

# Cellular Automata and Computational Universality

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## Abstract

Cellular automata are discrete models with the ability to not only give rise to beautiful, intricate patterns, but also to be used as powerful tools of computation, with applications in cryptography, error-correction coding, and simulation of computer processors, to name a few. They also raise profound questions about the nature of our reality, asking whether our universe could be one such automaton. This paper provides a discussion into these automata, exploring the various power and limitations of a number of specific rule sets, covering John Conway’s well-known “Game of Life” to the more obscure “Langton’s ant” and “Wireworld”. In particular, it explores the notion of computational universality, or Turing completeness, an automaton’s ability to simulate any conceivable computation, and considers their potential in the context of solving two specific problems, the Firing Squad Synchronisation Problem and the Majority Problem. Existing solutions to these problems are explored, and their existing avenues for optimisation are discussed. In order to fully appreciate the complex structures that can arise from such simple beginnings, this project also presents software to visualise and probe further into the nature of the automata highlighted.

## 1 Introduction

A cellular automaton is a discrete model of computation which consists of a finite collection of “cells”, each in one of a finite number of states. The state of each of these cells may evolve over the progression of time in discrete steps, and may do so according to a deterministic set of rules based on the states of neighbouring cells. Their inherently discrete nature allows for strong analogies to be made with digital computers, and gifts them the ability to simulate digital processes and the potential to solve problems in this area.

Consider the cellular automaton defined by the simple rule:

$$a_i^{t+1} = a_{i-1}^t + a_{i+1}^t \mod 2 \quad (1)$$

For any automaton, in each time step the rule is applied to all cells in the automaton simultaneously and simultaneously. In this case, our set of states is 0, 1, and for each cell we look at the cells immediately preceding and succeeding it: if exactly one of them is in state 1, then this cell will be in state 1 in the next time step. Otherwise it will be in state 0.

### 1.1 Self-similarity

Figure 1 shows a visualisation of Eq. 1. A cell is filled white if it has the value 1, and black otherwise. Note that each new “line” of the visualisation represents the automaton in the next successive time-step from the one before; this automaton is one-dimensional, and so we may represent it in its entirety as a single row of cells. This pattern exhibits two important

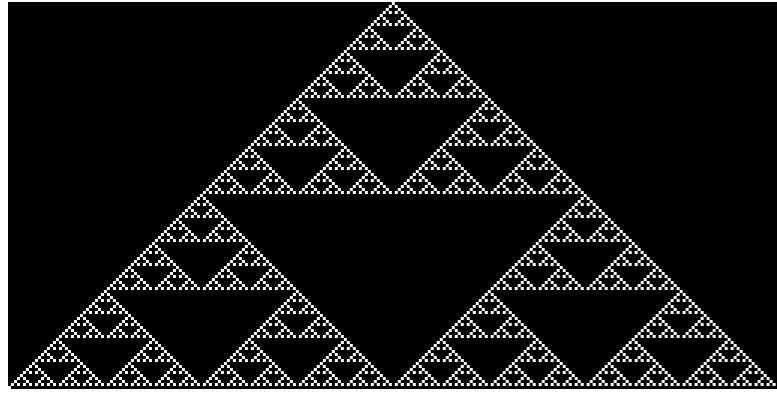


Figure 1: Pattern generated from applying Eq. 1 over 129 generations

characteristics, the first of which is “self-similarity”, meaning that portions of the pattern generated are indistinguishable from the whole when magnified. As Wolfram states, in this way “the pattern is therefore invariant under rescaling... and may be characterised by a fractal dimension” [1]. One such definition of this is the Hausdorff-Besicovitch dimension [2].

