

CS201

MATHEMATICS FOR COMPUTER SCIENCE I

LECTURE 21

# NECKLACE PROBLEM

- Given Red and Black beads, how many distinct necklaces can be made of  $n$  beads?
- Represent a necklace by a sequence of  $n$  letters, each either  $R$  or  $B$ , denoting color of bead in every location of necklace.
- Let  $S$  be the set of all such sequences.
- We know,  $|S| = 2^n$ .
- However, not all sequences represent distinct necklaces: rotating or flipping a sequence does not change the necklace being represented.

# SYMMETRY GROUP

- Define  $\phi, \psi : S \mapsto S$  as:

$$\phi(c_0 c_1 \cdots c_{n-1}) = c_{n-1} c_0 c_1 \cdots c_{n-2}$$

$$\psi(c_0 c_1 \cdots c_{n-1}) = c_0 c_{n-1} c_{n-2} \cdots c_1$$

- $\phi$  and  $\psi$  can also be viewed as bijections from  $[0, n-1]$  to itself.
- As observed, the set of bijections of  $[0, n-1]$  to itself is a non-commutative group under composition.
- This group is referred as **symmetry group on  $n$  elements**, and denoted as  $S_n$ .

# SYMMETRIES OF NECKLACE

- Bijection  $\phi$  denotes right rotation by one and  $\psi$  denotes a flip pivoting on first bead.
- These two capture all the sequences representing same necklace in the following way:
  - ▶ For a given sequence, any number of rotations and flips do not change the necklace represented.
  - ▶ Flip pivoting on any other bead can be expressed as left rotation to make the bead first one, flip pivoting on first bead, and then a right rotation to take back the bead to its original place.
  - ▶ Hence, the set of bijections preserving the necklace are precisely the following subgroup of  $S_n$ :

$$N = \{\phi^{i_1}\psi^{j_1}\phi^{i_2}\psi^{j_2}\dots\phi^{i_k}\psi^{j_k} \mid i_r, j_r \in \mathbb{Z}\}$$

where  $\phi^i = \underbrace{\phi \circ \phi \circ \dots \circ \phi}_{i \text{ times}}$ .

# SYMMETRIES OF NECKLACE

- The subgroup  $N$  can be simplified:

- ▶  $\phi^n = e$ , hence  $0 \leq i_r < n$ .
- ▶  $\psi^2 = e$ , hence  $0 \leq j_r \leq 1$ .
- ▶ We have:

$$\begin{aligned}\psi \circ \phi \circ \psi \circ \phi(c_0 c_1 \cdots c_{n-1}) &= \psi \circ \phi \circ \psi(c_{n-1} c_0 \cdots c_{n-2}) \\ &= \psi \circ \phi(c_{n-1} c_{n-2} \cdots c_0) \\ &= \psi(c_0 c_{n-1} \cdots c_1) \\ &= c_0 c_1 \cdots c_{n-1}.\end{aligned}$$

- ▶ Therefore,  $\phi \circ \psi = \psi^{-1} \circ \phi^{-1} = \psi \circ \phi^{n-1}$ . So,

$$N = \{\psi^j \phi^i \mid 0 \leq j \leq 1, 0 \leq i < n\}.$$

- Hence,  $|N| = 2n$ .

# COUNTING NECKLACES

- Define a relation  $R$  on the set of sequences  $S$  as:  $t_1 R t_2$  for  $t_1, t_2 \in S$  if there exists  $\eta \in N$  such that  $\eta(t_1) = t_2$ .
- Relation  $R$  is an equivalence relation:
  - ▶  $t R t$  since  $e(t) = t$  and  $e \in N$ .
  - ▶ If  $t_1 R t_2$  then  $\eta(t_1) = t_2$  for  $\eta \in N$ .
  - ▶ This implies  $\eta^{-1}(t_2) = t_1$  and  $\eta^{-1} \in N$  showing  $t_2 R t_1$ .
  - ▶ If  $t_1 R t_2$  and  $t_2 R t_3$  then  $\eta_1(t_1) = t_2$  and  $\eta_2(t_2) = t_3$  for  $\eta_1, \eta_2 \in N$ .
  - ▶ This implies  $\eta_2 \circ \eta_1(t_1) = t_3$  and  $\eta_2 \circ \eta_1 \in N$  showing  $t_1 R t_3$ .

