# Lecture Notes On Abstract Algebra (Week 12)

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# 1 Lecture 22 (Nov 21, 2023): Separable Extensions

Let  $\tau: F \to F'$  be an embedding from a field F to F',  $f(x) \in F[x]$  be of degree  $n \geq 1$ ,  $\tau^*(f(x))$  the corresponding polynomial in F'[x] (under the embedding which extends  $\tau$  and maps  $x \to x$ ), and let  $\alpha$  be a root of f(x),  $\overline{E'}$  be an algebraic closure E'. Then  $\tau$  can be extended to an embedding from  $F(\alpha)$  into  $\overline{E'}$ . Moreover, the number of such extensions is  $\leq n$  and it is precisely n if  $\tau^*(f(x))$  has distinct roots in  $\overline{E'}$ . It then follows that  $|\mathrm{Gal}(E/F)| \leq [E:F]$  for a finite extension [E:F].

When does |Gal(E/F)| = [E:F] hold? We will see that this happens if and only if E is a splitting field of some separable polynomial over F.

**Definition 1.1.** A polynomial  $f(x) \in F[x]$  is called **separable** (可分的) over F if every irreducible divisor of f(x) has no multiple roots. Otherwise, f(x) is is called **inseparable** (不可分) over F.

**Remark 1.1.** A separable polynomial may have multiple roots. For example,  $(x - 2023)^2$  is separable over  $\mathbb{Q}$ , but x = 2023 is a double root.

**Example 1.1.** 1. Every polynomial over  $\mathbb{Q}$  is separable.

2. Let p be a prime integer, then  $x^p - t$  is inseparable over the rational function field  $\mathbb{F}_p(t)$ . Here  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  is a finite field with p elements.

Let p be a prime integer and  $\operatorname{char}(F) = p$ . Then  $\Rightarrow$  the prime field  $\mathbb{F}_p$  is a subfield of F;  $\geqslant (a+b)^p = a^p + b^p \text{ holds for all } a,b \in F$  (Freshman's Dream);  $\geqslant \sigma_p(\alpha) = \alpha^p \text{ is an } \mathbb{F}_p\text{-endomorphism.}$ 

Let  $f(x) \in F[x]$  with deg  $f(x) \ge 1$ . Recall that

$$f(x)$$
 has no multiple roots if and only if  $(f(x), f'(x)) = 1$ ,

where f'(x) denotes the formal derivative of f(x). If f(x) is irreducible we have either gcd(f, f') = 1 or  $f \mid f'$ , and  $f \mid f'$  implies f' = 0.

**Proposition 1.1.** A irreducible polynomial  $f(x) \in F[x]$  is inseparable over F if and only if f'(x) is the zero polynomial.

If char(F) = 0, f'(x) = 0 never happens, since deg f' = deg f - 1. Hence inseparable polynomials exist only when char(F) = p is a prime. In other words, every polynomial over a field of characteristic 0 is always separable.

If char(F) = p is a prime and  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0 \in F[x]$  is an inseparable irreducible polynomial, then  $f \mid f'$ , which forces f'(x) = 0. Notice that

$$f'(x) = na_n x^{n-1} + (n-1)a_{n-1}x^{n-2} + \dots + 2a_2x + a_1.$$

Hence the polynomial f'(x) = 0 if and only if  $a_i = 0$  holds for all i that is relatively prime to  $p, 1 \le i \le n$ . If follows that  $f(x) = g(x^p)$  for some  $g(x) \in F[x]$ . In particular,  $p \mid \deg f(x)$ . If g(x) is inseparable, there will be a polynomial  $g_1(x)$  such that  $g(x) = g_1(x^p)$  and then  $f(x) = g_1(x^{p^2})$ . Continuing this process, we can find a separable polynomial  $h(x) \in F[x]$  such that  $f(x) = h(x^{p^m})$  for some positive integer m.

If f(x) is an inseparable irreducible polynomial over F, then  $char(F) = p \neq 0$  and there exist a positive integer m and a separable polynomial g(x) such that  $f(x) = g(x^{p^m})$ .

