

On the Connection Between Multipliers of The Drury-Averson Space and The Non-commutative Hardy Space via Realizations

Vikus J. v. Rensburg

University of Florida, Gainesville

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Outline

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 - The Drury Averson Space
 - Characterization of the Schur Class
- 2 **Non-Commutative Setting**
 - Non-Commutative Formal RKHS
 - NC-Hardy Space
 - Characterization of the Non Commutative Schur Class
- 3 **Connection to NC-Function Theory**

Definition

We say a linear subspace $\mathcal{H}_{\mathcal{E}} \subseteq \mathcal{F}(\Omega, \mathcal{E})$ is a vector-valued RKHS to mean:

- 1 $\mathcal{H}_{\mathcal{E}}$ has an inner product turning it into a Hilbert space, and
- 2 all point evaluations are bounded. That is for all $w \in \Omega$, the linear map

$$\Phi(w) : \mathcal{H}_{\mathcal{E}} \rightarrow \mathcal{E}$$

given by $f \mapsto f(e)$ is bounded.

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0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99

- 1 $f \mapsto \langle f(w), e \rangle_{\mathcal{H}_{\mathcal{E}}} : \mathcal{H}_{\mathcal{E}} \rightarrow \mathbb{C}$ is a bounded.
- 2 Apply Riesz Representation to obtain a unique $K(\cdot, w)e \in \mathcal{H}_{\mathcal{E}}$ such that

$$\langle f, K(\cdot, w)e \rangle_{\mathcal{H}_{\mathcal{E}}} = \langle f(w), e \rangle_{\mathcal{E}}.$$

- 3 One observes that $K(z, w) = \Phi(z)\Phi(w)^*$ for all $z, w \in \Omega$ so that we get a linear map

$$K : \Omega \times \Omega \rightarrow B(\mathcal{E})$$

called the **reproducing kernel** of \mathcal{H}_ε .

Reproducing Kernel (Continued)

Recall that we have a notion of positivity for operator-valued reproducing kernels:

Definition

Given a function $K : \Omega \times \Omega \rightarrow B(\mathcal{E})$ we say K is **positive** to mean for any finite number $z_1, \dots, z_n \in \Omega$ the matrix

$$\begin{bmatrix} K(z_1, z_1) & \dots & K(z_1, z_n) \\ \dots & & \dots \\ K(z_n, z_1) & \dots & K(z_n, z_n) \end{bmatrix}$$

is positive in $M_n(B(\mathcal{E})) \simeq B(\mathcal{E}^n)$.

It follows immediately from the factorization above that the reproducing kernels are positive.

Vector Valued Moore

We can go the opposite direction first. Given a positive map

$$K : \Omega \times \Omega \rightarrow B(\mathcal{E})$$

we use the positivity to define an inner product on the span of $K(\cdot, w)e$ ranging over $w \in \Omega$, and $e \in \mathcal{E}$. The details of this result is known as Moore's Theorem.

Theorem (Vector Valued Moore)[7]

If $K : \Omega \times \Omega \rightarrow B(\mathcal{E})$ a positive function. Then there exists a unique \mathcal{E} -valued RKHS $\mathcal{H}_{\mathcal{E}}(K)$ on Ω with K as its reproducing kernel. Moreover the span of

$$\left\{ K(\cdot, w)e : w \in \Omega, e \in \mathcal{E} \right\}$$

can be identified with a dense subspace in $\mathcal{H}_{\mathcal{E}}(K)$.

Vector Valued Moore (Consequence)

- 1 As a consequence of Moore's theorem we see that $K : \Omega \times \Omega \rightarrow B(\mathcal{E})$ being positive is equivalent to the existence of an auxiliary RKHS $\mathcal{H}_{\mathcal{E}}(K)$ such that we can factor our map

$$K(z, w) = \Phi(z)\Phi(w)^*$$

for some function $\Phi : \Omega \rightarrow B(\mathcal{H}_{\mathcal{E}}(K), \mathcal{E})$.

- 2 Indeed because if K is positive, the function Φ above is exactly bounded point evaluation from the RKHS $\mathcal{H}_{\mathcal{E}}(K)$ obtained by Moore's theorem.