As an example, suppose we have a cube with some mass, and we wish to find out how the mass scales when we try to make the same cube out of smaller copies of the original. Intuitively, one way to do this require eight smaller cubes, each of whose side length is half that of the original cube. So with the scaling factor of  $\frac{1}{2}$ , the mass of each smaller cube is  $\frac{1}{8} = (\frac{1}{2})^3$ . So we arrive at the equation  $N = S^D$ , where  $N$  is the number of smaller copies that can be stuck together to make the original,  $S$  is the scaling factor of these smaller copies, and  $D$  is the dimension. In this example, we compute that the dimension of a cube is 3, which is obviously correct. Back to the dimension of the Sierpinski Triangle, we can see that each larger triangle is made up of three smaller triangles, each of whose side lengths are half that of the larger triangle. So we calculate the dimension as:

$$\begin{aligned} \frac{1}{3} &= \left(\frac{1}{2}\right)^D \\ \log \frac{1}{3} &= D \log \frac{1}{2} \\ D &= \frac{\log 3}{\log 2} \approx 1.585 \end{aligned} \tag{2}$$

Many naturally-occurring systems exhibit fractal structures, from snowflakes to pine cones to Romanesco broccoli, and raises the possibility that these are generated through the evolution of some natural cellular automata or similar processes.

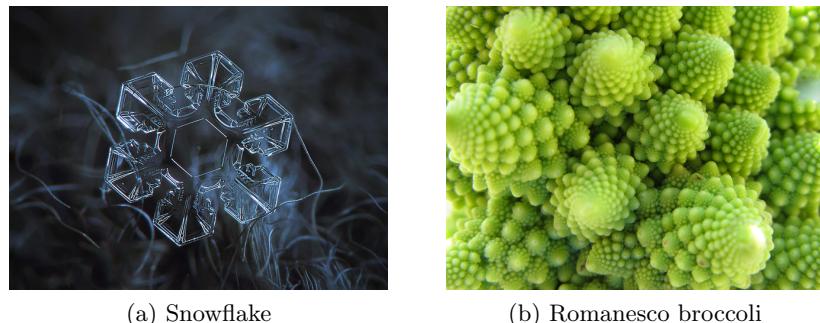


Figure 2: Snowflakes and Romanesco broccoli exhibit fractal structures

## 1.2 Self-organisation

Second of the characteristics exhibited by this cellular automaton is “self-organisation”, whereby from an initial disorderly or chaotic state there appear ordered structures seemingly spontaneously. This is illustrated in Fig. 3 below: one can clearly see the emergence of similar triangular structures in the cone snail’s shell, appearing from a chaotic background. This starting chaos is modelled in the cellular automaton by randomly assigning each cell a value of 0 or 1, with each occurring with probability one half.

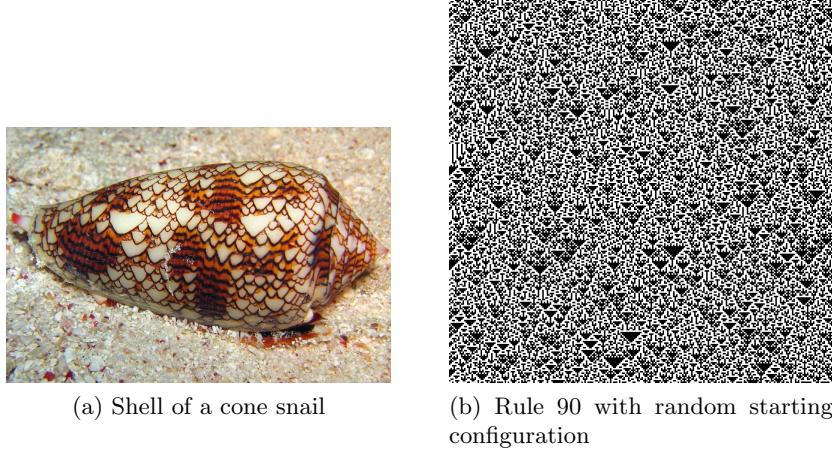


Figure 3: Natural processes can exhibit structures found in automata

## 2 Classifying automata

In order to classify automata, we can take into account both the size of the neighbourhood each cell considers going into the next generation, as well as the number of possible states in which a cell may be. Call these  $N$  and  $Q$  respectively; in the case of Eq. 1,  $N = 3$  and  $Q = 2$ , and so the total number of different “transitions” from one state to the next is  $N^Q = 8$ . We may write out all of these transitions as follows:

111	110	101	100	011	010	001	000
0	1	0	1	1	0	1	0

Reading the bottom row of this transition table, we get the binary number  $01011010_{\text{bin}} = 90_{\text{dec}}$ , and so we name this rule set Rule 90. Using this convention, we may now construct our own automata based solely on the knowledge of its name (at least for elementary cellular automata). For example, take Rule 110, introduced by Wolfram 1983 [3]. We first convert  $110_{\text{dec}}$  to binary, and then use this to fill in the table as above:

111	110	101	100	011	010	001	000	$\Rightarrow$	111	110	101	100	011	010	001	000
?	?	?	?	?	?	?	?		0	1	1	0	1	1	1	0

To convert this to a concrete set of rules to simulate in a computer programs (in a more elegant way than a collection of eight `if` statements), we can put the information we have gathered so far in a Karnaugh map to get a Boolean algebraic expression of the ruleset, as in the table below. We use  $A$ ,  $B$ , and  $C$  to denote  $a_{i-1}$ ,  $a_i$ , and  $a_{i+1}$ , at time  $t$ , respectively. From this we can reduce the ruleset to the Boolean expression in Eq. 3 by observation.

		AB	00	01	11	10
		C	0	1	1	0
			1	1	0	1
			0	1	1	0
			1	1	0	1

$$\begin{aligned}
 B^{t+1} &= \bar{A} \cdot C + B \cdot \bar{C} + \bar{B} \cdot C \\
 &= \bar{A} \cdot C + B \oplus C
 \end{aligned} \tag{3}$$

The resulting visualisation in Fig. 4 exhibits similar characteristic of self-organisation, however it is hard to say whether it shows self-similarity as well.