**Example 1.2.** Let  $F = \mathbb{F}_p(t)$ , the field of rational functions over finite field  $\mathbb{F}_p$  with p elements (p is a prime). Then  $f(x) = x^p - t \in F[x]$  is irreducible. But f(x) is not separable, since f(x) has only one roots in its splitting field. One can see that f'(x) = 0 and  $f(x) = g(x^p)$ , where  $g(x) = x - t \in F[x]$ .

Let F be a finite field with characteristic p. Then  $F^{\times} = F \setminus \{0\}$  is a (cyclic) group of order  $p^n - 1$ , where  $n = [F : \mathbb{F}_p]$  (see the following lecture on finite fields). Hence every element in F can be written as  $\alpha^p$  for some  $\alpha \in F$ . And a polynomial  $g(x^p) \in F[x]$  can be expressed as  $h(x)^p$  for some  $h(x) \in F[x]$ . It follows that a polynomial of the form  $g(x^p)$  can not be irreducible.

If 
$$char(F) = 0$$
 or F is a finite field, then every polynomial over F is separable.

**Definition 1.2.** A field F is said to be **perfect** (完全域) if every irreducible polynomial over F is separable. Otherwise, F is called imperfect.

**Theorem 1.1.** Finite fields and fields of characteristic 0 are perfect fields.

**Definition 1.3.** Let K/F be an algebraic extension.

- 1. We say that  $\alpha \in K$  is separable (可分元) over F if its minimal polynomial  $f_{\alpha}(x) \in F[x]$  is separable. Otherwise, it is called inseparable element  $(\overline{\wedge} \overline{\neg} \overline{\rightarrow} \overline{\rightarrow} \overline{\rightarrow})$ .
- 2. If all elements of K are separable over F, then K/F is called a separable extension (可分扩张). Otherwise, K/F is called an inseparable extension.
- 3. If every element  $\alpha \in K \setminus F$  is inseparable over F, then K/F is called purely inseparable (纯不可分). In this case, any element  $\alpha \in K \setminus F$  is called a purely inseparable element (纯不可分元).

every algebraic extension of a finite field or a field of characteristic 0 is separable.

Corollary 1.1. If K/F is separable and M is an intermediate field, then K/M and M/F are also separable.

This follows directly from the definition of separable extension.

**Example 1.3.** Take  $F = \mathbb{F}_p(t^p)$  and  $K = \mathbb{F}_p(t)$ .

$$\mathbb{F}_p(t)$$

$$\mid$$

$$\mathbb{F}_p(t^p)$$

The field extension K/F is inseparable, since the minimal polynomial of t over F is  $x^p - t^p \in F[x]$ . The polynomial  $x^p - t^p$  splits completely as  $x^p - t^p = (x - t)^p$  in K[x] and so  $x^p - t^p$  is inseparable. Actually  $\mathbb{F}_p(t)/\mathbb{F}_p(t^p)$  is purely inseparable.

Remark 1.2. A purely inseparable element is inseparable, but the converse in not true.

- $\triangleright$  "Inseparable" only occurs in the case of characteristic p, a prime number.
- ightharpoonup If  $f(x) \in F[x]$  is irreducible and inseparable, then there exist some positive integer n and separable polynomial g(x) such that

$$f(x) = g(x^{p^n}),$$

where p = char(F).

- $\triangleright$  If  $\alpha$  is inseparable over F, then there exists a positive integer n such that  $\alpha^{p^n}$  is separable over F.
- $\triangleright$  The minimal polynomial of a purely inseparable element over F must be of the form  $x^{p^n} a$ .

An element may be neither separable nor purely inseparable Let  $char(F) = p \neq 0$  and let  $a \in F$  be nonzero. Let t be transcendental over F and set

$$s = \frac{t^{p^2}}{t^p + a}.$$

Then t is neither separable nor purely inseparable over F(s).

