

# On the Connection Between Multipliers of The Drury-Averson Space and The Non-commutative Hardy Space via Realizations

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## Outline

- 1 **Commutative Setting**
  - Vector Valued RKHS
  - The Drury Averson Space
  - Characterization of the Schur Class
- 2 **Non-Commutative Setting**
  - Non-Commutative Formal RKHS
  - NC-Hardy Space
  - Characterization of the Non Commutative Schur Class
- 3 **Connection to NC-Function Theory**

## Definition

We say a linear subspace  $\mathcal{H}_{\mathcal{E}} \subseteq \mathcal{F}(\Omega, \mathcal{E})$  is a vector-valued RKHS to mean:

- 1  $\mathcal{H}_{\mathcal{E}}$  has an inner product turning it into a Hilbert space, and
- 2 all point evaluations are bounded. That is for all  $w \in \Omega$ , the linear map

$$\Phi(w) : \mathcal{H}_{\mathcal{E}} \rightarrow \mathcal{E}$$

given by  $f \mapsto f(e)$  is bounded.

## Reproducing Kernel

We want to apply Riesz representation as in the scalar case.

So we proceed as follows: Fix  $w \in \Omega$ , and  $e \in \mathcal{E}$ :

- 1  $f \mapsto \langle f(w), e \rangle_{\mathcal{H}_{\mathcal{E}}} : \mathcal{H}_{\mathcal{E}} \rightarrow \mathbb{C}$  is a bounded.
- 2 Apply Riesz Representation to obtain a unique  $K(\cdot, w)e \in \mathcal{H}_{\mathcal{E}}$  such that

$$\langle f, K(\cdot, w)e \rangle_{\mathcal{H}_{\mathcal{E}}} = \langle f(w), e \rangle_{\mathcal{E}}.$$

- 3 One observes that  $K(z, w) = \Phi(z)\Phi(w)^*$  for all  $z, w \in \Omega$  so that we get a linear map

$$K : \Omega \times \Omega \rightarrow B(\mathcal{E})$$

called the **reproducing kernel** of  $\mathcal{H}_\varepsilon$ .

## Reproducing Kernel (Continued)

Recall that we have a notion of positivity for operator valued reproducing kernels:

### Definition

Given a function  $K : \Omega \times \Omega \rightarrow B(\mathcal{E})$  we say  $K$  is **positive** to mean for any finite number  $z_1, \dots, z_n \in \Omega$  the matrix

$$\begin{bmatrix} K(z_1, z_1) & \dots & K(z_1, z_n) \\ \dots & & \dots \\ K(z_n, z_1) & \dots & K(z_n, z_n) \end{bmatrix}$$

is positive in  $M_n(B(\mathcal{E})) \simeq B(\mathcal{E}^n)$ .

It follows immediately from the factorization above that the reproducing kernels are positive.

## Vector Valued Moore

We can go the opposite direction first. Given a positive map

$$K : \Omega \times \Omega \rightarrow B(\mathcal{E})$$

we use the positivity to define an inner product on the span of  $K(\cdot, w)e$  ranging over  $w \in \Omega$ , and  $e \in \mathcal{E}$ . The details of this result is known as Moore's Theorem.

### Theorem (Vector Valued Moore)[7]

If  $K : \Omega \times \Omega \rightarrow B(\mathcal{E})$  a positive function. Then there exists a unique  $\mathcal{E}$ -valued RKHS  $\mathcal{H}_{\mathcal{E}}(K)$  on  $\Omega$  with  $K$  as its reproducing kernel. Moreover the span of

$$\left\{ K(\cdot, w)e : w \in \Omega, e \in \mathcal{E} \right\}$$

can be identified with a dense subspace in  $\mathcal{H}_{\mathcal{E}}(K)$ .

## Vector Valued Moore (Consequence)

- 1 As a consequence of Moore's theorem we see that  $K : \Omega \times \Omega \rightarrow B(\mathcal{E})$  being positive is equivalent to the existence of an auxiliary RKHS  $\mathcal{H}_{\mathcal{E}}(K)$  such that we can factor our map

$$K(z, w) = \Phi(z)\Phi(w)^*$$

for some function  $\Phi : \Omega \rightarrow B(\mathcal{H}_{\mathcal{E}}(K), \mathcal{E})$ .

- 2 Indeed because if  $K$  is positive, the function  $\Phi$  above is exactly bounded point evaluation from the RKHS  $\mathcal{H}_{\mathcal{E}}(K)$  obtained by Moore's theorem.

