

# **Genome-Wide Association Mapping and Population Stratification**

Waseem Hussain

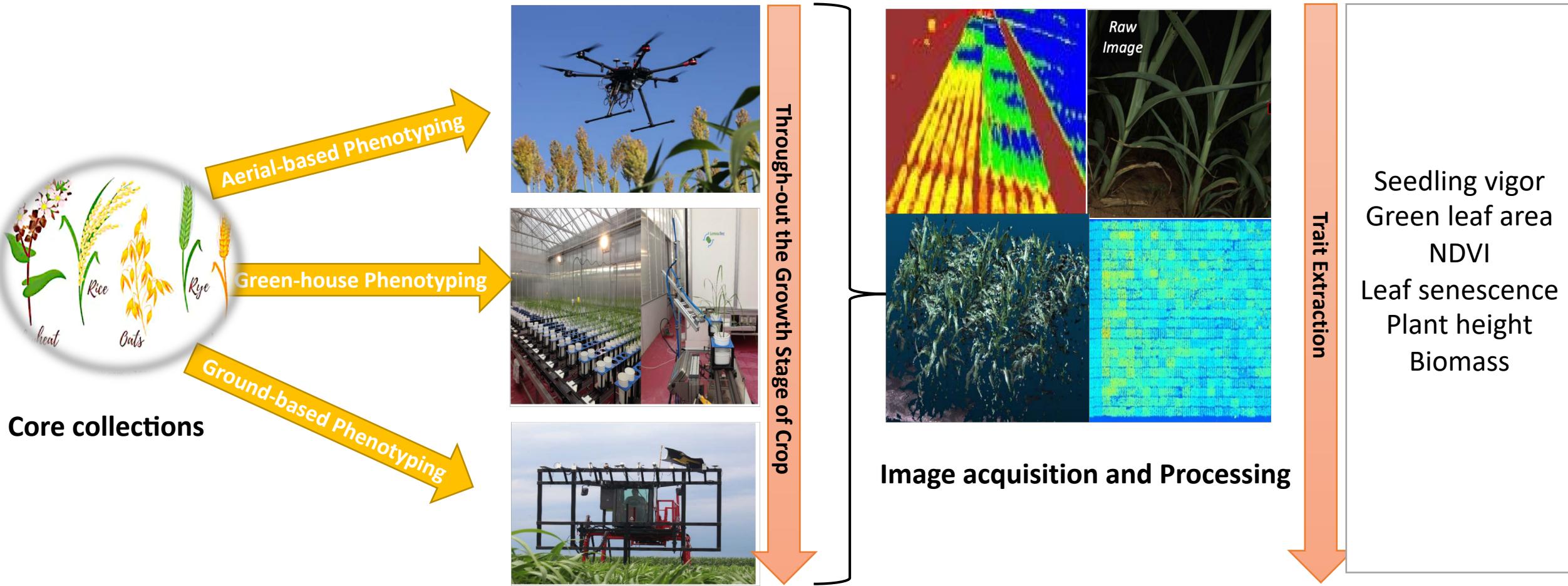
Postdoctoral Research Associate

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# Description

- High-throughput phenotyping
- Basic Concepts of Association Mapping
- Work flow for Genome-wide association mapping (GWAS)
- Population stratification
- Methods to account for Population stratification (PS) in GWAS
- Statistical methods for GWAS

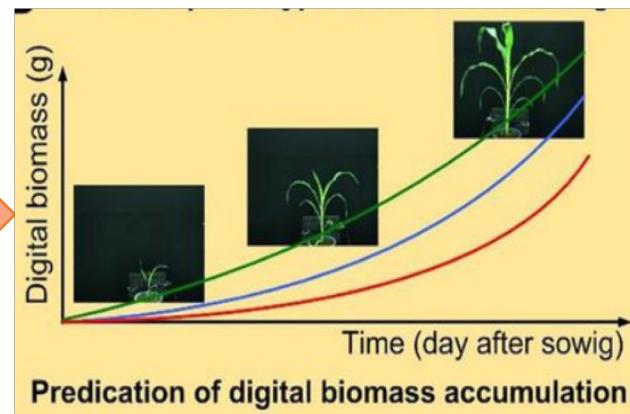
# High-throughput Phenotyping



# High-throughput Phenotyping

Seedling vigor  
Green leaf area  
NDVI  
Leaf senescence  
Plant height  
Biomass

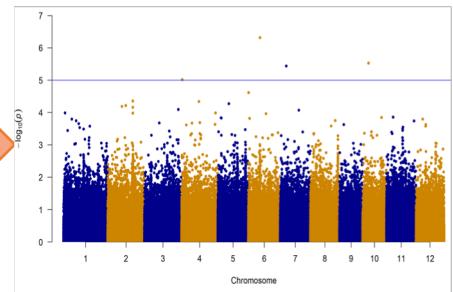
Growth Dynamics and  
Modelling of Traits



Phenotypes

Trait Dissection and  
Identification of Loci

Genotypes



# Why Mapping genes?

Find markers closely associated with gene for marker assisted gene introgression or predict the breeding value of line.