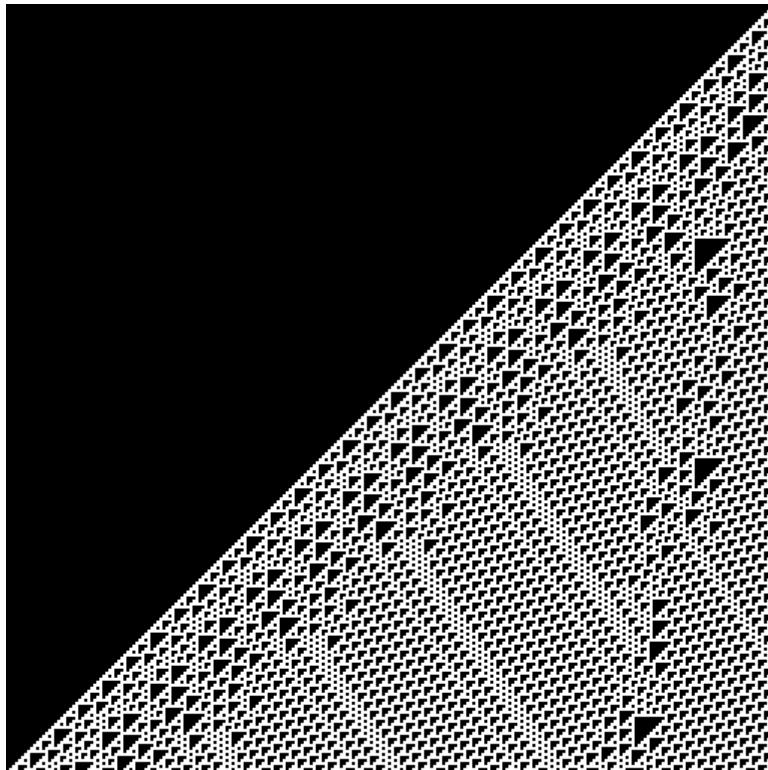


Figure 4: Rule 110 generated using Eq. 3

## 2.1 Elementary cellular automata

Elementary cellular automata are the simplest class of one-dimensional cellular automata; each cell may be in one of two states, 0 or 1, and rule sets only take into account the cell itself and its immediate neighbours (the nearest cell left and right of the cell being computed). Since there are a total of  $2^3 = 8$  combinations of values for a neighbourhood of cells, and each of these neighbourhoods causes a cell to move to one of two states in the next generation, this means there are  $2^8 = 256$  elementary cellular automata. Their evolution is often illustrated by each progressive “new line” showing the next generation of the automaton, as caused by applying the rule set to the current generation.

Two technically different automata may give rise to similar patterns, and indeed many automata are equivalent to each other thanks to simple transformations of their underlying geometry. The first of these transformations is reflection in the vertical axis; the result of

applying this is known as the mirror rule [4]. For example, take Rule 30. Each time some neighbourhood produces a live cell in Rule 30, let's take this neighbourhood and reflect it in the vertical plane, giving the table below:

111	110	101	100	011	010	001	000
0	0	0	1	1	1	1	0
0	1	0	1	0	1	1	0

The first line of the table shows the ruleset for Rule 30, while the line below gives that of our new ruleset, made by applying the mirror rule to Rule 30. Thus we get Rule 30's mirror rule, Rule  $01010110_{\text{bin}} = \text{Rule } 86_{\text{dec}}$ . Rules which generate the same ruleset under mirroring are called amphichiral, and of the 256 elementary cellular automata, 64 are so.

The second of these transformations is to exchange the roles of 0s and 1s in the ruleset definition, and doing so is applying the complement rule [4]. Again applying this to Rule 30, we get the table below (note that the roles of 0s and 1s are exchanged in both the top and bottom rows):

000	001	010	011	100	101	110	111
1	1	1	0	0	0	0	1

So we arrive at Rule  $10000111_{\text{bin}} = 135_{\text{dec}}$  as the complement of Rule 30. There are 16 rules which are the same as their complement. Both of these rules can be applied at once to a ruleset, and the result is Rule 149 when applied to Rule 30. There are 16 rules which are the same as their mirrored complementary rules. There are 88 rules which are inequivalent under these transformations [4].

## 2.2 Wolfram classes

Wolfram gives the following classes for categorising the behaviours of different automata:

- Class One: rapidly converge to a uniform state e.g. Rule 0, 232
- Class Two: rapidly converge to a stable or oscillating state e.g. Rule 250
- Class Three: appear to evolve in a chaotic fashion e.g. Rule 30, 150, 182
- Class Four: form areas of repetitive or stable states, but also structures which interact in complicated ways e.g. Rule 54

Two of these 256 rules are particularly special, namely Rule 54 and 110, both of which are Class 4 elementary cellular automata. Rule 110 has been proven to be universal, or Turing complete, meaning it is theoretically able to simulate any function. Meanwhile, it is so far unknown whether Rule 54 is capable of universal computation – interacting structures form, but it remains to be seen whether structures useful for computation arise. Computational universality is discussed more in-depth later on.

There have been attempts to classify automata more rigorously, and Culik and Yu proposed four well-defined classes for such a task; membership in such classes has, however, been shown to be undecidable [5].

## 2.3 Two dimensional cellular automata

Two dimensional cellular automata become a bit more interesting than their dimensionally-deficient brethren. Rather than having a single row of cells to consider at each generation, now a two-dimensional plane of cells is used to compute the evolution of the automaton. Whereas

elementary automata looked only at the neighbourhood of  $a_{i-1}, a_i$ , and  $a_{i+1}$  when applying the set of rules, two-dimensional automata typically have a larger neighbourhood to consider. Two of the most common types of neighbourhood are the Moore neighbourhood and the von Neumann neighbourhood [6]. The former includes the 8 cells directly surrounding the current cell being considered, while the latter only focuses on the four orthogonally adjacent cells. Occasionally, one may use the extended von Neumann neighbourhood, for which the next four closest orthogonally adjacent cells are also included.