# Multipliers

- 1 Given  $F : \Omega \rightarrow B(\mathcal{E}, \mathcal{E}_*)$ , and  $f \in \mathcal{H}_{\mathcal{E}}$  we define  $F f : \Omega \rightarrow \mathcal{E}_*$  by  $w \mapsto F(w) \circ f(w)$ .
- 2 We say  $F$  is a **multiplier** from  $\mathcal{H}_{\mathcal{E}} \rightarrow \mathcal{H}_{\mathcal{E}_*}$  to mean that

$$F f \in \mathcal{H}_{\mathcal{E}_*}$$

for all  $f \in \mathcal{H}_{\mathcal{E}}$ .

- 3 The above follows from bounded point evaluation, and an application of the Closed Graph theorem that each multiplier induces a bounded operator.
- 4 We denote  $\mathcal{M}(\mathcal{E}, \mathcal{E}_*)$  the **multiplier algebra** endowed with the operator norm.



## Eigenvector Property

- 1 A very useful fact in the scalar setting is that the kernel functions are eigenvectors for adjoints of multipliers.
- 2 We have a similar-type result that says

$$M_F^* K_{\mathcal{E}_*}(\cdot, z)e = K_{\mathcal{E}}(\cdot, z)F(z)^* e \quad (1)$$

for all  $z \in \Omega$ ,  $e \in \mathcal{E}_*$ .

- 3 The above follows from a inner product calculation along with the reproducing property, and density of kernel functions.
- 4 For ease of notation, we will drop the subscripts on the kernel function from here on.

# Drury-Averson

We will focus on a specific RKHS known as the Drury-Averson space.

① Denote  $K(z, w) = \frac{1}{1 - \langle z, w \rangle} : \mathbb{B}^d \times \mathbb{B}^d \rightarrow \mathbb{C}$ .

② Form an operator valued kernel

$$K(z, w) \otimes I_{\mathcal{E}} : \mathbb{B}^d \times \mathbb{B}^d \rightarrow B(\mathcal{E}).$$

The **Drury-Averson** space (or  $\mathcal{E}$ -valued version) is the RKHS on  $\mathbb{B}^d$  induced by the reproducing kernel  $K(z, w) \otimes I_{\mathcal{E}}$ , and denoted  $\mathcal{H}_{\mathcal{E}}^2$ .

# Characterization of the Schur Class

## Theorem (Ball, Vinnikov, Trent 2001 [2])

Let  $F \in \mathcal{M}(\mathcal{E}, \mathcal{E}_*)$ . The following are equivalent:

- 1  $F$  is a contractive multiplier.
- 2 There exists an auxiliary Hilbert space  $\mathcal{H}$ , and a *unitary* colligation

$$U = \begin{bmatrix} A_1 & B_1 \\ \vdots & \vdots \\ A_d & B_d \\ C & D \end{bmatrix} : \begin{array}{cc} \mathcal{H} & \mathcal{H}^{\oplus d} \\ \oplus & \oplus \\ \mathcal{E} & \mathcal{E}_* \end{array}$$

that realizes  $F$ . Meaning that for all  $z \in \mathbb{B}^d$

$$F(z) = D + C \left( I - \sum_{i=1}^d z_i A_i \right)^{-1} \left( \sum_{i=1}^d z_i B_i \right) \quad (2)$$

# Characterization of the Schur Class<sup>2</sup>

## continued

### Theorem (Ball, Vinnikov, Trent 2001 [2])

- ③ The function  $K_F$  given by

$$K_F(z, w) = K(z, w) \otimes I_{\mathcal{E}_*} - F(z)(K(z, w) \otimes I_{\mathcal{E}})F(w)^*$$

defines a positive kernel  $K_F : \mathbb{B}^d \times \mathbb{B}^d \rightarrow B(\mathcal{E}_*)$ . That is there exist an auxillary Hilbert space  $\mathcal{H}$ , and function  $H : \mathbb{B}^d \rightarrow B(\mathcal{H}, \mathcal{E}_*)$  such that

$$K_F(z, w) = H(z)H(w)^*$$

- ④ We can obtain a contractive colligation that realizes  $F$ .

<sup>1</sup>Write down theorem on board

<sup>2</sup>The space of contractive multipliers is referred to as the Schur Class

# Proof of Schur Class Characterization

## Proof: (1 $\iff$ 3)

The first equivalence follows from the "eigenvector-type" property mentioned earlier. Indeed suppose  $\|F\| \leq 1$ , and let  $x_1, \dots, x_n \in \Omega$ , and  $e_1, \dots, e_n \in \mathcal{E}_*$ .

