

CS4533 Lecture 4

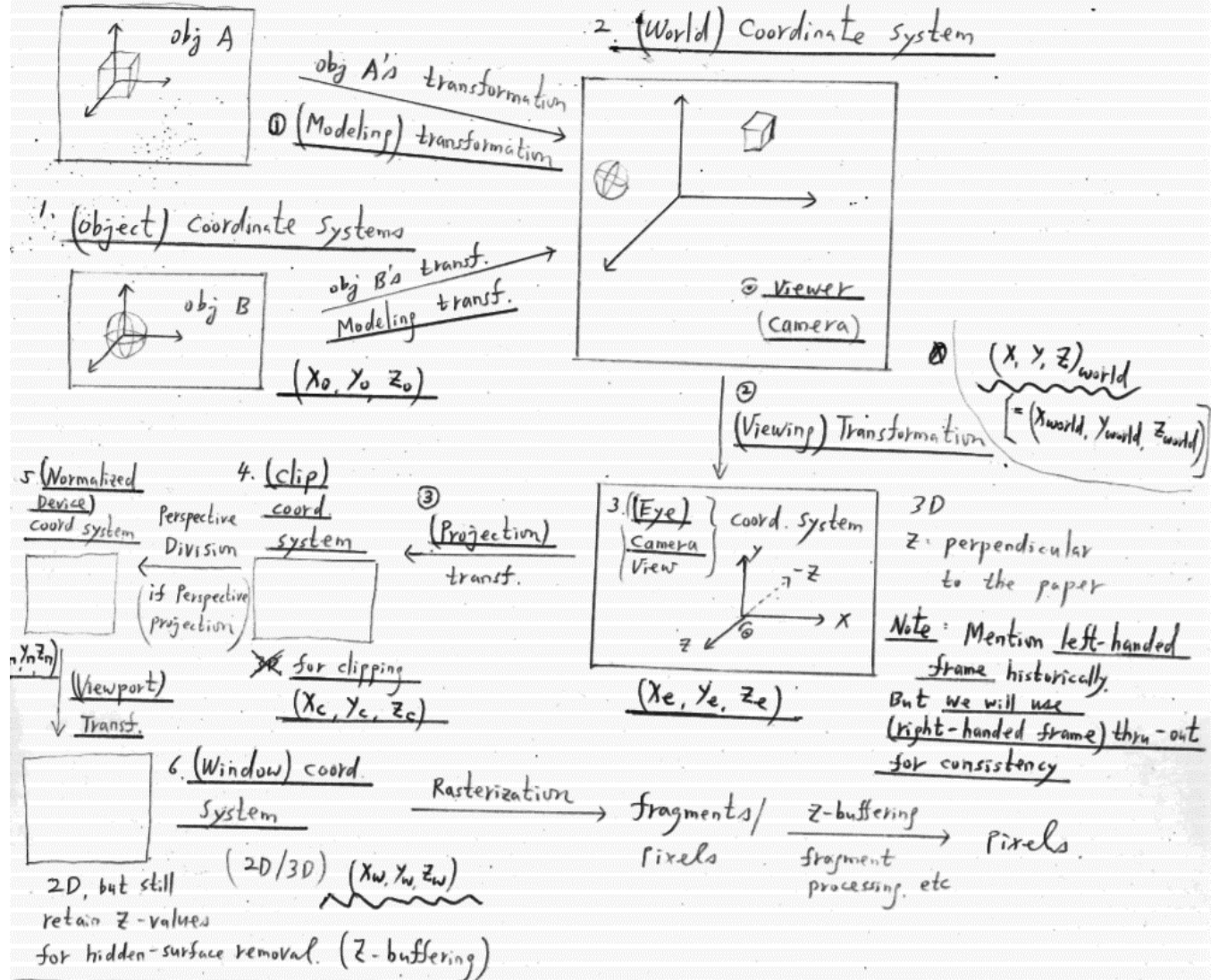
Slides/Notes

Viewing and Projection
(Ch 5, 10, 11, 12.1, Notes)

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Viewing & Projection

* Coordinate Systems & Transformations



cf. World coord: $(X, Y, Z)_{world} = (X_{world}, Y_{world}, Z_{world})$
Window: (X_w, Y_w, Z_w)

1. Note: Modeling Transt: Rotation, Translation, Scaling
Viewing: coord system change (using Translation & Rotation)
2. Projection: Different type ⇒ Use a separate (Projection matrix)

Same types ⇒ Combined into (model-view matrix)

Viewing & Projection Process : 2 Parts

1. Describe the position & orientation of camera.
Viewing) use model-view matrix to transform object, world frame \rightarrow $\left\{ \begin{array}{l} \text{(eye)} \\ \text{(camera)} \end{array} \right\}$ frame.

