# Variation in site entropy explains differences in structure-sequence relationships of proteins

Eleisha L. Jackson<sup>1\*</sup>, Amir Shahmoradi<sup>1\*</sup>, Claus O. Wilke<sup>1\*\*</sup>

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\*\*Corresponding author

Email: wilke@austin.utexas.edu

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#### Abstract

Recent work has shown that structural properties are capable of predicting sitespecific sequence variability for a given protein. However, the strength and significance of these structure-sequence relations appear to vary widely among different proteins, with absolute correlation strengths ranging from 0.1 to 0.8. Recently two works that have investigated structural predictors of site variability which both present different results based on the strength of correlations of structural predictors. According to Yeh et al. (2014b), both solvent accessibility and local packing density are predictors of site-wise variability with local packing density being strongest predictor of sitewise variability. However, recently, Shahmoradi et al. (2014) compared local packing density, residue flexibility, and solvent accessibility in viral proteins and found that relative solvent accessibility is a stronger predictor of site-wise variability. In addition, the strength of correlations with structural predictors were weaker in Shahmoradi et al. (2014). Here we present research that suggests that differences in the correlations between these two datasets are due to differences in the site variability among proteins within in each dataset. Specifically proteins with a larger variance in entropy among sites exhibit stronger structure-sequence correlations between both local packing density and solvent accessibility.

<sup>&</sup>lt;sup>1</sup> Institute of Cellular and Molecular Biology, Center for Computational Biology and Bioinformatics, and Department of Integrative Biology, The University of Texas at Austin, Austin, Texas, 78712 USA

<sup>\*</sup>These authors contributed equally.

#### 1 Introduction

Proteins are subject to a number of biophysical and functional constraints (Scherrer et al., 2012; Wilke and Drummond, 2010; Sikosek and Chan, 2014; Liberles et al., 2012; Huang et al., 2014; Fraser et al., 2002; Liao et al., 2010; Serohijos et al., 2012). These constraints result in both global patterns between proteins and site-specific patterns of sequence variability within proteins. Recently several site-specific structural properties that can explain patterns of sequence variability in proteins have been identified. One of the earliest examples was Relative Site Accessibility (RSA). Franzosa and Xia (2009) identified RSA as the strongest predictor of evolutionary rate and found that residues that are buried within the core of proteins tend to be more conserved than exposed residues close to the surface of the protein. In their analysis, they considered the ability of both RSA and various definitions of residue packing density to predict evolutionary rate. They found that RSA and evolutionary rate shared a significant linear relationship. Afterwards, several other works also found that RSA as a significant predictor of evolutionary rate and supported this linear relationship (Ramsey et al., 2011; Scherrer et al., 2012). However, these papers all have the same flaw. During the course of their analysis they binned the protein sites and averaged over all sites within a bin when determining the trend of RSA. This process may have produced artifacts that account for this strong linear trend between RSA and evolutionary rate.

Recently, Yeh et al. (2014b) performed a similar analysis on a series of enzyme monomer proteins and found that packing density, as defined by Contact Number and Weighted Contact Number (Liao et al., 2005; Yeh et al., 2014b; Huang et al., 2014), was the strongest determinant of site variability. Soon Afterwards, Shahmoradi et al. (2014) also performed a site-wise analysis on a series of viral proteins. In this analysis they found that RSA had a slightly stronger correlation with site variability as opposed to local packing density. Moreover, the effect seen between CN and WCN was of a much smaller magnitude as compared to Yeh et al. (2014b). It is not well understood the reasoning behind the difference in magnitude in the correlations seen between the two studies. Here we attempt to reconcile the work done in this area. We find that site variability is the primary determinant of the strength of structure-sequence relationships and some differences in previous work can be explained in terms of differing levels of site variability.

