘nephew’, zi ‘offspring’, and sao ‘sister-in-law’ are considered, it becomes clearer that word vectors are able to capture the cultural aspect of jia in pre-modern Chinese. Noticeably, on the list of most similar words are two words related to money—fu ‘to be wealthy’ and zi ‘to estimate (value).’ Although they do not appear as frequently as the aforementioned words, they are assigned higher similarity scores than shi ‘era; decades’ and guo ‘nation; feudal land’, which are thought of as one aspect of core meanings of jia, as in guo-jia ‘nation; state.’

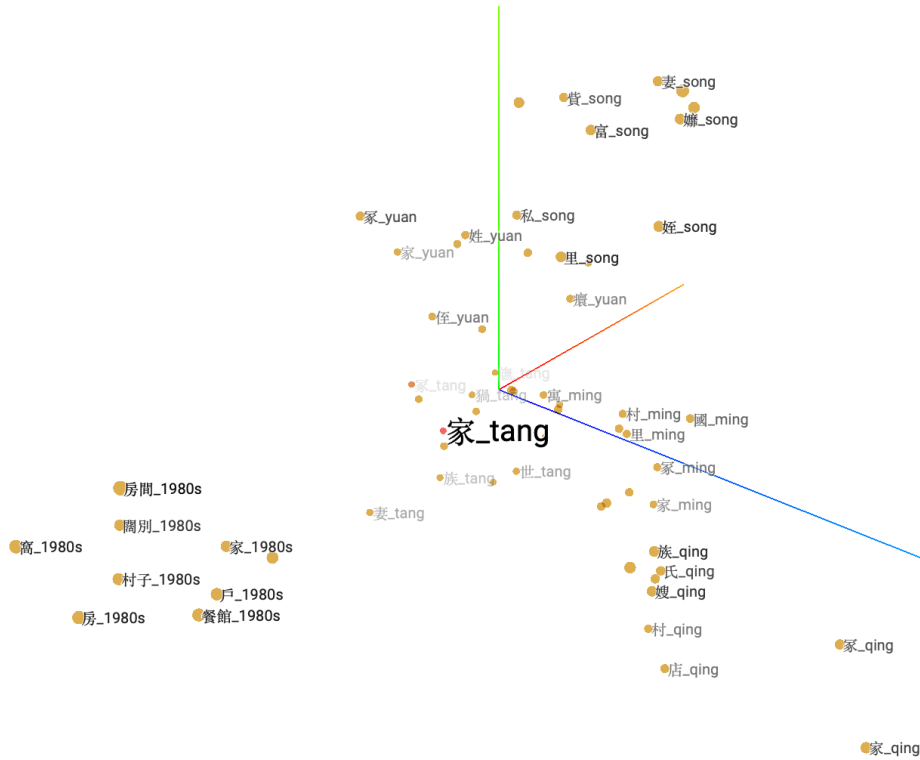


Figure 4.6. Neighboring words of *jiā* projected in a three-dimensional space



| Time  | Word | Nearest neighboring words |       |       |         |      |      |      |    |  |  |
|-------|------|---------------------------|-------|-------|---------|------|------|------|----|--|--|
| Tang  | 家    | 冢, 族,                     | 冢,    | 妻,    | 猓, 世,   | 富,   | 譙,   | 教,   | 國  |  |  |
| Song  | 家    | 冢, 族,                     | 里,    | 富,    | 貲, 妻,   | 姪,   | 私,   | 貴,   | 嬾  |  |  |
| Yuan  | 家    | 冢, 族,                     | 貲,    | 妻,    | 喻, 姓,   | 世,   | 侄,   | 癯,   | 偃  |  |  |
| Ming  | 家    | 冢, 族,                     | 妻,    | 豕,    | 里, 村,   | 寓,   | 富,   | 國,   | 產  |  |  |
| Qing  | 家    | 冢, 村,                     | 族,    | 氏,    | 妻, 店,   | 子,   | 寓,   | 病,   | 嫂  |  |  |
| 1980s | 家    | 房間, 村子,                   | 闊別,   | 戶,    | 家小, 酒店, | 窩,   | 房,   | 旗下,  | 餐館 |  |  |
|       | 家庭   | 婚姻, 小家庭,                  | 職業婦女, | 家族,   | 單親, 兩性, | 同儕,  | 貧苦,  | 上班族, | 鄰里 |  |  |
|       | 家人   | 親友, 親人,                   | 部屬,   | 親朋好友, | 同事, 師長, | 親戚,  | 父母親, | 妻兒,  | 異性 |  |  |
|       | 家族   | 豪門, 母系,                   | 氏族,   | 超人氣,  | 白種, 救星, | 文化人, | 族,   | 小家庭, | 宗派 |  |  |
| 2010s | 家    | 離開, 感受,                   | 要求,   | 安慰,   | 遇見, 聽到, | 身上,  | 早上,  | 傷害,  | 陪伴 |  |  |

Table 4.1. Neighboring words with the highest similarity scores to the words *jiā* , 家庭 *jiāting* ‘family/household’, 家人 *jiārén* ‘family members’, 家族 *jiāzú* ‘a family’s clan’.

