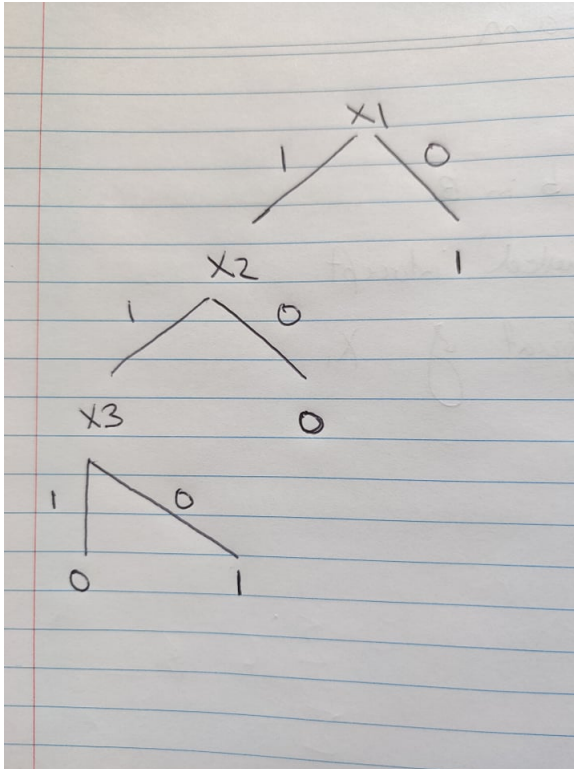


Question 1

a



i. From the working here 0.1, we can see that X_1 has the largest gain when splitting the data and therefore should be the first branch. X_2 and X_3 both have 0 gain. For the next split at $X_{1,1}$, both X_2 and X_3 produce the same gain which is $gain(X_{1,1}, X_2) = gain(X_{1,1}, X_3) = 0.39317$ which is why it doesn't matter which one is selected. I chose X_2 to be the next split and then finished the tree.

The training error for this tree is 0 since our tree uses every feature and there are no observations where the exact same values for each feature is given but it maps to a separate output.

ii. There is no depth 2 decision tree that has a lower training error than the one found with ID3. The training error is already the lowest it can be at 0. This tells us that ID3 can fit any dataset with no conflicting classifications and therefore has very high complexity. Without specifying the ID3 algorithm to stop early and with a non-conflicting dataset, ID3 can always achieve 0 training error.

b

I generated the data with the following code:

```
60 def generate_data_set(positive_classes):
61     # Assume all tuples are the same length
62     dimensions = len(positive_classes[0])
63     domain = list(itertools.product([0, 1], repeat=dimensions))
64
65     # Loop over domain
66     Y = []
67     for vector in domain:
68         # If vector is in positive_classes, then mark as positive
69         if vector in positive_classes:
70             Y.append([1])
71         else:
72             Y.append([-1])
73
74     return np.array(domain), np.array(Y)
```

The perceptron is then trained with:

```
29 def train_perceptron(X, y, eta):
30     # w = np.zeros((1, len(X[0])))          # init weight vector to 0s
31     w = np.random.random((1, len(X[0])))
32     nmb_iter = 0
33     MAX_ITER = 10000
34
35     for _ in range(MAX_ITER):                # termination condition (avoid running forever)
36
37         nmb_iter += 1
38
39         # check which indices we make mistakes on, and pick one randomly to update
40         yXw = (y * X) @ w.T
41         mistake_idx = np.where(yXw < 0)[0]
42         if mistake_idx.size > 0:
43             i = np.random.choice(mistake_idx)    # pick idx randomly
44             w = w + eta * y[i] * X[i]           # update w
45             # print(f"Iteration {nmb_iter}: w = {w}")
46
47         else: # no mistake made
48             print(f"Converged after {nmb_iter} iterations")
49             return
50
51     print(f"Did not converge after {MAX_ITER} iterations")
```

The spaces were then tested with:

```
76 def q2b():
77     positive_classes_i = [(0,1,0), (0,1,1), (1,0,0), (1,1,1)]
78     positive_classes_ii = [(0,1,1), (1,0,0), (1,1,0), (1,1,1)]
79     positive_classes_iii = [(0,1,0,0), (0,1,0,1), (0,1,1,0), (1,0,0,0), (1,1,0,0), (1,1,1,0), (1,1,1,1)]
80     positive_classes_iv = [(1,0,0,0,0,0,0), (1,0,0,0,0,0,1), (1,0,0,0,1,0,1)]
81
82     all_classes = [positive_classes_i, positive_classes_ii, positive_classes_iii, positive_classes_iv]
83
84     for c in all_classes:
85         X, Y = generate_data_set(c)
86         train_perceptron(X, Y, 1)
```