### 2 Materials and Methods

### Structures, sequences, and measures of sequence properties

The results presented in this work are based on two datasets. The first is a dataset of 209 monomeric enzymes taken from Huang et al. (2014), originally from Yeh et al. (2014b). The original dataset was comprised of 213 proteins but we removed four of the proteins (PDB IDS: 1BBS, 1BS0, 1DIN, 2HPL) that had did not have data at insertion sites. Briefly, these proteins are all enzyme monomers randomly picked from the Catalytic Site Atlas 2.2.11 (Porter et al., 2004) with protein sizes in the sample ranging from 95 to 1287 residues in length. For each structure we had a corresponding alignment of up to 300 homologous

sequences. The second dataset was taken from Shahmoradi et al. (2014) and is comprised of nine viral proteins. The viral proteins range from 122 - 557 residues in length and each structure is accompanied by a sequence alignment of up to 2362 homologous sequences. Sequence alignments for both datasets were constructed by aligning the amino-acid sequences using the alignment software MAFFT (Katoh et al., 2002, 2005), specifying the auto flag to select the optimal algorithm for the given dataset. The alignments were then used to calculate site-specific measures of sequence variability for each individual protein in both datasets. To do so, we relied on two independent methods of measuring sequence variability. First, we calculated the Shannon entropy  $(H_i)$  – the sequence entropy at each alignment column i:

$$H_i = -\sum_j P_{ij} \ln P_{ij} \tag{1}$$

where  $P_{ij}$  is the relative frequency of amino acid j at position i in the alignment. Sequence entropy is a measure of variability at each site. We also calculated a measure of site-specific evolutionary rate for each protein using the software Rate4site. First Maximum Likelihood phylogenetic trees were inferred with RAxML, using the LG substitution matrix and the CAT model of rate heterogeneity (Stamatakis, 2006, 2014). For each structure, we then used the respective sequence alignment and phylogenetic tree to infer site-specific substitution rates with Rate4Site using the empirical Bayesian method and the JTT model of sequence evolution (Mayrose et al., 2004).

#### Calculation of Structural Properties

In our analysis we used two types of measures of local packing density used in previous studies: Contact Number (CN) and Weighted Contact Number (WCN). For the purposes of our comparison between the two datasets of interest we used the CN and WCN for the enzyme proteins calculated in Huang et al. (2014) and the CN and WCN values for the viral proteins from Shahmoradi et al. (2014). In both of these works and in Yeh et al. (2014b), WCN and CN are both defined the same. Contact Number is defined as the number of  $C_{\alpha}$  within a pre-redefined radius,  $r_0$ . In this case,  $r_0 = 13$  as in the previous papers. Weighed Contact Number for a residue, i, is defined as in Liao et al. (2005) and Huang et al. (2014) as:

$$WCN_i = \sum_{i \neq j}^{N} \frac{1}{r_{ij}^2} \tag{2}$$

where  $r_j$  is the length between the  $C_{\alpha}$  of residue i and residue j in a protein of length N (Yeh et al., 2014b).

We used DSSP (Kabsch and Sander, 1983) to calculate the Accessible Surface Area (ASA) for each site. We then normalized the ASA for each site by the theoretical maximum solvent accessibility values of Tien et al. (2013) to obtain the Relative Solvent Accessibility (RSA) for all individual sites in all proteins.

All data and analysis scripts required to reproduce the work are publicly available to view and download at https://github.com/wilkelab/rate\_variability\_variation.

#### 3 Results

Although it is clear that structure plays a role in the evolution of proteins, it is not clear to what extent protein structure constrains sequence variability. To date there have been conflicting reports about the strength of structure-sequence correlations (Yeh et al., 2014a; Shahmoradi et al., 2014). Therefore we sought to determine the main determinants of the strength of structure-sequence correlations within proteins. First, we calculated the strength between the structural properties and site variability by calculating the Spearman correlation,  $\rho$ , between the structural property (solvent exposure and local packing density) and site variability, as measured by either evolutionary rate or site entropy. The magnitude of these correlations allows us to determine the strength of solvent accessibility-site variability and local packing density - site variability correlations. For our analysis we used two measures of site variability, Shannon entropy and evolutionary rate. We calculated the LPD-site variability correlations for two types of local packing density: contact number (CN) and weighted contact number. We calculated the correlations for two sets of proteins. One was a set of monomeric enzyme proteins and the other was a set of virus proteins.

On average the correlations between CN and entropy are larger in absolute magnitude in the enzyme proteins as compared to viral proteins. The average  $\rho$  between each structural property and each measure of site variability (entropy and evolutionary rates) can be seen in Tables 1 and 2. Both CN and WCN are positively correlated with both measures of site variability. Residues that are more tightly packed (i.e., have more contacting neighbors) are more constrained and less variable. Solvent accessibility, as measured by relative solvent accessibility (RSA) is negatively correlated with site variability. Residues that are exposed on the surface of the protein are less constrained and exhibit more site variability. Both of these trends have been noted previously (Yeh et al., 2014b,a; Shahmoradi et al., 2014; Liao et al., 2005; Franzosa and Xia, 2009). Although similar in sign, the correlations between all structure-sequence relationships are smaller in magnitude for the virus proteins (Table 2). However, even though on average the correlations in the enzyme proteins are larger as reported by Yeh et al. (2014b), the viral proteins still have correlation strengths that are comparable to some of the enzyme proteins with lower correlations (Figures 1, 2, 3).