Multipliers

- ① Given $F : \Omega \rightarrow B(\mathcal{E}, \mathcal{E}_*)$, and $f \in \mathcal{H}_{\mathcal{E}}$ we define $F f : \Omega \rightarrow \mathcal{E}_*$ by $w \mapsto F(w) \circ f(w)$.
- ② We say F is a **multiplier** from $\mathcal{H}_{\mathcal{E}} \rightarrow \mathcal{H}_{\mathcal{E}_*}$ to mean that

$$F f \in \mathcal{H}_{\mathcal{E}_*}$$

for all $f \in \mathcal{H}_{\mathcal{E}}$.

- ③ The above follows from bounded point evaluation, and an application of the Closed Graph theorem that each multiplier induces a bounded operator.
- ④ We denote $\mathcal{M}(\mathcal{E})$ the **multiplier algebra** endowed with the operator norm.¹

¹Only an algebra when $\mathcal{E} = \mathcal{E}_*$

Eigenvector Property

- 1 A very useful fact in the scalar setting is that the kernel functions are eigenvectors for adjoints of multipliers.
- 2 We have a similar-type result that says

$$M_F^* K_{\mathcal{E}_*}(\cdot, z)e = K_{\mathcal{E}}(\cdot, z)F(z)^* e \quad (1)$$

for all $z \in \Omega$, $e \in \mathcal{E}_*$.

- 3 The above follows from an inner product calculation along with the reproducing property, and density of kernel functions.
- 4 For ease of notation, we will drop the subscripts on the kernel function from here on.

Drury Averson

We will focus on a specific RKHS known as the Drury-Averson space.

① Denote $K(z, w) = \frac{1}{1 - \langle z, w \rangle} : \mathbb{B}^d \times \mathbb{B}^d \rightarrow \mathbb{C}$.

② Form an operator valued kernel

$$K(z, w) \otimes I_{\mathcal{E}} : \mathbb{B}^d \times \mathbb{B}^d \rightarrow B(\mathcal{E}).$$

The **Drury-Averson** space (or \mathcal{E} -valued version) is the RKHS on \mathbb{B}^d induced by the reproducing kernel $K(z, w) \otimes I_{\mathcal{E}}$, and denoted $\mathcal{H}_{\mathcal{E}}^2$.

Characterization of the Schur Class

Theorem (Ball, Vinnikov, Trent 2001 [2])

Let $F \in \mathcal{M}(\mathcal{E}, \mathcal{E}_*)$. The following are equivalent:

- 1 F is a contractive multiplier.
- 2 There exists an auxiliary Hilbert space \mathcal{H} , and a *unitary* colligation

$$U = \begin{bmatrix} A_1 & B_1 \\ \vdots & \vdots \\ A_d & B_d \\ C & D \end{bmatrix} : \begin{array}{cc} \mathcal{H} & \mathcal{H}^{\oplus d} \\ \oplus & \oplus \\ \mathcal{E} & \mathcal{E}_* \end{array}$$

that realizes F . Meaning that for all $z \in \mathbb{B}^d$

$$F(z) = D + C \left(I - \sum_{i=1}^d z_i A_i \right)^{-1} \left(\sum_{i=1}^d z_i B_i \right) \quad (2)$$

Characterization of the Schur Class³

continued

Theorem (Ball, Vinnikov, Trent 2001 [2])

- ③ The function K_F given by

$$K_F(z, w) = K(z, w) \otimes I_{\mathcal{E}_*} - F(z)(K(z, w) \otimes I_{\mathcal{E}})F(w)^*$$

defines a positive kernel $K_F : \mathbb{B}^d \times \mathbb{B}^d \rightarrow B(\mathcal{E}_*)$. That is there exist an auxillary Hilbert space \mathcal{H} , and function $H : \mathbb{B}^d \rightarrow B(\mathcal{H}, \mathcal{E}_*)$ such that

$$K_F(z, w) = H(z)H(w)^*$$

- ④ We can obtain a contractive colligation that realizes F .

²Write down theorem on board

³The space of contractive multipliers is referred to as the Schur Class

Proof of Schur Class Characterization

Proof: (1 \iff 3)

The first equivalence follows from the "eigenvector-type" property mentioned earlier. Indeed suppose $\|F\| \leq 1$, and let $x_1, \dots, x_n \in \Omega$, and $e_1, \dots, e_n \in \mathcal{E}_*$.

