#### IF3130 – Sistem Terdistribusi

**Mutual Exclusion** 

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#### Sinkronisasi Proses

- Koordinasi eksekusi antar proses
  - > Sebuah proses harus menunggu proses lain selesai
  - ▶ Resource bersama membutuhkan akses eksklusif



## Sistem Terpusat

#### Mutual exclusion

- Test and Set (hardware instruction)
- Semaphore
- Messages
- Condition variables



#### Distributed Mutual Exclusion

#### Asumsi: resources memiliki identitas

- Identitas diberikan bersama request
- e.g. Lock("printer").
- Lock("table:students")

#### Goal

Membuat algoritma yang memungkinkan proses mengirim request dan mendapatkan akses eksklusif ke resources yang tersedia pada jaringan



## Kategori algoritma

#### Centralized

 Proses dapat mengakses resource setelah meminta akses ke koordinator

#### Token based

 Proses dapat mengakses resource jika proses tersebut memegang token yang mengijinkan untuk mengakses resource

#### Contention-based

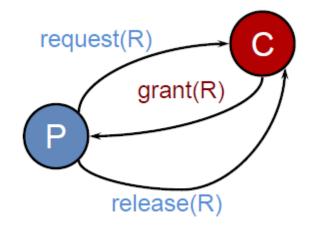
Proses mengakses resource melalui distributed agreement



## Centralized Algorithm

- Meniru sistem prosesor tunggal
- Sebuah proses dipilih menjadi koordinator

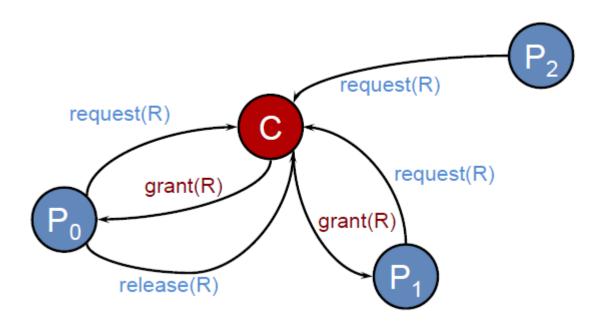
- 1. Request resource
- 2. Wait for response
- 3. Receive grant
- 4. access resource
- Release resource





## Centralized algorithm

- Jika ada proses lain yang meminta akses,
  - koordinator tidak memberikan akses sebelum resource tersebut dilepas oleh proses sebelumnya
  - Mengelola queue





## Centralized algorithm

#### Benefit

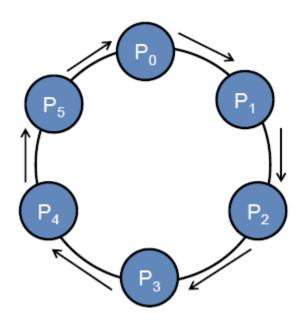
- Fair: setiap request diproses sesuai urutan
- Mudah diimplementasikan, dan diverifikasi

#### Problem

- Proses tidak dapat membedakan antara koordinator dead dengan terblock
- Centralized server dapat menjadi bottleneck

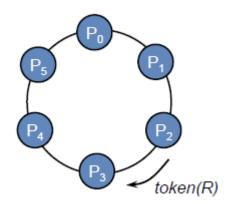


- Asumsi: diketahui sejumlah proses
  - ▶ Terdapat ordering di antara proses tersebut
  - Bangun logical ring di antara proses
  - Proses berkomunikasi dengan tetangganya

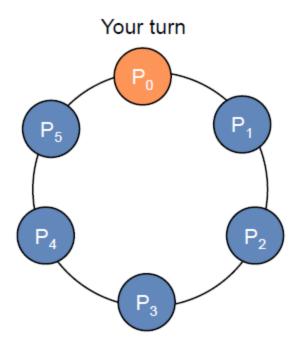




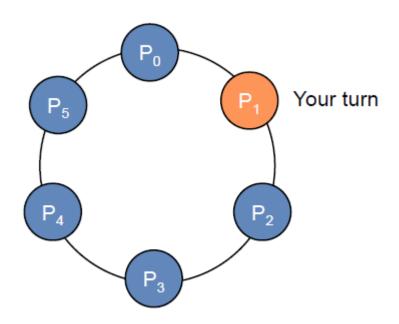
- Initialization
  - Process 0 gets token for resource R
- Token circulates around ring
  - From Pi to  $P_{(i+1)}$  mod N
- When process acquires token
  - Checks to see if it needs to enter critical section
  - If no, send ring to neighbor
  - if yes, access resource
    - Hold token until done



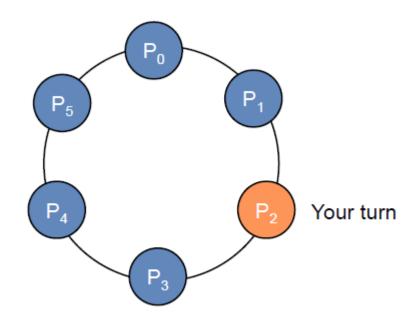




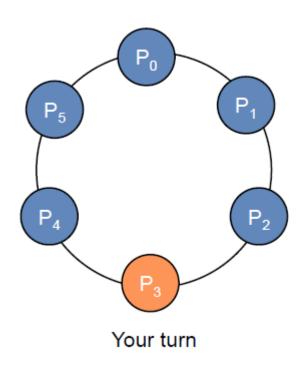














- Only one process at a time has token
  - Mutual exclusion guaranteed
- Order well-defined (but not necessarily first-come, firstserved)
  - Starvation cannot occur
  - Lack of FCFS ordering may be undesirable sometimes
- If token is lost (e.g., process died)
  - It will have to be regenerated
  - Detecting loss may be a problem (is the token lost or in just use by someone?)



