

Prof. Nana Rachmana

## **Application Layer**

## Building Networked Applications/Systems

- Naming Issues
- Application Architectures
  - Client-Server vs. Peer-to-Peer
- Look-up Systems
  - Hierarchical: DNS
  - Peer-to-Peer: Unstructured vs. Structured
- API and Transport Layer Services: TCP, UDP, RTP
- Example Applications and Application Layer Protocols
  - HTTP, SMTP, SIP, ...
- Content Distribution Networks (read yourself)

# Objectives

- Understand
  - Service requirements applications place on network infrastructure
  - Protocols applications use to implement applications
- Conceptual + implementation aspects of network application protocols
  - client server paradigm
  - peer-to-peer paradigm (DHT)
- Learn about protocols by examining popular application-level protocols
  - DNS
  - Wide Wide Web, Web Caching
  - Electronic Mail

## Common Applications and Requirements

#### "Non-Interactive" Data Transfer of Various Types

- web download/upload, ftp of text files, images, audio, video, etc.
- sending or retrieving of emails (from mail servers)
- file sharing, podcasting, ...

#### Requirements

- 100% reliability (no data loss)
- -- may be relaxed for images/audio/video bursty and "bandwidth-elastic"

#### Desirables/Comments

- fast response time desirable
- -- allocate as much as possible

### "Interactive" Text-based Applications

- telnet and other remote terminal operations
- instant messaging
- interactive on-line gaming, ......

#### Requirements

- 100% reliability (no data loss)
- short message delay (time scale ~ 10s?)

#### Desirables/Comments

- generally require low bandwidth
- bursty

## -Common Applications and Requirements...

#### Streaming (Stored) Audio/Video

- Internet radio
- IPTV/video playback on-demand Requirements
- can tolerate some data loss
- can tolerate some "start-up" delay
- delay-sensitive, threshold for minimal quality

### "Real-Time" or "Interactive" Audio/Video Applications

- VoIP, audio/video conferencing
- real-time audio/video broadcasting
- Interactive multimedia on-line gaming

#### Requirements

- can tolerate some data loss
- delay-sensitive, threshold for minimal quality

#### Desirables/Comments

- minimal bandwidth needed
- as good quality as possible (but more bw maybe wasteful!)
- fast start-up desirable

#### Desirables/Comments

- minimal bandwidth needed
- as good quality as possible (but more bw maybe wasteful!)

## Summary: Application Requirements

#### Data loss

- some apps (e.g., audio) can tolerate some loss
- other apps (e.g., file transfer, telnet) require
   100% reliable data transfer

### Bandwidth

- some apps (e.g., multimedia) require minimum amount of bandwidth to be "effective"
- other apps ("elastic apps") make use of whatever bandwidth they get

### **Timing**

 some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

# Transport service requirements of common apps

	Application	Data loss	Bandwidth	Time Sensitive
_	file transfer	no loss	elastic	no
•	e-mail	no loss	elastic	no
	Web documents	loss-tolerant	elastic	no
	time audio/video	loss-tolerant	audio: 5Kb-1Mb	yes, 100's msec
			video:10Kb-	
-	ored audio/video	loss-tolerant	5Mb	yes, few secs
	teractive games	loss-tolerant	same as above	yes, 100's msec
	financial apps	no loss	few Kbps up	yes and no
_	-		elastic	

### **Network Transport Services**

### Functionalities

- end host to end host communication services
- "packaging" what network provides to serve common application needs
- introduce common abstractions and service interfaces

### What network (Internet) provides:

#### "Best-Effort" Datagram Service

- data delivered in chunks (packets)
- no guarantee of delivery time
  - try to deliver as fast as possible
- may be delivered out of order
- may be lost
  - no effort made to recover them
- may even be duplicated

### What applications want:

- data consist of bytestream with application semantics
- many want reliable data delivery (no data loss)
- some want timely data delivery
- ....

### What Transport Services?

Network provides "shoddy" service, what "packaging" services can we provide end-to-end?

# Unreliable, datagram service: UDP

- minimal "packaging" except service interfaces & mux/demux functions
- leave everything to apps

# Unreliable, real-time service: RTP/RTCP (wrapped over UDP)

- ordered media stream
  - for loss detection, no recovery
- timestamp
  - to assist playback and other ops
- other info
  - time, inter-media sync., loss/delay reports, ...