## Two Main Approaches

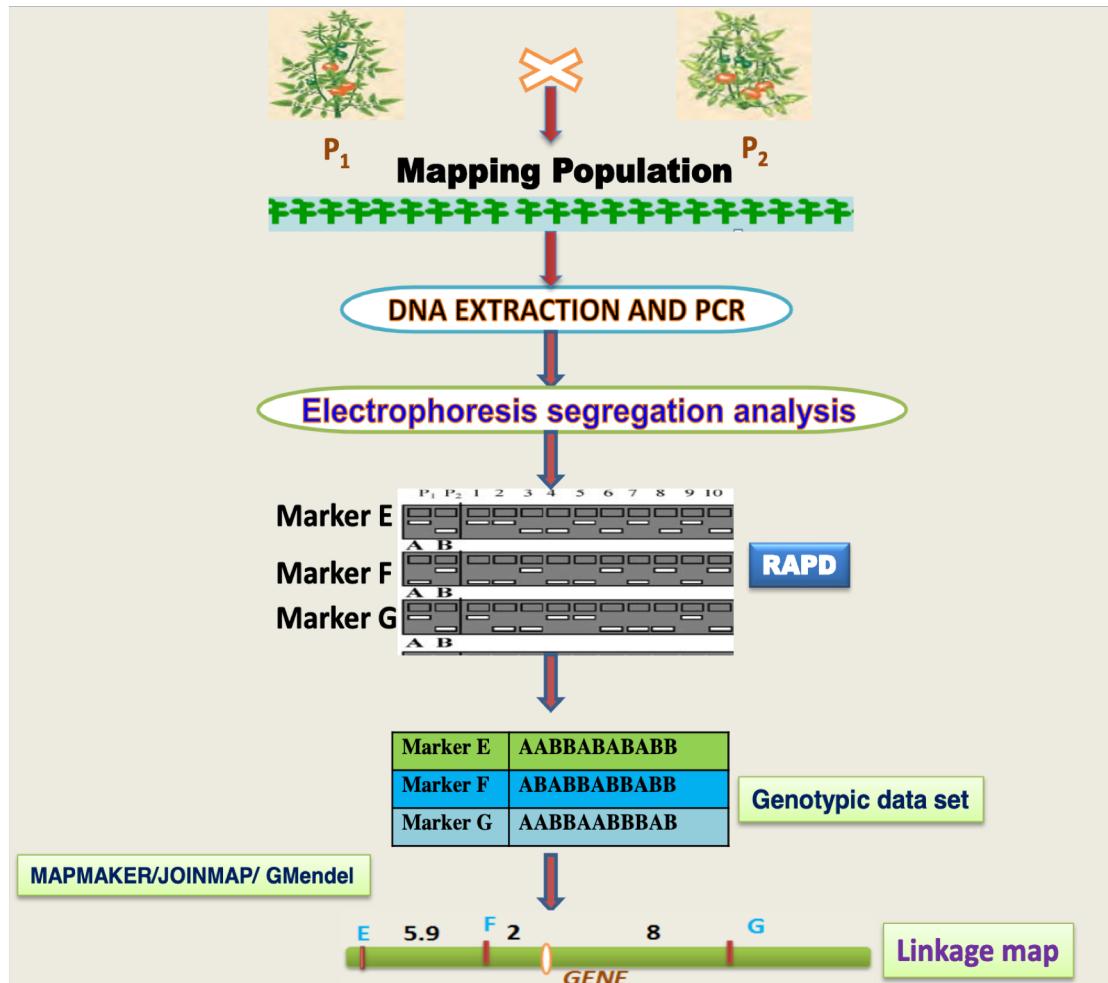
The diagram illustrates the 'Two Main Approaches' to gene mapping. At the top center, the text 'Two Main Approaches' is displayed in a large, bold, black font. Two thick, black, downward-pointing arrows originate from the bottom of this text and extend downwards. The left arrow points to the text 'Family-based Linkage Mapping' located at the bottom left. The right arrow points to the text 'LD-based Association Mapping' located at the bottom right.