2. Decide type of projection : $\left\{ \begin{array}{l} \text{parallel} \\ \text{perspective} \end{array} \right\} \Rightarrow$ (projection) matrix
what part to image. clipping / view volume

* Concatenate projection matrix P with model-view matrix M

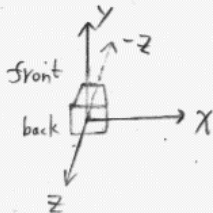
vertex \rightarrow model-view \rightarrow projection

$$g = (PM)P$$

Viewing (Part 1): Specifying the camera position & orientation.

then transform World frame \rightarrow Camera/Eye frame

Here: camera frame is a (right-handed) frame, with the camera/eye at the origin looking at the (-z) direction.



① Specify VRP - view reference point

ie camera/eye position

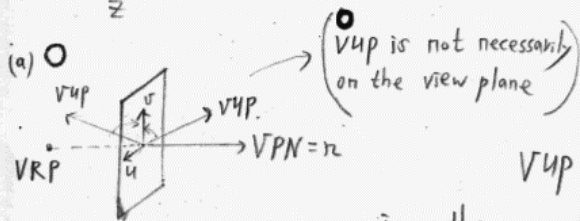
VPN - View Plane normal

ie normal vector to the {view projection} plane

vector of line of sight

Vup - view up vector (camera top direction)

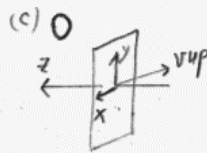
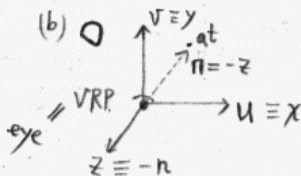
in the world frame



② Construct the camera frame: *Project Vup onto the projection plane to get V

* $n \times V = U$ to get U

* VRP as origin



③ Transform world frame \rightarrow camera frame

* Typically: use function LookAt (eye, at, VUP)

to obtain a 4x4 matrix M to transform

eye \rightarrow at
line of sight
 $= VPN = n = -z$

(eye, at, VUP
in (world frame))

world frame \rightarrow camera frame

right-handed

* Derivation of matrix M for LookAt():

(1) $\underline{z} = -n = \text{normalize}(\text{eye} - \text{at}) = (z_1, z_2, z_3)$ (from fig (b))

$\underline{x} = u = \text{normalize}(VUP \times z) = (x_1, x_2, x_3)$ (\leq fig (c))

$\underline{y} = v = \text{normalize}(z \times x) = (y_1, y_2, y_3)$ (\leq fig (c))

origin = eye = $(\text{eye}_1, \text{eye}_2, \text{eye}_3)$

* We need to (normalize) since we need $x \cdot x = y \cdot y = z \cdot z = 1$

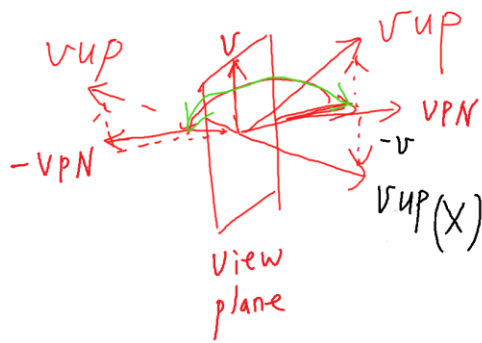
(2)

① Translate (-eye) = $\begin{bmatrix} 1 & 0 & 0 & -\text{eye}_1 \\ 0 & 1 & 0 & -\text{eye}_2 \\ 0 & 0 & 1 & -\text{eye}_3 \\ 0 & 0 & 0 & 1 \end{bmatrix}$