Actually,

$$m(x) = x^{p^2} - sx^p - sa$$

is irreducible over F(s). Hence m(x) is the minimal polynomial of t over F(s) and then t is not separable over F(s). On the other hand, if t were purely inseparable over F(s), we would have

$$x^{p^2} - sx^p - sa = (x - t)^{p^2}$$

which would imply that s = 0, which is not the case.

The following are equivalent (TFAE):

- 1. A field F is perfect.
- 2. Every irreducible polynomial over F has distinct roots.
- 3. Every finite extension of F is separable.
- 4. Every algebraic extension of F is separable.
- 5. Either F has characteristic 0, or every element of F is a p-th power if  $\operatorname{char}(F) = p > 0$ .

We show a property of finite separable extension.

**Theorem 1.2** (Primitive Element Theorem). If K/F is a finite separable extension, then there exists  $\gamma \in K$  such that  $K = F(\gamma)$ .

Every finite separable extension is a simple extention.

*Proof.* If F is a finite field, then K is finite and  $K = F(\gamma)$ , where  $\gamma$  is a generator of the cyclic group  $K^{\times}$ . Hence we assume F is infinite. Since K/F is a finite extension, we have  $K = F(\theta_1, \theta_2, \dots, \theta_r)$  for some elements  $\theta_1, \theta_2, \dots, \theta_r \in K$ . The result follows if the case r = 2 is true.

We assume  $K = F(\alpha, \beta)$ , where  $\alpha$  and  $\beta$  are algebraic over F and K/F is separable. Let f(x) and g(x) be the minimum polynomials of  $\alpha$  and  $\beta$  over F respectively and let L be a splitting field of the polynomial f(x)g(x). Then f(x) and g(x) both split over L. The separability of K/F ensures that f(x) and g(x) have no multiple roots. Let  $\alpha_1 = \alpha, \alpha_2, \ldots, \alpha_m$  be all roots of f(x) in L and let  $\beta_1 = \beta, \beta_2, \ldots, \beta_n$  be all roots of g(x) in L. Then

$$f(x) = (x - \alpha) \prod_{i=2}^{m} (x - \alpha_i), \ g(x) = (x - \beta) \prod_{j=2}^{n} (x - \beta_j).$$

$$F(\alpha,\beta)$$

$$|$$

$$F(\gamma)$$

$$|$$

$$F$$

$$f(x), g(x)$$

Let  $c \in F^{\times}$  and set  $\gamma = \alpha + c\beta$ ,  $h(x) = f(\gamma - cx)$ . Then  $h(x) \in F(\gamma)[x]$  is of degree m and the roots of h(x) are

$$\gamma_i = \frac{\gamma - \alpha_i}{c} = \beta + \frac{1}{c}(\alpha - \alpha_i), \ i = 1, 2, \dots, m.$$

Actually,  $\gamma_1 = \beta$ ,

$$h(x) = (-c)^m (x - \beta) \prod_{i=2}^m (x - \gamma_i).$$

Since F is infinite, we can choose  $c \in F$  so that  $c \neq \frac{\alpha - \alpha_i}{\beta_j - \beta}$  for all i and  $j \geq 2$ . This forces  $\gamma_i \neq \beta_j$  for  $i \geq 2, j \geq 1$ . Therefore  $\beta$  is the only common root of g(x) and h(x). It follows that  $x - \beta$  is the greatest common divisor of g(x) and h(x) over  $F(\gamma)$ . That is,  $x - \beta = \gcd(g(x), h(x)) \in F(\gamma)[x]$ , thus  $\beta \in F(\gamma)$ . Consequently  $\alpha \in F(\gamma)$ , since  $\alpha = \gamma - c\beta$  and  $c \in F$ . Therefore  $K = F(\alpha, \beta) = F(\gamma)$ .

The proof of the above theorem implies the following result.