Using a Moore neighbourhood and a set of states of size  $k$ , we can see that the total number of configurations for one particular neighbourhood is  $k^9$ , as each of the nine cells included in the neighbourhood may be in one of  $k$  states. For an automaton such as Conway's Game of Life, where each cell is either alive or dead and a moore neighbourhood is used, there are  $2^9 = 512$  ways to configure one of these neighbourhoods alone. The general equation for the number of automata for a given neighbourhood and number of possible states is  $k^{k^n}$ , where  $k$  is the number of possible states and  $n$  is the size of the neighbourhood used when determining the automaton's evolution between time steps (including the cell being computed); in the case of a two-dimensional automaton using two states and a Moore neighbourhood (such as Game of Life), there are  $2^{2^9}$  possible automata [7].

## 2.4 Game of Life and Life-like automata

At this point it makes sense to talk a bit more about Conway's Game of Life. It was invented in 1970 by the British mathematician John Conway, after developing an interest in them after their introduction by John von Neumann in the late 1940s, who aimed to discover a hypothetical machine that was capable of recreating itself [8]. Von Neumann was able to achieve this goal using a complex automaton, with 29 states and complicated rules to do so [9]. Von Neumann's automaton is discussed in more depth later. The Game of Life was thus Conway's way of simplifying von Neumann's ideas; in fact, not only can the Game of Life simulate the Game of Life, it is also capable of universal computation, a topic discussed more in-depth later. The rules for Game of Life are as follows:

- If the current cell  $a_{i,j}^t$  is dead, then:
  - if the number of alive surrounding cells is 2 or 3, then  $a_{i,j}$  will become alive in the next generation
  - else  $a_{i,j}$  remains dead
- If the current cell  $a_{i,j}^t$  is alive, then:
  - if the number of alive surrounding cells is less than 2, then  $a_{i,j}$  dies as if through isolation
  - if the number of alive surrounding cells is greater than 3, then  $a_{i,j}$  dies as if through overcrowding
  - else  $a_{i,j}$  lives on to the next generation

There are many fascinating structures which arise out of these simple rules, and can be classed as follows:

- Still Life - patterns that do not change at all from one generation to the next
- Oscillators - patterns which generate some sort of cycle of patterns in a fixed place with a specific period
- Gliders and Spaceships - patterns which cycle through some finite set of patterns, but whose position is shifted each time, appearing to move

- Guns - repeating patterns which produce a spaceship after a finite number of generations
- Puffers - moving patterns which leave a trail of stable or oscillating debris at regular intervals
- Rakes - moving patterns which emit spaceships at regular intervals as they move
- Breeders - oscillating patterns which deposit guns at regular intervals. Unlike guns, puffers, and rakes, who each have a linear growth rate, breeders exhibit exponential growth rate

On 6<sup>th</sup> March, 2018 the first knightship was discovered by Adam P. Goucher, named Sir Robin [10]. A knightship is a spaceship which moves two squares horizontally for every square it moves vertically, as opposed to regular spaceships, which move only vertically or horizontally, or gliders, which move exactly diagonally. Sir Robin became the first new spaceship movement pattern for an elementary spaceship to be discovered in forty eight years [11].

Conway originally believed that no pattern could grow infinitely, i.e. for the population to grow past any upper limit for some initial configuration with finite number of alive cells, and offered fifty dollars to the first person who could prove or disprove this conjecture before the end of 1970. In November of that year a team from the Massachusetts Institute of Technology, led by Bill Gosper, found a structure which produced gliders every 30 generations, and called it the Gosper Glider Gun. Later on, smaller patterns were found to produce infinite growth, one of which has been proven to be minimal, starting off with only ten alive cells [12]. More of what this means for the computational power of Game of Life, as well as other automata, will be discussed under Computational Universality.