As noted earlier, the virus proteins have lower levels of site variability (Figures 1, 2, 3). Due to this fact, we investigated the effect of site variability on the structure-sequence correlations since the viral proteins have lower correlations overall as well. When examining the effect of site variability on the strength of structure-sequence correlations, we looked at both the variance of site variability and the mean of site variability. For a given protein its mean entropy and the variance might be different and both of these quantities measure different sequence variably properties. A protein can have a high mean entropy but have a low variance and vice versa. Figure 6A details the relationship between the average entropy across sites within proteins and the variance of entropy across sites. Additionally, the distribution of variance varies greatly between proteins even when they are from the same dataset (Figure 6B). Therefore the mean entropy of a protein as well as the variance in entropy at sites may be predictive for structure-sequence relationships.

The average site entropy of a given protein does not seem to be a significant determinant of the strength of structural correlations (Figures 1A, 2A, 3A). However, when examining the variance of entropy there is a clear trend within the enzyme proteins. Proteins with

a higher variance in site variability across the protein typically have higher correlations in magnitude (Figures 1B, 2B, 3B). The correlation between the  $\rho$  between RSA and entropy and the variance of entropy is positive. Proteins with a larger variance in site entropy have the strongest correlations. The correlation between the  $\rho$  between local packing density (both WCN and CN) and entropy and the variance of entropy is negative. Proteins with more variance in entropy have lower correlations in magnitude. Unlike entropy, there is no relationship between the variance of evolutionary rates at sites and any of the measured structural properties (Figures 4, 5). There also is a wide spread in the variance of the evolutionary rates across proteins for both the enzyme and viral proteins. If you extrapolate the trend from the enzyme proteins, the viral proteins follow a similar trend.

Although both evolutionary rate, as measured by Rate4Site, and entropy are measures of site variability, these quantities are distinctly different. Rate4Site measures the rate at which a site changes over time whereas site entropy measure the absolute variation at a site. A site may have a high evolutionary rate if it changes frequently between the same few amino acids but have low entropy. It appears that the rate at which a site evolves is not important for predicting the strength of structure-sequence relationships. There is no relationship between the variance of evolutionary rate and any of the structure-sequence relationships (Figures 4, 5). However, the absolute amount of variation at a site, as measured by mean entropy, can be used to predict the strength of structure-sequence relationships.

In order to further examine the relationship between entropy and the structure-sequence relationships, we used the mean entropy and variance of entropy at sites as predictors of the strength of structure-sequence relationships. Table 4 illustrates the coefficients of various linear models. For WCN-Entropy correlations, mean entropy is not a significant predictor. Although for CN-Entropy correlations, mean entropy is significant the coefficient is 0.079 and therefore predictive power is low. Mean Entropy is also not a significant predictor for the strength of the relationship between RSA and Entropy. For all linear models where mean entropy and dataset were used to predict structure-sequence correlations, dataset was a significant predictor (Table 4). This means that enzyme and virus proteins have different correlations when using mean entropy as a predictor. This agrees with differences seen in the previous works by Yeh et al. (2014b) and Shahmoradi et al. (2014).

However, when looking at the variance in entropy there are some stark differences. For all structural predictors (i.e., CN, WCN and RSA), the variance in entropy at sites within a protein is a significant predictor of the strength of structure-sequence relations. For packing density, proteins with a larger variance in site-wise entropy have more negative correlations that are higher in magnitude. Proteins with a higher variance in entropy tend to have stronger RSA-Entropy correlations. When using variance and dataset as predictors of structure-sequence correlations, dataset was not a significant predictor in any model. When looking at the variance of entropy, proteins within both datasets at in a similar fashion and overall trends seen between the variance of site variation and the strength of structure-sequence relationships is preserved across both datasets. In summary, site variability appears to be the main determinant of protein structure-sequence relationships with the variance in entropy at a site as the best predictor of magnitude.