② Rotate:

$\begin{bmatrix} [x] & 0 \\ [y] & 0 \\ [z] & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} x_1 & x_2 & x_3 & 0 \\ y_1 & y_2 & y_3 & 0 \\ z_1 & z_2 & z_3 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = R$

$M = R \cdot \text{Translate}(-\text{eye})$



* Note: V_{up} can be anywhere in the direction range $\overleftarrow{V_{up}} \rightarrow V_{up}$ since in this range V_{up} projecting onto the view plane results in the $+V$ direction, which indicates the top of the camera

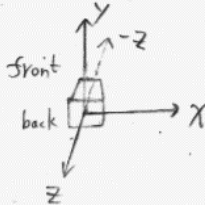
Any direction below $\overleftarrow{-VPN} \rightarrow VPN$ eg.

$\rightarrow V_{up}$ is wrong, since its projection onto the view plane is in the $-V$ direction.

Viewing (Part 1): Specifying the camera position & orientation.

then transform World frame \rightarrow Camera/Eye frame

Here: camera frame is a (right-handed) frame, with the camera/eye at the origin looking at the (-z) direction.

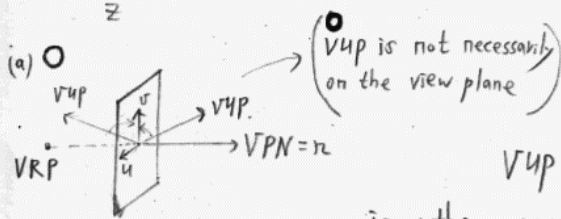


① Specify VRP - view reference point

ie camera/eye position

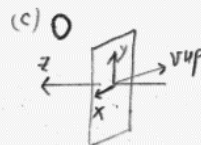
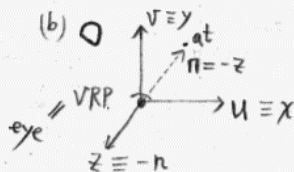
VPN - View Plane normal

is normal vector to the view projection plane
vector of line of sight



VUP - view up vector (camera top direction)
in the world frame for

② Construct the camera frame: * Project VUP onto the projection plane to get V



* $n \times V = U$ to get U
* VRP as origin

③ Transform world frame \rightarrow camera frame

* Typically: use function LookAt (eye, at, VUP)

to obtain a 4x4 matrix M to transform world frame \rightarrow camera frame

eye \rightarrow at
line of sight
 $= VPN = n = -z$

right-handed

* Derivation of matrix M for LookAt():

(1) $\underline{z} = -n = \text{normalize}(\text{eye} - \text{at}) = (z_1, z_2, z_3)$ (from fig (b))

$\underline{x} = u = \text{normalize}(VUP \times \underline{z}) = (x_1, x_2, x_3)$ (\approx fig (c))

$\underline{y} = v = \text{normalize}(\underline{z} \times \underline{x}) = (y_1, y_2, y_3)$ (\approx fig (c))

origin = eye = $(\text{eye}_1, \text{eye}_2, \text{eye}_3)$

* We need to normalize since we need $\underline{x} \cdot \underline{x} = \underline{y} \cdot \underline{y} = \underline{z} \cdot \underline{z} = 1$

(2) ① Translate (-eye) = $\begin{bmatrix} 1 & 0 & 0 & -\text{eye}_1 \\ 0 & 1 & 0 & -\text{eye}_2 \\ 0 & 0 & 1 & -\text{eye}_3 \\ 0 & 0 & 0 & 1 \end{bmatrix}$

② Rotate: $\begin{bmatrix} x_1 & x_2 & x_3 & 0 \\ y_1 & y_2 & y_3 & 0 \\ z_1 & z_2 & z_3 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = R$

$M = R \cdot \text{Translate}(-\text{eye})$

Verification for $M [eye]_{world} = (origin)_{eye}$?