Corollary 1.2. Let  $\alpha, \beta$  be separable over F and let  $\alpha_1 = \alpha, \alpha_2, \ldots, \alpha_m$  be all conjugates of  $\alpha$ ,  $\beta_1 = \beta, \beta_2, \ldots, \beta_n$  be all conjugates of  $\beta$ . If  $c \in F$  and  $c \neq \frac{\alpha - \alpha_i}{\beta_i - \beta}$  for all  $i \geq 1$  and  $j \geq 2$ , then

$$F(\alpha, \beta) = F(\alpha + c\beta).$$

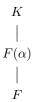
**Remark 1.3.** A inseparable extension may not be simple. Let K be the rational field  $\mathbb{F}_p(x,y)$  over the finite field  $\mathbb{F}_p$  in two variables. We set  $F = K^p = \{f(x,y)^p \mid f(x,y) \in K\}$ . Then  $F = \mathbb{F}_p(x^p,y^p)$  and K/F is inseparable of degree  $p^2$ . But K/F is not a simple extension.

Since an F-embedding on  $F(\alpha)$  is only decided by the image of  $\alpha$ , we immediately obtain

**Lemma 1.1.** Let  $\alpha$  be an algebraic element over F of degree n. Then  $\alpha$  is separable over F if and only if there are exactly n F-embeddings on  $F(\alpha)$ .

**Theorem 1.3.** Let K/F be a finite extension extension of degree n. Then K/F is separable if and only if there are exactly n F-embeddings on K.

*Proof.* For any  $\alpha \in K$ , there are at most  $[F(\alpha) : F]$  F-embeddings on  $F(\alpha)$  and there are at most  $[K : F(\alpha)]$  extensions to K for each F-embedding from  $F(\alpha)$  to  $\overline{F}$ .



Notice that every F-embeddings on K comes from an F-embeddings on  $F(\alpha)$  and  $[K:F(\alpha)][F(\alpha):F] = n$ . Then, if there are exactly n F-embeddings on K, then there are exact  $[F(\alpha):F]$  F-embeddings on  $F(\alpha)$ . Hence G is separable over F by Lemma 1.1 and then K/F is separable.

Conversely, if K/F is separable, then  $K = F(\alpha)$  for some separable element  $\alpha$  of degree n, by Theorem 1.2. Hence there are exactly n F-embeddings on  $K = F(\alpha)$  by Lemma 1.1.

Combining Lemma 1.1 and Theorem 1.3, we get

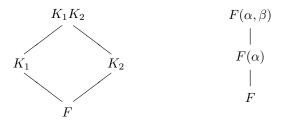
Corollary 1.3. Let  $\alpha$  be algebraic over F. Then the extension  $F(\alpha)/F$  is separable if and only if  $\alpha$  is separable over F.

**Corollary 1.4.** 1. If K/F is a finite extension and there exists an intermediate extension M such that K/M and M/F are separable, then K/F is separable.

2. If  $K_1/F$  and  $K_2/F$  are separable, then the compositum  $K_1K_2/F$  is also separable.

For the first part, notice that every F-embeddings on K is extended from and F-embeddings on M. Then the result follows by applying Theorem 1.3.

The second statement follows easily if  $K_1, K_2$  are finite extensions over F, since  $K_1K_2/K_1$  is separable (why?). To prove the general case, we notice that for every  $\gamma \in K_1K_2$ , we have  $\alpha_1, \alpha_2, \ldots, \alpha_m \in K_1$  and  $\beta_1, \beta_2, \ldots, \beta_n \in K_2$  such that  $\gamma \in F(\alpha_1, \alpha_2, \ldots, \alpha_m, \beta_1, \beta_2, \ldots, \beta_n)$ . We only need to show that  $F(\alpha_1, \alpha_2, \ldots, \alpha_m, \beta_1, \beta_2, \ldots, \beta_n)$  is separable over F. Based on the first part, it suffices to prove that  $F(\alpha, \beta)/F$  is separable for  $\alpha \in K_1, \beta \in K_2$ . This is clear since  $\beta$  is separable over  $F(\alpha)$ .



**Theorem 1.4.** Let K/F be an algebraic extension and let M be the set of all separable elements in K over F. Then M is a subfield. Moreover, M/F is separable and K/M is purely inseparable.