From contractivity we get

$$\left\| \sum_i M_F^* K(\cdot, z_i) e_i \right\|_{\mathcal{H}_{\mathcal{E}}}^2 \leq \left\| \sum_i K(\cdot, z_i) e_i \right\|_{\mathcal{H}_{\mathcal{E}_*}}^2.$$

Since  $M_F^* K(\cdot, z_i) e_i = K(\cdot, z_i) F(z_i)^* e_i$  we have

$$\left\| \sum_i K(\cdot, z_i) F(z_i)^* e_i \right\|_{\mathcal{H}_{\mathcal{E}}}^2 \leq \left\| \sum_i K(\cdot, z_i) e_i \right\|_{\mathcal{H}_{\mathcal{E}_*}}^2.$$

# Proof of Schur Class Characterization

Expanding out the inner product we obtain

$$\sum_{i,j} \langle (K(x_i, x_j) - F(z_i)K(x_i, x_j)F(z_j)^*)) e_j, e_i \rangle_{\mathcal{E}_*} \geq 0.$$

As required to show positivity of

$$K(z, w) - F(z)K(z, w)F(w)^* = \frac{I - F(z)F(w)^*}{1 - \langle z, w \rangle}.$$

For the converse, we can reverse the calculation done above, and since the span of kernel functions is dense in  $\mathcal{H}_{\mathcal{E}_*}$  it follows that  $F^*$  is contractive, and hence  $F$  is contractive.

# Lurking Isometry Step

## Proof: (3) $\implies$ 2)

Since  $K_F$  is positive, we can apply Moore's theorem to obtain a Hilbert space  $\mathcal{H}_{\mathcal{E}_*}(K_F) = \mathcal{H}$ , and a function  $H : \mathbb{B}^d \rightarrow B(\mathcal{H}_{\mathcal{E}_*}, \mathcal{E}_*)$  such that for

$$\frac{I_{\mathcal{E}_*} - F(z)F(w)^*}{1 - \langle z, w \rangle} = H(z)H(w)^* \text{ for all } z, w \in \mathbb{B}^d.$$

Reorganize the equation, and rewrite the inner product in terms of rows and columns operators to obtain

$$I_{\mathcal{E}_*} + \left( \begin{bmatrix} \overline{z_1} \\ \dots \\ \overline{z_d} \end{bmatrix} H(z)^* \right)^* \begin{bmatrix} \overline{w_1} \\ \dots \\ \overline{w_d} \end{bmatrix} H(w)^* = H(z)H(w)^* + F(z)F(w)^* \quad (3)$$

## Proof of Schur Class Characterization

The equation (3) above is what will allow us to well defined linear map acting isometrically on a subspace of  $\mathcal{H}^{\oplus d} \oplus \mathcal{E}_*$ . Indeed define

$$\mathcal{D}_0 := \text{span} \left\{ \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \vdots \\ \overline{w_d} H(w)^* e_* \\ e_* \end{bmatrix} : w \in \mathbb{B}^d, e_* \in \mathcal{E}_* \right\} \subseteq \mathcal{H}^{\oplus d} \oplus \mathcal{E}_*$$

and define  $V_0^*$  on  $\mathcal{D}_0$  by the linear map that sends

$$\begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \vdots \\ \overline{w_d} H(w)^* e_* \\ e_* \end{bmatrix} \mapsto \begin{bmatrix} H(w)^* e_* \\ F(w)^* e_* \end{bmatrix} \subseteq \mathcal{H} \oplus \mathcal{E}.$$



# Proof of Schur Class Characterization

Using (3) in the following computation we obtain

$$\begin{aligned}
 \left\| \begin{bmatrix} H(w)^* e_* \\ F(w)^* e_* \end{bmatrix} \right\|^2 &= \langle (H(w)H(w)^* + F(w)F(w)^*) e_*, e_* \rangle_{\mathcal{E}_*} \\
 &= \langle e_*, e_* \rangle_{\mathcal{E}_*} + \left\langle \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \dots \\ \overline{w_d} H(w)^* e_* \end{bmatrix}, \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \dots \\ \overline{w_d} H(w)^* e_* \end{bmatrix} \right\rangle_{\mathcal{H}^{\oplus d}} \\
 &= \left\| \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \dots \\ \overline{w_d} H(w)^* e_* \\ e_* \end{bmatrix} \right\|^2
 \end{aligned}
 \tag{4}$$

# Proof of Schur Class Characterization

- 1 Now  $V_0^*$  extends uniquely to an isometry on the closure of  $\mathcal{D}_0$  in  $\mathcal{H}^{\oplus d} \oplus \mathcal{E}_*$ .
- 2 Observe that for any isometric extension  $W$  of  $V_0^*$  a quick calculation shows

$$W(\overline{\mathcal{D}_0}^\perp) \subseteq V_0^*(\overline{\mathcal{D}_0})^\perp.$$

- 3 This means the one obstacle in extending to a unitary is one of dimension. That is if  $\dim(\overline{\mathcal{D}_0}^\perp) > \dim(V_0^*(\overline{\mathcal{D}_0})^\perp)$ .
- 4 We can resolve the problem by direct summing on a Hilbert space to the co-domain such that the dimension match.

