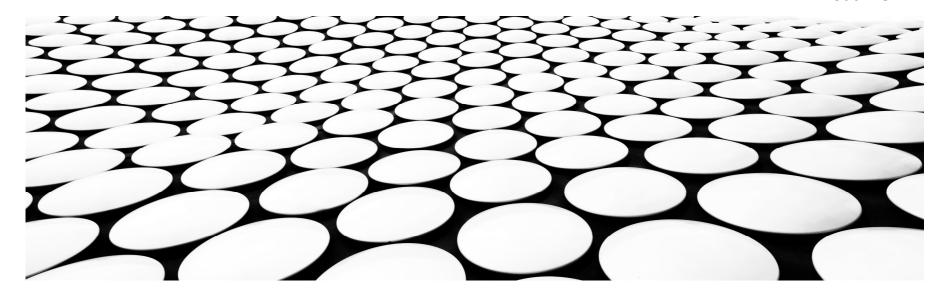
# **REGULAR EXPRESSIONS AND CONVERSIONS**

IF 2124 TEORI BAHASA FORMAL OTOMATA

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#### Regular expressions

A FA (NFA or DFA) is a "blueprint" for contructing a machine recognizing a regular language.

A regular expression is a "user-friendly," declarative way of describing a regular language.

Example:  $01^* + 10^*$ 

Regular expressions are used in e.g.

- 1. UNIX grep command
- 2. UNIX Lex (Lexical analyzer generator) and Flex (Fast Lex) tools.

## **Operations on languages**

Union:

$$L \cup M = \{w : w \in L \text{ or } w \in M\}$$

Concatenation:

$$L.M = \{w : w = xy, x \in L, y \in M\}$$

Powers:

$$L^0 = {\epsilon}, L^1 = L, L^{k+1} = L.L^k$$

Kleene Closure:

$$L^* = \bigcup_{i=0}^{\infty} L^i$$

**Question:** What are  $\emptyset^0$ ,  $\emptyset^i$ , and  $\emptyset^*$ 

#### **Building regex's**

Inductive definition of regex's:

**Basis:**  $\epsilon$  is a regex and  $\emptyset$  is a regex.

$$L(\epsilon) = {\epsilon}$$
, and  $L(\emptyset) = \emptyset$ .

If  $a \in \Sigma$ , then a is a regex.

$$L(a) = \{a\}.$$

#### **Induction:**

If E is a regex's, then (E) is a regex.

$$L((E)) = L(E).$$

If E and F are regex's, then E + F is a regex.

$$L(E+F) = L(E) \cup L(F).$$

If E and F are regex's, then E.F is a regex.

$$L(E.F) = L(E).L(F).$$

If E is a regex's, then  $E^*$  is a regex.

$$L(E^{\star}) = (L(E))^{*}.$$

Example: Regex for

$$L = \{w \in \{0,1\}^*: \text{ 0 and 1 alternate in } w\}$$

$$(01)^* + (10)^* + 0(10)^* + 1(01)^*$$

or, equivalently,

$$(\epsilon+1)(01)^*(\epsilon+0)$$

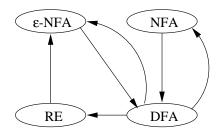
Order of precedence for operators:

- 1. Star
- 2. Dot
- 3. Plus

Example:  $01^* + 1$  is grouped  $(0(1)^*) + 1$ 

#### Equivalence of FA's and regex's

We have already shown that DFA's, NFA's, and  $\epsilon$ -NFA's all are equivalent.



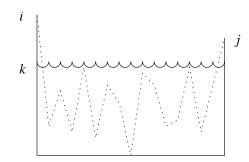
To show FA's equivalent to regex's we need to establish that

- 1. For every DFA A we can find (construct, in this case) a regex R, s.t. L(R) = L(A).
- 2. For every regex R there is a  $\epsilon$ -NFA A, s.t. L(A) = L(R).

**Theorem 3.4:** For every DFA  $A = (Q, \Sigma, \delta, q_0, F)$  there is a regex R, s.t. L(R) = L(A).

**Proof:** Let the states of A be  $\{1, 2, ..., n\}$ , with 1 being the start state.

• Let  $R_{ij}^{(k)}$  be a regex describing the set of labels of all paths in A from state i to state j going through intermediate states  $\{1,\ldots,k\}$  only.