*Proof.* The second part of Corollary 1.4 shows that M is a field and M/F is separable. Now let  $\alpha \in K \setminus M$  with minimal polynomial  $f(x) \in M[x]$ . Then  $\alpha$  is inseparable over M. Otherwise, by Corollary 1.3,  $M(\alpha)/M$  is separable. Together with separable extension M/F,  $M(\alpha)/F$  is separable and hence  $\alpha$  is separable over F, contradictory to the assumption  $\alpha \notin M$ . So K/M is purely inseparable.

By Corollary 1.4,  $\alpha \pm \beta$ ,  $\alpha\beta$ ,  $\frac{\alpha}{\beta}$  are separable if  $\alpha$ ,  $\beta$  are separable elements. The subfield M in Corollary 1.4 is called the **separable closure** (可分闭包) of K/F. The separable closure is exactly the compositum of all intermediate separable extension over F. And Corollary 1.4 shows that every finite extension K/F can be decomposed into two parts: the lower part M/F is separable and the upper part K/M is purely inseparable.



The **separable degree** (可分次数) of the extension K/F is defined by

$$[K:F]_s = [M:F]$$

and the inseparable degree (不可分次数) is defined by  $[K:F]_i = [K:M] = \frac{[K:F]}{[K:F]_s}$ .

The separable degree is transitive by Corollary 1.4. That is, if K/F is a finite extension and  $F \subseteq L \subseteq K$ , then

$$[K:F]_s = [K:L]_s[L:F]_s.$$

#### Exercises

- 1. Let p be a prime and F a field with characteristic p. Show that  $\sigma_p(\alpha) = \alpha^p$  is an  $\mathbb{F}_p$ -endomorphism.
- 2. Let  $f(x) \in F[x]$  be an inseparable irreducible polynomial over F and char(F) = p. Show that there exist a positive integer n and a separable irreducible polynomial g(x) over F such that  $f(x) = g(x^{p^n})$ .
- 3. Show that every algebraically closed field is perfect.
- 4. Let  $\alpha$  be an inseparable algebraic element over F. Show that there exists a positive integer n such that  $\alpha^{p^n}$  is separable over F.
- 5. Let  $\alpha$  be purely inseparable over F. Show that the minimal polynomial of  $\alpha$  over F must be of the form  $x^{p^n} a$ .

- 6. Show that  $F(\alpha)$  is purely inseparable if and only if  $\alpha^{p^n} \in F$  for some positive integer n, where  $p = \operatorname{char}(F)$ .
- 7. Let  $F \subseteq L \subseteq K$  be field extensions such that L/F is purely inseparable and K/L is normal. Show that K/F is normal.
- 8. Let  $\alpha$  be separable over F. Then the extension  $F(\alpha)/F$  is separable.
- 9. Let K/F be separable and L/F be an arbitrary extension. Show that KL/L is separable.
- 10. Let K/F be a finite extension and  $\operatorname{char}(F) = p \neq 0$ . Show that the inseparable degree  $[K : F]_i$  is a power of p.
- 11. Let K/F be a field extension. Suppose that  $\alpha \in K$  is separable over F and  $\beta \in K$  is algebraic over F. Show that  $F(\alpha, \beta)/F$  is a simple extension. Moreover, if F is infinite, the extension  $F(\alpha, \beta)/F$  has infinitely many primitive elements of the form  $a\alpha + b\beta$ , where  $a, b \in F$ .
- 12. Let  $K = F(\theta_1, \theta_2, ..., \theta_r)$  be a finite separable extension over an infinite field F. Show that  $K = F(\gamma)$ , where  $\gamma = c_1\theta_1 + c_2\theta_2 + \cdots + c_r\theta_r$  for some elements  $c_1, c_2, \ldots, c_r \in F$ .
- 13. Assume there are finitely many intermediate subfields between F and its extension field K. Show that K/F is a finite simple extension.
- 14. Let  $p_1, p_2, \ldots, p_n$  be distinct prime integers and  $K = \mathbb{Q}(\sqrt{p_1}, \sqrt{p_2}, \ldots, \sqrt{p_n})$ . Show that  $K/\mathbb{Q}$  is a normal and separable extension.