From contractivity we get

$$\left\| \sum_i M_F^* K(\cdot, z_i) e_i \right\|_{\mathcal{H}_{\mathcal{E}}}^2 \leq \left\| \sum_i K(\cdot, z_i) e_i \right\|_{\mathcal{H}_{\mathcal{E}_*}}^2.$$

Since $M_F^* K(\cdot, z_i) e_i = K(\cdot, z_i) F(z_i)^* e_i$ we have

$$\left\| \sum_i K(\cdot, z_i) F(z_i)^* e_i \right\|_{\mathcal{H}_{\mathcal{E}}}^2 \leq \left\| \sum_i K(\cdot, z_i) e_i \right\|_{\mathcal{H}_{\mathcal{E}_*}}^2.$$

Proof of Schur Class Characterization

Expanding out the inner product we obtain

$$\sum_{i,j} \langle (K(z_i, z_j) - F(z_i)K(z_i, z_j)F(z_j)^*)) e_j, e_i \rangle_{\mathcal{E}_*} \geq 0.$$

As required to show positivity of

$$K(z, w) - F(z)K(z, w)F(w)^* = \frac{I - F(z)F(w)^*}{1 - \langle z, w \rangle}.$$

For the converse, we can reverse the calculation done above, and since the span of kernel functions is dense in $\mathcal{H}_{\mathcal{E}_*}$ it follows that F^* is contractive, and hence F is contractive.

Lurking Isometry Step

Proof: (3) \implies 2)

Since K_F is positive, we can apply Moore's theorem to obtain a Hilbert space $\mathcal{H}_{\mathcal{E}_*}(K_F) = \mathcal{H}$, and a function $H : \mathbb{B}^d \rightarrow B(\mathcal{H}_{\mathcal{E}_*}, \mathcal{E}_*)$ such that for

$$\frac{I_{\mathcal{E}_*} - F(z)F(w)^*}{1 - \langle z, w \rangle} = H(z)H(w)^* \text{ for all } z, w \in \mathbb{B}^d.$$

Reorganize the equation, and rewrite the inner product in terms of rows and columns operators to obtain

$$I_{\mathcal{E}_*} + \left(\begin{bmatrix} \overline{z_1} \\ \vdots \\ \overline{z_d} \end{bmatrix} H(z)^* \right)^* \begin{bmatrix} \overline{w_1} \\ \vdots \\ \overline{w_d} \end{bmatrix} H(w)^* = H(z)H(w)^* + F(z)F(w)^* \quad (3)$$

Proof of Schur Class Characterization

The equation (3) above is what will allow us to well defined linear map acting isometrically on a subspace of $\mathcal{H}^{\oplus d} \oplus \mathcal{E}_*$. Indeed define

$$\mathcal{D}_0 := \text{span} \left\{ \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \vdots \\ \overline{w_d} H(w)^* e_* \\ e_* \end{bmatrix} : w \in \mathbb{B}^d, e_* \in \mathcal{E}_* \right\} \subseteq \mathcal{H}^{\oplus d} \oplus \mathcal{E}_*$$

and define V_0^* on \mathcal{D}_0 by the linear map that sends

$$\begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \vdots \\ \overline{w_d} H(w)^* e_* \\ e_* \end{bmatrix} \mapsto \begin{bmatrix} H(w)^* e_* \\ F(w)^* e_* \end{bmatrix} \subseteq \mathcal{H} \oplus \mathcal{E}.$$

Proof of Schur Class Characterization

Using (3) in the following computation we obtain

$$\begin{aligned}
 \left\| \begin{bmatrix} H(w)^* e_* \\ F(w)^* e_* \end{bmatrix} \right\|^2 &= \langle (H(w)H(w)^* + F(w)F(w)^*) e_*, e_* \rangle_{\mathcal{E}_*} \\
 &= \langle e_*, e_* \rangle_{\mathcal{E}_*} + \left\langle \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \dots \\ \overline{w_d} H(w)^* e_* \end{bmatrix}, \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \dots \\ \overline{w_d} H(w)^* e_* \end{bmatrix} \right\rangle_{\mathcal{H}^{\oplus d}} \\
 &= \left\| \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \dots \\ \overline{w_d} H(w)^* e_* \\ e_* \end{bmatrix} \right\|^2
 \end{aligned}
 \tag{4}$$

Proof of Schur Class Characterization

- 1 Now V_0^* extends uniquely to an isometry on the closure of \mathcal{D}_0 in $\mathcal{H}^{\oplus d} \oplus \mathcal{E}_*$.
- 2 Observe that for any isometric extension W of V_0^* a quick calculation shows

$$W(\overline{\mathcal{D}_0}^\perp) \subseteq V_0^*(\overline{\mathcal{D}_0})^\perp.$$

- 3 This means the one obstacle in extending to a unitary is one of dimension. That is if $\dim(\overline{\mathcal{D}_0}^\perp) > \dim(V_0^*(\overline{\mathcal{D}_0})^\perp)$.
- 4 We can resolve the problem by direct summing on a Hilbert space to the co-domain such that the dimension match.