Family-based Linkage  
Mapping

LD-based Association  
Mapping

# Family Based-Linkage Mapping

Greatly successful for major genes and rare variants

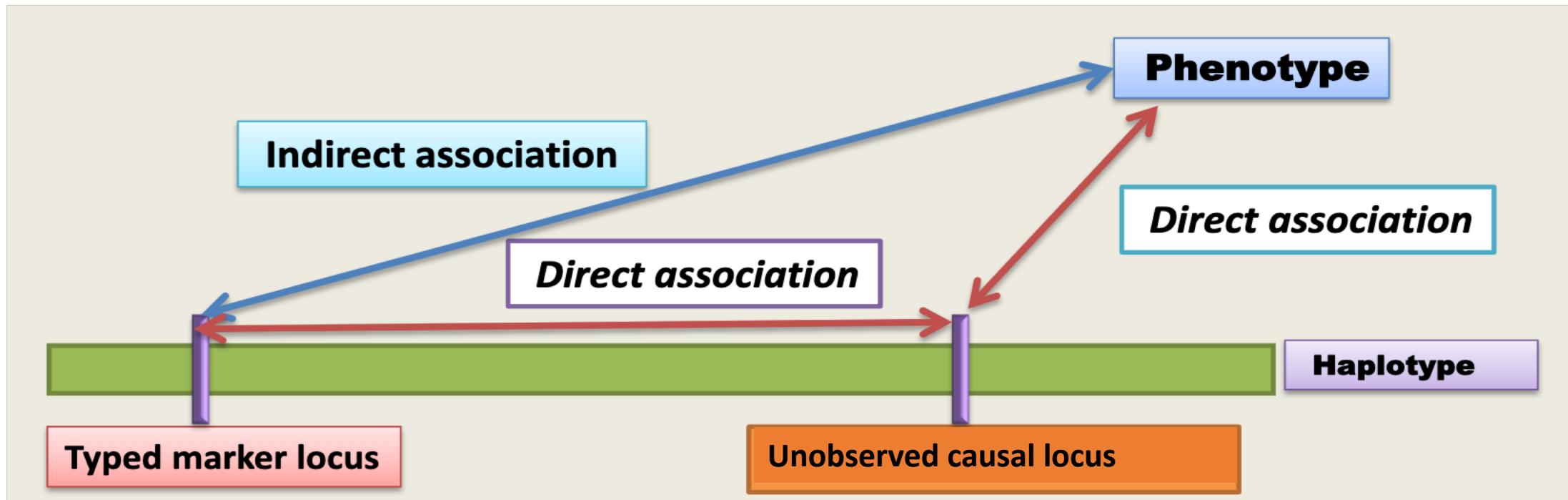


## Drawbacks

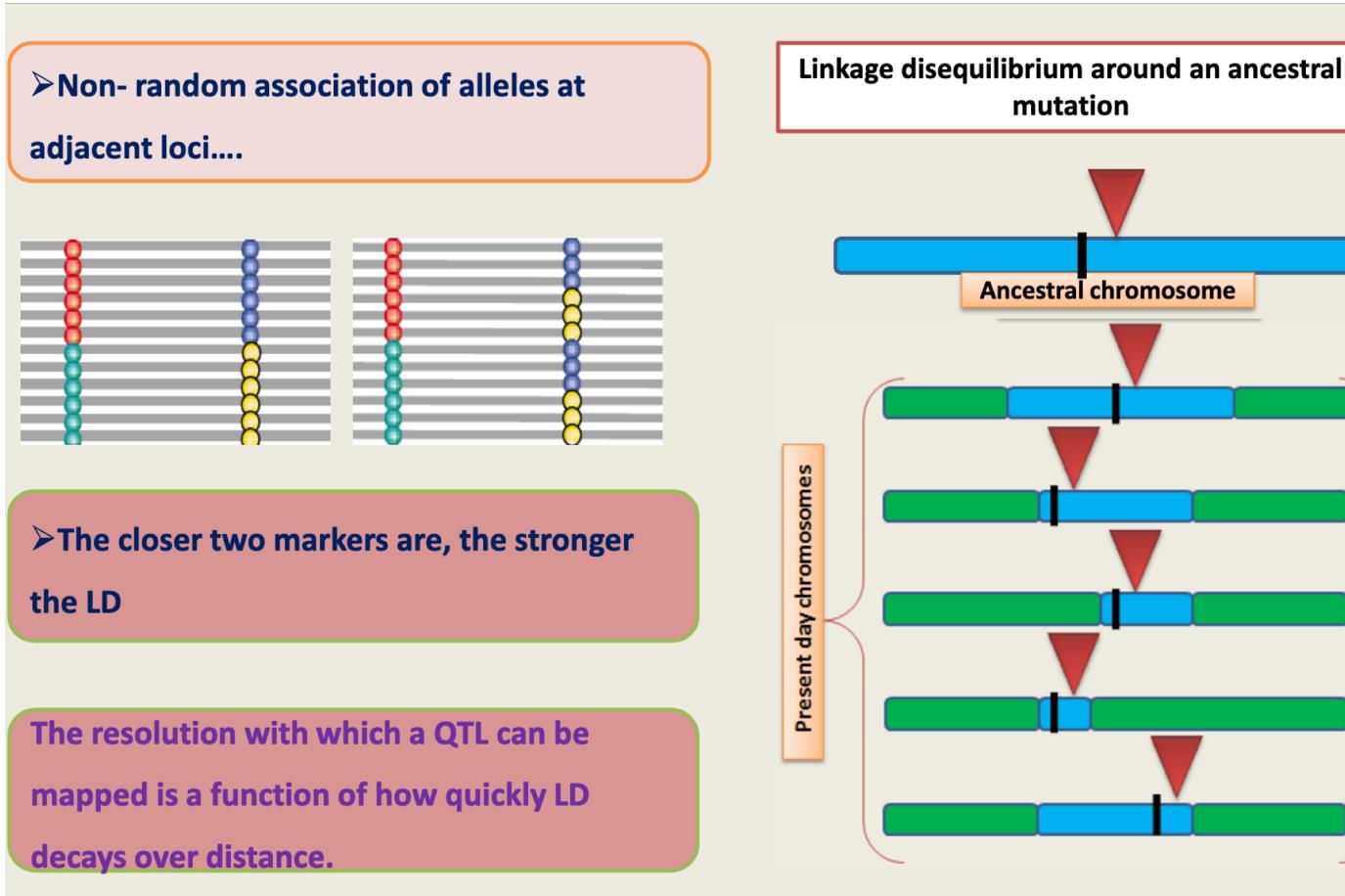
- Small fraction of variation.
- Only alleles differing between parents.
- Low map genetic resolution-due to limited recombination.
- Inconsistency across mapping populations
- Linked markers not suitable for un-related genotypes.

# Linkage Disequilibrium -based Association Mapping

- A natural population survey to determine marker trait associations using genome-wide markers.
- Exploits Linkage Disequilibrium (LD) between markers.
- LD is defined as non-random association of alleles.
- Power depends upon degree of LD between marker and functional variant.



# What is Linkage Disequilibrium



## LD measures

Commonly used to quantify LD is  $r^2$

$$r^2 = \frac{D^2}{p_A(1-p_A)p_B(1-p_B)}$$

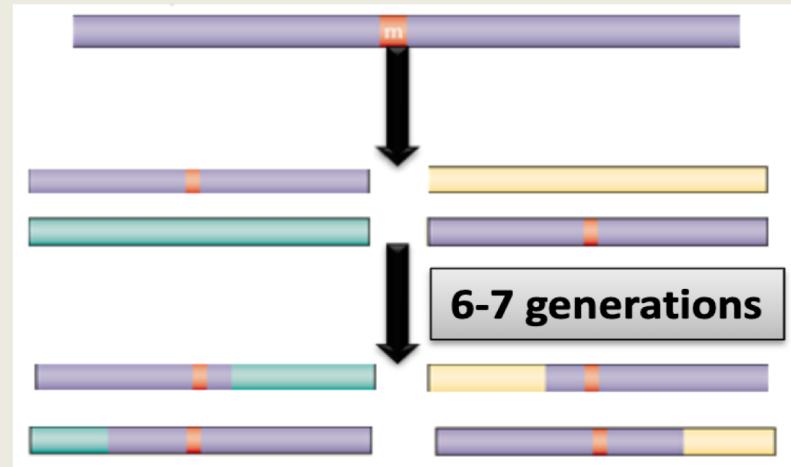
$$\begin{aligned} D &= p_{AB} - p_A p_B \\ &= p_{AB} p_{ab} - p_{Ab} p_{aB} \end{aligned}$$

# Advantages of Association mapping

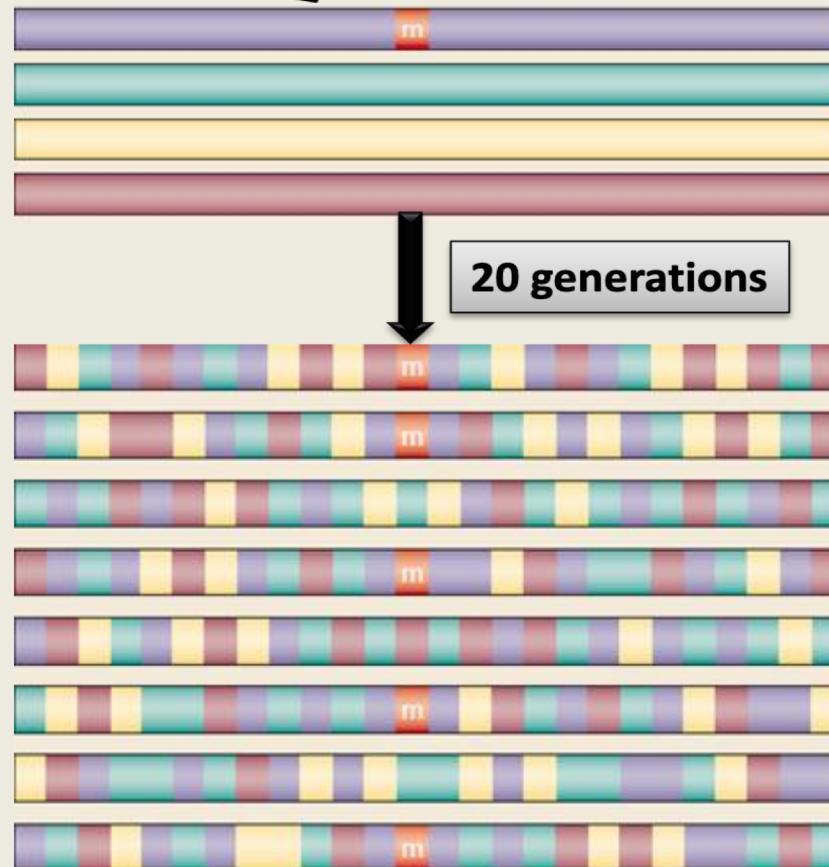
	Conventional	LD mapping
<b>Mapping population</b>	Biparental, structured	Natural/ breeding pool, not structured
<b>Meiosis cycle</b>	Few (6-7)	Several
<b>QTL precision</b>	Less	High –Great resolution
<b>Trait variation</b>	Explains between parents	Natural
<b>LD break up</b>	Less	more
<b>Perennial crops</b>	Not applicable	Effective
<b>Markers</b>	Specific	Diverse genotypes
<b>Cost and ease</b>	More cost and labour	Less cost and reduced time