#### Lamport mutual exclusion

- Each process maintains request queue
  - Queue contains mutual exclusion requests
  - Messages are sent reliably and in FIFO order
  - Each message is time stamped with totally ordered Lamport timestamps
- Ensures that each timestamp is unique
- Every node can make the same decision by comparing timestamps
  - Queues are sorted by message timestamps



#### Lamport mutual exclusion

#### Request a critical section:

- Process Pi sends request(i,Ti) to all nodes
  - ... and places request on its own queue
- When a process Pj receives a request:
  - lt returns a timestamped ack
  - Places the request on its request queue

#### Enter a critical section (accessing resource)

- ▶ Pi has received acks from everyone
- Pi's request has the earliest timestamp in its queue

#### Release a critical section:

- Process Pi removes its request from its queue
- sends release(i, Ti) to all nodes
- Each process now checks if its request is the earliest in its queue
  - If so, that process now has the critical section

	Process	Time stamp
7	> P <sub>4</sub>	1021
	P <sub>8</sub>	1022
	P <sub>1</sub>	3944
	$P_6$	8201
	P <sub>12</sub>	9638

Sample request queue Identical at each process



#### Lamport mutual exclusion

- N points of failure
- A lot of messaging traffic
  - Requests & releases are sent to the entire group
- Not great ... but demonstrates that a fully distributed algorithm is possible



### Ricart & Agrawala algorithm

- Distributed algorithm using reliable multicast and logical clocks
- When a process wants to enter critical section:
  - Compose message containing:
    - Identifier (machine ID, process ID)
    - Name of resource
    - ▶ Timestamp (e.g., totally-ordered Lamport)
  - 2. Reliably multicast request to all processes in group
  - 3. Wait until everyone gives permission
  - 4. Enter critical section / use resource



### Ricart & Agrawala algorithm

- When process receives request:
  - If receiver not interested:
    - Send OK to sender
  - If receiver is in critical section
    - Do not reply; add request to queue
  - If receiver just sent a request as well: (potential race condition)
    - Compare timestamps on received & sent messages
    - Earliest wins
    - If receiver is loser, send OK
    - If receiver is winner, do not reply, queue it
- When done with critical section
  - Send OK to all queued requests



### Ricart & Agrawala algorithm

- Not great either
  - N points of failure
  - A lot of messaging traffic
  - Also demonstrates that a fully distributed algorithm is possible



## Lamport vs Ricart & Agrawala

#### Lamport

- ▶ Everyone responds (acks) ... always no hold-back
- ▶ 3(N-1) messages
  - ▶ Request ACK Release
- Process decides to go based on whether its request is the earliest in its queue

#### Ricart & Agrawala

- If you are in the critical section (or won a tie)
  - Don't respond with an ACK until you are done with the critical section
- ▶ 2(N-1) messages
  - ▶ Request ACK
- Process decides to go if it gets ACKs from everyone



# Election algorithms



#### Elections

- Need one process to act as coordinator
- Processes have no distinguishing characteristics
- ▶ Each process can obtain a unique ID



# Bully algorithm

- Select process with largest ID as coordinator
- When process P detects dead coordinator:
  - Send election message to all processes with higher IDs.
    - If nobody responds, P wins and takes over.
    - If any process responds, P's job is done.
  - Optional: Let all nodes with lower IDs know an election is taking place.
- If process receives an election message
  - Send OK message back
  - Hold election (unless it is already holding one)



# Bully algorithm

- A process announces victory by sending all processes a message telling them that it is the new coordinator
- If a dead process recovers, it holds an election to find the coordinator.



## Ring algorithm

- Ring arrangement of processes
- If any process detects failure of coordinator
  - Construct election message with process ID and send to next process
  - If successor is down, skip over
  - Repeat until a running process is located
- Upon receiving an election message
  - Process forwards the message, adding its process ID to the body

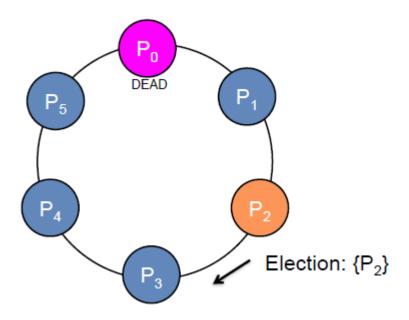


### Ring algorithm

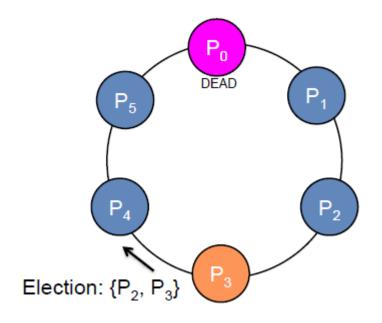
- Eventually message returns to originator
  - Process sees its ID on list
  - Circulates (or multicasts) a coordinator message announcing coordinator
    - ▶ E.g. lowest numbered process