# Proof of Schur Class Characterization

- 1 That is we extend  $V_0^*$  to a unitary

$$V^* = \begin{bmatrix} A^* & C^* \\ B^* & D^* \end{bmatrix} \tilde{\mathcal{H}}^{\oplus d} \oplus \mathcal{E}_* \rightarrow \tilde{\mathcal{H}} \oplus \mathcal{E}$$

where  $\mathcal{H}$  can be identified as a subspace of the Hilbert space  $\tilde{\mathcal{H}}$ .

- 2 Next will use  $V_0^*$ , and how it acts on  $\mathcal{D}_0$  to show for all  $z \in \mathbb{B}^d$

$$F(z)^* = D^* + \left( \sum_{i=1}^d B_i^* z_i^* \right) (I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d A_i^* z_i^*)^{-1} C^*$$

- 3 But first we outline an argument on why the inverse exists that we will return to later.

# Proof of Schur Class Characterization

- 1 Fix take  $z \in \mathbb{B}_d$ , and define

$$Z(z) = [z_1 l_{\tilde{\mathcal{H}}} \quad \dots \quad z_d l_{\tilde{\mathcal{H}}}] : \tilde{\mathcal{H}}^{\oplus d} \rightarrow \tilde{\mathcal{H}}.$$

One sees that  $\|Z(z)\|^2 = \sum |z_i|^2 < 1$ .

- 2 Then since  $A$  and  $Z(z)$  just operators between Banach spaces we get

$$\|Z(z)A\| \leq \|Z(z)\| \|A\| < 1.$$

- 3 By standard  $C^*$ -theory we know  $(l_{\tilde{\mathcal{H}}} - Z(z)A)^{-1}$  exist in  $B(\tilde{\mathcal{H}})$  and is given by norm limit geometric series

$$(l_{\tilde{\mathcal{H}}} - Z(z)A)^{-1} = \sum_{n=0}^{\infty} (Z(z)A)^n = \sum_{n=0}^{\infty} \left( \sum_{i=1}^d z_i A_i \right)^n$$

## Proof of Schur Class Characterization

- ③ Since  $V^*$  is an extension of  $V_0^*$  we obtain the following system of equations: Fix  $w \in \mathbb{B}^d$ ,  $e_* \in \mathcal{E}_*$

$$\begin{bmatrix} A_1^* & \dots & A_d^* & C^* \\ B_1^* & \dots & B_d^* & D^* \end{bmatrix} \begin{bmatrix} \overline{w_1} H(w)^* e_* \\ \dots \\ \overline{w_d} H(w)^* e_* \\ e_* \end{bmatrix} = \begin{bmatrix} H(w)^* e_* \\ F(w)^* e_* \end{bmatrix}$$

- ④ Which turns into

$$\begin{aligned} \left( \sum_{i=1}^d A_i^* \overline{z_i} \right) H(w)^* e_* + C^* e_* &= H(z)^* e_* \\ \left( \sum_{i=1}^d B_i^* \overline{z_i} \right) H(w)^* e_* + D^* e_* &= F(z)^* e_* \end{aligned} \tag{5}$$

## Proof of Schur Class Characterization

- 5 Solve for  $H(w)^* e_*$  in the first equation to obtain

$$H(w)^* e_* = (I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d A_i^* \bar{z}_i)^{-1} C^* e_*$$

- 6 Substitute  $H(w)^* e_*$  into the second equation to obtain

$$F(w)^* e_* = D^* e_* + \left( \sum_{i=1}^d B_i^* \bar{w}_i \right) (I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d A_i^* \bar{w}_i)^{-1} C^* e_*.$$

- 7 Since this hold for all  $e_*$  we have equality in  $B(\mathcal{E}_*)$ , and lastly take adjoints to obtain

$$F(w) = D + C \left( I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d w_i A_i \right)^{-1} \left( \sum_{i=1}^d w_i B_i \right)$$

as required.