$$\begin{aligned}
 M &= R \cdot \text{Translate}(-eye) = R \cdot \begin{bmatrix} 1 & 0 & 0 & -eye_1 \\ 0 & 1 & 0 & -eye_2 \\ 0 & 0 & 1 & -eye_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \\
 M \cdot (eye)_{world} &= M \cdot \begin{bmatrix} eye_1 \\ eye_2 \\ eye_3 \\ 1 \end{bmatrix} = R \cdot \begin{bmatrix} 1 & 0 & 0 & -eye_1 \\ 0 & 1 & 0 & -eye_2 \\ 0 & 0 & 1 & -eye_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} eye_1 \\ eye_2 \\ eye_3 \\ 1 \end{bmatrix} = R \cdot \begin{bmatrix} \cancel{eye_1} + 0 + 0 + \cancel{(-eye_1) \cdot 1} \\ 0 + \cancel{eye_2} + 0 - \cancel{eye_2} \\ 0 + 0 + \cancel{eye_3} - \cancel{eye_3} \\ 0 + 0 + 0 + 1 \end{bmatrix} \\
 &= R \cdot \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \quad \begin{array}{l} \text{* origin is the fixed pt of rotation.} \\ \text{So } R \cdot (origin) \text{ does NOT change the origin.} \end{array} \\
 \underbrace{\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}}_{origin} &= \underbrace{\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}}_{origin} = (origin)_{eye} \checkmark
 \end{aligned}$$

* Verification

$$\textcircled{1} M \cdot \begin{bmatrix} \text{eye}_1 \\ \text{eye}_2 \\ \text{eye}_3 \\ 1 \end{bmatrix}_{\text{world}} = (\text{origin})_{\text{eye}} ? \quad M \cdot \begin{bmatrix} \text{eye}_1 \\ \text{eye}_2 \\ \text{eye}_3 \\ 1 \end{bmatrix} = R \cdot \begin{bmatrix} \text{eye}_1 + 0 + 0 - \text{eye}_1 \\ 0 + \text{eye}_2 + 0 - \text{eye}_2 \\ 0 + 0 + \text{eye}_3 - \text{eye}_3 \\ 1 \end{bmatrix} = R \cdot \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \text{origin} \checkmark$$

$$\textcircled{2} M \cdot \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}_{\text{world}} = (e_1)_{\text{eye}} ? \quad M \cdot \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix} = \begin{bmatrix} [x]_0 \\ [y]_0 \\ [z]_0 \\ 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix} \quad \left(\because \text{Translate}(-\text{eye}) \text{ has no effect on vector } x \right)$$

$$= \begin{bmatrix} x \cdot x + 0 \\ y \cdot x + 0 \\ z \cdot x + 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = e_1 \checkmark$$

$$\text{Similarly, } M \cdot \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}_{\text{world}} = \begin{bmatrix} x \cdot y + 0 \\ y \cdot y + 0 \\ z \cdot y + 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} = (e_2)_{\text{eye}} \checkmark$$

$$M \cdot \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}_{\text{world}} = \begin{bmatrix} x \cdot z + 0 \\ y \cdot z + 0 \\ z \cdot z + 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} = (e_3)_{\text{eye}} \checkmark$$

Note* $M = (M^{-1})^{-1}$

$$M^{-1} = [R \cdot \text{Translate}(-\text{eye})]^{-1} = (\text{Translate}(-\text{eye}))^{-1} \cdot R^{-1} = \text{Translate}(\text{eye}) \cdot R^T = \text{Translate}(\text{eye}) \begin{bmatrix} [x] & [y] & [z] & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 & \text{eye}_1 \\ 0 & 1 & 0 & \text{eye}_2 \\ 0 & 0 & 1 & \text{eye}_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 & y_1 & z_1 & 0 \\ x_2 & y_2 & z_2 & 0 \\ x_3 & y_3 & z_3 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} x_1 & y_1 & z_1 & \text{eye}_1 \\ x_2 & y_2 & z_2 & \text{eye}_2 \\ x_3 & y_3 & z_3 & \text{eye}_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} [x] & [y] & [z] & [\text{eye}] \\ 0 & 0 & 0 & 1 \end{bmatrix} = A$$

$M = A^{-1}$ (This is the method of Textbook Sec 5.2.3 Look at Note that the matrix given there is just A But final M is $M = A^{-1}$)