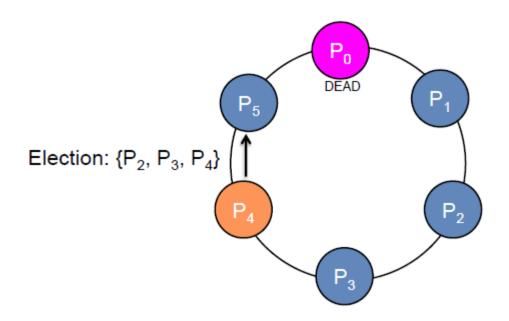
# Ring algorithm







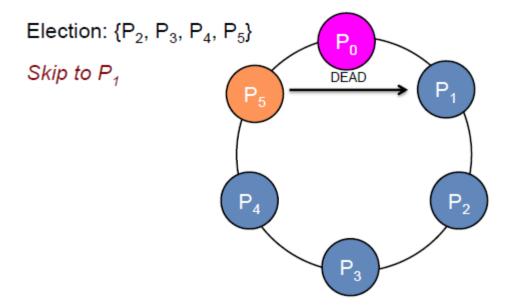


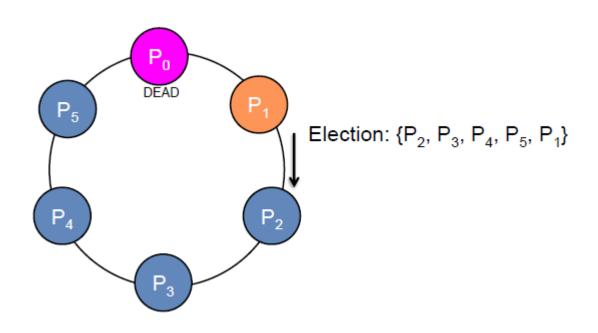




Election:  $\{P_2, P_3, P_4, P_5\}$ Fails:  $P_0$  is dead  $P_1$   $P_2$   $P_3$ 



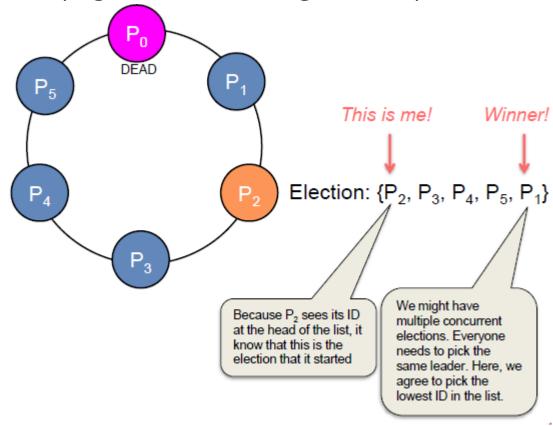






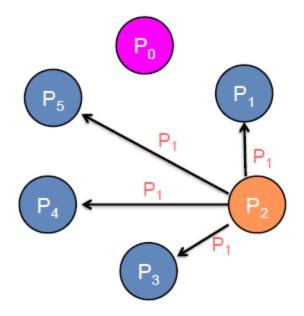
▶ P<sub>2</sub> receives the election message that it initiated

▶ P<sub>2</sub> now picks a leader (e.g., lowest or highest ID)





▶ P<sub>2</sub> announces the new coordinator to the group





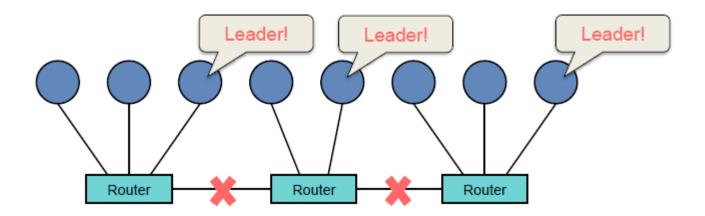
## Chang & Robert ring algorithm

- Optimize the ring
  - Message always contains one process ID
  - Avoid multiple circulating elections
  - If a process sends a message, it marks its state as a participant
- Upon receiving an election message:
  - If PID(message) > PID(process)
    - forward the message
  - If PID(message) < PID(process)</p>
    - replace PID in message with PID(process)
    - forward the new message
  - ▶ If PID(message) < PID(process) AND process is participant
    - discard the message
  - If PID(message) == PID(process)
    - the process is now the leader



#### Split brain

- Network partitioning (segmentation)
  - Split brain
  - Multiple nodes may decide they're the leader



- Dealing with partitioning
  - Insist on a majority  $\rightarrow$  if no majority, the system will not function
  - Rely on alternate communication mechanism to validate failure
    - Redundant network, shared disk, serial line, SCSI