#### 4 Discussion

There has been previous work that has illuminated several factors that determine the rate at which proteins evolve. Some of these factors include: expression level (Drummond and Wilke, 2008; Wilke and Drummond, 2010; Pál et al., 2001; Subramanian and Kumar, 2004), interactions with other protein partners (Fraser et al., 2002; Yang et al., 2012; Minteris and Weng, 2005; Pang et al., 2010) and selection for the costs of misfolding (Drummond et al., 2005). Recently the effect of biophysical constraints on sequence variability have been considered. Biophysical considerations that have been shown to affect function include: selection for protein stability and proper folding (Drummond et al., 2005), selection for protein binding specifity (Zarrinpar et al., 2003) and selection against non-specific and extraneous binding (Levy et al., 2012), selection to minimize protein aggregation (DePristo et al., 2005) and protein structure (Franzosa and Xia, 2009, 2012; Yeh et al., 2014b,a; Shahmoradi et al., 2014; Echave et al., 2015; Huang et al., 2014).

There have been several works that have looked into the effect of protein structure on protein function. Local packing density and solvent accessibility have been emerged as two structural predictors that have been showed to predict site variability (Yeh et al., 2014b,a; Shahmoradi et al., 2014; Franzosa and Xia, 2009; Bustamante et al., 2000). Sites that are on surface of the protein tend to have higher solvent accessibility and exhibit more site variability. Sites that are densely packed and have more contacts tend to evolve slower and exhibit less sequence variability. However, the strength of how well these two structural quantities (local packing density and solvent accessibility) correlate with variability at sites has not been clearly explained. Yeh et al. (2014b) examined the effect of using solvent accessibility and local packing density as measures of predicting site-wise variability. They found that both were significant predictors. However, Shahmoradi et al. (2014) performed the same analysis on viral proteins and found that while both were predictors of stie-wise variability, the correlations between these two structural predictors and site variability were significantly lower.

Here we examined the relationship between site variability and the strength of structure-sequence relationships in order to dissect the nature of the correlation between structural properties and site variability. We used both enzyme proteins and virus proteins in our analysis. When looking at the mean entropy values of proteins there is a distinct difference between the virus and enzyme datasets. Overall the enzyme proteins have more site variability than the viral proteins. We found that proteins with a larger variance in site variability as measured by sequence entropy, have stronger structure-sequence relationships on average and that when predicting structure-sequence relationships the variance in site variability among proteins is a better predictor than mean site variability. Both Yeh et al. (2014b) and Shahmoradi et al. (2014) report different levels of magnitude between LPD and RSA and site variability. According to our analysis, one reason that the enzyme proteins in Yeh et al. (2014b) had higher correlation coefficients is that, on average, the enzyme proteins have a larger variance in entropy as compared to the virus proteins of Shahmoradi et al. (2014).

For all proteins, It appears that site entropy and evolutionary rate are not equal measures of site variability in terms of predicting the strength of structure-sequence relationships. The variance in evolutionary rate among sites of a protein has a smaller an effect on the correlation magnitude as compared to entropy. This suggests that the absolute variability at sites is

a better predictor of whether structural determinants can be used to predict variability at sites as opposed to evolutionary rate. Therefore when examining structural determinants of site variability, entropy may be a more meaningful quantity. The variance in entropy of a protein measures the variation in site variability among sites within a protein. The variance in entropy is not necessarily related to high sequence divergence. If divergence is high for a given protein but all sites are have equal levels of divergence, then the variance in entropy for a protein will be low. The same can be said for proteins with sites that are equal in terms of having a low level of variability among sites. If a protein has sites that are extremely variable (ex. some sites that are highly conserved and some that are less conserved), then they will have an have a high variance in entropy. A larger variation in variability within a protein allows a larger range of correlations with structural quantities. If all sites have similar levels of divergence (ex. low divergence or high divergence) then the dynamic range of correlations you expect to see with structural properties is limited.

We performed our analysis on two type of protein datasets, viral protein and enzyme proteins in order to verify the generality of our analysis. Both proteins exhibit some of the same selective pressures such as selection for stability and pressure to fold and adopt the correct native conformation. However, these proteins are very different in terms of function. Enzymes are used to catalyze chemical actions and as such have additional constraints such as structural constraints for a proper active site for catalytic function. On the other hand, viruses use their protein to infect and replicate within their hosts. These proteins are utilized to perform a variety of necessary functions for viral replication such as host cellular entry (Radoshitsky et al., 2007; Allison et al., 2014) and nuclear importation (Schaller et al., 2011). As host immune systems attack these viruses, these viruses evolve to escape from these host mechanisms resulting in signatures of positive selection within these proteins. There are several examples of positive selection seen in viral proteins (for a review see: Sironi et al. (2015)).

