

IF3140 – Sistem Basis Data

# Concurrency Control:

- Validation-based Protocol



KNOWLEDGE & SOFTWARE ENGINEERING

# Optimistic Concurrency Control



# ***Validation-Based Protocol***

- Idea: can we use commit time as serialization order?
- To do so:
  - Postpone writes to end of transaction
  - Keep track of data items read/written by transaction
  - **Validation** performed at commit time, detect any out-of-serialization order reads/writes
- Also called as **optimistic concurrency control** since transaction executes fully in the hope that all will go well during validation



# ***Validation-Based Protocol***

- Execution of transaction  $T_i$  is done in three phases.
  1. **Read and execution phase:** Transaction  $T_i$  writes only to temporary local variables
  2. **Validation phase:** Transaction  $T_i$  performs a "validation test" to determine if local variables can be written without violating serializability.
  3. **Write phase:** If  $T_i$  is validated, the updates are applied to the database; otherwise,  $T_i$  is rolled back.
- The three phases of concurrently executing transactions can be interleaved, but each transaction must go through the three phases in that order.
  - We assume for simplicity that the validation and write phase occur together, atomically and serially
    - I.e., only one transaction executes validation/write at a time.



# ***Validation-Based Protocol (Cont.)***

- Each transaction  $T_i$  has 3 timestamps
  - **StartTS**( $T_i$ ) : the time when  $T_i$  started its execution
  - **ValidationTS**( $T_i$ ): the time when  $T_i$  entered its validation phase
  - **FinishTS**( $T_i$ ) : the time when  $T_i$  finished its write phase
- Validation tests use above timestamps and read/write sets to ensure that serializability order is determined by validation time
  - Thus,  $TS(T_i) = \text{ValidationTS}(T_i)$
- Validation-based protocol has been found to give greater degree of concurrency than locking/TSO if probability of conflicts is low.



# ***Validation Test for Transaction $T_j$***

- If for all  $T_i$  with  $TS(T_i) < TS(T_j)$  either one of the following condition holds:
  - **finishTS**( $T_i$ ) < **startTS**( $T_j$ )
  - **startTS**( $T_j$ ) < **finishTS**( $T_i$ ) < **validationTS**( $T_j$ ) **and** the set of data items written by  $T_i$  does not intersect with the set of data items read by  $T_j$ .

then validation succeeds and  $T_j$  can be committed.
- Otherwise, validation fails and  $T_j$  is aborted.
- Justification:
  - First condition applies when execution is not concurrent
    - The writes of  $T_i$  do not affect reads of  $T_j$  since they occur before  $T_j$  starts its reads.
  - If the second condition holds, execution is concurrent and  $T_j$  does not read any item written by  $T_i$ .

# ***Schedule Produced by Validation***

- Example of schedule produced using validation

$T_{25}$	$T_{26}$
read( $B$ )	read( $B$ ) $B := B - 50$ read( $A$ ) $A := A + 50$
read( $A$ ) <validate> display( $A + B$ )	<validate> write( $B$ ) write( $A$ )

## ***Latihan Soal***

**Periksalah** apakah schedule

S: R1(X); W2(X); W2(Y); W3(Y); W1(Y); C1;  
C2; C3;

dapat dihasilkan dengan menggunakan **Validation-based Protocol**. Timestamp transaksi  $T_i$  adalah  $i$  dan sebelum  $S$  dieksekusi timestamp semua item data adalah 0.

**Jelaskan** jawaban Anda.

- T1 memiliki timestamp 1 dan tidak ada transaksi konkuren yang lebih awal. Validasi T1 berhasil dan bisa commit.
- T2 memiliki timestamp 2 dan harus divalidasi terhadap T1. Karena T2 tidak melakukan read (terhadap item yang di-write T1) maka validasi T2 berhasil.
- T3 memiliki timestamp 3 dan harus divalidasi terhadap T1 dan T2. Karena T3 tidak melakukan read (terhadap item yang di-write T1 dan T2) maka validasi T3 berhasil.

∴  $S$  dapat dihasilkan dengan validation-based protocol