# COUNTING NECKLACES

- The number of distinct necklaces equals the number of equivalence classes of  $S$  under  $R$ .
- Unfortunately, the size of different equivalence classes can be different, and so no simple way is available to count number of equivalence classes:
  - ▶ For  $t = \underbrace{RR \cdots R}_{n-1 \text{ times}} B$ , the size of equivalence class containing  $t$  is  $n$ .
  - ▶ For  $t = \underbrace{RR \cdots R}_{n \text{ times}}$ , the size of equivalence class containing  $t$  is  $1$ , the minimum possible.
- Therefore, we need to dive deeper to count!

# COUNTING NECKLACES

- For  $t \in S$ , let  $[t]$  denote the equivalence class under  $R$  that  $t$  belongs to, and  $|[t]|$  its size.
- For  $t \in S$ , define  $N_t = \{\eta \mid \eta(t) = t, \eta \in N\}$ .
- $N_t$  is a subgroup of  $N$ :
  - ▶ Since  $e(t) = t$ ,  $e \in N_t$ .
  - ▶ If  $\eta_1, \eta_2 \in N_t$  then  $\eta_1 \circ \eta_2 \in N_t$ .
  - ▶ If  $\eta \in N_t$  then  $\eta^{-1} \in N_t$ .



# COUNTING NECKLACES

- $N_t$  splits  $N$  into equivalence classes of size  $|N_t|$ .
- We have:  $|[t]| * |N_t| = |N|$ :
  - ▶ For  $\eta_1, \eta_2 \in N$ ,  $\eta_1(t) = \eta_2(t)$  iff  $\eta_1 \circ \eta_2^{-1} \in N_t$ .
  - ▶ Therefore, the number of equivalence classes of  $N$  is exactly  $|[t]|$ .

# COUNTING NECKLACES

- For any  $\eta \in N$ , let  $F_\eta = \{t \mid t \in S, \eta(t) = t\}$ .
- For  $\eta \in N$  and  $t \in S$ , let  $\chi_{\eta,t} = 1$  if  $\eta(t) = t$ , 0 otherwise.
- We have:

$$\begin{aligned}\text{No of distinct necklaces} &= \sum_{t \in S} \frac{1}{|[t]|} \\ &= \sum_{t \in S} \frac{|N_t|}{|N|} \\ &= \frac{1}{2n} \sum_{t \in S} \sum_{\eta \in N} \chi_{\eta,t} \\ &= \frac{1}{2n} \sum_{\eta \in N} \sum_{t \in S} \chi_{\eta,t} \\ &= \frac{1}{2n} \sum_{\eta \in N} |F_\eta|\end{aligned}$$

# COUNTING NECKLACES

- $|F_\psi| = 2^{(n+1)/2}$  if  $n$  is odd,  $2^{(n+2)/2}$  otherwise.
- $|F_\phi| = 2$ .
- In general,  $|F_{\phi^i}| = 2^{\gcd(i,n)}$ .
- And  $|F_{\psi \circ \phi^i}| = 2^{(n+1)/2}$  if  $n$  is odd,  $2^{(n+2)/2}$  otherwise since  $\psi \circ \phi^i \circ \psi \circ \phi^i = e$ .
- Therefore,

$$\text{No of distinct necklaces} = \frac{1}{2n} (n 2^{\lfloor (n+2)/2 \rfloor} + \sum_{i=0}^{n-1} 2^{\gcd(i,n)}).$$

# POLYA'S COUNTING THEOREM

- The proof can be extended to more general settings.
- Let  $S$  be a finite set and  $G$  be a finite group acting on  $S$  in the following way: for every  $g \in G$ ,  $g : S \mapsto S$ .
- $G$  divides  $S$  into equivalence classes.
- Let  $F_g = \{t \mid g(t) = t\}$ .

## THEOREM

Let  $S$  be a finite set and  $G$  be a finite group acting on  $S$ . Then number of equivalence classes of  $S$  induced by  $G$  equals  $\frac{1}{|G|} \sum_{g \in G} |F_g|$ .