A cellular automaton is Life-like (similar to Game of Life) if it meets the following criteria [13]:

- it is two-dimensional
- each cell of the automaton may be in one of two states, alive or dead (or on or off) at any point
- a cell's Moore neighbourhood is used to compute the cell's state in the next generation
- in each time step of the automaton, the next state of the cell can be expressed as a function of the number of adjacent cells that are in the alive state and of the cell's own state

As with elementary cellular automata, it is helpful to refer to specific rulesets in a more generalised way. Where this was using the rule's binary encoding for one-dimensional automata, one such convention for two-dimensional automata is using a string  $Bx/Sy$ , where  $x$  and  $y$  are a sequence of digits, 0 to 8, in ascending order. The presence of a digit  $d$  in  $x$  means that a dead cell with  $d$  alive neighbours will become alive in the next generation, while the presence of  $d$  in  $y$  signifies that an alive cell with  $d$  alive neighbours will continue to live on to the next generation. For example, Game of Life is denoted B3/S23: a dead cell is **Born** if there are exactly 3 alive neighbours surrounding it, and an alive cell **Survives** if there are two or three alive cells surrounding it.

## 2.5 Other types of automata

### 2.5.1 Reversible cellular automata

A cellular automaton is reversible if, for every configuration of the automaton, exactly one configuration that causes it (its preimage). If we consider the automaton as a function that maps configurations to configurations, then for a reversible automaton this function would be

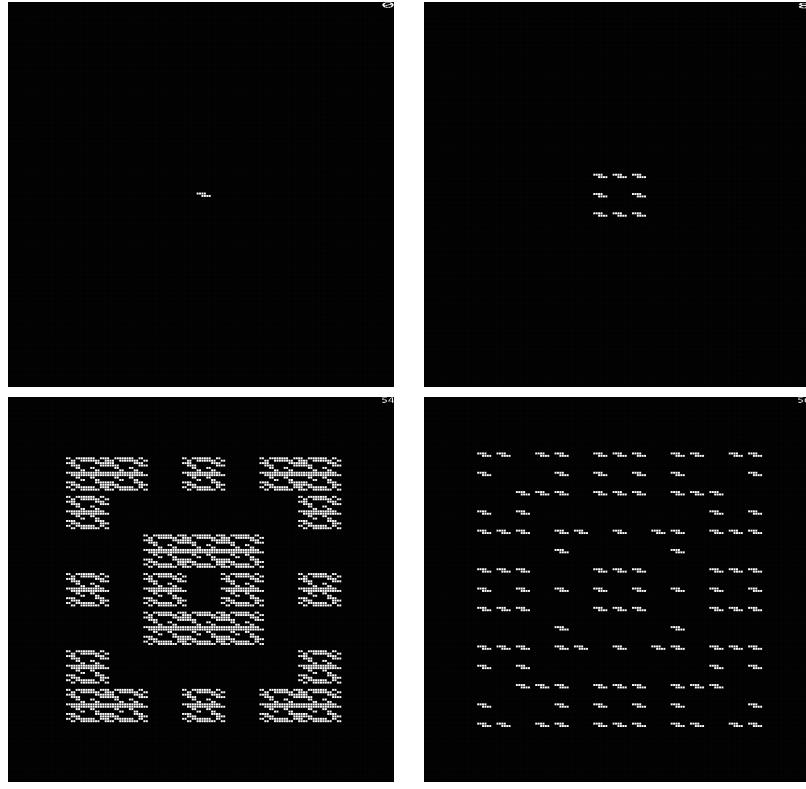


Figure 5: Replicator (B1357/S1357) replicating itself over the course of 56 generations

bijection. Where this is not the case, where not every configuration has a preimage, these configurations without preimages are called Garden of Eden patterns [14].

