

# Affine Block Structure in Collatz Sequences

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## Abstract

We present a simplified framework for analyzing Collatz sequences through affine block structures. Each block is characterized by four parameters  $(\alpha, \nu, \rho, \kappa)$  and a scaling parameter  $t$  that enumerates instances of the block. The block parameters describe the odd core structure, with  $\nu$  accounting for leading even steps before the block. The framework defines two affine functions for the odd core:  $x_{\text{odd}}(B, t)$  mapping  $t$  to odd integers, and  $\text{succ}_{x, \text{odd}}(B, t)$  giving the successor value. For even values,  $x(B, t) = 2^\nu \cdot x_{\text{odd}}(B, t)$ . This approach focuses exclusively on lattice-wide affine relationships without attempting to model internal block dynamics.

## 1 Introduction

Collatz sequences exhibit structure that can be analyzed through *blocks*—contiguous subsequences with predictable parity patterns. This work presents a minimal parameterization of such blocks that captures their essential affine properties.

The key insight is to represent blocks using the fundamental identity:

$$x = 2^\nu \left( 2^\alpha \cdot (\rho + t \cdot 2^{\kappa - \alpha}) - 1 \right)$$

This identity leads to a clean 4-parameter representation that avoids the complications of tracking internal 3-adic structure. The parameters  $(\alpha, \rho, \kappa)$  describe the odd core, while  $\nu$  accounts for leading even steps (the  $E^*$  prefix) before the block begins.

## 2 Block Parameters

A block  $B$  is defined by four parameters and a scaling parameter:

$$B = (\alpha, \nu, \rho, \kappa), \quad t \geq 0$$

where:

- $\nu \geq 0$  is the 2-adic valuation  $v_2(x)$  (leading even steps in  $E^*(OE)+E+$  pattern)
- $\alpha \geq 1$  is the 2-adic valuation  $v_2(x/2^\nu + 1)$  of the odd core plus one

- $\rho \geq 1$  is an odd integer parameter
- $\kappa \geq \alpha$  is the block length (number of even steps in the block)
- $t \geq 0$  is the scaling parameter enumerating block instances

## 2.1 Natural Blocks

A *natural block* is one where  $\kappa = \alpha + \beta$ , where  $\beta = v_2(3^\alpha \rho - 1)$  is determined by the block's natural structure.

## 3 Affine Functions

The block parameters  $(\alpha, \rho, \kappa)$  describe the structure of the odd core. We first define the affine functions for odd values ( $\nu = 0$ ), then show how  $\nu$  prefixes additional even steps for even starting values.

### 3.1 Odd Core Functions

For odd  $x$  (where  $\nu = 0$ ), the block defines two affine functions of  $t$ :

#### 3.1.1 The x-Function (Odd Core)

$$x_{\text{odd}}(B, t) = 2^\alpha(\rho + t \cdot 2^{\kappa-\alpha}) - 1$$

This is an affine function with:

$$\begin{aligned} m_{x,\text{odd}} &= 2^\kappa \\ c_{x,\text{odd}} &= 2^\alpha \rho - 1 \end{aligned}$$

#### 3.1.2 The Successor Function (Odd Core)

$$\text{succ}_{x,\text{odd}}(B, t) = \frac{3^\alpha \cdot (\rho + t \cdot 2^{\kappa-\alpha}) - 1}{2^{\kappa-\alpha}}$$

Expanding:

$$\text{succ}_{x,\text{odd}}(B, t) = \frac{3^\alpha \rho - 1}{2^{\kappa-\alpha}} + 3^\alpha \cdot t$$

This is an affine function with:

$$\begin{aligned} m_{\text{succ},\text{odd}} &= 3^\alpha \\ c_{\text{succ},\text{odd}} &= \frac{3^\alpha \rho - 1}{2^{\kappa-\alpha}} \end{aligned}$$

### 3.2 Extension to Even Values

For even  $x$  with  $\nu = v_2(x) > 0$ , there are  $\nu$  leading even steps (E\* prefix) before reaching the odd core. These initial divisions by 2 are represented by the  $2^\nu$  factor:

$$x(B, t) = 2^\nu \cdot x_{\text{odd}}(B, t) = 2^\nu \left( 2^\alpha (\rho + t \cdot 2^{\kappa-\alpha}) - 1 \right)$$

with slope  $m_x = 2^{\nu+\kappa}$  and intercept  $c_x = 2^\nu(2^\alpha \rho - 1)$ .