## Proof of Schur Class Characterization

(2  $\implies$  1)

Suppose that we have a unitary colligation that realizes our multiplier  $F$ . Expanding  $UU^* = I$  we obtain:

$$\begin{bmatrix} AA^* + BB^* & AC^* + BD^* \\ CA^* + DB^* & CC^* + DD^* \end{bmatrix} = \begin{bmatrix} I_{\tilde{\mathcal{H}}} & 0 \\ 0 & I_{\mathcal{E}_*} \end{bmatrix} \quad (6)$$

This in turns gives us

- ①  $I_{\tilde{\mathcal{H}}} - AA^* = BB^*$
- ②  $I_{\mathcal{E}_*} - DD^* = CC^*$
- ③  $-DB^* = CA^*$
- ④  $-BD^* = AC^*$

# Proof of Schur Class Characterization

Fix  $z \in \mathbb{B}^d$ , and we will show  $I_{\mathcal{E}_*} - F(z)^*F(z) \geq 0$ .

Indeed, expand  $I_{\mathcal{E}_*} - F(z)^*F(z)$ , use the resolvent identity, and the four inequalities above to obtain

$$\begin{aligned} I_{\mathcal{E}_*} - F(z)^*F(z) &= C(I_{\tilde{\mathcal{H}}} - \sum z_i A_i)^{-1}(I - \langle z, z \rangle)(I_{\tilde{\mathcal{H}}} - \sum A_i^* z_i^*)^{-1}C^* \\ &= (I - \langle z, z \rangle)H(z)H(z)^* \\ &\geq 0 \end{aligned} \tag{7}$$

where  $H(z) = C(I_{\tilde{\mathcal{H}}} - \sum z_i A_i)^{-1}$ . Which concludes our proof that  $\|F\| \leq 1$ . □



# Robustness of Transfer Functions

- 1 A key step in the proof was the invertability of

$$I_H - \sum_{i=1}^d z_i A_i = I_{\tilde{\mathcal{H}}} - Z(z)A.$$

- 2 All we needed was that:

- $A$  is a **column contraction**
- $Z(z) = [z_1 I_{\tilde{\mathcal{H}}} \quad \dots \quad z_d I_{\tilde{\mathcal{H}}}]$  a **strict row contraction**

- 3 This means that for any strict row-contraction

$Z = [Z_1 \quad \dots \quad Z_d]$  where  $Z_i \in \mathcal{A}$  for some operator algebra  $\mathcal{A}$ , we have invertability of

$$I - ZA = I_{\mathcal{A}} \otimes I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d Z_i \otimes A_i$$

# Robustness of Transfer Functions

- ① For example suppose  $A = \begin{bmatrix} A_1 \\ \dots \\ A_d \end{bmatrix}$  is a column contraction  
as in the proof.
- ② Let  $X = [X_1 \ \dots X_d]$  be a  $d$ -tuple of  $n \times n$  matrices such  
 $\|XX^*\| = \|X_1X_1^* + \dots + X_dX_d^*\| < 1$ . That is assume  $X$  is a  
strict row contraction.
- ③ By a similar argument used at level 1 (i.e.  $\mathbb{B}^d$ ), we can  
show that

$$I_n \otimes I_{\tilde{\mathcal{H}}} - \sum_{i=1}^d X_i \otimes A_i$$

is invertable in  $M_n(B(\tilde{\mathcal{H}}))$ , where  $\otimes$  denotes the Kronecker product.

# Non-Commutative Setting

## The Non-Commutative Setting

## Free Monoid on $d$ -generators

- 1 Fix an integer  $d \geq 1$ . A **word** of length  $n$  is any finite string of letters  $w = w_1 \dots w_n$  where  $w_i \in \{1, 2, \dots, d\}$ .
- 2 Let  $\mathbb{F}_d$  denote the **free monoid on  $d$  generators**, where elements are words, the operation is the concatenation of words, and the neutral element is the empty word  $\emptyset$ .
- 3 We have map from  $\mathbb{F}_d$  to itself namely **transposition** where  $w^T = w_n w_{n-1} \dots w_1$ .
- 4 Given a non-commutative in-determinant  $z = (z_1, \dots, z_d)$ , we write

$$z^w = z_{w_1} z_{w_2} \dots z_{w_n}$$

for example if  $d \geq 4$ , and  $w = 11421$  we have

$$z^w = z_1^2 z_4 z_2 z_1.$$

# Formal Power Series

- 1 We denote the set of all formal power series with coefficients in  $\mathcal{E}$  by

$$\mathcal{E}\langle\langle z \rangle\rangle := \left\{ \sum_{\alpha \in \mathbb{F}_d} f_{\alpha} z^{\alpha} : f_{\alpha} \in \mathcal{E} \right\}.$$

- 2  $\mathcal{E}\langle z \rangle$  denotes all formal power series with finite support (i.e. polynomials).
- 3 Given another non-commuting indeterminate  $w = (w_1, \dots, w_d)$  we denote  $\mathcal{E}\langle\langle z, w \rangle\rangle$  the formal power series in  $z$  and  $w$ .