* Verification

$$\textcircled{1} M^{-1} (\text{origin})_{\text{eye}} = M^{-1} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} \text{eye}_1 \\ \text{eye}_2 \\ \text{eye}_3 \\ 1 \end{bmatrix} = (\text{eye})_{\text{world}} \checkmark$$

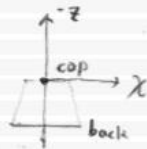
$$\textcircled{2} M^{-1} \cdot (e_1)_{\text{eye}} = M^{-1} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ 0 \end{bmatrix} = (\text{vector } x)_{\text{world}} \checkmark$$

$$\textcircled{3} M^{-1} \cdot (e_2)_{\text{eye}} = M^{-1} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ 0 \end{bmatrix} = (\text{vector } y)_{\text{world}} \checkmark$$

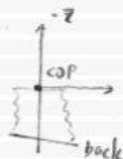
$$\textcircled{4} M^{-1} \cdot (e_3)_{\text{eye}} = M^{-1} \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ 0 \end{bmatrix} = (\text{vector } z)_{\text{world}} \checkmark$$

Projection (Part 2)

perspective
parallel



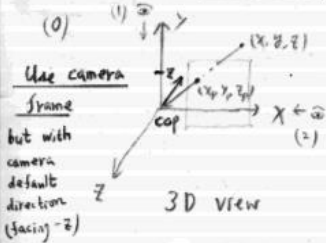
back of camera
⊥ z axis



general case (oblique)

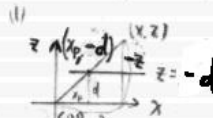
Overview of Plan:
(1) Derive resulting pt q of orig. pt p by def. of projection
(2) Derive projection matrix M : $q = Mp$

Simple perspective projection: (back ⊥ z axis)



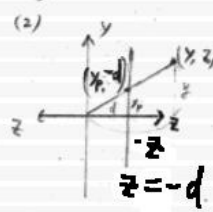
$$z_p = -d, z < 0$$

(d > 0)



$$\frac{x}{-z} = \frac{x_p}{d}$$

$$\Rightarrow x_p = \frac{x \cdot d}{-z}$$



$$\frac{y}{-z} = \frac{y_p}{d}$$

$$\Rightarrow y_p = \frac{y \cdot d}{-z}$$

$$p = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$M = \begin{bmatrix} d & 0 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & 0 & d & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix}$$

$$\Rightarrow q = Mp = \begin{bmatrix} xd \\ yd \\ zd \\ -z \end{bmatrix}$$

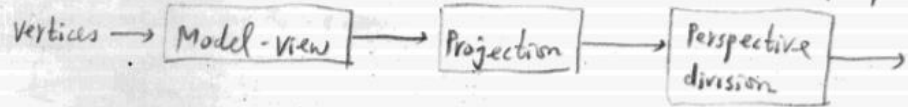
projection matrix
for simple perspective projection

$$\begin{bmatrix} x \cdot d \\ y \cdot d \\ z \cdot d \\ -z \end{bmatrix} \equiv \begin{bmatrix} xd \\ yd \\ zd \\ -z \end{bmatrix} = \begin{bmatrix} d & 0 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & 0 & d & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

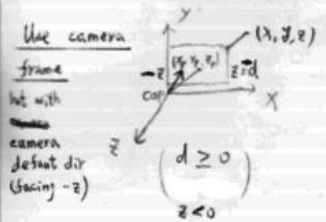
$$q = \begin{bmatrix} xd \\ yd \\ zd \\ -z \end{bmatrix} = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

perspective division

Remark:
Homogeneous coord. system if $w \neq 0$
 $\begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix} \equiv \begin{bmatrix} x/w \\ y/w \\ z/w \\ 1 \end{bmatrix}$
equivalent representation but different computational cost
→ perspective division