 $R_{ij}^{\left(k\right)}$  will be defined inductively. Note that

$$L\left(\bigoplus_{j\in F} R_{1j}^{(n)}\right) = L(A)$$

**Basis:** k = 0, i.e. no intermediate states.

• Case 1:  $i \neq j$ 

$$R_{ij}^{(0)} = \bigoplus_{\{a \in \Sigma : \delta(i,a) = j\}} a$$

• Case 2: i = j

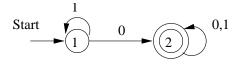
$$R_{ii}^{(0)} = \left(\bigoplus_{\{a \in \Sigma : \delta(i,a)=i\}} a\right) + \epsilon$$

#### **Induction:**

$$R_{ij}^{(k)} = R_{ij}^{(k-1)} + R_{ik}^{(k-1)} (R_{kk}^{(k-1)})^* R_{kj}^{(k-1)}$$

In 
$$R_{ik}^{(k-1)}$$
 Zero or more strings in  $R_{kk}^{(k-1)}$  In  $R_{kj}^{(k-1)}$ 

Example: Let's find R for A, where  $L(A) = \{x0y : x \in \{1\}^* \text{ and } y \in \{0,1\}^*\}$ 



$$\begin{array}{c|c} R_{11}^{(0)} & \epsilon + 1 \\ R_{12}^{(0)} & 0 \\ R_{21}^{(0)} & \emptyset \\ R_{22}^{(0)} & \epsilon + 0 + 1 \end{array}$$

We will need the following *simplification rules:* 

$$\bullet \ (\epsilon + R)^* = R^*$$

$$\bullet R + RS^* = RS^*$$

• 
$$\emptyset R = R\emptyset = \emptyset$$
 (Annihilation)

• 
$$\emptyset + R = R + \emptyset = R$$
 (Identity)

$$\begin{array}{c|c} R_{11}^{(0)} & \epsilon + 1 \\ R_{12}^{(0)} & 0 \\ R_{21}^{(0)} & \emptyset \\ R_{22}^{(0)} & \epsilon + 0 + 1 \end{array}$$

$$R_{ij}^{(1)} = R_{ij}^{(0)} + R_{i1}^{(0)} (R_{11}^{(0)})^* R_{1j}^{(0)}$$

|                | By direct substitution  | Simplified         |
|----------------|---|--------------------|
| $R_{11}^{(1)}$ | $\epsilon + 1 + (\epsilon + 1)(\epsilon + 1)^*(\epsilon + 1)$ | 1*                 |
|                | $0+(\epsilon+1)(\epsilon+1)^*0$                               | 1*0                |
|                | $\emptyset + \emptyset(\epsilon + 1)^*(\epsilon + 1)$         | Ø                  |
| $R_{22}^{(1)}$ | $\epsilon + 0 + 1 + \emptyset(\epsilon + 1)^*0$               | $\epsilon + 0 + 1$ |

$$\begin{array}{c|c} & \text{Simplified} \\ \hline R_{11}^{(1)} & 1^* \\ R_{12}^{(1)} & 1^*0 \\ R_{21}^{(1)} & \emptyset \\ R_{22}^{(1)} & \epsilon + 0 + 1 \\ \hline \end{array}$$

$$R_{ij}^{(2)} = R_{ij}^{(1)} + R_{i2}^{(1)} (R_{22}^{(1)})^* R_{2j}^{(1)}$$

### By direct substitution

$$\begin{array}{c|c} R_{11}^{(2)} & 1^* + 1^*0(\epsilon + 0 + 1)^*\emptyset \\ R_{12}^{(2)} & 1^*0 + 1^*0(\epsilon + 0 + 1)^*(\epsilon + 0 + 1) \\ R_{21}^{(2)} & \emptyset + (\epsilon + 0 + 1)(\epsilon + 0 + 1)^*\emptyset \\ R_{22}^{(2)} & \epsilon + 0 + 1 + (\epsilon + 0 + 1)(\epsilon + 0 + 1)^*(\epsilon + 0 + 1) \end{array}$$

# $\begin{array}{c|c} & \text{By direct substitution} \\ \hline R_{11}^{(2)} & 1^* + 1^*0(\epsilon + 0 + 1)^*\emptyset \\ R_{12}^{(2)} & 1^*0 + 1^*0(\epsilon + 0 + 1)^*(\epsilon + 0 + 1) \\ R_{21}^{(2)} & \emptyset + (\epsilon + 0 + 1)(\epsilon + 0 + 1)^*\emptyset \\ R_{22}^{(2)} & \epsilon + 0 + 1 + (\epsilon + 0 + 1)(\epsilon + 0 + 1)^*(\epsilon + 0 + 1) \end{array}$

Simplified
$$\begin{array}{c|c} R_{11}^{(2)} & 1^* \\ R_{12}^{(2)} & 1^*0(0+1)^* \\ R_{21}^{(2)} & \emptyset \\ R_{22}^{(2)} & (0+1)^* \end{array}$$