## Linkage vs Association mapping: How it leads to high resolution..

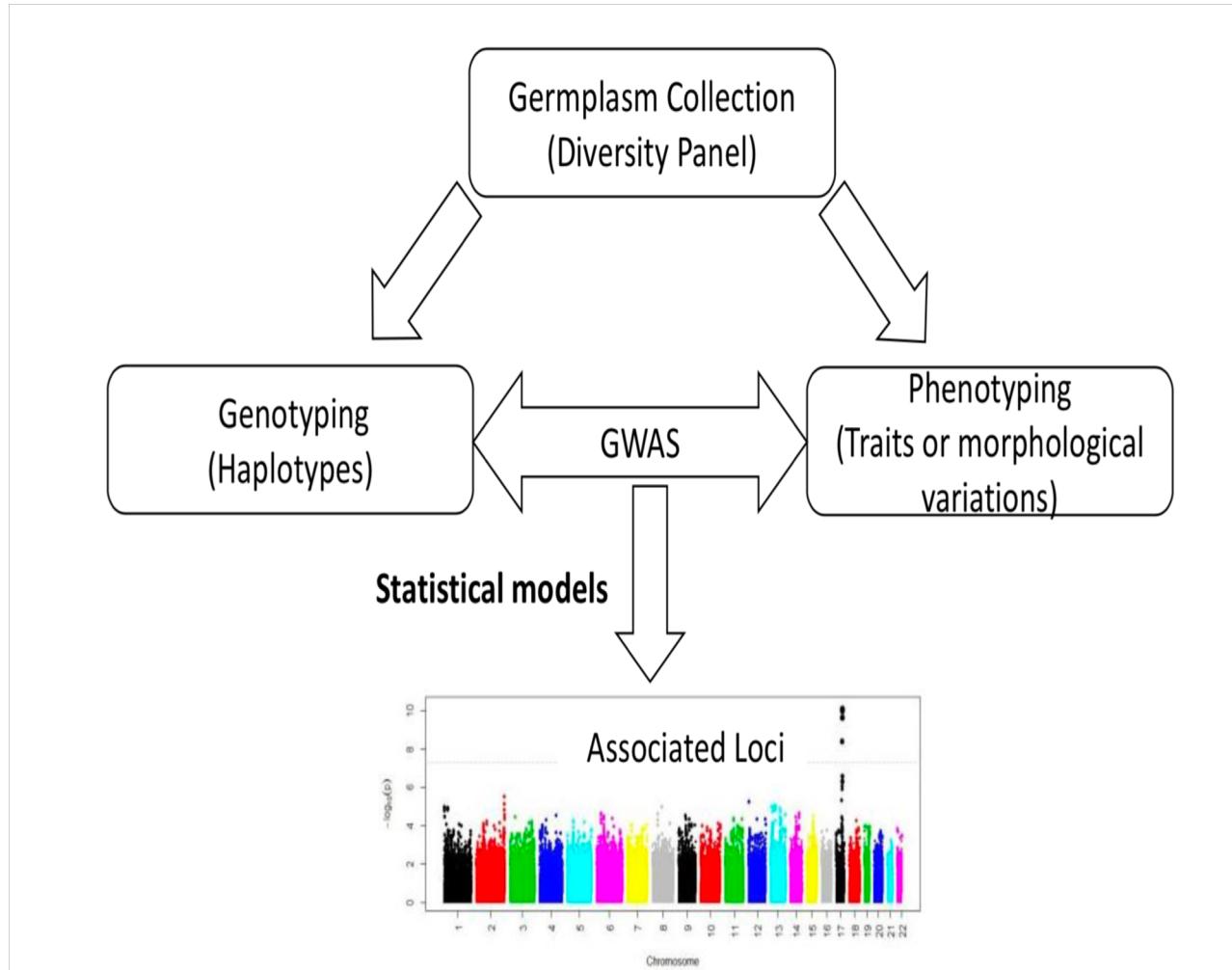
**LINKAGE**



**ASSOCIATION MAPPING**

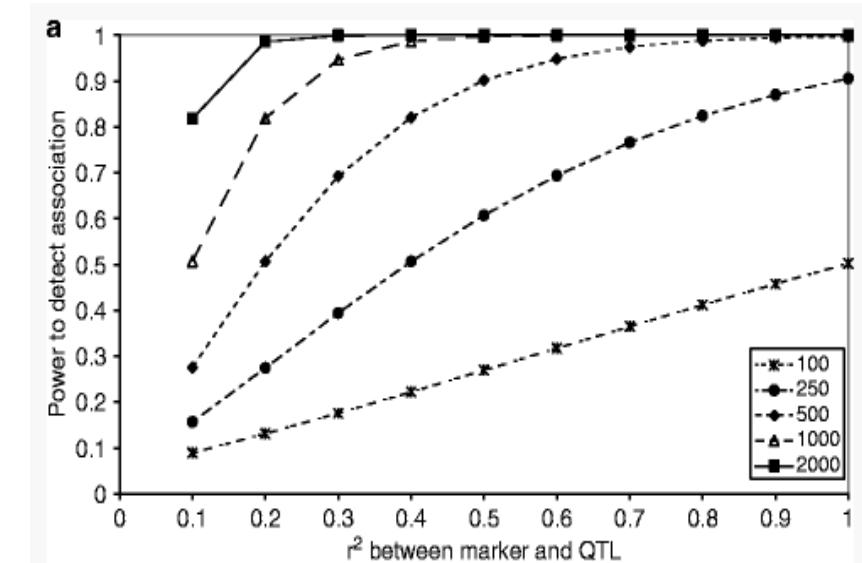
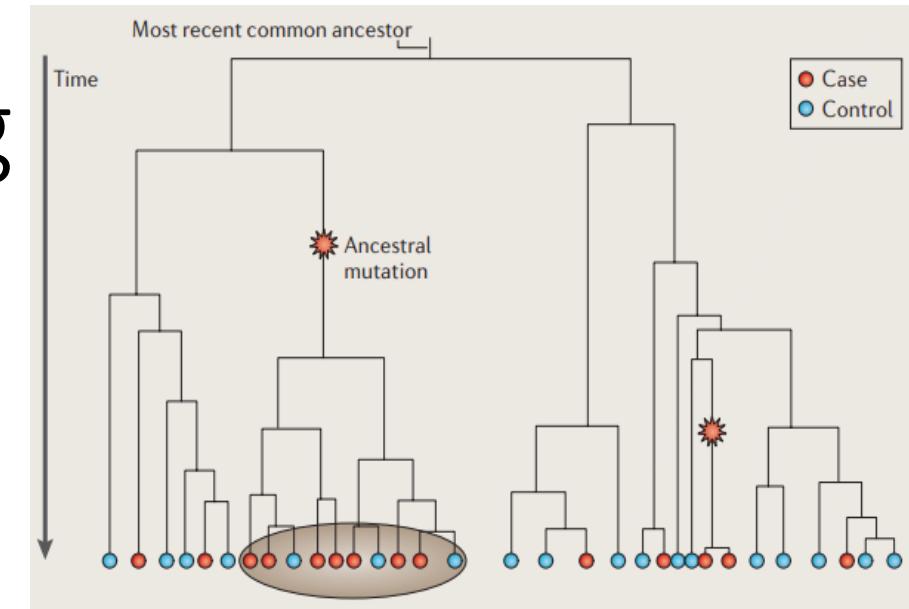