# Non-Commutative Formal RKHS (NFRKHS)

## Definition

Let  $\mathcal{E}$  be a Hilbert space, and  $z = (z_1, \dots, z_d)$  non-commuting indeterminants. A linear subspace

$$\mathcal{H}_{\mathcal{E},nc} \subseteq \mathcal{E}\langle\langle z \rangle\rangle$$

is called a **Non-commutative Formal RKHS (NFRKHS)** when:

- 1  $\mathcal{H}_{\mathcal{E},nc}$  comes equipped with an inner product which turns it into a Hilbert space.
- 2 For each  $v \in \mathbb{F}_d$ , the map  $\Phi_v : \mathcal{H}_{\mathcal{E},nc} \rightarrow \mathcal{E}$  given by

$$\sum_{\alpha \in \mathbb{F}_d} f_{\alpha} z^{\alpha} \mapsto f_v$$

is bounded.

## How Does Reproducing Kernels Arise?

- 1 Since coefficients uniquely determine the power-series we obtain a standard vector valued RKHS by viewing the coefficients as functions  $(f_\alpha) : \mathbb{F}_d \rightarrow \mathcal{E}$ .
- 2 Obtain a vector valued reproducing kernel

$$(\alpha, \beta) \mapsto K_{\alpha, \beta} : \mathbb{F}_d \times \mathbb{F}_d \rightarrow B(\mathcal{E}).$$

- 3 This induces a formal power series

$$K(z, w) = \sum_{\alpha, \beta \in \mathbb{F}_d} K_{\alpha, \beta} z^\alpha w^{\beta^T} \in B(\mathcal{E}) \langle\langle z, w \rangle\rangle$$

which is positive in a sense, satisfy a reproducing property (shown on next slide).

# NF Reproducing Kernel

For  $\mathcal{H} = \mathcal{H}_{\mathcal{E},nc}$

- ① For fixed  $\alpha \in \mathbb{F}_d$ , and  $e \in \mathcal{E}$  we denote

$$K_{\alpha}(z)e := \sum_{\beta} K_{\alpha,\beta} e z^{\beta} \in \mathcal{H}$$

- ② We denote

$$K(\cdot, w)e := \sum_{\alpha} K_{\alpha}(z)e w^{\alpha^T} \in \mathcal{H} \langle \langle w \rangle \rangle$$

- ③ And we have a reproducing property

$$\langle f, K(\cdot, w)e \rangle_{\mathcal{H} \times \mathcal{H} \langle \langle w \rangle \rangle} = \langle f(w), e \rangle_{\mathcal{E} \langle \langle w \rangle \rangle \times \mathcal{E}} \quad (8)$$

which holds for all  $f \in \mathcal{H}$ ,  $e \in \mathcal{E}$ .<sup>3</sup>

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<sup>3</sup>Write out definition on black board



# NF Reproducing Kernels

## Definition

We say the formal power series

$$K(z, w) = \sum_{\alpha, \beta \in \mathbb{F}_d} K_{\alpha, \beta} z^\alpha w^{\beta^T} \in B(\mathcal{E}) \langle\langle z, w \rangle\rangle$$

is a **Non-commutative Formal reproducing kernel** for  $\mathcal{H}_{\mathcal{E}, nc}$  when:

- ①  $K_\alpha(z)e \in \mathcal{H}_{\mathcal{E}, nc}$  for all  $\alpha \in \mathbb{F}_d$  and  $e \in \mathcal{E}$ .
- ②  $K(z, w)$  satisfy the reproducing property in (8).

It can be shown that each NF reproducing kernel uniquely determines a NF RKHS just (see [3] for details).

# NF Multipliers

We also have multipliers in this formal setting.

A formal power series

$$F = \sum_{\alpha} F_{\alpha} z^{\alpha} \in B(\mathcal{E}, \mathcal{E}_*) \langle\langle z \rangle\rangle$$

can act on elements in  $\mathcal{E} \langle\langle z \rangle\rangle$  via a Cauchy product. That is for

$f = \sum_{\alpha} f_{\alpha} z^{\alpha} \in \mathcal{E} \langle\langle z \rangle\rangle$  we define

$$F f := \sum_{\alpha} \left( \sum_{\alpha = \beta \theta} F_{\beta} f_{\theta} \right) z^{\alpha} \in \mathcal{E}_* \langle\langle z \rangle\rangle.$$

# NF Multipliers

- Given two NF RKHS  $\mathcal{H}_{\mathcal{E},nc}$  and  $\mathcal{H}_{\mathcal{E}_*,nc}$  we say  $F \in B(\mathcal{E}, \mathcal{E}_*) \langle\langle z \rangle\rangle$  is a **left-multiplier** from  $\mathcal{H}_{\mathcal{E},nc} \rightarrow \mathcal{H}_{\mathcal{E}_*,nc}$  to mean that

$$F f \in \mathcal{H}_{\mathcal{E}_*,nc}$$

for all  $f \in \mathcal{H}_{\mathcal{E},nc}$ .