ASBC and Dcard are representative of the concept of *jia* in the late 20<sup>th</sup> and 21<sup>st</sup> century. As Table 2 shows, *cun-zi* ‘village’ are still closely related to the concept of *jia*, appearing as one of its semantically most similar words in the vectors of both window size 1 and 5. Furthermore, more words carrying the meaning of family are seen on the list of ASBC, including *jia-xiao* ‘wife and children’, *quan-jia* ‘the whole family’, and *yi-jia* ‘(a) family’, yet *zu* and *qi* are no longer seen, which might reflect the shift of family clans as units of living to smaller household sizes and more equal status of each family member.

Secondly, not the word *yu* ‘apartment’, but *hu* ‘one-paneled door; household’, *wo* ‘nest; hiding place’, and *fang* ‘house; room’ are used to refer to *jia* as a physical space or unit of living. Because of the emergence of these alternative words, home evolves to be a private sphere (Mallett, 2004). These words highlight the physical aspect of meaning of *jia* and its characteristics under transformation. The word *wo* can be used either as a noun or a verb, and as a verb, it stresses that home is portrayed as a place where we feel cozy and at ease, and where we can “retreat and relax” (Mallett, 2004).

Interestingly, aside from *wo* as a verb, *kuo-bie* ‘to be separated for a long time’ is the only verb on the list of ASBC, and the concept of home as a “journeying” experience recurs in the Dcard corpus, as in *li-kai* ‘to leave’ (Mallett, 2004; Samanani and Lenhard, 2019). Besides, terms of commercial properties are spurring in the list of most similar words to *jia*, including *jiu-dian* ‘hotel’, *can-quan* ‘restaurant; bistro’, *lu-quan* ‘hotel’, *xiao-chi dian* ‘eatery.’ It is speculated that commercialization is accountable for this new trend, but it is also possible that *jia* starts to be used as a classifier, as in *yi-jia-lu-quan* ‘one hotel.’ Judging from the data in ASBC, it is seen that not only does the concept of *jia* changes across time, but the word use of *jia* changes as well, which is evident in more alternative word choices to refer to the concept of *jia*.

In the 21<sup>st</sup> century, the word *jia* is associated with a wider variety of words, mostly verbs. Unlike data from earlier time spans, the words are less semantically associated with the direct naming of a physical space or family unit, but because people engage themselves more and more often in describing their daily life and encounters, verbs like *li-kai* ‘to leave’, *qan shou* ‘to-feel’, *shang-hai* ‘to hurt’, and *pei-ban* ‘to accompany’ are assigned the highest probabilities to words of *jia*.

Although word embedding technique grows increasingly prevalent in the field of computational linguistics and natural language processing, it has been criticized for representing words with multiple meanings as one single vector, which is referred to as “meaning conflation deficiency” (Camacho-Collados and Pilehvar, 2018) To allow the algorithms to know different senses of the same word form, two main methods for sense embeddings are proposed. [21, 22] One is unsupervised as senses are “induced” from the training corpora; the other is knowledge-based, meaning external sense inventories, such as WordNet, are required to fine-tune the word vector models.

Since the keyword *jia* does not reveal how people are connected in this recent era, 2 other keywords are chosen to see if more insights can be gained. The words *jia-ren* and *jia-ting* can help us understand the social structure of home nowadays. As the above figure shows, the concept of *jia* is first depicted with a single word *jia*, and as time passes, *jia* is conceptualized with multiple other lexical items. In other words, in earlier time, different aspects of home are described by the character *jia*,

yet these aspects are embodied with different words such as jia ren-ren and jia-ting in modern Chinese texts.