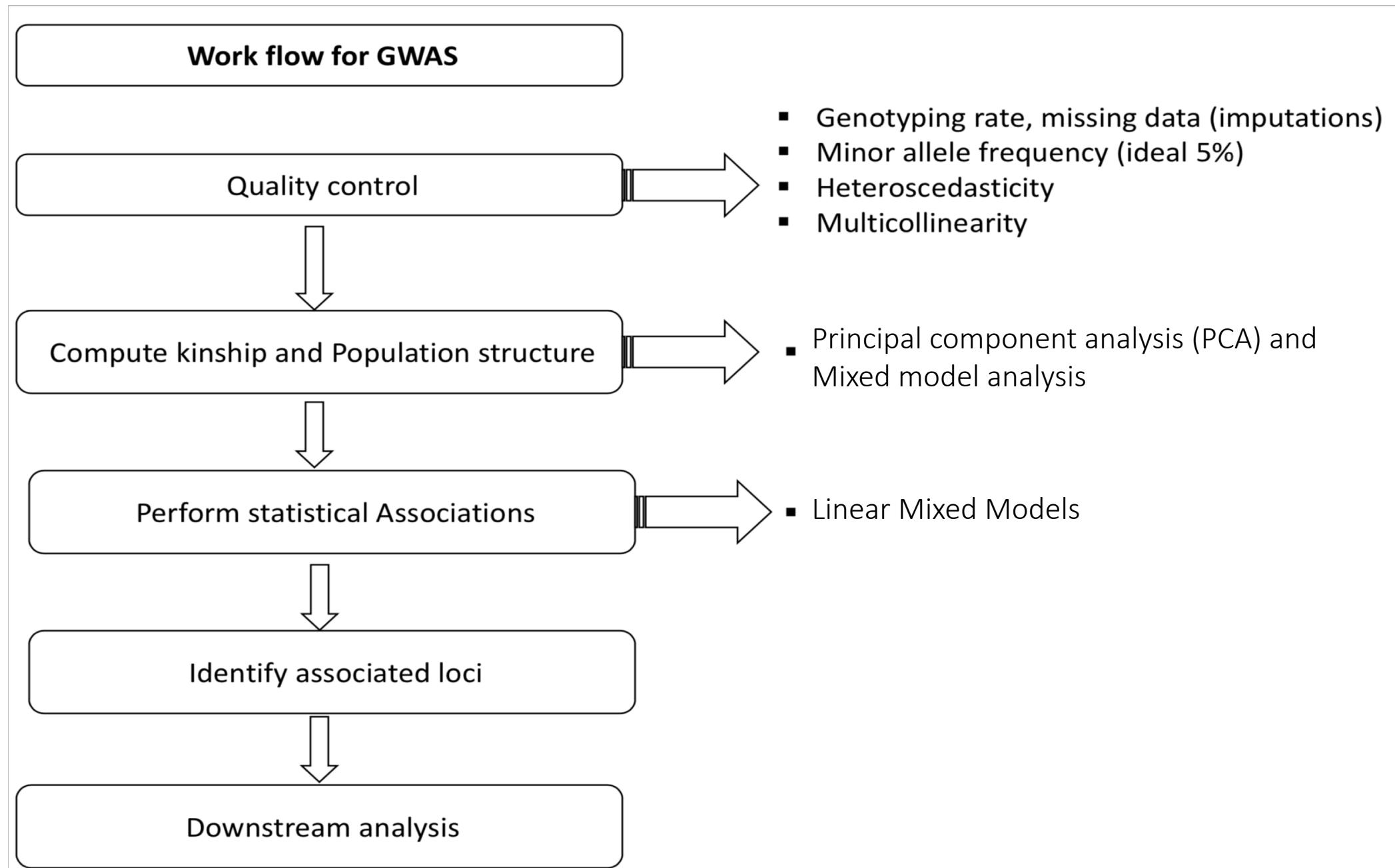
# General procedure for Association Mapping



# Rational for Association mapping

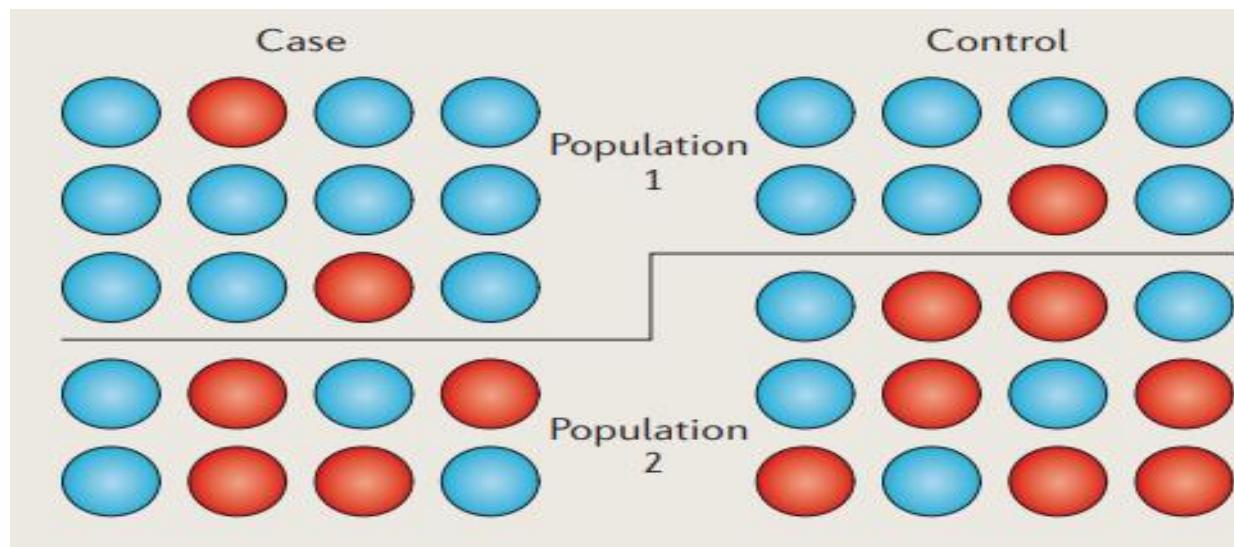
- Powerful for common variants and Minor allele frequency need to be > 5%
- Sufficiently large sample
- Polymorphic alleles covering whole genome
- Statistically powerful methods to detect genetic associations





# Population stratification

- Difference in allele frequencies between sub-populations due to ancestry
- Can lead to spurious associations if allele frequencies vary between subpopulations.