Proof of Schur Class Characterization

- 1 That is we extend V_0^* to a unitary

$$V^* = \begin{bmatrix} A^* & C^* \\ B^* & D^* \end{bmatrix} \tilde{\mathcal{H}}^{\oplus d} \oplus \mathcal{E}_* \rightarrow \tilde{\mathcal{H}} \oplus \mathcal{E}$$

where \mathcal{H} can be identified as a subspace of the Hilbert space $\tilde{\mathcal{H}}$.

- 2 Next will use V_0^* , and how it acts on \mathcal{D}_0 to show for all $z \in \mathbb{B}^d$

$$F(z)^* = D^* + \left(\sum_{i=1}^d B_i^* z_i^* \right) (I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d A_i^* z_i^*)^{-1} C^*$$

- 3 But first we outline an argument on why the inverse exists that we will return to later.

Proof of Schur Class Characterization

- 1 Fix take $z \in \mathbb{B}_d$, and define

$$Z(z) = [z_1 l_{\tilde{\mathcal{H}}} \quad \dots \quad z_d l_{\tilde{\mathcal{H}}}] : \tilde{\mathcal{H}}^{\oplus d} \rightarrow \tilde{\mathcal{H}}.$$

One sees that $\|Z(z)\|^2 = \sum |z_i|^2 < 1$.

- 2 Then since A and $Z(z)$ just operators between Banach spaces we get

$$\|Z(z)A\| \leq \|Z(z)\| \|A\| < 1.$$

- 3 By standard C^* -theory we know $(l_{\tilde{\mathcal{H}}} - Z(z)A)^{-1}$ exist in $B(\tilde{\mathcal{H}})$ and is given by norm limit geometric series

$$(l_{\tilde{\mathcal{H}}} - Z(z)A)^{-1} = \sum_{n=0}^{\infty} (Z(z)A)^n = \sum_{n=0}^{\infty} \left(\sum_{i=1}^d z_i A_i \right)^n$$

Proof of Schur Class Characterization

- ③ Since V^* is an extension of V_0^* we obtain the following system of equations: Fix $w \in \mathbb{B}^d$, $e_* \in \mathcal{E}_*$

$$\begin{bmatrix} A_1^* & \dots & A_d^* & C^* \\ B_1^* & \dots & B_d^* & D^* \end{bmatrix} \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \dots \\ \overline{w_d} H(w)^* e_* \\ e_* \end{bmatrix} = \begin{bmatrix} H(w)^* e_* \\ F(w)^* e_* \end{bmatrix}$$

- ④ Which turns into

$$\begin{aligned} \left(\sum_{i=1}^d A_i^* \overline{z_i} \right) H(w)^* e_* + C^* e_* &= H(z)^* e_* \\ \left(\sum_{i=1}^d B_i^* \overline{z_i} \right) H(w)^* e_* + D^* e_* &= F(z)^* e_* \end{aligned} \tag{5}$$

Proof of Schur Class Characterization

- 5 Solve for $H(w)^* e_*$ in the first equation to obtain

$$H(w)^* e_* = (I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d A_i^* \bar{z}_i)^{-1} C^* e_*$$

- 6 Substitute $H(w)^* e_*$ into the second equation to obtain

$$F(w)^* e_* = D^* e_* + \left(\sum_{i=1}^d B_i^* \bar{w}_i \right) (I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d A_i^* \bar{w}_i)^{-1} C^* e_*.$$

- 7 Since this hold for all e_* we have equality in $B(\mathcal{E}_*)$, and lastly take adjoints to obtain

$$F(w) = D + C \left(I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d w_i A_i \right)^{-1} \left(\sum_{i=1}^d w_i B_i \right)$$

as required.

Proof of Schur Class Characterization

(2 \implies 1)

Suppose that we have a unitary colligation that realizes our multiplier F . Expanding $UU^* = I$ we obtain:

$$\begin{bmatrix} AA^* + BB^* & AC^* + BD^* \\ CA^* + DB^* & CC^* + DD^* \end{bmatrix} = \begin{bmatrix} I_{\tilde{\mathcal{H}}} & 0 \\ 0 & I_{\mathcal{E}_*} \end{bmatrix} \quad (6)$$

This in turns gives us

- ① $I_{\tilde{\mathcal{H}}} - AA^* = BB^*$
- ② $I_{\mathcal{E}_*} - DD^* = CC^*$
- ③ $-DB^* = CA^*$
- ④ $-BD^* = AC^*$

Proof of Schur Class Characterization

Fix $z \in \mathbb{B}^d$, and we will show $I_{\mathcal{E}_*} - F(z)^* F(w) \geq 0$ ⁴.

