

OVERALGEBRAS: EXPANSIONS AND EXTENSIONS OF FINITE ALGEBRAS

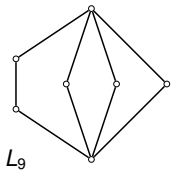
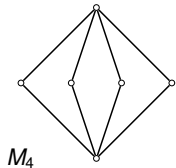
William DeMeo
williamdemeo@gmail.com

Iowa State University
Algebra & Combinatorics Seminar

22 Feb 2016

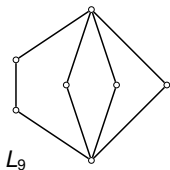
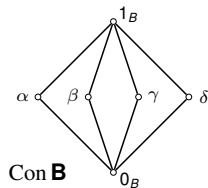
These slides and other resources are available at
<https://github.com/williamdemeo/Talks>

CONSTRUCTION OF AN ALGEBRA **A** WITH $\text{Con } \mathbf{A} \cong L_9$.



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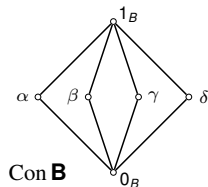
STEP 1 Take a permutational algebra $\mathbf{B} = \langle B, F \rangle$ with congruence lattice $\text{Con } \mathbf{B} \cong M_4$.



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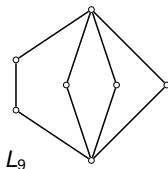
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Example:



- Let $B = \{0, 1, \dots, 5\}$ index the elements of S_3 and consider the right regular action of S_3 on itself.
- $g_0 = (0, 4)(1, 3)(2, 5)$ and $g_1 = (0, 1, 2)(3, 4, 5)$ generate this action group, the image of $S_3 \hookrightarrow S_6$.
- $\text{Con } \langle B, \{g_0, g_1\} \rangle \cong M_4$ with congruences

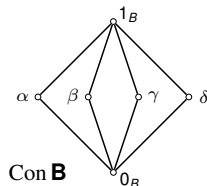
$$\alpha = |012|345|, \beta = |03|14|25|, \gamma = |04|15|23|, \delta = |05|13|24|.$$



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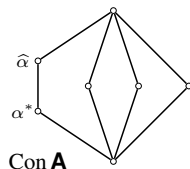
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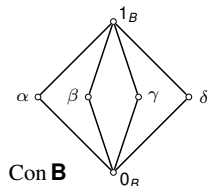
Goal: expand \mathbf{B} to an algebra \mathbf{A} that has α “doubled” in $\text{Con } \mathbf{A}$.



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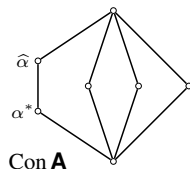
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STEP 2 Since $\alpha = \text{Cg}^B(0, 2)$, we let $A = B_0 \cup B_1 \cup B_2$ where

$$B_0 = \{0, 1, 2, 3, 4, 5\} = B$$

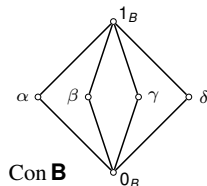
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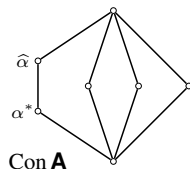
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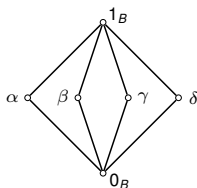
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STEP 3 Define unary operations $e_0, e_1, e_2, s, g_0 e_0$, and $g_1 e_0$.

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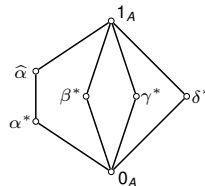
$\text{Con } \langle B, \{g_0, g_1\} \rangle$

$$\alpha = |0, 1, 2|3, 4, 5|$$

$$\beta = |0, 3|1, 4|2, 5|$$

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$\text{Con } \langle A, F_A \rangle$

$$\hat{\alpha} = |0, 1, 2, 6, 7, 11, 12|3, 4, 5|8, 9, 10, 13, 14, 15|$$

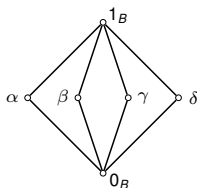
$$\alpha^* = |0, 1, 2, 6, 7, 11, 12|3, 4, 5|8, 9, 10|13, 14, 15|$$