The final regex for A is

$$R_{12}^{(2)} = 1^*0(0+1)^*$$

## Observations

There are  $n^3$  expressions  $R_{ij}^{(k)}$ 

Each inductive step grows the expression 4-fold

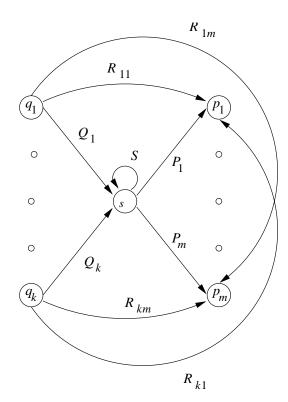
 $R_{ij}^{(n)}$  could have size  $\mathbf{4}^n$ 

For all  $\{i,j\}\subseteq\{1,\ldots,n\}$ ,  $R_{ij}^{(k)}$  uses  $R_{kk}^{(k-1)}$  so we have to write  $n^2$  times the regex  $R_{kk}^{(k-1)}$ 

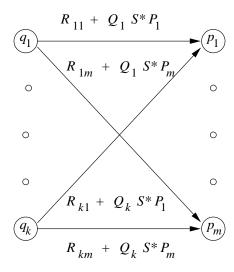
We need a more efficient approach: the state elimination technique

## The state elimination technique

Let's label the edges with regex's instead of symbols

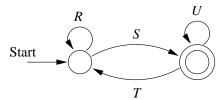


Now, let's eliminate state s.



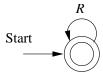
For each accepting state q eliminate from the original automaton all states exept  $q_0$  and q.

For each  $q \in {\cal F}$  we'll be left with an  ${\cal A}_q$  that looks like



that corresponds to the regex  $E_q = (R + SU^*T)^*SU^*$ 

or with  $A_q$  looking like

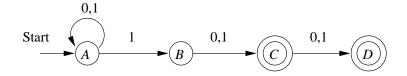


corresponding to the regex  $E_q = R^*$ 

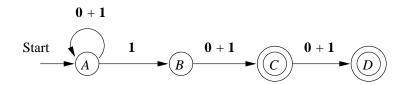
• The final expression is

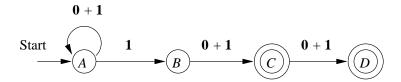
$$\bigoplus_{q \in F} E_q$$

Example:  $\mathcal{A}$ , where  $L(\mathcal{A})=\{W:w=x1b,\text{ or }w=x1bc,\ x\in\{0,1\}^*,\{b,c\}\subseteq\{0,1\}\}$ 

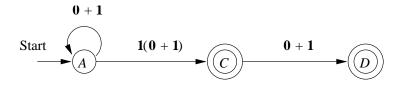


We turn this into an automaton with regex labels





Let's eliminate state B

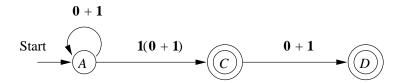


Then we eliminate state  ${\it C}$  and obtain  ${\it A}_{\it D}$ 

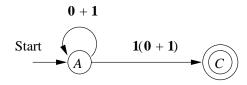
Start 
$$A$$
  $1(0+1)(0+1)$ 

with regex (0+1)\*1(0+1)(0+1)

From



we can eliminate D to obtain  $\mathcal{A}_C$ 



with regex (0+1)\*1(0+1)

• The final expression is the sum of the previous two regex's:

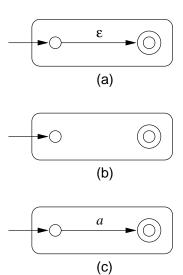
$$(0+1)^*1(0+1)(0+1) + (0+1)^*1(0+1)$$

## From regex's to $\epsilon$ -NFA's

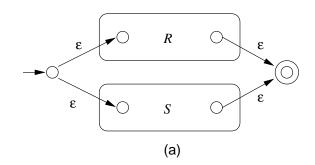
**Theorem 3.7:** For every regex R we can construct and  $\epsilon$ -NFA A, s.t. L(A) = L(R).

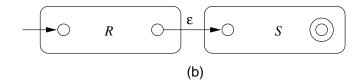
**Proof:** By structural induction:

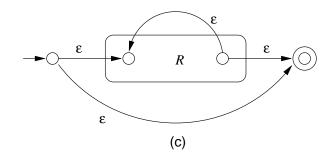
**Basis:** Automata for  $\epsilon$ ,  $\emptyset$ , and a.



## **Induction:** Automata for R+S, RS, and $R^{*}$







# Example: We convert (0+1)\*1(0+1)