Indeed, expand $I_{\mathcal{E}_*} - F(z)^* F(w)$, use the resolvent identity, and the four inequalities above to obtain

$$\begin{aligned}
 & I_{\mathcal{E}_*} - F(z)F(w)^* \\
 &= C(I_{\tilde{\mathcal{H}}} - \sum z_i A_i)^{-1} (I - \langle z, w \rangle) (I_{\tilde{\mathcal{H}}} - \sum A_i^* w_i^*)^{-1} C^* \\
 &= (I - \langle z, w \rangle) H(z) H(w)^* \\
 &\geq 0
 \end{aligned} \tag{7}$$

where $H(z) = C(I_{\tilde{\mathcal{H}}} - \sum z_i A_i)^{-1}$. Which concludes our proof that $\|F\| \leq 1$. □

⁴Showing $\|F(z)\| \leq 1$ not sufficient for positive kernel.

Robustness of Transfer Functions

- 1 A key step in the proof was the invertibility of

$$I_H - \sum_{i=1}^d z_i A_i = I_{\tilde{\mathcal{H}}} - Z(z)A.$$

- 2 All we needed was that:

- A is a **column contraction**
- $Z(z) = [z_1 l_{\tilde{\mathcal{H}}} \quad \dots \quad z_d l_{\tilde{\mathcal{H}}}]$ a **strict row contraction**

- 3 This means that for any strict row-contraction

$Z = [Z_1 \quad \dots \quad Z_d]$ where $Z_i \in \mathcal{A}$ for some operator algebra \mathcal{A} , we have invertability of

$$I - ZA = I_{\mathcal{A}} \otimes I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d Z_i \otimes A_i$$

Robustness of Transfer Functions

- ① For example suppose $A = \begin{bmatrix} A_1 \\ \dots \\ A_d \end{bmatrix}$ is a column contraction
as in the proof.
- ② Let $X = [X_1 \ \dots X_d]$ be a d -tuple of $n \times n$ matrices such
 $\|XX^*\| = \|X_1X_1^* + \dots + X_dX_d^*\| < 1$. That is assume X is a
strict row contraction.
- ③ By a similar argument used at level 1 (i.e. \mathbb{B}^d), we can
show that

$$I_n \otimes I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d X_i \otimes A_i$$

is invertable in $M_n(B(\tilde{\mathcal{H}}))$, where \otimes denotes the Kronecker product.

Non-Commutative Setting

The Non-Commutative Setting

Free Monoid on d -generators

- ① Fix an integer $d \geq 1$. A **word** of length n is any finite string of letters $w = w_1 \dots w_n$ where $w_i \in \{1, 2, \dots, d\}$.
- ② Let \mathbb{F}_d denote the **free monoid on d generators**, where elements are words, the operation is the concatenation of words, and the neutral element is the empty word \emptyset .
- ③ We have map from \mathbb{F}_d to itself namely **transposition** where $w^T = w_n w_{n-1} \dots w_1$.
- ④ Given a non-commutative in-determinant $z = (z_1, \dots, z_d)$, we write

$$z^w = z_{w_1} z_{w_2} \dots z_{w_n}$$

for example if $d \geq 4$, and $w = 11421$ we have

$$z^w = z_1^2 z_4 z_2 z_1.$$

Formal Power Series

- 1 We denote the set of all formal power series with coefficients in \mathcal{E} by

$$\mathcal{E}\langle\langle z \rangle\rangle := \left\{ \sum_{\alpha \in \mathbb{F}_d} f_{\alpha} z^{\alpha} : f_{\alpha} \in \mathcal{E} \right\}.$$

- 2 $\mathcal{E}\langle z \rangle$ denotes all formal power series with finite support (i.e. polynomials).
- 3 Given another non-commuting indeterminate $w = (w_1, \dots, w_d)$ we denote $\mathcal{E}\langle\langle z, w \rangle\rangle$ the formal power series in z and w .