$$\beta^* = |0, 3, 8|1, 4|2, 5, 15|6, 9|7, 10|11, 13|12, 14|$$

$$\gamma^* = |0, 4, 9|1, 5|2, 3, 13|6, 10|7, 8|11, 14|12, 15|$$

$$\delta^* = |0, 5, 10|1, 3|2, 4, 14|6, 8|7, 9, 11, 15|12, 13|$$

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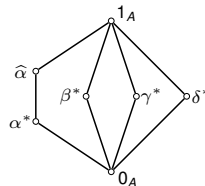
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$$\beta^* = |0, 3, 8|1, 4|2, 5, 15|6, 9|7, 10|11, 13|12, 14|$$

$$\gamma^* = |0, 4, 9|1, 5|2, 3, 13|6, 10|7, 8|11, 14|12, 15|$$

$$\delta^* = |0, 5, 10|1, 3|2, 4, 14|6, 8|7, 9, 11, 15|12, 13|$$

$$\alpha = \alpha^* \cap B^2 = \hat{\alpha} \cap B^2, \quad \beta = \beta^* \cap B^2, \quad \dots$$

EXTENSION & EXPANSION

$$\text{Con} \langle B, \{g_0, g_1\} \rangle$$

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0	1	2
3	4	5

B_0

EXTENSION & EXPANSION

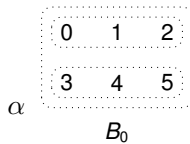
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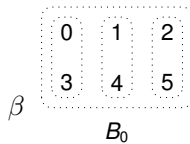
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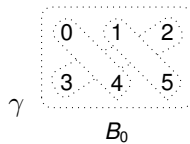
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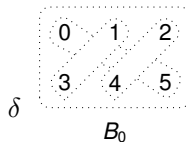
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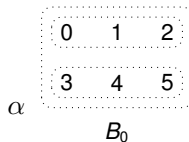
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$$B_0 = \{ 0 \quad 1 \quad 2 \quad 3 \quad 4 \quad 5 \}$$

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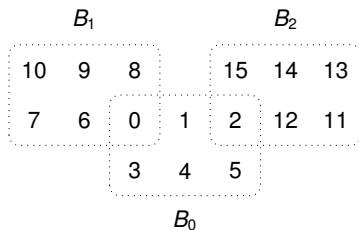
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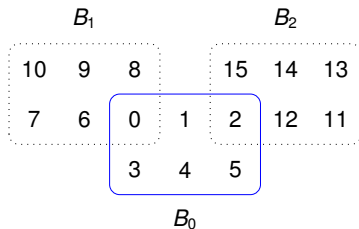
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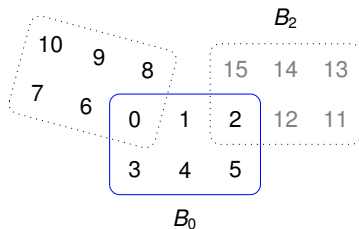
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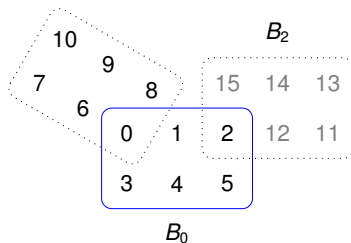
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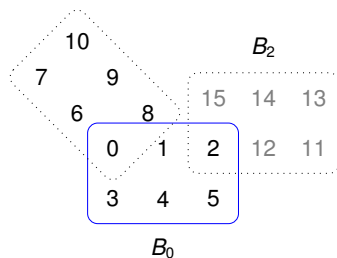
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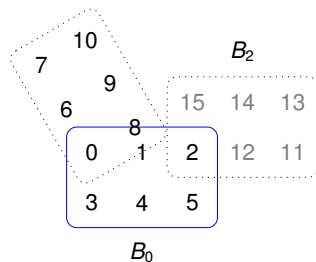
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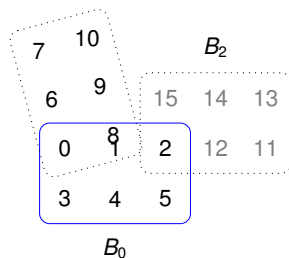
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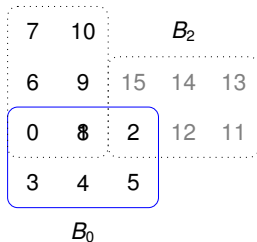
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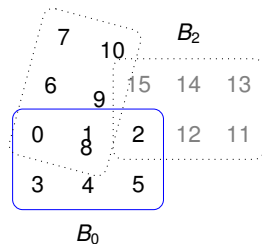
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EXTENSION & EXPANSION