# 2 Lecture 23 (Nov 23, 2023): Normals Extensions

### 2.1 Normal Extensions

An extension of an automorphism may not necessarily be an automorphism, since the extension field may not include all conjugates of its elements. For example, let  $\alpha = \sqrt[4]{2}$ ,  $\beta = \alpha^2 = \sqrt{2}$ , the map  $\tau(\sum a_k \beta^k) = \sum a_k (-\beta)^k$  gives an automorphism of  $F = \mathbb{Q}(\beta)$ . The map

$$\sigma: K_1 = \mathbb{Q}(\alpha) \to K_2 = \mathbb{Q}(i\alpha) \hookrightarrow \overline{\mathbb{Q}}$$
$$\sum a_k \alpha^k \mapsto \sum a_k (i\alpha)^k$$

is an extension of  $\tau$ . But  $\sigma$  is not an automorphism on  $K_1$ . The key point is that  $K_1 = \mathbb{Q}(\alpha)$  does not contain all conjugates of  $\alpha$  over  $\mathbb{Q}$ .

Recall two roots of an irreducible polynomial over F are called F-conjugate.

**Definition 2.1.** An algebraic extension K/F is **normal** (正规的), if K contains all F-conjugates of every element in K.

In other words, let  $\alpha \in K$  and let  $m_{\alpha}(x)$  be the minimal polynomial of  $\alpha$  over F. Then K/F is normal if and only if K contains all roots of  $m_{\alpha}(x)$  for all  $\alpha$ .

**Example 2.1.** The extension  $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$  is not normal since  $x^3 - 2$  has a root in  $\mathbb{Q}(\sqrt[3]{2})$ . But  $\sqrt[3]{2}\omega$ , another root of  $x^3 - 2$  doesn't belong to  $\mathbb{Q}(\sqrt[3]{2})$ , where  $\omega = \frac{-1 + \sqrt{-3}}{2}$ .

**Theorem 2.1.** Let K/F be an algebraic extension. The following four are equivalent.

- 1. The extension K/F is normal.
- 2. If  $f(x) \in F[x]$  is irreducible and has a root in K, then all roots of f(x) are in K.
- 3. Every irreducible polynomial in F[x] that has a root in K splits completely over K.
- 4. Every F-embedding on K is essentially an F-automorphism. That is,  $\sigma(K) = K$  for every F-embedding  $\sigma: K \hookrightarrow \overline{F}$ .

*Proof.* We only need to prove the equivalence of 1 and 4.

Assume K/F is normal and  $\sigma$  is an F-embedding on K. For  $\alpha \in K$ , let  $f(x) \in F[x]$  be its minimal polynomial over F. Noticing that  $\sigma|F=\mathrm{id}_F$ ,  $\sigma(\alpha)$  is a root of  $\sigma^*(f)=f(x)$ . That is,  $\sigma(\alpha)$  is a conjugate of  $\alpha$ . Hence  $\sigma(\alpha) \in K$ . This means  $\sigma(K) \subseteq K$  and  $\sigma$  is an F-endomorphism of K. Since K/F is algebraic, then  $\sigma(K) = K$  (see the following remark).

Conversely, let  $\alpha \in K$  and  $f(x) \in F[x]$  be its minimal polynomial. Let  $\beta \in \overline{F}$  be a conjugate of  $\alpha$ . The identity map on F can be extended to an embedding  $\tau : F(\alpha) \hookrightarrow \overline{F}$  such that  $\tau(\alpha) = \beta$ . This embedding can then be extended to an embedding (still denoted by  $\tau$ ) from K to  $\overline{F}$ . Hence  $\tau$  must be an F-automorphism and particularly  $\tau(K) = K$ . It follows  $\beta = \tau(\alpha) \in K$ . This means K contains every conjugate of  $\alpha$  and so K/F is normal.

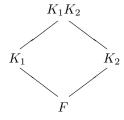
$$K/F$$
 is normal  $\iff \sigma(K) = K$  for every  $F$ -embedding  $\sigma: K \hookrightarrow \overline{F}$ .