Non-Commutative Formal RKHS (NFRKHS)

Definition

Let \mathcal{E} be a Hilbert space, and $z = (z_1, \dots, z_d)$ non-commuting indeterminants. A linear subspace

$$\mathcal{H}_{\mathcal{E},nc} \subseteq \mathcal{E}\langle\langle z \rangle\rangle$$

is called a **Non-commutative Formal RKHS (NFRKHS)** when:

- 1 $\mathcal{H}_{\mathcal{E},nc}$ comes equipped with an inner product which turns it into a Hilbert space.
- 2 For each $v \in \mathbb{F}_d$, the map $\Phi_v : \mathcal{H}_{\mathcal{E},nc} \rightarrow \mathcal{E}$ given by

$$\sum_{\alpha \in \mathbb{F}_d} f_{\alpha} z^{\alpha} \mapsto f_v$$

is bounded.

How Does Reproducing Kernels Arise?

- 1 Since coefficients uniquely determine the power-series we obtain a standard vector valued RKHS by viewing the coefficients as functions $(f_\alpha) : \mathbb{F}_d \rightarrow \mathcal{E}$.
- 2 Obtain a vector valued reproducing kernel

$$(\alpha, \beta) \mapsto K_{\alpha, \beta} : \mathbb{F}_d \times \mathbb{F}_d \rightarrow B(\mathcal{E}).$$

- 3 This induces a formal power series

$$K(z, w) = \sum_{\alpha, \beta \in \mathbb{F}_d} K_{\alpha, \beta} z^\alpha w^{\beta^T} \in B(\mathcal{E}) \langle\langle z, w \rangle\rangle$$

which is positive in a sense, satisfy a reproducing property (shown on next slide).

NF Reproducing Kernel

For $\mathcal{H} = \mathcal{H}_{\mathcal{E},nc}$

- ① For fixed $\alpha \in \mathbb{F}_d$, and $e \in \mathcal{E}$ we denote

$$K_{\alpha}(z)e := \sum_{\beta} K_{\alpha,\beta} e z^{\beta} \in \mathcal{H}$$

- ② We denote

$$K(\cdot, w)e := \sum_{\alpha} K_{\alpha}(z)e w^{\alpha^T} \in \mathcal{H}\langle\langle w \rangle\rangle$$

- ③ And we have a reproducing property

$$\langle f, K(\cdot, w)e \rangle_{\mathcal{H} \times \mathcal{H}\langle\langle w \rangle\rangle} = \langle f(w), e \rangle_{\mathcal{E}\langle\langle w \rangle\rangle \times \mathcal{E}} \quad (8)$$

which holds for all $f \in \mathcal{H}$, $e \in \mathcal{E}$.⁵

⁵Write out definition on black board

NF Reproducing Kernels

Definition

We say the formal power series

$$K(z, w) = \sum_{\alpha, \beta \in \mathbb{F}_d} K_{\alpha, \beta} z^\alpha w^{\beta^T} \in B(\mathcal{E}) \langle\langle z, w \rangle\rangle$$

is a **Non-commutative Formal reproducing kernel** for $\mathcal{H}_{\mathcal{E}, nc}$ when:

- ① $K_\alpha(z)e \in \mathcal{H}_{\mathcal{E}, nc}$ for all $\alpha \in \mathbb{F}_d$ and $e \in \mathcal{E}$.
- ② $K(z, w)$ satisfy the reproducing property in (8).

It can be shown that each NF reproducing kernel uniquely determines a NF RKHS just (see [3] for details).

NF Multipliers

We also have multipliers in this formal setting.

A formal power series

$$F = \sum_{\alpha} F_{\alpha} z^{\alpha} \in B(\mathcal{E}, \mathcal{E}_*) \langle\langle z \rangle\rangle$$

can act on elements in $\mathcal{E} \langle\langle z \rangle\rangle$ via a Cauchy product. That is for

$f = \sum_{\alpha} f_{\alpha} z^{\alpha} \in \mathcal{E} \langle\langle z \rangle\rangle$ we define

$$F f := \sum_{\alpha} \left(\sum_{\alpha = \beta \theta} F_{\beta} f_{\theta} \right) z^{\alpha} \in \mathcal{E}_* \langle\langle z \rangle\rangle.$$

NF Multipliers

- Given two NF RKHS $\mathcal{H}_{\mathcal{E},nc}$ and $\mathcal{H}_{\mathcal{E}_*,nc}$ we say $F \in B(\mathcal{E}, \mathcal{E}_*) \langle\langle z \rangle\rangle$ is a **left-multiplier** from $\mathcal{H}_{\mathcal{E},nc} \rightarrow \mathcal{H}_{\mathcal{E}_*,nc}$ to mean that

$$F f \in \mathcal{H}_{\mathcal{E}_*,nc}$$

for all $f \in \mathcal{H}_{\mathcal{E},nc}$.