Because of the difference for selective pressures for function facing these protein types there could different constraints on sequence variability. Indeed, we find that on average the enzyme proteins have more site variability and that virus proteins have a smaller spread in site variability. Most of the viral proteins analyzed have very low variably while the enzyme dataset has proteins with low-range, mid-range and high-range proteins in terms of site variability. Additionally the enzyme proteins are also more variability in terms of site variability of site within a protein as evidence by the variance in entropy. These results there are structural additional constraints that are different within viral proteins and enzyme proteins. Indeed recently Meyer and Wilke (2015) found that a geometrical model that includes distance to the receptor-binding region in Influenza A H3 hemmagglutinin (HA) was able to explain some of the the variance seen in evolutionary rate between sites within HA. This suggests that residues close to the host cell receptor-binding region experience different evolutionary constraints compared to residues farther away from the receptor-binding region. It is possible that a similar distance metric for enzymes might be effective for understanding the structural constraints of selection for active site. Residues close to the active site may experience constraints in the same way. Therefore although general biophysical properties have been demonstrated to pertain all proteins, there are additional structural constraints that effect site-wise variably within different types of proteins. Further research into the specific biophysical constraints of difference classes of proteins (ex. viral, enzymes) will help better our understanding of how protein biophysics affects the evolution of proteins.

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# **Figures**

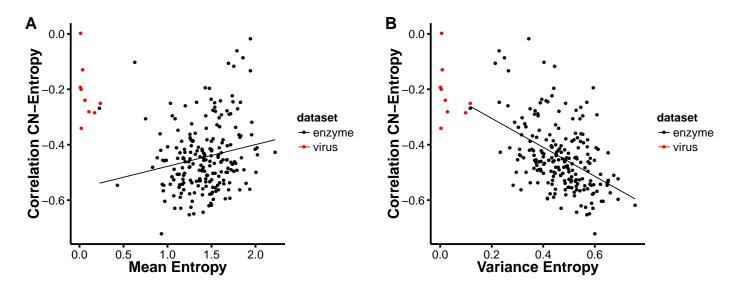


Figure 1: Correlations between Contact Number (CN) and Entropy. For each protein we calculate the Spearman Correlation coefficient between CN and entropy at each site within the protein. A) Comparison between the correlation coefficients and mean entropy of site in each protein. The line indicates a linear regression through the enzyme proteins with mean entropy as a single predictor of the spearman correlations. B) Comparison between correlation coefficients and the variance of entropy of each protein. The enzyme proteins are colored in black and the virus proteins are visualized in red. For both WCN and CN the viral proteins have lower mean entropy and variance of entropy. The line indicates a linear regression through the enzyme proteins with variance entropy as a single predictor of the spearman correlations. Proteins with a larger variance in site entropy among sites tend to smaller CN-Entropy correlations in magnitude. Note: The entropy values in this manuscript are different than in Shahmoradi et al. (2014). Those entropy values were scales by a a factor of  $1/\sum_i P_{ij}$ .

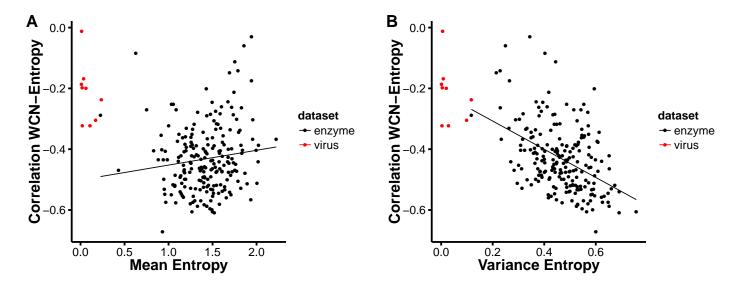


Figure 2: Correlations between Weighted Contact Number (WCN) and Entropy. A) Comparison between the correlation coefficients and mean entropy of site in each protein. B) Comparison between correlation coefficients and the variance of entropy of each protein. The enzyme proteins are colored in black and the virus proteins are visualized in red. Proteins with a larger variance in site entropy have smaller WCN-Entropy correlations in magnitude.

