

IF3140 – Sistem Basis Data

Concurrency Control:

- Validation-based Protocol



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Optimistic Concurrency Control



Validation-Based Protocol

- Idea: can we use **commit time** as **serialization order**?
- To do so:
 - Postpone writes to end of transaction *write tdk pernah dilakukan sblm mendekati commit*
 - Keep track of data items read/written by transaction
 - **Validation** performed at commit time, detect any out-of-serialization order reads/writes
- Also called as **optimistic concurrency control** since transaction executes fully in the hope that all will go well during validation



Validation-Based Protocol

- Execution of transaction T_i is done in three phases.
 1. **Read and execution phase:** Transaction T_i writes only to temporary local variables → tidak ada write ke database
 2. **Validation phase:** Transaction T_i performs a "validation test" to determine if local variables can be written without violating serializability.
 3. **Write phase:** If T_i is validated, the updates are applied to the database; otherwise, T_i is rolled back.
- The three phases of concurrently executing transactions can be interleaved, but each transaction must go through the three phases in that order.
 - We assume for simplicity that the validation and write phase occur together, atomically and serially → harus validation dulu baru write
 - I.e., only one transaction executes validation/write at a time.



Validation-Based Protocol (Cont.)



- Each transaction T_i has 3 timestamps
 - **StartTS**(T_i) : the time when T_i started its execution
 - **ValidationTS**(T_i): the time when T_i entered its validation phase
 - **FinishTS**(T_i) : the time when T_i finished its write phase
- Validation tests use above timestamps and read/write sets to ensure that serializability order is determined by validation time
 - Thus, $TS(T_i) = \text{ValidationTS}(T_i)$
- Validation-based protocol has been found to give greater degree of concurrency than locking/TSO if probability of conflicts is low.



Validation Test for Transaction T_j

- If for all T_i with $TS(T_i) < TS(T_j)$ either one of the following condition holds:
 - $finishTS(T_i) < startTS(T_j)$
 - $startTS(T_j) < finishTS(T_i) < validationTS(T_j)$ **and** the set of data items written by T_i does not intersect with the set of data items read by T_j .

then validation succeeds and T_j can be committed.
- Otherwise, validation fails and T_j is aborted.
- Justification:
 - First condition applies when execution is not concurrent
 - The writes of T_i do not affect reads of T_j since they occur before T_j starts its reads.
 - If the second condition holds, execution is concurrent and T_j does not read any item written by T_i .



Schedule Produced by Validation

- Example of schedule produced using validation

*T₂₅ bisa divalidasi km
tlk ada T lain yg
selesai saat T₂₅ masih
di read & execute phase*



read(B)

read(A)
<validate>
display($A + B$)

*T₂₆ bisa divalidasi km
di T₂₅ tlk ada proses
write*



read(B)
 $B := B - 50$
read(A)
 $A := A + 50$

<validate>
write(B)
write(A)



T_1	T_2	T_3
$R(X)$	$\langle \text{validate} \rangle$ $W(X)$ $W(Y)$	$\langle \text{validate} \rangle$ $W(Y)$
$\langle \text{validate} \rangle$ $W(Y)$ C	C	C

Latihan Soal

Periksalah apakah schedule

S: $R_1(X)$; $W_2(X)$; $W_2(Y)$; $W_3(Y)$; $W_1(Y)$; C_1 ; C_2 ; C_3 ;

dapat dihasilkan dengan menggunakan **Validation-based Protocol**. Timestamp transaksi T_i adalah i dan sebelum S dieksekusi timestamp semua item data adalah 0.

Jelaskan jawaban Anda.

- T_1 memiliki timestamp 1 dan tidak ada transaksi konkuren yang lebih awal. Validasi T_1 berhasil dan bisa commit.
- T_2 memiliki timestamp 2 dan harus divalidasi terhadap T_1 . Karena T_2 tidak melakukan read (terhadap item yang di-write T_1) maka validasi T_2 berhasil.
- T_3 memiliki timestamp 3 dan harus divalidasi terhadap T_1 dan T_2 . Karena T_3 tidak melakukan read (terhadap item yang di-write T_1 dan T_2) maka validasi T_3 berhasil.

$\therefore S$ dapat dihasilkan dengan validation-based protocol