Note that this produces **non-linearly separable** for all spaces which I'm assuming is not correct. The issues is that the vector for **w** doesn't iterate in a direction that separates the data better and keeps moving further and further away from the classifications.

d

I had already started working on this before its removal. This is what I had:

```
94 def your_function(y): | You, seconds ago * code done for q2:
95     MAX_ITER = 10000
96     for t in range(MAX_ITER):
97         violation = False
98         for i in range(len(y) - 1):
99
100             # Violation of non-decreasing constraint, update with average of 2 points
101             if y[i] > y[i+1]:
102                 violation = True    # Mark that there was a violation
103                 # print(f"violation at index {i}")
104                 # print(f"{y[i]} -> {(y[i] + y[i+1]) / 2}")
105                 # print(f"old y: {y}")
106                 y[i] = float((y[i] + y[i+1]) / 2)
107                 # print(f"new y: {y}")
108
109             if violation == False:
110                 print(f"Optimal found after {t} iterations")
111                 return y
112
113     print(f"Did not converge after {MAX_ITER} iterations!")
```

```

115 def q2d():      You, seconds ago • code done for q2:
116
117     matplotlib.rc("font", **{"size" : 14}) # make plot text more visible
118
119     # load in data
120     y_dict = np.load(Q2D_DIR, allow_pickle=True).item()
121
122     # create plot
123     fig, ax = plt.subplots(3,2, figsize=(14,14))
124     plot_titles = ["(i)", "(ii)", "(iii)", "(iv)", "(v)", "(vi)"]
125     for i, ax in enumerate(ax.flat):
126         y = y_dict[plot_titles[i]]
127         x = np.arange(y.shape[0])
128
129         betahat = your_function(np.array(y)) ### update this line
130         return
131
132     # plot data and fit
133     ax.scatter(x, y, marker="o", label="y")
134     ax.plot(x, betahat, color="orange", linestyle="-", marker="s", label=r"$\hat{\beta}$")
135
136     # set title and put legend in a good spot
137     mse_bh = np.round(mse(y, betahat), 4)
138     ax.set_title(f"part={plot_titles[i]}, mse={mse_bh}")
139     ax.legend(loc="best")
140
141     plt.tight_layout()
142     plt.savefig("pickAName.png", dpi=400) ### update this line
143     plt.show()

```

Appendix

0.1 q2a

Exam

2.	x_1	x_2	x_3	y
	1	1	1	0
	1	0	0	0
	1	1	0	1
	0	0	1	1

Gain (S, x_1) : $A(0) = [2, 2] \xrightarrow{+ -} \frac{1}{3} \ln(1) - 0.5 \ln(0.5) - 0.5 \ln(0.5) = 0.69315 \dots$

$H(0) = 0.69315$

$\begin{array}{c} \begin{array}{cc} + & - \\ [1, 2] & \end{array} \\ S_{x_1,1} \end{array}$

S

$\begin{array}{c} [1, 0] \\ S_{x_1,0} \end{array}$

$A(S_{x_1,1}) = -\frac{1}{3} \ln(\frac{1}{3}) - \frac{2}{3} \ln(\frac{2}{3}) = 0.6365 \dots$

$H(S_{x_1,0}) = -1 \ln(1) - 0 \ln(0) = 0$

So Gain $(S, x_1) = 0.69315 - \frac{1}{3} \times 0.6365 - 0 = 0.21578 \dots$

Gain (S, x_2)

$\begin{array}{c} [1, 1] \\ S_{x_2,1} \end{array}$

S

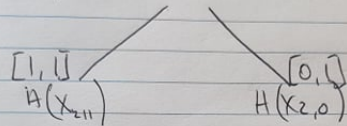
$\begin{array}{c} [1, 0] \\ S_{x_2,0} \end{array}$

$A(S_{x_2,1}) = 0.69315 - \frac{2}{4} \times 0.69315 - \frac{2}{4} \times 0.69315 = 0$

\Rightarrow Gain (S, x_3)

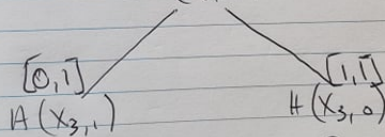
$$H(X_{1,1}) = \cancel{1} - \frac{1}{3} \ln\left(\frac{1}{3}\right) - \frac{2}{3} \ln\left(\frac{2}{3}\right) \\ = 0.6365...$$

$$\text{Gain}(X_{1,1}, X_2) = H(X_{1,1}) = 0.6365$$



$$\text{Gain}(X_{1,1}, X_2) = 0.6365 - \frac{2}{3} \times 0.6365 = 0 \\ = 0.39317...$$

$$H(X_{1,1}) = 0.6365$$



$$\text{Gain}(X_{1,1}, X_3) = 0.6365 - 0 - \frac{2}{3} \times 0.6365 \\ = 0.39317...$$

So it doesn't matter which one we pick
Pick X_2 .