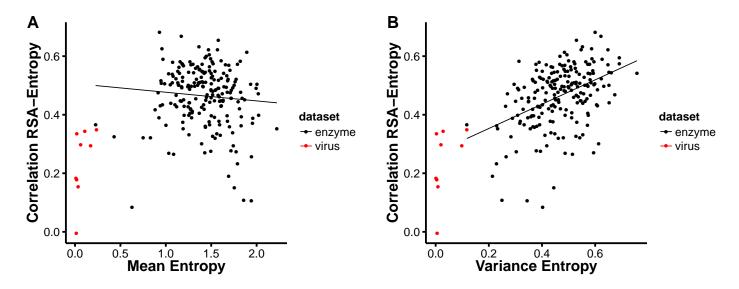


Figure 3: Correlations between Relative Solvent Accessibility (RSA) and Entropy. A) Comparison between the correlation coefficients and mean entropy of site in each protein. B) Comparison between correlation coefficients and the variance of entropy of each protein. The enzyme proteins are colored in black and the virus proteins are visualized in red. Proteins have a larger variance in site entropy have larger RSA-Entropy correlations.

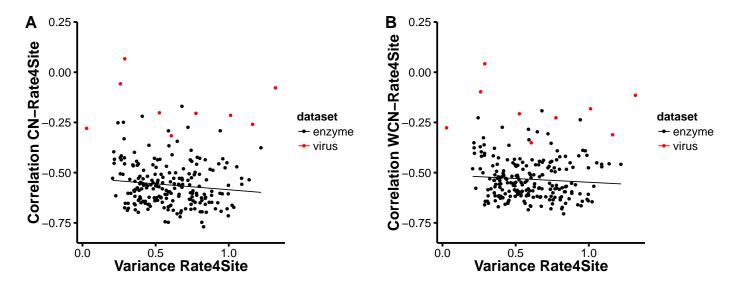


Figure 4: Comparison of Rate4Site correlations and the variance of Rate4Site at sites. A) Comparison between the correlation coefficients between CN and Rate4Site and variance of Rate4Site of site in each protein. B) Comparison between correlation coefficients between WCN and Rate4Site and the variance of entropy of each protein. The enzyme proteins are colored in black and the virus proteins are visualized in red. There is no observable trend between the variance of evolutionary rate and the spearman correlations.

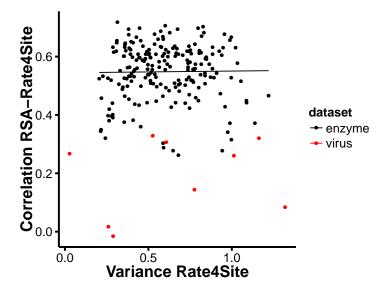


Figure 5: Comparison of the correlations between RSA and Rate4Site and the variance of Rate4Site at sites. The enzyme proteins are colored in black and the virus proteins are visualized in red. The variance of evolutionary rate of a protein, as measured by Rate4Site, does not have a correlation with the spearman correlation between RSA and evolutionary rate for a given protein.