- Again by an application of the Closed Graph theorem, and continuity of evaluation, one observe that each multipliers induces a bounded operator.
- When $\mathcal{E} = \mathcal{E}_*$ we denote $\mathcal{M}_{nc}(\mathcal{E})$ the **multiplier algebra** equipped with the operator norm.

NC-Hardy Space

Definition

Let \mathcal{E} be a Hilbert space, and $z = (z_1, \dots, z_d)$ non-commuting in-determinants. Define

$$\mathcal{H}_{nc,\mathcal{E}}^2 := \left\{ f = \sum_{\alpha \in \mathbb{F}_d} f_\alpha z^\alpha : \|f\|^2 := \sum_{\alpha \in \mathbb{F}_d} \|f_\alpha\|_{\mathcal{E}}^2 < \infty \right\}.$$

- 1 We equip $\mathcal{H}_{nc,\mathcal{E}}^2$ with the ℓ_2 -inner product induced by \mathcal{E} :

$$\left\langle \sum_{\alpha \in \mathbb{F}_d} f_\alpha z^\alpha, \sum_{\beta \in \mathbb{F}_d} g_\beta z^\beta \right\rangle := \sum_{\alpha \in \mathbb{F}_d} \langle f_\alpha, g_\alpha \rangle_{\mathcal{E}}$$

and it follows immediately that evaluation functions are bounded turning $\mathcal{H}_{nc,\mathcal{E}}^2$ into a NFRKHS.

- 2 When $\mathcal{E} = \mathbb{C}$ we refer to \mathcal{H}_{nc}^2 as the **"NC-Hardy space"**.

NF Reproducing Kernel

What is the NF-reproducing kernel of $\mathcal{H}_{\mathcal{E},nc}^2$?

- 1 Consider $K_{\alpha,\beta} = \delta_{\alpha,\beta} \otimes I_{\mathcal{E}} : \mathbb{F}_d \times \mathbb{F}_d \rightarrow B(\mathcal{E})$.
- 2 One sees that $K_{\alpha}(z)e = ez^{\alpha} \in \mathcal{H}_{\mathcal{E},nc}^2$, and checks that

$$K_{nc}(z, w) := \sum_{\alpha \in \mathbb{F}_d} z^{\alpha} w^{\alpha^T}$$

satisfy the reproducing property in (8). Hence we have the NF-reproducing kernel for $\mathcal{H}_{\mathcal{E},nc}^2$.

Characterization of the Non Commutative Schur Class

Theorem (Ball,Vinnikov - 2003,2005 [3],[1])

Let $F \in \mathcal{M}_{NC}(\mathcal{E}, \mathcal{E}_*)$. The following are equivalent:

- ① F is a contractive multiplier.
- ② There exists an auxiliary Hilbert space \mathcal{H} , and a *unitary* colligation

$$U = \begin{bmatrix} A_1 & B_1 \\ \vdots & \vdots \\ A_d & B_d \\ C & D \end{bmatrix} : \begin{array}{c} \mathcal{H} \\ \oplus \\ \mathcal{E} \end{array} \rightarrow \begin{array}{c} \mathcal{H}^{\oplus d} \\ \oplus \\ \mathcal{E}_* \end{array}$$

where F can be realised as a formal power series

$$F(z) = D + \sum_{i=1}^d \left(\sum_{\alpha \in \mathbb{F}} C A^\alpha B_i z^\alpha \right) z_i = D + C(I - Z(z)A)^{-1} Z(z)B \quad (9)$$

Characterization of the Schur Class

continued

Theorem (Ball,Vinnikov - 2003,2005 [3],[1])

- ③ The formal power series $K_F \in B(\mathcal{E}_*)\langle\langle z, w \rangle\rangle$ given by

$$K_F(z, w) = K_{nc}(z, w) - F(z)K_{nc}(z, w)F(w)^*$$

defines an NF reproducing kernel.

- ④ The colligation U that realizes F can be chosen contractive.

For $F(z) \in B(\mathcal{E}, \mathcal{E}_*)\langle\langle z \rangle\rangle$ we define $F(z)^* := \sum F_\alpha^* z^\alpha{}^T$, and the product is defined by Cauchy products of formal power series.