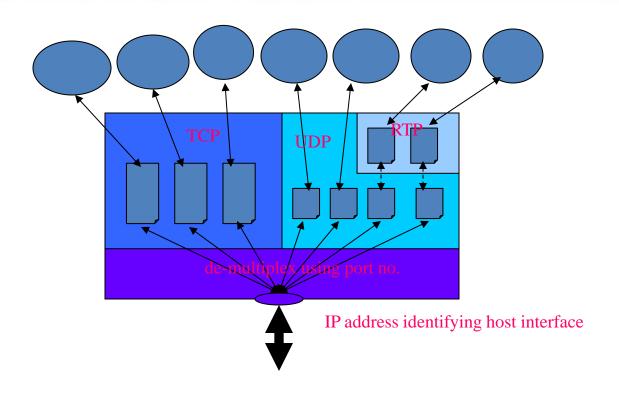
# Reliable, "virtual pipe" service: TCP

- connection-oriented
  - maintain connection states at end hosts
  - ordered byte streams within a connection
- reliable data delivery
  - re-order data, recover lost data, remove duplicates
  - no effort made to recover the packets with error
- flow and congestion control
  - Try not overflow receiver or network

# Internet apps: their protocols and transport protocols

	Application	Application layer protocol	Underlying transport protocol
_	e-mail	smtp [RFC 821]	TCP
remote_	terminal access	telnet [RFC 854]	TCP
_	Web	http [RFC 2068]	TCP
_	file transfer	ftp [RFC 959]	TCP
strear	ming multimedia	proprietary	TCP or UDP
_		(e.g. RealNetworks)	
re	emote file server	NFS	TCP or UDP
In	ternet telephony	proprietary	typically RTP/UDP
		(e.g., Vonage)	

# Apps, Transport Services and Network



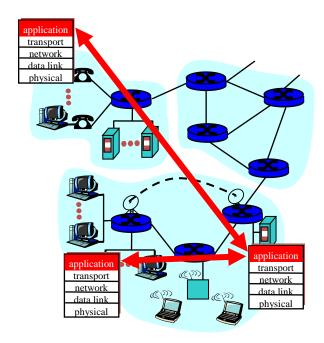
### Applications and Application-Layer Protocols

#### Application: communicating, distributed processes

- running in network hosts in "user space"
- exchange messages to implement app
- e.g., email, file transfer, the Web

#### Application-layer protocols

- one "piece" of an app
- define messages exchanged by apps and actions taken
- use services provided by lower layer protocols



# Processes communicating

Process: program running within a host.

- within same host, two processes communicate using inter-process communication (defined by OS).
- processes in different hosts communicate by exchanging messages (via application layer protocol)

Client process: process that initiates communication

Server process: process that waits to be contacted

 Note: applications with P2P architectures have client processes & server processes

## Application Programming Interface

# API: application programming interface

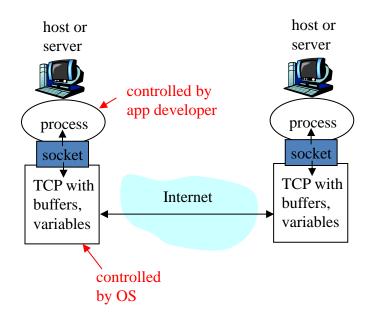
- defines interface between application and transport layer
- socket: Internet API
  - two processes communicate by sending data into socket, reading data out of socket

- Q: how does a process "identify" the other process with which it wants to communicate?
  - IP address of host running other process
  - "port number" allows receiving host to determine to which local process the message should be delivered

... lots more on this later.

### Sockets

- process sends/receives messages to/from its socket
- socket analogous to door
  - sending process shoves message out door
  - sending process relies on transport infrastructure on other side of door which brings message to socket at receiving process



• API: (1) choice of transport protocol; (2) ability to fix a few parameters (lots more on this later)



- For a process to receive messages, it must have an identifier
- A host has a unique32-bit IP address
- Q: does the IP address of the host on which the process runs suffice for identifying the process?
- Answer: No, many processes can be running on same host

- Identifier includes both the IP address and port numbers associated with the process on the host.
- Example port numbers:

HTTP server: 80

Mail server: 25

More on this later

# App-layer protocol defines

- Types of messages exchanged, eg, request & response messages
- Syntax of message types: what fields in messages & how fields are delineated
- Semantics of the fields, ie, meaning of information in fields
- Rules for when and how processes send & respond to messages