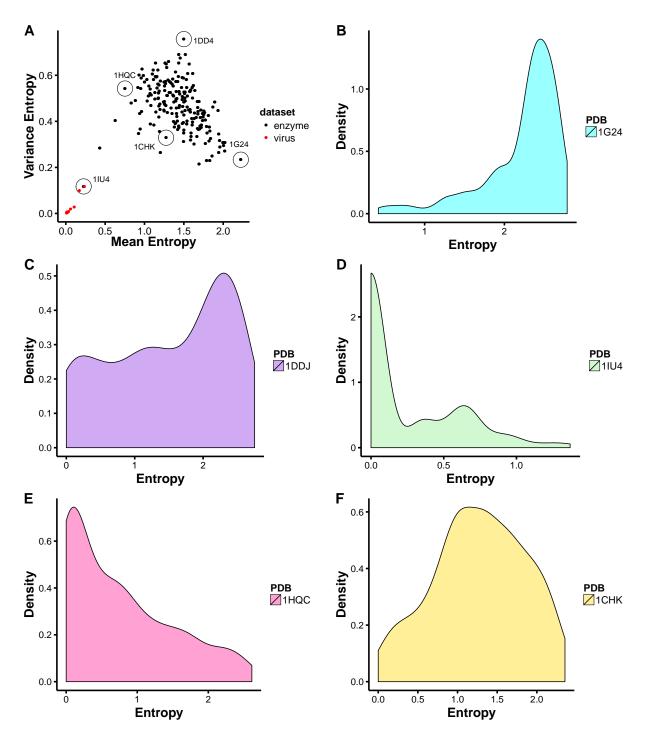


Figure 6: Comparison of the mean entropy and the variance of entropy for individual proteins. A) Variance in entropy at sites compared against overall mean entropy for proteins. Four proteins of interest are highlighted by open circles. The enzyme proteins are colored in black and the virus proteins are colored red B-F) Distributions of site-wise entropy values for the five enzyme proteins highlighted in A. There are a variety of distributions in site entropy for different proteins.

Dataset	Mean $\rho$	Mean $\rho$	Mean $\rho$
	Entropy-CN	Entropy-	Entropy-
		WCN	RSA
Enzyme	-0.445	-0.432	0.464
Virus	-0.213	-0.217	0.237

Table 1: Averages of the Spearman Correlation Coefficients between Structural Properties and Entropy. The structural properties analyzed are solvent accessibility as calculated by RSA and packing density as calculated by CN and WCN.

Dataset	Mean $\rho$	Mean $\rho$	Mean $\rho$
	Rate4Site-CN	Rate4Site-	Rate4Site-
		WCN	RSA
Enzyme	-0.445	-0.432	0.464
Virus	-0.213	-0.217	0.237

Table 2: Averages of the Spearman Correlation Coefficients between Structural Properties and Evolutionary Rate. Evolutionary rate is calculated with Rate4Site. The structural properties analyzed are solvent accessibility as calculated by RSA and packing density as calculated by CN and WCN.

Model	$\langle H \rangle$	Dataset	Dataset* $\langle H \rangle$
$\rho_{\text{-}}\text{CN-H} = \langle H \rangle + \text{Dataset} $ + \text{Dataset*}\lapprox \lapprox \lappox \lapprox \lapprox \lapprox \lappox \l	0.079**	0.382***	-0.583
$ \rho_{\text{-WCN-H}} = \langle H \rangle +  \text{Dataset} + \text{Dataset}^* \langle H \rangle $	0.049	0.323***	-0.568
$\rho_{-}RSA-H = \langle H \rangle + Dataset + Dataset^* \langle H \rangle$	-0.030	-0.336***	0.903*
$\rho_{\text{-}}\text{CN-H} = \langle H \rangle + \text{Dataset} + \text{Dataset}^* \langle H \rangle$	0.077**	0.336***	NA
$ \rho_{-}WCN-H = \langle H \rangle + Dataset + Dataset^* \langle H \rangle $	0.047	0.278***	NA
$\rho_{-RSA-H} = \langle H \rangle + Dataset + Dataset^* \langle H \rangle$	-0.027	-0.264***	NA

Table 3: Linear models predicting structural correlations with various quantities. Coefficient x represents the coefficient of the first predictor, coefficient y is the coefficient of the second predictor and coefficient z is the coefficient of the third predictor in each model. \*\*\* means the p-value is less than 0.001. \*\* means the p-value is less than 0.05.

Model	Variance H	Dataset	Dataset*Variance H
$\rho_{\text{-}}\text{CN-H} = \text{Variance H} + \text{Dataset +} $ Dataset*Variance H	-0.522***	0.013	-0.265
$ \rho_{\text{-}}WCNH = Variance H + Dataset + Dataset*Variance H $	-0.464***	0.023	-0.325
$ \rho_{\text{-}}RSA-H = Variance H + Dataset + Dataset + Variance H $	0.415***	-0.076	0.927
$ \rho_{\text{-}}\text{CN-H} = \text{Variance H} + \text{Dataset} + \text{Dataset*Variance H} $	-0.524***	0.004	NA
$ \rho_{\text{-}}WCN\text{-H} = Variance H + Dataset + Dataset*Variance H $	-0.466***	0.012	NA
$ \rho_{\text{L}}RSA-H = Variance H + Dataset + Dataset*Variance H $	0.422***	-0.044	NA

Table 4: Linear models predicting structural correlations with various quantities. Coefficient x represents the coefficient of the first predictor, coefficient y is the coefficient of the second predictor and coefficient z is the coefficient of the third predictor in each model. \*\*\* means the p-value is less than 0.001. \*\* means the p-value is less than 0.05.