- Test statistics inflated, high false positive rate
- Inflation of genomic heritability  
Overestimation of prediction accuracy

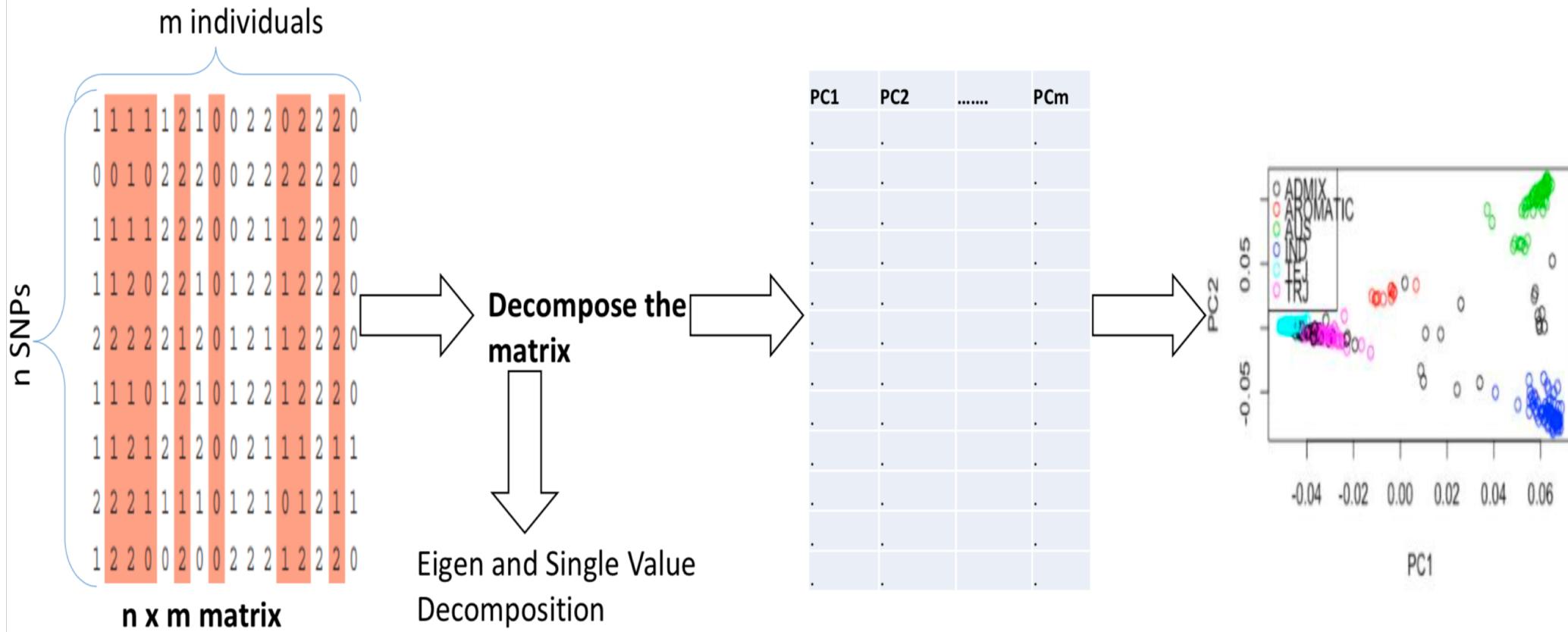
# Methods to control Population stratification

- Genomic Control: Estimates inflation factor  $\lambda$   
 $\lambda > 1$  indicates stratification  
Limitation:  $\lambda$  same for all markers
- Structured Association methods: Assigns individuals to hypothetical subpopulations  
Correct number of subpopulations can never be fully resolved
- Principle component analysis: Provides fast and effective way to diagnose the population structure
- Mixed-Model Approaches: Involves kinship and cryptic relatedness

# Principle Component Analysis

- Reduce dimensions of data into few components.
  - PCA is to find a new set of orthogonal axes (PCs), each of which is made up from a linear combination of the original axes
  - Good in detecting major variations in data.
  - PCA used in GWAS to generate axes of major genetic variation to account for structure.

# How PCA is conducted to account for population structure



# Algorithm for PCA: Eigen and Single Value Decomposition

**Step 1:** Compute the variance-covariance as  $\mathbf{G} = \mathbf{XX}^T/N-1$

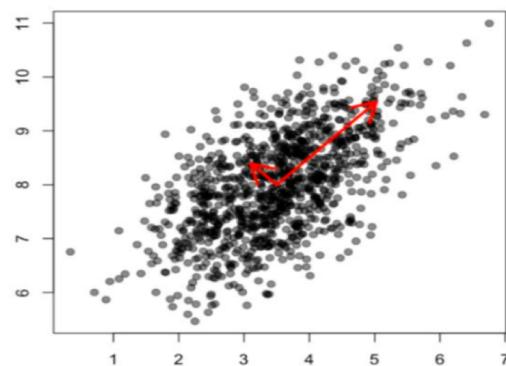
**Step 2:** Compute the Eigen decomposition of covariance matrix ( $\mathbf{G} = \mathbf{UDU}^T$ )

Singular Value Decomposition SVD ( $\mathbf{X} = \mathbf{U}\Sigma\mathbf{V}^T$ ) (in case of  $m \times n$  matrix and dense SNP data)

$\mathbf{U}$ = is an  $n \times m$  orthogonal matrix of dimensions  $n \times m$