### Public-domain protocols:

- defined in RFCs
- allows for interoperability
- eg, HTTP, SMTP

### Proprietary protocols:

• eg, KaZaA

## Building Networked Apps/Systems

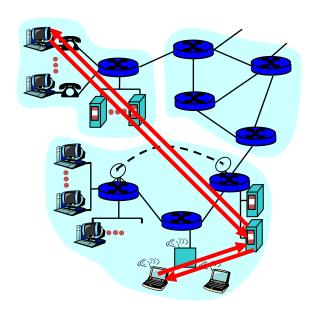
#### Key Issues:

- Identify and locate service/data you or other want
  - Naming service/data, and locating host and app process providing service/data
- Decide on Application/System Structure
  - Client-Server: host service/data on ("fixed") servers
  - Peer-to-Peer: service/data provided by ("on-off") peers
  - Hybrid?
- Session Establishment
  - Resolve names, bind app peer processes to addresses, establish sessions, exchange messages based on application-layer transfer protocols
- Presentation and Processing of Messages
  - Sender: presentation and formatting of app data for transfer
  - Receiver: interpreting and re-presentation of app data received
  - **–** .....

# Application architectures

- Client-server
- Peer-to-peer (P2P)
- Hybrid of client-server and P2P

# Client-server archicture



#### server:

- always-on host
- permanent IP address
- server farms for scaling

#### clients:

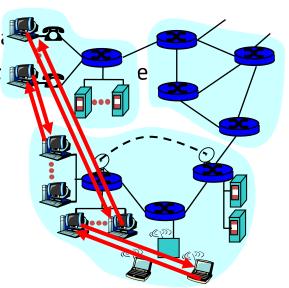
- communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other

# Pure P2P architecture

- no always on server
- arbitrary end systems directly communicity
- peers are intermittently connected and c
- example: Gnutella

Highly scalable

But difficult to manage



# Hybrid of client-server and P2P

### **Napster**

- File transfer P2P
- File search centralized:
  - Peers register content at central server
  - Peers query same central server to locate content

### Instant messaging

- Chatting between two users is P2P
- Presence detection/location centralized:
  - User registers its IP address with central server when it comes online
  - User contacts central server to find IP addresses of buddies

# Example Application Protocols

- Domain Name Service
- Web
- Internet Mail

## DNS: Domain Name System

### People: many identifiers:

SSN, name, passport #

### Internet hosts, routers:

- IP address (32 bit) used for addressing datagrams
- "name", e.g., ww.yahoo.com used by humans

Q: map between IP addresses and name

### Domain Name System:

- distributed database implemented in hierarchy of many name servers
- application-layer protocol host and name servers to communicate to resolve names (address/name translation)
  - note: core Internet function, implemented as applicationlayer protocol
  - complexity at network's "edge"

### **DNS** services

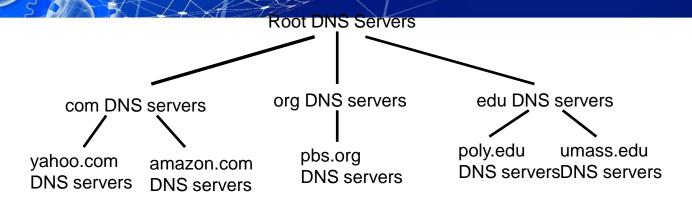
- Hostname to IP address translation
- Host aliasing
  - Canonical and alias names
- Mail server aliasing
- Load distribution
  - Replicated Web servers: set of IP addresses for one canonical name

### Why not centralize DNS?

- single point of failure
- traffic volume
- distant centralized database
- maintenance

doesn't scale!

## Distributed, Hierarchical Database



### Client wants IP for www.amazon.com; 1st approx:

- Client queries a root server to find com DNS server
- Client queries com DNS server to get amazon.com DNS server
- Client queries amazon.com DNS server to get IP address for www.amazon.com

### **DNS: Root name servers**

- contacted by local name server that can not resolve name
- root name server:
  - contacts authoritative name server if name mapping not known
  - gets mapping
  - returns mapping to local name server



# TLD and Authoritative Servers

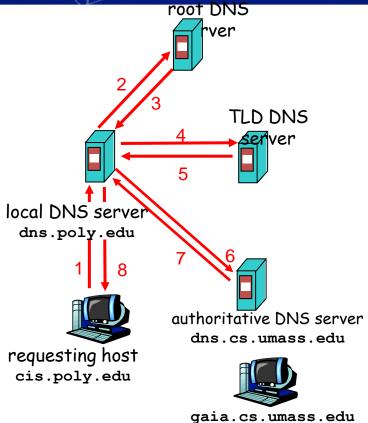
- Top-level domain (TLD) servers: responsible for com, org, net, edu, etc, and all top-level country domains uk, fr, ca, jp.
  - Network solutions maintains servers for com TLD
  - Educause for edu TLD
- Authoritative DNS servers: organization's DNS servers, providing authoritative hostname to IP mappings for organization's servers (e.g., Web and mail).
  - Can be maintained by organization or service provider

## **Local Name Server**

- Does not strictly belong to hierarchy
- Each ISP (residential ISP, company, university) has one.
  - Also called "default name server"
- When a host makes a DNS query, query is sent to its local DNS server
  - Acts as a proxy, forwards query into hierarchy.