There are algorithms to compute whether or not a one-dimensional cellular automaton is reversible [15]; the same is not true for higher dimensions however, and computing reversibility for cellular automata in two-dimensions and up is undecidable [16].

### 2.5.2 Totalistic cellular automata

A cellular automaton is totalistic if the value of cell  $a$  at time  $t$  depends on the sum of the values of the cells in its neighbourhood at time  $t - 1$ , with the values of cells being taken from some finite set [17]. An automaton is outer totalistic if it takes into account itself as well as the total of its neighbours at time  $t - 1$ ; Conway's Game of Life is an example of an outer totalistic cellular automaton where all cell values are 0 or 1, as are all other Life-like automata.

### 2.5.3 Probabilistic cellular automata

### 2.5.4 Cyclic cellular automata

## 3 Computational Universality

A system of rules, be they from a computer's instruction set, programming language, or cellular automaton, is called computationally universal, or Turing-complete, if it can be used to simulate a Turing machine. Before getting into the computational universality of cellular automata, we need to discuss the concept of Turing machines and what it means for a function to be computable.

### 3.1 Turing Machines

A Turing machine is a mathematical model of computation which was first proposed by Alan Turing in 1936 [18]. It consists of a infinite tape divided into cells each of which may contain one character from some finite alphabet, and a printer head capable of reading from and writing to the tape on the cell at which it is currently positioned. In a more formal sense, it is the seven-tuple  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , where  $Q, \Sigma, \Gamma$  are all finite sets and

- $Q$  is the set of states
- $\Sigma$  is the input alphabet not containing the blank symbol,  $\sqcup$
- $\Gamma$  is the tape alphabet, where  $\sqcup \in \Gamma$  and  $\Sigma \subseteq \Gamma$
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$  is the transition function, signifying whether the tape head should move left, right, or stay in place, respectively
- $q_0 \in Q$  is the start state
- $q_{\text{accept}} \in Q$  is the accept state, and
- $q_{\text{reject}} \in Q$  is the reject state, with  $q_{\text{accept}} \neq q_{\text{reject}}$

It is conventional to use  $\vdash$  to signify the start of the input string, and an infinite string of  $\sqcup$  to show the end of the input string. For example, the string 01011010 we would expect to be represented on the tape as:

...	$\vdash$	0	1	0	1	1	0	1	0	$\sqcup$	$\sqcup$	$\sqcup$	...
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We call a set of strings Turing-recognisable [19] if we can construct some Turing machine to recognise it, that is, every input we feed it that is in the language will cause the Turing machine to eventually move to the accept state. Notice, however, that while there are two “destination” states,  $q_{\text{accept}}$  and  $q_{\text{reject}}$ , there is a third possible outcome: that our machine does not halt, but instead loops somewhere in its proceedings infinitely. Thus we call a set of strings decidable if our Turing machine always halts for its inputs, that is, for any input we feed it we are guaranteed to land in either  $q_{\text{accept}}$  or  $q_{\text{reject}}$ .

As an example of a Turing machine in action, suppose we want to construct a one to accept the language  $L = \{w\#w \mid w \in \{0, 1\}^*\}$ . This is not Context Free [?], hinting at the power behind Turing Machines with regard to computability. We construct the machine informally as follows:

$M$  = “on input  $w$ :

1. Find a decomposition of  $w$  into  $w_1\#w_2$
2. Start at the first symbol of  $w_1$ , replace the symbol with a cross, and skip forward to the first symbol of  $w_2$ .
3. if this symbol is the same as the one you just crossed off, cross it off and rewind to the symbol on the right of the first symbol of  $w_1$
4. else reject
5. Repeat this process, each time skipping forward and rewinding so that you are inspecting the first symbol to the right of a cross each time
6. If you end up inspecting a cell with the symbol  $\#$ , and the symbols either side of it are crosses, accept”