- Again by an application of the Closed Graph theorem, and continuity of evaluation, one observe that each multipliers induces a bounded operator.
- We denote  $\mathcal{M}_{nc}(\mathcal{E}, \mathcal{E}_*)$  the **multiplier algebra** equipped with the operator norm.

# NC-Hardy Space

## Definition

Let  $\mathcal{E}$  be a Hilbert space, and  $z = (z_1, \dots, z_d)$  non-commuting in-determinants. Define

$$\mathcal{H}_{nc,\mathcal{E}}^2 := \left\{ f = \sum_{\alpha \in \mathbb{F}_d} f_\alpha z^\alpha : \|f\|^2 := \sum_{\alpha \in \mathbb{F}_d} \|f_\alpha\|_{\mathcal{E}}^2 < \infty \right\}.$$

- ① We equip  $\mathcal{H}_{nc,\mathcal{E}}^2$  with the  $\ell_2$ -inner product induced by  $\mathcal{E}$ :

$$\left\langle \sum_{\alpha \in \mathbb{F}_d} f_\alpha z^\alpha, \sum_{\beta \in \mathbb{F}_d} g_\beta z^\beta \right\rangle := \sum_{\alpha \in \mathbb{F}_d} \langle f_\alpha, g_\alpha \rangle_{\mathcal{E}}$$

and it follows immediately that evaluation functions are bounded turning  $\mathcal{H}_{nc,\mathcal{E}}^2$  into a NFRKHS.

- ② When  $\mathcal{E} = \mathbb{C}$  we refer to  $\mathcal{H}_{nc}^2$  as the **"NC-Hardy space"**.

# NF Reproducing Kernel

What is the NF-reproducing kernel of  $\mathcal{H}_{\mathcal{E},nc}^2$ ?

- 1 Consider  $K_{\alpha,\beta} = \delta_{\alpha,\beta} \otimes I_{\mathcal{E}} : \mathbb{F}_d \times \mathbb{F}_d \rightarrow B(\mathcal{E})$ .
- 2 One sees that  $K_{\alpha}(z)e = ez^{\alpha} \in \mathcal{H}_{\mathcal{E},nc}^2$ , and checks that

$$K_{nc}(z, w) := \sum_{\alpha \in \mathbb{F}_d} z^{\alpha} w^{\alpha^T}$$

satisfy the reproducing property in (8). Hence we have the NF-reproducing kernel for  $\mathcal{H}_{\mathcal{E},nc}^2$ .

# Characterization of the Non Commutative Schur Class

## Theorem (Ball,Vinnikov - 2003,2005 [3],[1])

Let  $F \in \mathcal{M}_{NC}(\mathcal{E}, \mathcal{E}_*)$ . The following are equivalent:

- ①  $F$  is a contractive multiplier.
- ② There exists an auxiliary Hilbert space  $\mathcal{H}$ , and a *unitary* colligation

$$U = \begin{bmatrix} A_1 & B_1 \\ \vdots & \vdots \\ A_d & B_d \\ C & D \end{bmatrix} : \begin{array}{cc} \mathcal{H} & \mathcal{H}^{\oplus d} \\ \oplus & \oplus \\ \mathcal{E} & \mathcal{E}_* \end{array}$$

where  $F$  can be realised as a formal power series

$$F(z) = D + \sum_{i=1}^d \left( \sum_{\alpha \in \mathbb{F}} C A^\alpha B_i z^\alpha \right) z_i = D + C(I - Z(z)A)^{-1} Z(z)B \quad (9)$$

# Characterization of the Schur Class

## continued

### Theorem (Ball,Vinnikov - 2003,2005 [3],[1])

- ③ The formal power series  $K_F \in B(\mathcal{E}_*)\langle\langle z, w \rangle\rangle$  given by

$$K_F(z, w) = K_{nc}(z, w) - F(z)K_{nc}(z, w)F(w)^*$$

defines an NF reproducing kernel.

- ④ The colligation  $U$  that realizes  $F$  can be chosen contractive.

For  $F(z) \in B(\mathcal{E}, \mathcal{E}_*)\langle\langle z \rangle\rangle$  we define  $F(z)^* := \sum F_\alpha^* z^\alpha{}^T$ , and the product is defined by Cauchy products of formal power series.