$\Sigma$ = is a diagonal matrix of dimensions  $n \times n$

$\mathbf{V}$ = orthogonal matrix of  $n \times n$

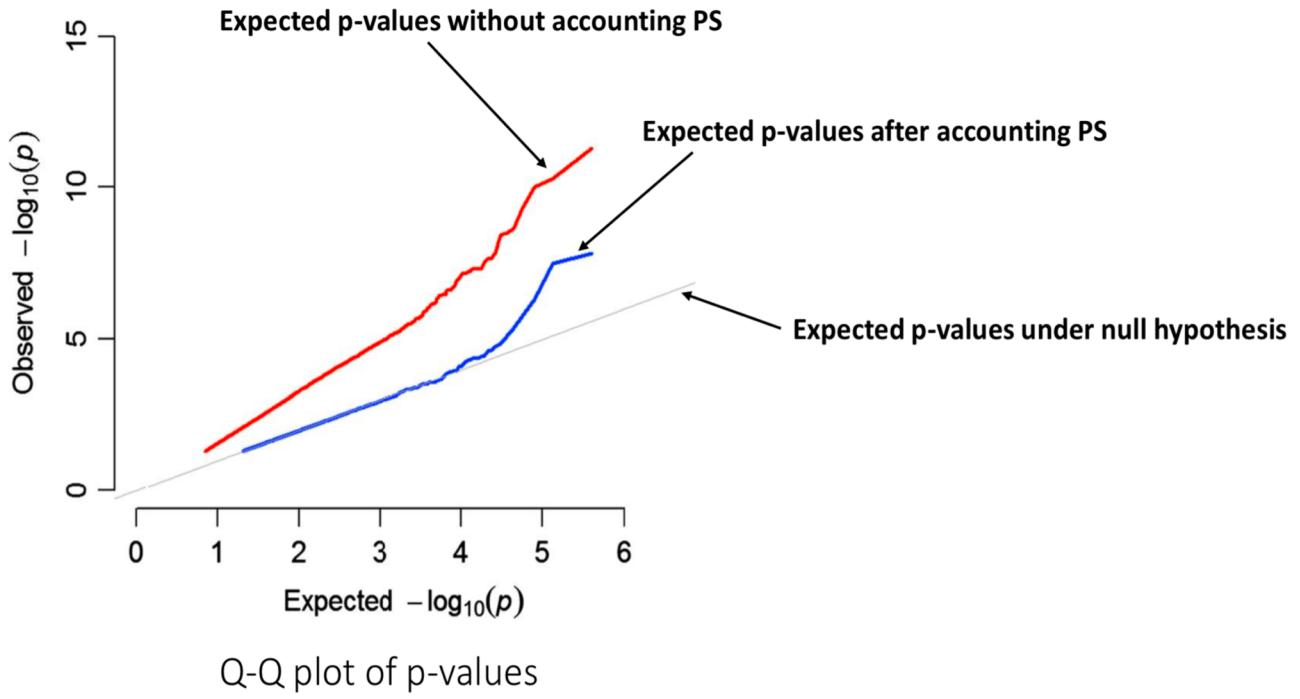


- Singular-decomposition picks out *directions in the data along which the variance is maximised.*
- Singular represent the variance of the data along these directions.

**Step 3:** Select the top K eigenvalues/PCs that are statistically significant

**Step 4:** Include the significant eigenvectors in the linear regression model or genotype matrix in mixed model.

## Accounting for Population structure

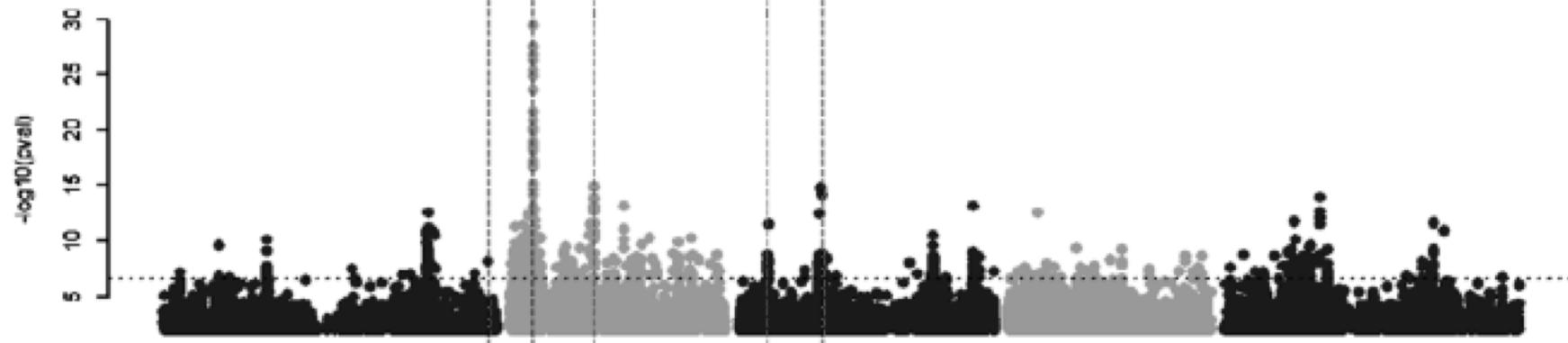
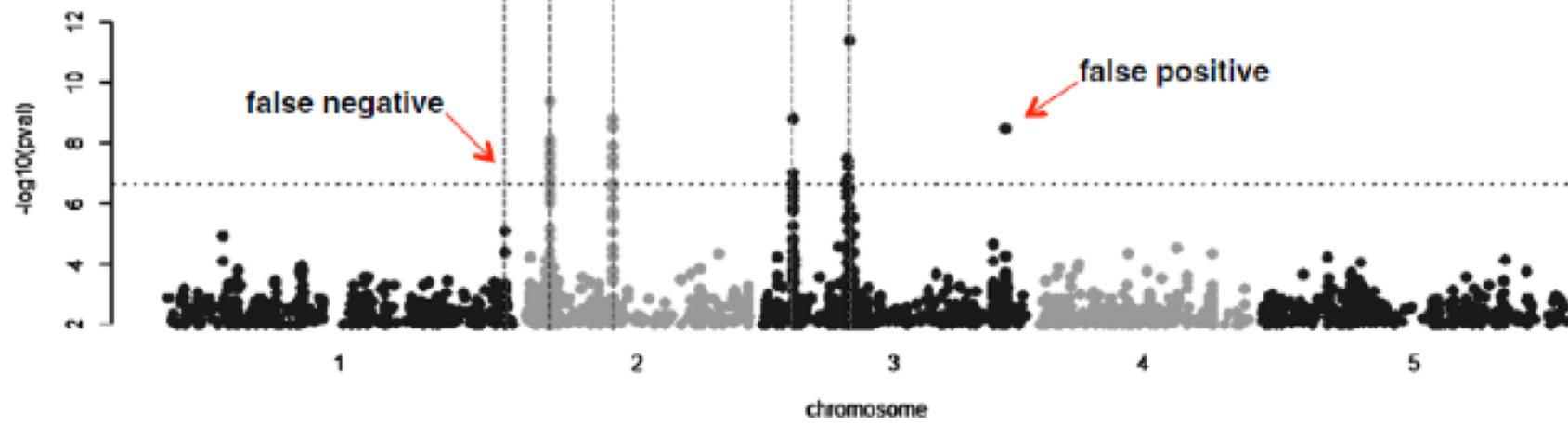