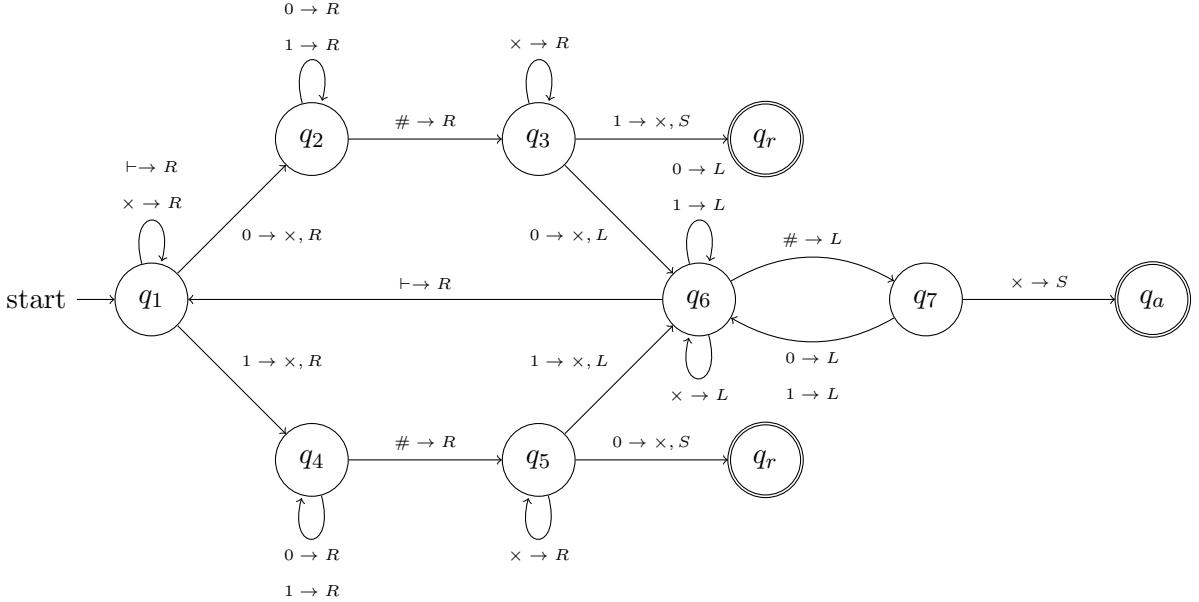


Figure 6: A possible Turing Machine to recognise  $L$

The final thing to cover in order to understand how cellular automata such as the Game of Life can be used to simulate a Turing Machine is the concept of a Universal Turing Machine. A Universal Turing Machine is one which recognises the language

$$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w\}$$

The  $\langle M, w \rangle$  notation is used to denote the encoding of the Turing Machine  $M$  and its input  $w$  in a way that some Turing Machine would be able to understand and use as input. In essence, Universal Turing Machines are those which are capable of simulating any other Turing Machine just from its description and an input, and it is from this angle that we will look at the universality of certain cellular automata.

### 3.2 Computability and Universality of Cellular Automata

A Turing Machine may compute a function by starting with the input to the function on the tape and halting with the output of the function on the tape. A function  $f : \Sigma^* \rightarrow \Sigma^*$  is computable if some Turing Machine  $M$  halts, for every input  $w$ , with just the result  $f(w)$  on the tape [20]. Thus, any model we can devise which could simulate the run of a Turing Machine on some input would be capable of computing all the functions that the Turing Machine could, and hence such a model would be Turing complete.

## 4 Examples

- 4.1 Von Neumann's automaton
- 4.2 Game of Life
- 4.3 Fractran
- 4.4 Langton's Ant
- 4.5 WireWorld

## 5 Applications

- 5.1 Cryptography

## 6 Firing Squad Synchronisation Problem

## 7 Majority Problem

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