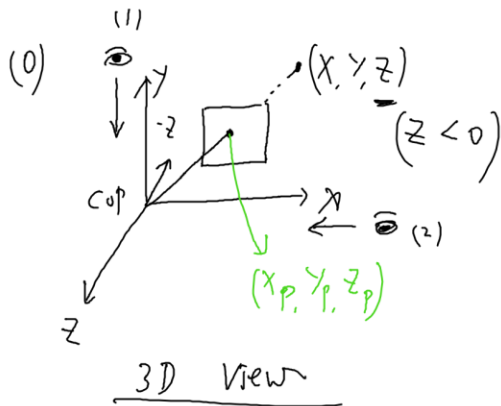
Simple Orthogonal Projection: (back ⊥ z axis)



$$\begin{bmatrix} x_p \\ y_p \\ z_p \end{bmatrix} = \begin{bmatrix} x \\ y \\ -d \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & d \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

(value of d does not matter as long as $d \neq 0$)

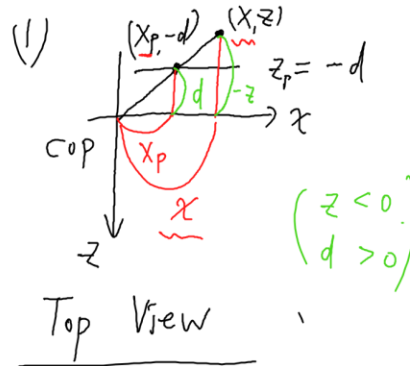
q M P



View plane: $z_p = -d$ ($d > 0$)

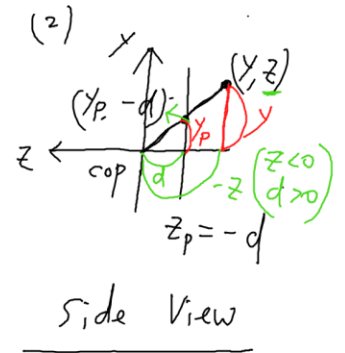
$z < 0$

$$(x_p, y_p, z_p) = \left(\frac{x \cdot d}{-z}, \frac{y \cdot d}{-z}, -d \right)$$



$$\frac{x_p}{x} = \frac{d}{-z}$$

$$\Rightarrow x_p = \frac{x \cdot d}{-z}$$



$$\frac{y_p}{y} = \frac{d}{-z}$$

$$\Rightarrow y_p = \frac{y \cdot d}{-z}$$

Now Express Perspective Projection by Matrix Multiplication:

$$\begin{bmatrix} \frac{x \cdot d}{-z} \\ \frac{y \cdot d}{-z} \\ -d \\ 1 \end{bmatrix} = \begin{bmatrix} A & B & C & D \\ \hline & & & \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$\frac{x \cdot d}{-z} = Ax + By + Cz + D$$

We want: A, B, C, D be constants independent of x, y, z .

\Rightarrow NOT possible!!

Key Idea: Use Homogeneous Coord. System.

If $w \neq 0$:

$$\begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix} \xrightarrow{\text{Perspective Division}} \begin{bmatrix} x/w \\ y/w \\ z/w \\ 1 \end{bmatrix}$$

$w \neq 1$: pt. with coord. $(x/w, y/w, z/w)$

$$\begin{aligned}
 \begin{bmatrix} z \\ \frac{x \cdot d}{-z} \\ \frac{y \cdot d}{-z} \\ -d \\ 1 \end{bmatrix} &= \begin{bmatrix} \frac{x \cdot d}{(-z)} \cdot (-z) \\ \frac{y \cdot d}{(-z)} \cdot (-z) \\ -d \cdot (-z) \\ 1 \cdot (-z) \end{bmatrix} = \begin{bmatrix} \frac{x \cdot d}{-z} \\ y \cdot d \\ z \cdot d \\ -z \end{bmatrix} = \begin{bmatrix} d & 0 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & 0 & d & 0 \\ 0 & 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \\
 & \quad \text{Perspective Division} \quad \quad \quad \underbrace{\quad}_{M} \quad \quad \quad \underbrace{\quad}_{P}
 \end{aligned}$$

* For any point p :

- ① $M \cdot p$
- ② Perform Perspective Division to get the projection point q .

Next, we look at Orthogonal Projection:

Simple Orthogonal Projection (back \perp z axis)

Use camera frame but with camera default dir (using $-z$)

$(d \geq 0)$
 $(z < 0)$

$\begin{pmatrix} x_p = x \\ y_p = y \\ z_p = -d \end{pmatrix} \Rightarrow \begin{bmatrix} x \\ y \\ -d \\ 1 \end{bmatrix} = \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & d \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$ (value of d does not matter as long as $d \geq 0$)

$q \quad M \quad P$

Note: There is **no division** involved.