# Example

 Host at cis.poly.edu wants IP address for gaia.cs.umass.edu



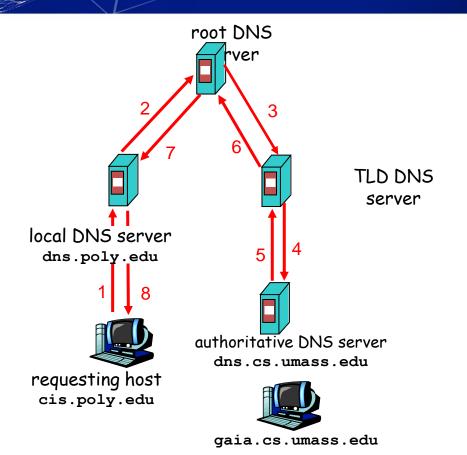
# Recursive queries

### recursive query:

- puts burden of name resolution on contacted name server
- heavy load?

### iterated query:

- contacted server replies with name of server to contact
- "I don't know this name, but ask this server"



## DNS: caching and updating records

- once (any) name server learns mapping, it caches mapping
  - cache entries timeout (disappear) after some time
  - TLD servers typically cached in local name servers
    - Thus root name servers not often visited
- update/notify mechanisms under design by IETF
  - RFC 2136
  - http://www.ietf.org/html.charters/dnsind-charter.html

### **DNS** records

**DNS**: distributed db storing resource records (RR)

RR format: (name, value, type, class ttl)

- Type=A
  - name is hostname
  - value is IP address
- Type=NS
  - name is domain (e.g. foo.com)
  - value is domain name of the host running a name server for • that domain

### · Type=CNAME

 name is alias name for some "cannonical" (the real) name

www.ibm.com is really

servereast.backup2.ibm.com

value is canonical name

### Type=MX

 value is name of mailserver associated with name

# Inserting records into DNS

- Example: just created startup "Network Utopia"
- Register name networkuptopia.com at a registrar (e.g., Network Solutions)
  - Need to provide registrar with names and IP addresses of your authoritative name server (primary and secondary)
  - Registrar inserts two RRs into the com TLD server:

```
(networkutopia.com, dns1.networkutopia.com, NS)
(dns1.networkutopia.com, 212.212.21., A)
```

- Put in authoritative server Type A record for www.networkuptopia.com and Type MX record for networkutopia.com
- How do people get the IP address of your Web site?

## Web and HTTP

### First some jargon

- Web page consists of objects
- Object can be HTML file, JPEG image, Java applet, audio file,...
- Web page consists of base HTML-file which includes several referenced objects
- Each object is addressable by a URL
- Example URL:

www.someschool.edu/someDept/pic.gif

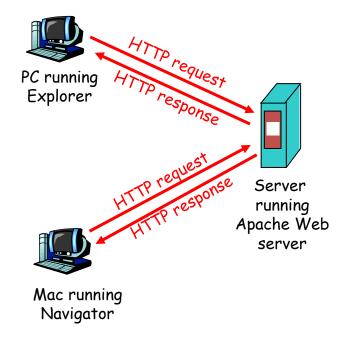
host name

path name

### **HTTP** overview

### HTTP: hypertext transfer protocol

- Web's application layer protocol
- client/server model
  - client: browser that requests, receives, "displays" Web objects
  - server: Web server sends objects in response to requests
- HTTP 1.0: RFC 1945
- HTTP 1.1: RFC 2068



## HTTP overview (continued)

### **Uses TCP:**

- client initiates TCP connection (creates socket) to server, port
   80
- server accepts TCP connection from client
- HTTP messages (applicationlayer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

### HTTP is "stateless"

 server maintains no information about past client requests

# Protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

## **HTTP** connections

### Nonpersistent HTTP

- At most one object is sent over a TCP connection.
- HTTP/1.0 uses nonpersistent HTTP

### Persistent HTTP

- Multiple objects can be sent over single TCP connection between client and server.
- HTTP/1.1 uses persistent connections in default mode