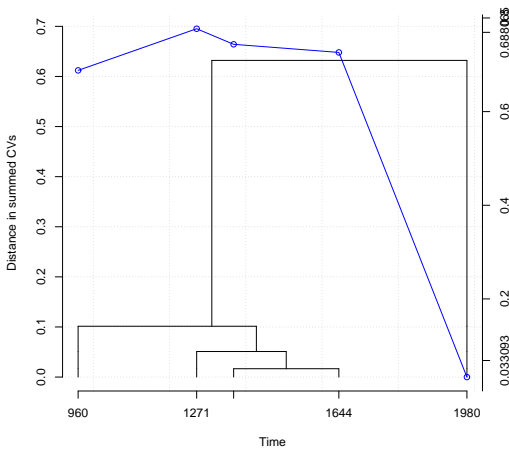


Figure 4.7. VNC results of word-level embeddings

### 4.3 Diachronic sense embeddings

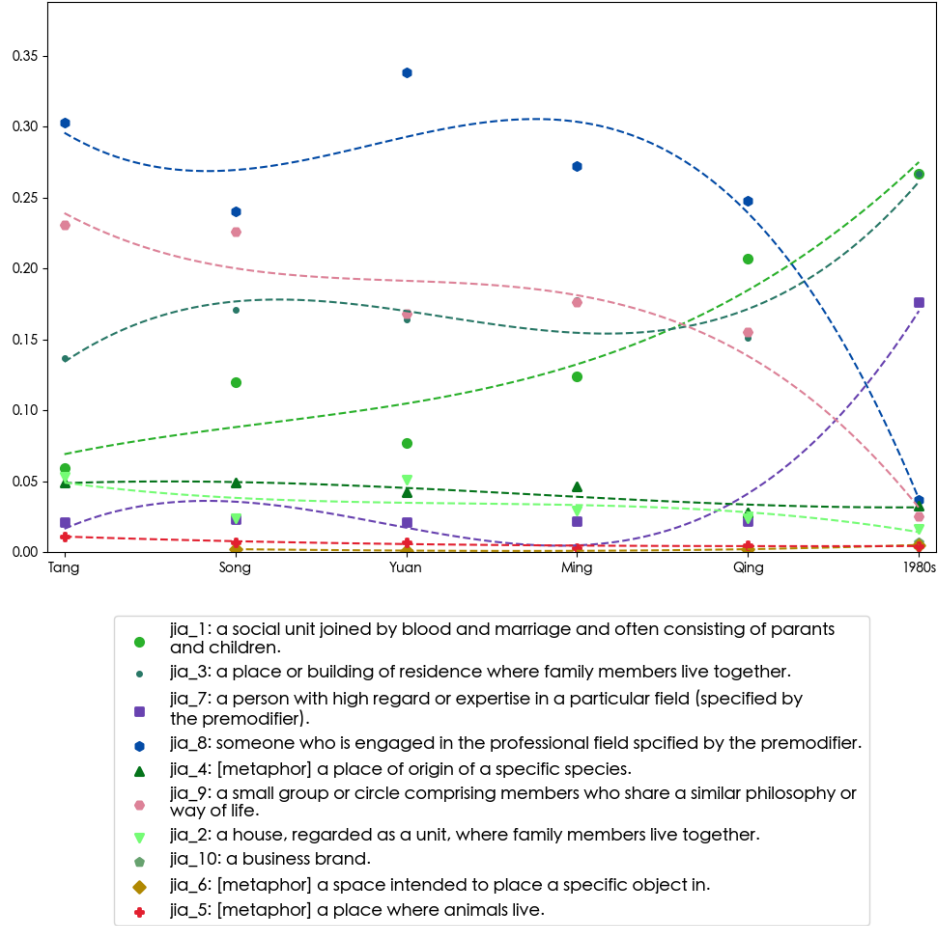


Figure 4.8. Diachronic interactions of senses

The polysemy of a lexical item is addressed by constructing multiple contextualized token embeddings. Shades of meanings are reflected in the diversity of contextual use.

# Chapter 5

## Discussion



# Chapter 6

## Conclusions

Renouf (2002) recognizes the importance of digitally storing both historical and modern textual data. “We need the past in order to understand the present. An amalgamation would increase the scope, timespan and continuity of resources, whilst lessening the inconvenience of having to switch from one corpus and set of tools to another” (Renouf, 2002). Although automatic data crawling and real-time processing makes it possible to build a dynamic, chronological web corpus of present-day language use, as written texts comprise a major part of existing corpora, it is a turning point to explore the diachrony of the data along with the lately available texts from historical periods.

In light of the growing interest in diachronic lexical semantic change, this paper is a case-study investigation of *jiā* through a corpus-based approach. is Language does not cease to change beyond the observable texts within the time frame of the chosen corpora, and

The evolution of *jia* is a compressed history of the Chinese society and the Chinese language. The analysis of word representations of *jia* serves as a starting point to pinpoint the core, stable meanings of the word, outlining the properties of a physical space and a structured social unit. While the emphasis has been put on the economic situation from pre-modern time, the word *jia* becomes less associated with individuated roles such as a wife, but more closely focused on the self, depicting personal memories of home leaving and returning.

With the advantage of distributional semantic models, the meaning conflation

of home, house, and family can be explored as different components. Especially, premodern Chinese is distinguished from the current written form, uses different lexical items, and is mostly in the form of one syllable. The disparity results in the addition of new senses of the one-character *jia*, and aspects of meanings are encoded in different two-character words in modern time. In the field of corpus and computational linguistics, changes of word choice and the inclusion of more senses allow for a closer look at the texts in snapshots of specific time frames, while resonates with studies in other disciplines.



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# Appendix A

## Title of Appendix A

# Appendix B

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