# Intro to NC-Function Theory

- 1 We define the row-ball

$$\mathbb{B}_{row}^d := \left\{ X = [X_1 \quad \dots \quad X_d] \in \bigsqcup_{n=1}^{\infty} M_n(\mathbb{C})^d : \|XX^*\| < 1 \right\}$$

- 2 We have two operations on  $\mathbb{B}_{row}^d$ . Given  $X, Y \in \mathbb{B}_{row}^d$  at level  $n$ , and  $m$  respectively, and single invertible matrix  $S \in M_n(\mathbb{C})$ :

$$\begin{aligned} X \oplus Y &:= [X_1 \oplus Y_1 \quad \dots \quad X_d \oplus Y_d] \\ S^{-1}XS &:= [S^{-1}X_1S \quad \dots \quad S^{-1}X_dS] \end{aligned} \tag{10}$$

- 3 We say  $f$  is an **nc-function** on  $\mathbb{B}_{row}^d$  to mean  $f$  is graded, preserves direct sums, and respects similarities.



## Intro To NC-Function Theory

- 4 We denote  $\mathbb{H}_{row}^\infty$  all uniformly bounded nc-funtions on the the row-ball. That is nc functions  $f$  on the row ball such that

$$\|f\|_\infty := \sup_{Z \in \mathbb{B}_{row}^d} \|f(X)\| < \infty$$

where the norm on the right is taken in  $M_n(\mathbb{C})$  when  $X$  is at level  $n$ .

- 5 For example all co-ordinate function  $f_i(Z) = Z_i$  are in  $\mathbb{H}_{row}^\infty$ , and polynomials are in  $\mathbb{H}_{row}^\infty$ .
- 6 It a well know result that for nc-functions: Locally bounded at each level implies analytic at each level. Hence it follows that every function in  $\mathbb{H}_{row}^\infty$  is analytic at every level.

## NC-Hardy Space As a Space of NC Functions

- 1 We can view elements of the NC-Hardy space as nc-functions on the row ball.
- 2 Given a formal power series  $\sum_{\alpha \in \mathbb{F}_d} c_\alpha z^\alpha \in \mathcal{H}_{nc}^2$  one can show that for each fixed  $X \in \mathbb{B}_{row}^d$

$$\sum_{n=0}^{\infty} \sum_{|\alpha|=n} c_\alpha X^\alpha$$

is norm convergent in  $M_n(\mathbb{C})$ , where  $X$  is at level  $n$ .

- 3 Define  $F(X) = \sum_{n=0}^{\infty} \sum_{|\alpha|=n} c_\alpha X^\alpha$ , and one uses the fact that polynomials are nc functions to show  $F$  is an nc-function.

## NC-functions and Left Multipliers

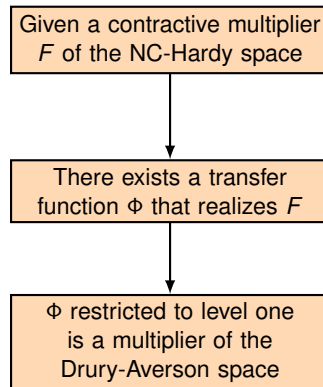
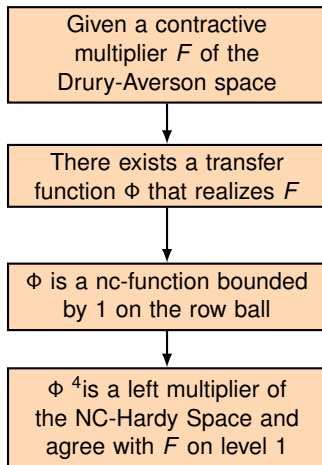
- 1 The connection between  $\mathbb{H}_{row}^\infty$  and Left-Multipliers of  $H_{nc}^2$ , was first seen by Arias and Popescu working over the operatorial closed unit ball, and independently by Davidson and Pitts in the language of operator algebras.
- 2 But recently Salomon, Shalit, Shamovich ([8]) formulated the result in the nc-function theory language over the row-ball.

### Theorem Salomon, Shalit, Shamovich (2018) [8]

Let  $\phi$  be a nc-function on  $\mathbb{B}_{row}^d$ .

Then  $\phi$  is a left-multiplier of  $\mathcal{H}_{nc}^2$  if, and only if  $\phi \in \mathbb{H}_{nc}^\infty$ .

## Conclusion



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<sup>1</sup>Although  $\Phi$  is not unique, we can chose  $\Phi$  to have the same norm as  $F$ .

## Some Applications

- 1 NC-Inner Outer Factorization (Jury, Martin, Shamovich [6])
- 2 Characterization of the Extreme points of the multiplier algebra of the Drury-Averson space.  
(Jury, Martin, Hartz [5], [4])

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