## Nonpersistent HTTP

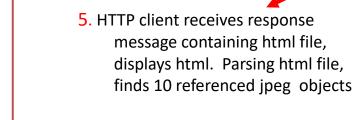
Suppose user enters URL www.someSchool.edu/someDepartment/home.index

(contains text, references to 10 ipeg images)

- 1a. HTTP client initiates TCP connection to HTTP server (process) at www.someSchool.edu on port 80
- 2. HTTP client sends HTTP request message (containing URL) into TCP connection socket. Message indicates that client wants object someDepartment/home.index
- 1b. HTTP server at host
   www.someSchool.edu waiting
   for TCP connection at port
   80. "accepts" connection,
   notifying client
- 3. HTTP server receives request message, forms response message containing requested object, and sends message into its socket



## Nonpersistent HTTP (cont.)



4. HTTP server closes TCP connection.



6. Steps 1-5 repeated for each of 10 jpeg objects

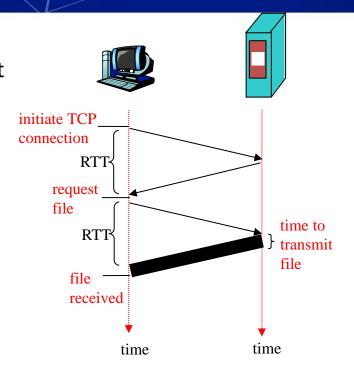
## Response time modeling

Definition of RTT: time to send a small packet to travel from client to server and back.

### Response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time

total = 2RTT+transmit time



### **Persistent HTTP**

### Nonpersistent HTTP issues:

- requires 2 RTTs per object
- OS must work and allocate host resources for each TCP connection
- but browsers often open parallel TCP connections to fetch referenced objects

### Persistent HTTP

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server are sent over connection

### Persistent without pipelining:

- client issues new request only when previous response has been received
- one RTT for each referenced object

### Persistent with pipelining:

- default in HTTP/1.1
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

## HTTP request message

- two types of HTTP messages: request, response
- HTTP request message:
  - ASCII (human-readable format)

```
request line
(GET, POST,
HEAD commands)

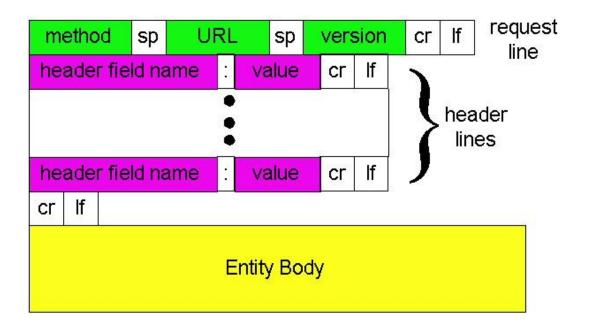
Host: www.someschool.edu
User-agent: Mozilla/4.0
Connection: close
Accept-language:fr

Carriage return,
line feed
indicates end
of message

GET /somedir/page.html HTTP/1.1

Host: www.someschool.edu
User-agent: Mozilla/4.0
Connection: close
Accept-language:fr
```

## HITP request message: general format



# -Uploading form input

### Post method:

- Web page often includes form input
- Input is uploaded to server in entity body

### **URL** method:

- Uses GET method
- Input is uploaded in URL field of request line:

www.somesite.com/animalsearch?monkeys&banana

## Method types

### HTTP/1.0

- GET
- POST
- HEAD
  - asks server to leave requested object out of response

### HTTP/1.1

- GET, POST, HEAD
- PUT
  - uploads file in entity body to path specified in URL field
- DELETE
  - deletes file specified in the URL field

## HTTP response message

```
status line
  (protocol
                 HTTP/1.1 200 OK
 status code
                 Connection close
status phrase)
                 Date: Thu, 06 Aug 1998 12:00:15 GMT
                 Server: Apache/1.3.0 (Unix)
        header
                 Last-Modified: Mon, 22 Jun 1998 .....
          lines
                 Content-Length: 6821
                 Content-Type: text/html
data, e.g.,
                 data data data data ...
requested
HTML file
```

## HTTP response status codes

# In first line in server->client response message. A few sample codes:

#### 200 OK

request succeeded, requested object later in this message

### 301 Moved Permanently

 requested object moved, new location specified later in this message (Location:)