- **Remark 2.1.** 1. Let K/F be an algebraic extension. Then every F-endomorphism of K is an F-automorphism (Exercise 9 on page 242 in the textbook).
  - 2. The above result may be false if the extension K/F is transcendental. Actually, let F(x) be the rational function field in one variable and

$$\tau: F(x) \to F(x)$$
  
 $f(x) \mapsto f(x^2).$ 

Then  $\tau$  is an F-endomorphism, but not an F-automorphism.

- 3. Since every polynomial over F splits completely in its algebraic closure, any algebraic closure of F is normal over F.
- Corollary 2.1. 1. If K/F is normal and M is an intermediate subfield, then K/M is normal.
  - 2. Let K/F be an algebraic extension and let  $K_1, K_2$  be two intermediate fields such that  $K_1/F$  and  $K_2/F$  are normal. Then  $K_1K_2/F$  is normal.



*Proof.* 1. Every M-embedding on K is also an F-embedding, hence an automorphism on K.

2. Let  $\sigma$  be an F-embedding on  $K_1K_2$ . Then  $\sigma \mid_{K_i}$  is an F-embedding on  $K_i$ , hence an F-automorphism on  $K_i$ , i=1,2. Consequently  $\sigma \mid_{K_i} (K_i) = \sigma (K_i) = K_i$ . But  $\sigma (K_1K_2) = \sigma (K_1)\sigma (K_2)$ . Thus  $\sigma (K_1K_2) = K_1K_2$  and consequently  $K_1K_2/F$  is normal.

Corollary 2.1 says that the compositum of normal extensions is still normal. This means, if K/F is algebraic, there will a unique intermediate field E such that E/F is normal and  $F \subseteq E' \subseteq K$  with E'/F normal implies  $E' \subseteq E$ . Such filed E is called the *normal closure of* F in K.

For a finite extension K/F, let  $\sigma_1, \sigma_2, \ldots, \sigma_n$  be all F-embeddings from K to  $\overline{F}$ . Set

$$L = \sigma_1(K)\sigma_2(K)\cdots\sigma_n(K).$$

It is the compositum of all conjugates of K. Theorem 2.1 ensures that L/F is normal and the extension field L has the following property: for any subfield  $L' \subseteq \overline{F}$  such that  $K \subseteq L'$  and L'/F is normal,  $L \subseteq L'$ . This field is called the **normal closure** (正规闭包) for the extension K/F, or the *normal closure of* K over F. Clearly the normal closure of K/F is the intersection of all normal extension E'/F such that  $K \subseteq E' \subseteq \overline{F}$ . It is is the smallest extension field of K such that L/F is normal. One can see

the normal closure of 
$$K/F = \prod_{\sigma \in \operatorname{Gal}(\overline{F}/F)} \sigma(K)$$
.

If  $K = F(\alpha)$  for some algebraic elements, the normal closure of  $F(\alpha)/F$  is just  $F(\alpha_1, \alpha_2, \dots, \alpha_n)$ , where  $\alpha_1, \alpha_2, \dots, \alpha_n$  are all conjugates of  $\alpha$  over F. More generally, if  $K = F(\beta_1, \dots, \beta_m)$ , then the normal closure of K/F is obtained by adjoining all F-conjugates of each  $\beta_i$  to F.

**Remark 2.2.** The normal closure of F in an extension field K is the maximal intermediate field M such that M/F is normal. While the normal closure of an algebraic extension K/F is the smallest intermediate field M of  $\overline{K}/K$  such that M/F is normal.

**Example 2.2.** The normal closure of  $\mathbb{Q}$  in  $\mathbb{Q}(\sqrt[3]{2})$  is  $\mathbb{Q}$ . But the normal closure of the field  $\mathbb{Q}(\sqrt[3]{2})$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt[3]{2},\omega)$ , where  $\omega = \frac{-1+\sqrt{-3}}{2}$  is a 3rd root of unity.

Theorem 2.2. A finite extension K/F is normal if and only if K/F is a splitting field of some polynomial over F.