Intro to NC-Function Theory

- 1 We define the row-ball

$$\mathbb{B}_{row}^d := \left\{ X = [X_1 \quad \dots \quad X_d] \in \bigsqcup_{n=1}^{\infty} M_n(\mathbb{C})^d : \|XX^*\| < 1 \right\}$$

- 2 We have two operations on \mathbb{B}_{row}^d . Given $X, Y \in \mathbb{B}_{row}^d$ at level n , and m respectively, and single invertible matrix $S \in M_n(\mathbb{C})$:

$$\begin{aligned} X \oplus Y &:= [X_1 \oplus Y_1 \quad \dots \quad X_d \oplus Y_d] \\ S^{-1}XS &:= [S^{-1}X_1S \quad \dots \quad S^{-1}X_dS] \end{aligned} \tag{10}$$

- 3 We say f is an **nc-function** on \mathbb{B}_{row}^d to mean f is graded, preserves direct sums, and respects similarities.

Intro To NC-Function Theory

- 4 We denote \mathbb{H}_{row}^∞ all uniformly bounded nc-funtions on the the row-ball. That is nc functions f on the row ball such that

$$\|f\|_\infty := \sup_{Z \in \mathbb{B}_{row}^d} \|f(X)\| < \infty$$

where the norm on the right is taken in $M_n(\mathbb{C})$ when X is at level n .

- 5 For example all co-ordinate function $f_i(Z) = Z_i$ are in \mathbb{H}_{row}^∞ , and polynomials are in \mathbb{H}_{row}^∞ .
- 6 It a well know result that for nc-functions: Locally bounded at each level implies analytic at each level. Hence it follows that every function in \mathbb{H}_{row}^∞ is analytic at every level.

NC-Hardy Space As a Space of NC Functions

- 1 We can view elements of the NC-Hardy space as nc-functions on the row ball.
- 2 Given a formal power series $\sum_{\alpha \in \mathbb{F}_d} c_\alpha z^\alpha \in \mathcal{H}_{nc}^2$ one can show that for each fixed $X \in \mathbb{B}_{row}^d$

$$\sum_{n=0}^{\infty} \sum_{|\alpha|=n} c_\alpha X^\alpha$$

is norm convergent in $M_n(\mathbb{C})$, where X is at level n .

- 3 Define $F(X) = \sum_{n=0}^{\infty} \sum_{|\alpha|=n} c_\alpha X^\alpha$, and one uses the fact that polynomials are nc functions to show F is an nc-function.

NC-functions and Left Multipliers

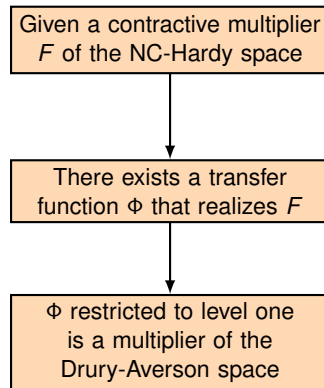
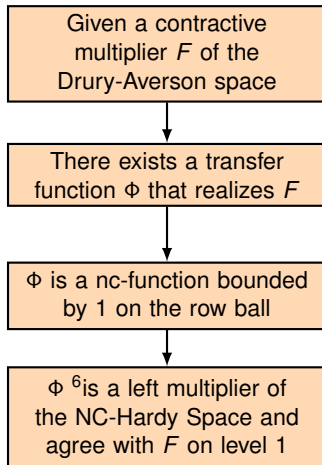
- 1 The connection between \mathbb{H}_{row}^∞ and Left-Multipliers of \mathcal{H}_{nc}^2 , was first seen by Arias and Popescu working over the operatorial closed unit ball, and independently by Davidson and Pitts in the language of operator algebras.
- 2 But recently Salomon, Shalit, Shamovich ([8]) formulated the result in the nc-function theory language over the row-ball.

Theorem Salomon, Shalit, Shamovich (2018) [8]

Let ϕ be a nc-function on \mathbb{B}_{row}^d .

Then ϕ is a left-multiplier of \mathcal{H}_{nc}^2 if, and only if $\phi \in \mathbb{H}_{nc}^\infty$.

Conclusion



¹Although Φ is not unique, we can chose Φ to have the same norm as F .

Some Applications

- 1 NC-Inner Outer Factorization (Jury, Martin, Shamovich [6])
- 2 Characterization of the Extreme points of the multiplier algebra of the Drury-Averson space.
(Jury, Martin, Hartz [5], [4])

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