### 400 Bad Request

request message not understood by server

#### 404 Not Found

requested document not found on this server

### 505 HTTP Version Not Supported

## User-server state: cookies

### Many major Web sites use cookies

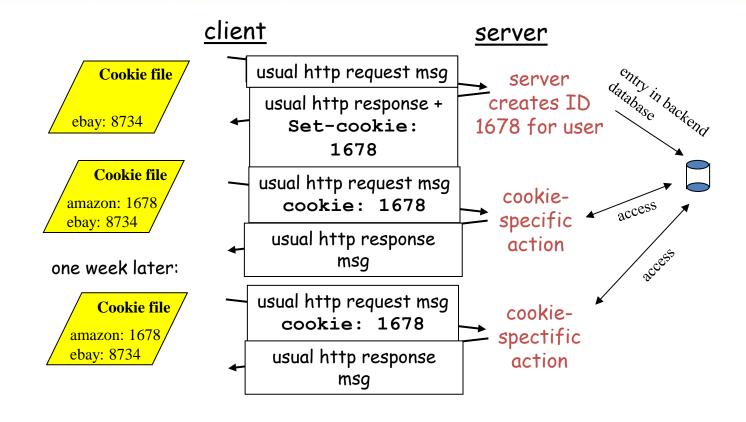
### Four components:

- 1) cookie header line in the HTTP response message
- 2) cookie header line in subsequent HTTP request message
- 3) cookie file kept on user's host and managed by user's browser
- 4) back-end database at Web site

### Example:

- Susan access Internet always from same PC
- She visits a specific e-commerce site for first time
- When initial HTTP requests arrives at site, site creates a unique ID and creates an entry in backend database for ID

## Cookies: keeping "state" (cont.)



# Cookies (continued)

### Cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites
- search engines use redirection & cookies to learn yet more
- advertising companies obtain info across sites

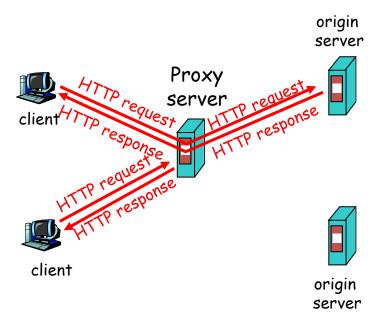
### What cookies can bring:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

## Web caches (proxy server)

Goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
  - object in cache: cache returns object
  - else cache requests object from origin server, then returns object to client



## More about Web caching

- Cache acts as both client and server
- Typically cache is installed by ISP (university, company, residential ISP)

### Why Web caching?

- Reduce response time for client request.
- Reduce traffic on an institution's access link.
- Internet dense with caches enables "poor" content providers to effectively deliver content (but so does P2P file sharing)

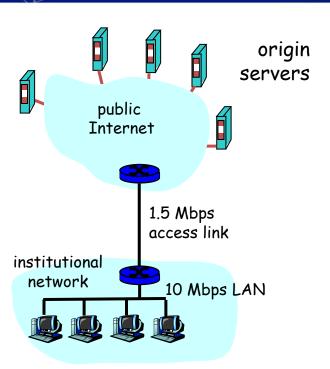
## Caching example

#### **Assumptions**

- average object size = 100,000 bits
- avg. request rate from institution's browsers to origin servers = 15/sec
- delay from Internet side router to any origin server and back to router = 2 sec (Internet delay)

#### Consequences

- utilization on LAN = 15%
- utilization on access link = 100%
- total delay = Internet delay + access delay + LAN delay
- = 2 sec + minutes + milliseconds



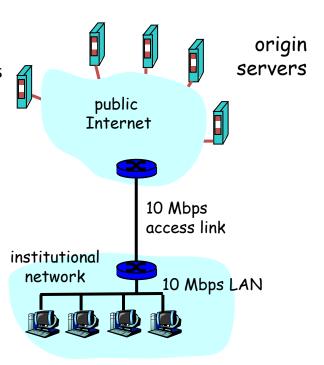
## Caching example (cont)

### Possible solution

increase bandwidth of access link to, say, 10 Mbps

#### Consequences

- utilization on LAN = 15%
- utilization on access link = 15%
- Total delay = Internet delay + access delay + LAN delay
- = 2 sec + msecs + msecs
- often a costly upgrade



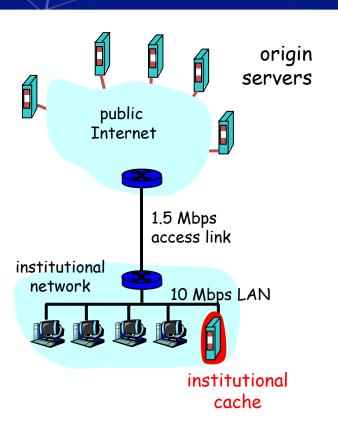
## Caching example (cont)