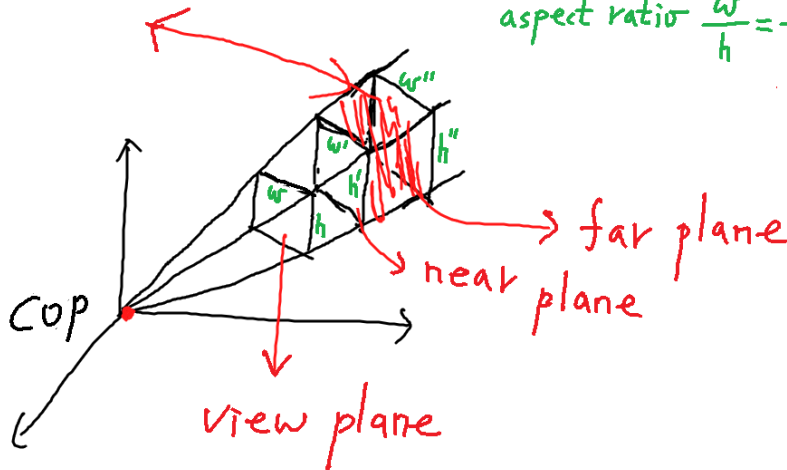
(Therefore, we call the division in the perspective projection **perspective division**.)

In Perspective Projection:

View { Volume
Frustum

Clipping op:
* objects outside the view
volume are clipped out.

$$\text{aspect ratio } \frac{w}{h} = \frac{w'}{h'} = \frac{w''}{h''}$$



→ Perspective (') camera frame

② `gluPerspective(fovy, aspect, near, far)`

Easier to use than `glFrustum()`

field of view degree $\in [0^\circ, 180^\circ]$

again must be > 0 (view from cop)

Note: cop is in the center of graphics window & view volume is symmetric

Recover the same info as in `glFrustum()`:

field of view: (side view)

Diagram showing a side view of the frustum with height h and width w at distance D from the cop. $\tan \frac{\theta}{2} = \frac{h/2}{D}$ and $\tan \frac{\theta}{2} = \frac{w/2}{D}$ are shown.

Diagram showing a 3D view of the frustum with width w and height h at distance D from the cop. $\text{aspect} = \frac{w}{h}$ is noted.

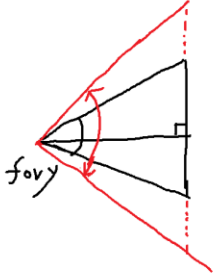
③ After specifying obj and cop in the world, D is fixed (also, window is fixed) \Rightarrow more objects are in the scene objects appear smaller if zoom out.

$\theta \downarrow$: zoom in

Q: Where is the view plane?
A: In theory, we need the distance from cop to the view plane i.e. $z_p = d > 0$ or $R3$ (In the projection matrix M , d is used in M) But in OpenGL, d is implemented as a default value and we don't / can't change it (actually no need to change it).

In OpenGL, View plane = near clipping plane.

Perspective (fovy, aspect, near, far)



When fovy increases, more objects enter the fixed-sized graphics window.

\Rightarrow objects appear smaller

\Rightarrow zoom out effect.

Similarly, when fovy \downarrow \Rightarrow objects appear bigger

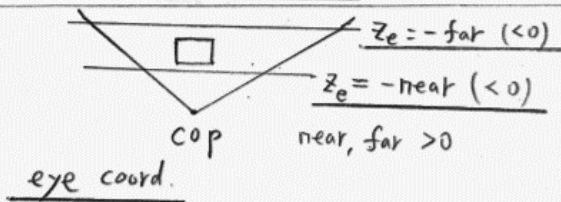
\Rightarrow zoom in effect.

Perspective Normalization: Transform (distort) the entire scene so that

except that the aspect ratio of the view plane becomes 1
(It will be (restored) later in (viewport) transformation.)

the view volume is (axis-parallel) and the "same" perspective projection result is still kept

\Rightarrow (clipping) becomes very simple



near, far > 0