Proof. Suppose K/F is normal and finite. Let  $\alpha_1, \alpha_2, \ldots, \alpha_n$  be a basis for K over F. Then  $K = F(\alpha_1, \alpha_2, \ldots, \alpha_n)$ , where each  $\alpha_i$  is algebraic over F. If  $m_i(x)$  is the minimal polynomial of  $\alpha_i$  over F, then by assumption  $m_i(x)$  splits over F. Hence K is a splitting field of  $m(x) = m_1(x)m_2(x)\cdots m_n(x)$  over F.

Conversely, assume K is a splitting field of a polynomial f(x) over F. Then [K:F] is finite and  $K = F(\alpha_1, \alpha_2, \ldots, \alpha_n)$ , where  $\alpha_1, \alpha_2, \ldots, \alpha_n$  are all roots of a polynomial  $f(x) \in F[x]$ .

Let  $\tau$  be an F-embedding on K and let  $m_i(x)$  be the minimal polynomial of  $\alpha_i$ . It follows that  $m_i(x) \mid f(x)$  and  $\tau(\alpha_i)$  is a root of  $\tau^*(m_i) = m_i(x)$ , hence a root of f(x). Thus,  $\tau(\alpha_i) = \alpha_j \in K$  for some  $1 \leq j \leq n$ . This shows that every F-embedding on K is an F-automorphism. So K/F is normal.  $\square$ 

#### Main results on normal extensions

- 1. Let  $F \subseteq M \subseteq K$  be algebraic extensions of fields. If K/F is normal, then K/M is normal.
- 2. If  $K_1/F$ ,  $K_2/F$  are normal, then  $K_1K_2/F$  is normal.
- 3. Let K/F be a finite extension. Then K/F is normal  $\iff K$  is a splitting field of some  $f(x) \in F[x]$ .
- 4. If  $\alpha_1, \ldots, \alpha_r$  are algebraic over F, then the normal closure of  $F(\alpha_1, \ldots, \alpha_r)/F$  is just the splitting field of  $m_1(x) \cdots m_r(x)$ , where  $m_i(x)$  is the minimal polynomial of  $\alpha_i$  over F.

#### **Exercises**

- 1. Let  $\alpha$  be algebraic over F with minimal polynomial m(x). Show that the normal closure of  $F(\alpha)/F$  is a splitting field of m(x) over F.
- 2. Find the normal closure of  $\mathbb{Q}(\alpha)/\mathbb{Q}$  in  $\mathbb{C}$ , where  $\alpha$  is a root of  $x^3 2x + 2$ .
- 3. Let K/F be a normal extension and M an intermediate subfield. Is the extension M/F normal?
- 4. Let  $\alpha \in \mathbb{C}$  be a root of  $x^4 2x^2 15 = 0$  and  $F = \mathbb{Q}(\alpha)$ . Determine a normal closure of F over  $\mathbb{Q}$
- 5. Let p be a prime. Show that  $x^p t$  is irreducible over the field  $\mathbb{F}_p(t)$  of rational functions, where t is an indeterminate. What's the splitting field of  $x^p t$ ?
- 6. Let K/F be a finite extension. Show that |Gal(K/F)| = [K : F] if and only if K is a splitting field of a separable polynomial over F.

### 2.2 Quiz 4

- 1. (5分) 有多少个互不同构的 72 阶交换群?
- 2. (10分) 设  $\alpha$  为多项式  $f(x) = x^3 3x + 4$  的一个实根.
  - (1) 证明:  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 3$ .
  - (2) 将  $\alpha^4$ ,  $(\alpha-1)^{-1}$  表成  $1, \alpha, \alpha^2$  的  $\mathbb{Q}$ -线性组合.
- 3. (5分) 设 K/F 为域的有限扩张,  $\overline{F}$  为 F 的代数闭包,  $\sigma: K \to \overline{F}$  是一个 F-嵌入. 证明:  $\sigma(K)$  为  $\overline{F}/F$  的中间域且  $[\sigma(K):F] = [K:F]$ .

**Homework** Exercise 14, 17, 26, 27, 28, 29, 31, 39, 40 on page 243-245.