#### Install cache

suppose hit rate is .4

### Consequence

- 40% requests will be satisfied almost immediately
- 60% requests satisfied by origin server
- utilization of access link reduced to 60%, resulting in negligible delays (say 10 msec)
- total avg delay = Internet delay + access delay + LAN delay = .6\*(2.01) secs + milliseconds < 1.4 secs



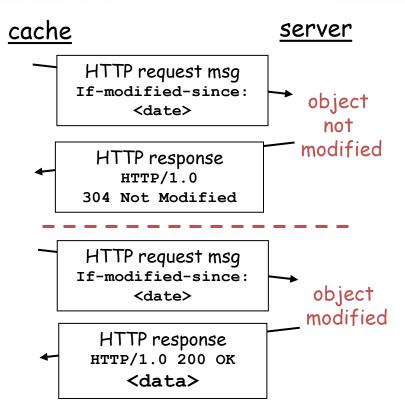
### Conditional GET

- Goal: don't send object if cache has up-to-date cached version
- cache: specify date of cached copy in HTTP request

If-modified-since: <date>

 server: response contains no object if cached copy is up-todate:

HTTP/1.0 304 Not Modified



### Trying out http (client side) for yourself

1. Telnet to your favorite Web server:

```
telnet www.seas.gwu.edu 80 Opens TCP connection to port 80 (default http server port) at www.seas.gwu.edu.

Anything typed in sent to port 80 at www.seas.gwu.edu
```

2. Type in a GET http request:

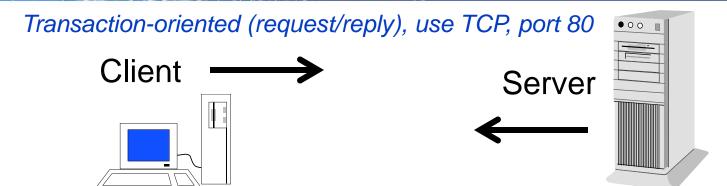
```
GET /~cheng/index.html HTTP/1.0

By typing this in (hit carriage return twice), you send this minimal (but complete)

GET request to http server
```

3. Look at response message sent by http server!

## Web and HTTP Summary



GET /index.html HTTP/1.0

HTTP/1.0
200 Document follows
Content-type: text/html
Content-length: 2090
-- blank line -HTML text of the Web page

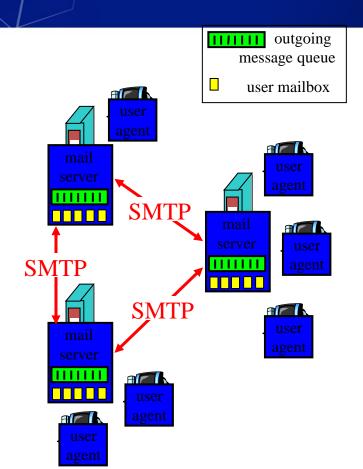
### **Electronic Mail**

### Three major components:

- user agents
- mail servers
- simple mail transfer protocol: smtp

#### **User Agent**

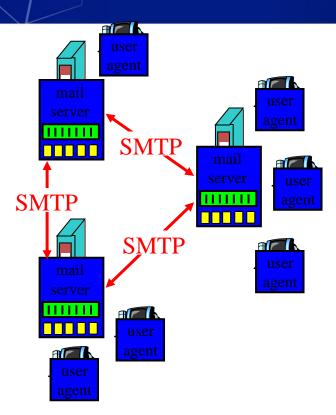
- a.k.a. "mail reader"
- composing, editing, reading mail messages
- e.g., Eudora, Outlook, pine, Netscape Messenger
- outgoing, incoming messages stored on server



### Electronic Mail: mail servers

### **Mail Servers**

- mailbox contains incoming messages (yet to be read) for user
- message queue of outgoing (to be sent) mail messages
- smtp protocol between mail servers to send email messages
  - client: sending mail server
  - "server": receiving mail server



## Electronic Mail:SMTP [RFC 821]

- uses tcp to reliably transfer email msg from client to server, port 25
- direct transfer: sending server to receiving server
- three phases of transfer
  - handshaking (greeting)
  - transfer of messages
  - closure
- command/response interaction
  - commands: ASCII text
  - response: status code and phrase
- messages must be in 7-bit ASCII