The successor function remains:

$$\text{succ}_x(B, t) = \text{succ}_{x, \text{odd}}(B, t)$$

since the  $\nu$  leading even steps have already been consumed in reaching the odd core, and the successor starts after the block operations on that odd core.

## 4 Computing Block Parameters

Given an integer  $x$ , we compute its block parameters as follows:

1. Compute  $\nu = v_2(x)$ , the 2-adic valuation
2. Extract the odd core:  $x_{\text{odd}} = x/2^\nu$
3. Compute  $\alpha = v_2(x_{\text{odd}} + 1)$
4. Compute  $m_{\text{raw}} = (x_{\text{odd}} + 1)/2^\alpha$
5. For natural blocks, compute  $\beta = v_2(3^\alpha m_{\text{raw}} - 1)$  and set  $\kappa = \alpha + \beta$
6. For basic blocks, choose  $\kappa \in [\alpha, \alpha + \beta]$
7. Compute  $\rho = m_{\text{raw}} \bmod 2^{\kappa-\alpha}$
8. Compute the scaling parameter:

$$t = \left\lfloor \frac{m_{\text{raw}} - \rho}{2^{\kappa-\alpha}} \right\rfloor$$

Note:  $\rho$  must be odd. If the computed value is even, there is an error in the calculation.

## 5 Verification

The computed parameters can be verified by checking:

$$x = 2^\nu \left( 2^\alpha (\rho + t \cdot 2^{\kappa-\alpha}) - 1 \right)$$

This should exactly equal the original  $x$  value.

## 6 Example: $x = 35$

For  $x = 35$ :

- $\nu = v_2(35) = 0$  (odd number)
- $\alpha = v_2(36) = 2$
- $m_{\text{raw}} = 36/4 = 9$
- $\beta = v_2(3^2 \cdot 9 - 1) = v_2(80) = 4$
- $\kappa = 2 + 4 = 6$  (natural block)
- $\rho = 9 \bmod 16 = 9$
- $t = \lfloor (9 - 9)/16 \rfloor = 0$

The block parameters are:  $B = (\alpha = 2, \nu = 0, \rho = 9, \kappa = 6), t = 0$   
 Since  $x = 35$  is odd ( $\nu = 0$ ), we use the odd core affine functions:

$$x_{\text{odd}}(t) = 2^2(9 + t \cdot 2^4) - 1 = 4(9 + 16t) - 1 = 64t + 35$$

$$\text{succ}_{x,\text{odd}}(t) = \frac{3^2 \cdot 9 - 1}{2^4} + 3^2 \cdot t = \frac{80}{16} + 9t = 5 + 9t$$

For  $t = 0$ :  $x_{\text{odd}}(0) = 35$  and  $\text{succ}_{x,\text{odd}}(0) = 5$ .

Indeed, starting from  $x = 35$ , the Collatz map gives:  $35 \rightarrow 106 \rightarrow 53 \rightarrow 160 \rightarrow 80 \rightarrow 40 \rightarrow 20 \rightarrow 10 \rightarrow 5$ , confirming that the successor block starts at 5.

## 7 Significance

This framework provides several insights:

- **Affine structure:** Blocks naturally organize into affine families, revealing geometric patterns in Collatz sequences
- **Minimal parameterization:** Using only 4 parameters plus  $t$ , we capture the essential structure without internal dynamics
- **Lattice-wide relationships:** The successor function connects blocks across the entire trajectory
- **Computational efficiency:** Block parameters can be computed directly from  $x$  without iterating the sequence

## 8 Scope and Limitations

This framework intentionally focuses on *lattice-wide affine relationships* between blocks as atomic units. It does not attempt to model:

- Internal evolution of individual blocks through the Collatz map
- 3-adic structure within blocks (powers of 3 in intermediate values)
- Step-by-step parity patterns within blocks

These aspects of internal block dynamics, if needed, should be treated separately in an independent analysis.

## 9 Conclusion

The affine block framework provides a clean, minimal approach to understanding Collatz sequences through their block structure. By focusing exclusively on affine relationships and avoiding the complexities of internal dynamics, this approach reveals the geometric organization of Collatz sequences while maintaining mathematical rigor and computational tractability.