# Mixed Models

- Use both fixed effects (candidate SNPs and fixed covariates) and random effects (the Genotypic covariance matrix)
  - $y = Wa + u + \epsilon$

$$\text{var}(u) = \sigma^2 K$$

- $K$  is Kinship matrix (pairwise genomic similarity of Individuals)
- Structure of Kinship matrix reflects: Population structure  
Family structure and Cryptic Relatedness

**a****b**

# Statistical methods for GWAS

## Ordinary least squares

- Model:  $y = Wa + e$
- To find “a”, effective size of SNP, we minimize the residual sum of squares. And least square estimator of “a” is given as

$$\hat{a} = (\mathbf{W}'\mathbf{W})^{-1}\mathbf{W}'\mathbf{y}$$

- $\hat{a}$  is the vector of regression coefficient for markers, i.e., effect size of SNPs if the Gauss-Markov theorem is met,  $E[\hat{a}] = a \rightarrow$  BLUE

$$E[\epsilon] = 0, \text{Var}[\epsilon] = I\sigma_\epsilon^2$$

- No. of SNPs (n) is greater than individuals (m)  $n \gg m$
- $(\mathbf{W}'\mathbf{W})^{-1}$  Does not exist, matrix is singular

Assumptions for Guass-Markov to hold true

- Population parameter linear
- No collinearity
- Homoskesdactic errors

# Single marker regression

$$y_i = \mu + \beta_j \chi_{ij} + \varepsilon_i$$

Phenotype                     $j$ th marker effect



- One marker at a time tested for significance
- Problem: Marker effect may be exaggerated

The expectation of  $\hat{a}$  is

$$E(\hat{a}|\mathbf{W}) = (\mathbf{W}'\mathbf{W})^{-1}\mathbf{W}'E(\mathbf{y}) = (\mathbf{W}'\mathbf{W})^{-1}\mathbf{W}'\mathbf{W}\mathbf{a} = \mathbf{a}$$

OLS estimate for single SNP model

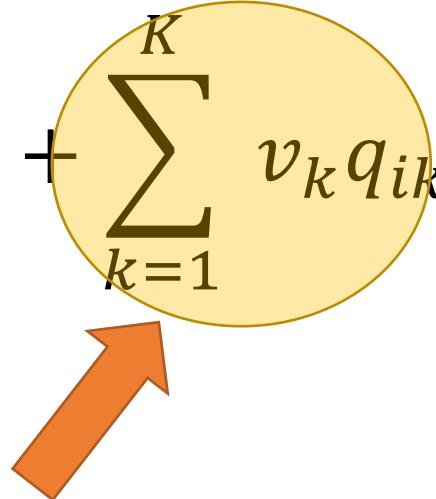
$$\hat{a}_1 = (\mathbf{w}'_1 \mathbf{w}_1)^{-1} \mathbf{w}'_1 \mathbf{y}$$

$$\begin{aligned} E(\hat{a}_1|\mathbf{w}_1) &= (\mathbf{w}'_1 \mathbf{w}_1)^{-1} \mathbf{w}'_1 E(\mathbf{y}) \\ &= (\mathbf{w}'_1 \mathbf{w}_1)^{-1} \mathbf{w}'_1 [\mathbf{w}_1 \mathbf{a}_1 + \mathbf{w}_2 \mathbf{a}_2] \\ &= (\mathbf{w}'_1 \mathbf{w}_1)^{-1} \mathbf{w}'_1 \mathbf{w}_1 \mathbf{a}_1 + (\mathbf{w}'_1 \mathbf{w}_1)^{-1} \mathbf{w}'_1 \mathbf{w}_2 \mathbf{a}_2 \\ &= a_1 + (\mathbf{w}'_1 \mathbf{w}_1)^{-1} \mathbf{w}'_1 \mathbf{w}_2 \mathbf{a}_2 \end{aligned}$$

- OLS is biased if full model holds but fit a mis-specified model
- the same applies when there are more than two SNPs

# Single marker regression

## Considering Population Structure

$$y_i = \mu + \beta_j \chi_{ij} + \sum_{k=1}^K v_k q_{ik} + \varepsilon_i$$


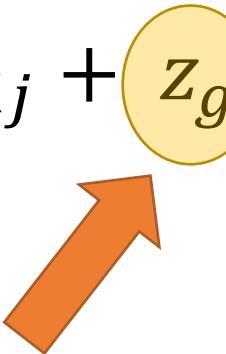
Principle Components based on marker Data

- PCA only accounts for differences in sub-groups among sub-populations
- Does not account for family relatedness or kinship between individuals

# Linear Mixed Models

Accounting for population structure and family relatedness  
Single marker based mixed model association

$$y_i = \mu + \beta_j \chi_{ij} + z_g + \varepsilon_i$$



Realized relationship matrix G or A  
Captures population structure and polygenic effects

$$\mathbf{g} \sim N(0, G\sigma_g^2)$$

- Double counting/fitting

SNP appears twice in model (once fixed and other time random)

Candidate/tested markers used to calculate structure and family relatedness

- Alternatively,

- Exclude candidate markers from G, using model one chromosome out

$$\mathbf{y} = \mu + \mathbf{w}_j \mathbf{a}_j + \mathbf{Zg} + \epsilon$$

$$\mathbf{g} \sim N(\mathbf{0}, \mathbf{G}_{-k} \sigma_{g_{-k}}^2)$$

where  $-k$  denotes the  $k$ th chromosome removed

Comparison of K\_Chr model and traditional Unified Mixed Linear Model in the Goodman diversity panel (Maize diversity panel of 281 lines)

Trait Class	Genetic Architecture	No. Significant Associations (5% FDR)		No. Significant Associations (10% FDR)		No. Significant Associations Identified Using K_chr Model in Novel Regions <sup>a</sup>	No. Significant Associations Identified Using Traditional MLM in Novel Regions <sup>b</sup>
		K_Chr	Trad. MLM	K_Chr	Trad. MLM		
Carotenoid	Polygenic	48	30	82	40	28	0
Tocochromanol	Polygenic	110	77	207	146	47	6
Flowering time	Complex	0	0	0	0	0	0

# Multiple Marker Models

- Fits all SNPs simultaneously as random effects

$$y_i = \mu + \sum_{j=1}^{n_{snp}} b_j x_{ij} + \varepsilon_i$$

- Distribution assumption for markers varies from model to model
  - SNP BLUP- same variance
  - Bayes A: assumes t-distribution
  - BayesB: only fraction of SNPs has effect on variance
  - BayesC: assumes t-distribution one with large variance for SNP fraction and other with small variance

# GWAS Demonstration in R