## Sample SMTP Interaction

S: 220 hamburger.edu C: HELO crepes.fr S: 250 Hello crepes.fr, pleased to meet you C: MAIL FROM: <alice@crepes.fr> S: 250 alice@crepes.fr... Sender ok C: RCPT TO: <bob@hamburger.edu> S: 250 bob@hamburger.edu ... Recipient ok C: DATA S: 354 Enter mail, end with "." on a line by itself C: Do you like ketchup? C: How about pickles? C: . S: 250 Message accepted for delivery C: QUIT S: 221 hamburger.edu closing connection

## Try SMTP interaction yourself

- telnet servername 25
- see 220 reply from server
- enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands

above lets you send email without using email client (reader)

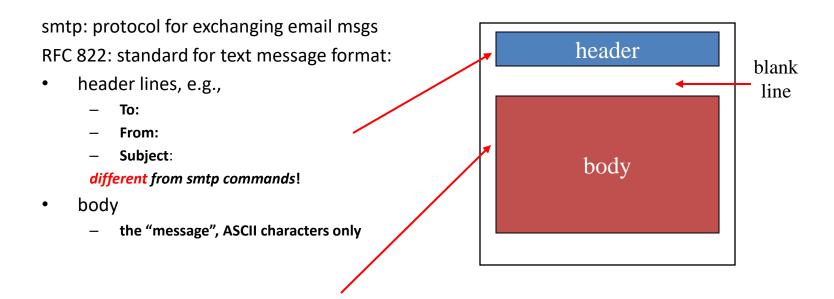
## SMTP: Final Words

### Comparison with http

- smtp uses persistent connections
- smtp requires that message (header & body) be in 7-bit ascii
- certain character strings are not permitted in message (e.g., CRLF.CRLF). Thus message has to be encoded (usually into either base-64 or quoted printable)
- smtp server uses CRLF.CRLF to determine end of message

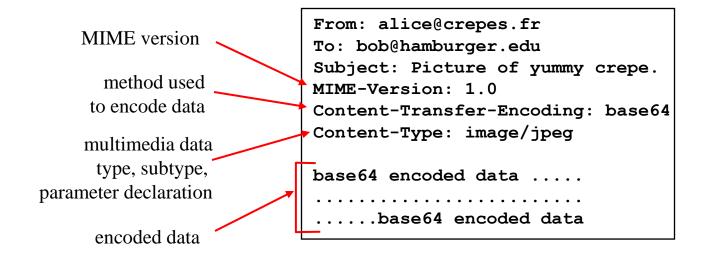
- http: pull
- email: push
- both have ASCII command/response interaction, status codes
- http: each object is encapsulated in its own response message
- smtp: multiple object message sent in a multipart message

## Mail Message Format



### Message Format: Multimedia Extensions

- MIME: multimedia mail extension, RFC 2045, 2056
- additional lines in msg header declare MIME content type



### MIME Types

Content-Type: type/subtype; parameters

#### Text

example subtypes: plain,
 html. Eg: text/plain, or
 text/richtext

### Image

example subtypes: jpeg,
gif (image/jpeg)

### Audio

example subtypes: basic (8-bit mu-law encoded),
 32kadpcm (32 kbps coding)

### Video

example subtypes: mpeg, quicktime

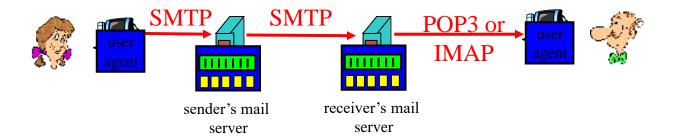
### **Application**

- other data that must be processed by reader before "viewable"
- example subtypes: msword, octetstream (application/postscript, application/msword)

### Multipart Type

```
From: alice@crepes.fr
To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Type: multipart/mixed; boundary=98766789
--98766789
Content-Transfer-Encoding: quoted-printable
Content-Type: text/plain
Dear Bob,
Please find a picture of a crepe.
--98766789
Content-Transfer-Encoding: base64
Content-Type: image/jpeg
base64 encoded data .....
.....base64 encoded data
--98766789--
```

## Mail Access Protocols



- SMTP: delivery/storage to receiver's server
- Mail access protocol: retrieval from server
  - POP: Post Office Protocol [RFC 1939]
    - authorization (agent <-->server) and download
  - IMAP: Internet Mail Access Protocol [RFC 1730]
    - more features (more complex)
    - manipulation of stored msgs on server
  - HTTP: Gmail, , Yahoo! Mail, etc.

## Email Summary