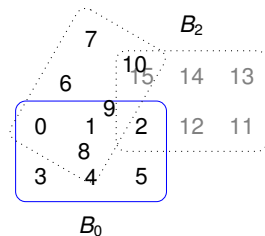
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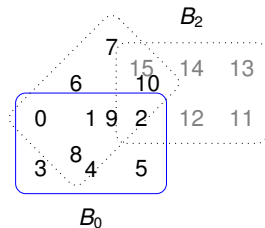
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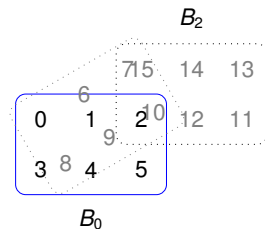
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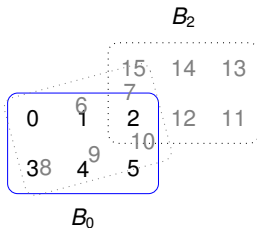
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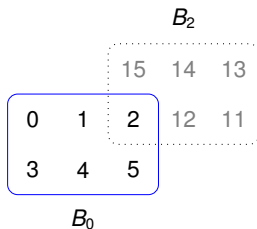
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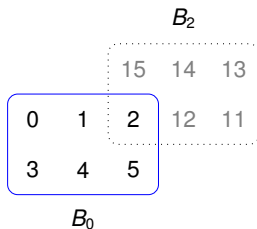
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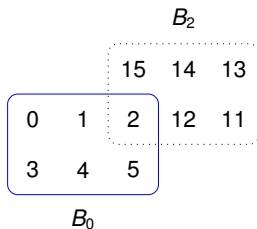
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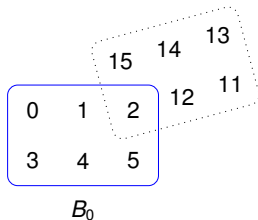
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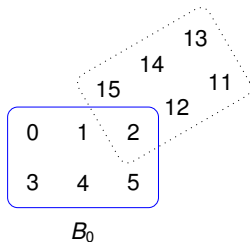
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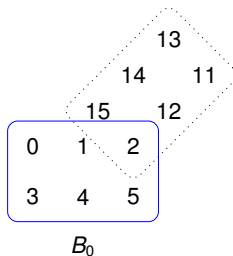
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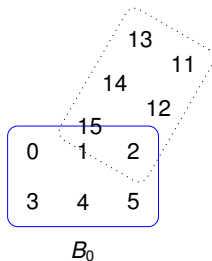
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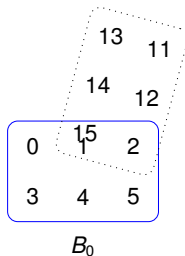
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	14	12
0	15	2
3	4	5

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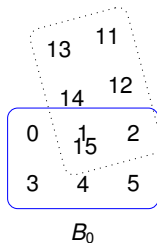
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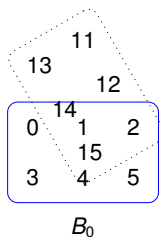
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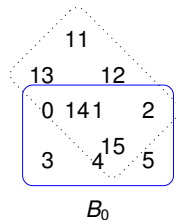
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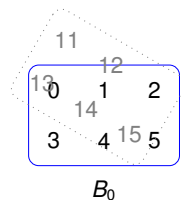
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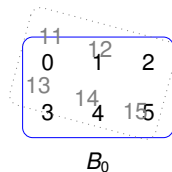
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EXTENSION & EXPANSION

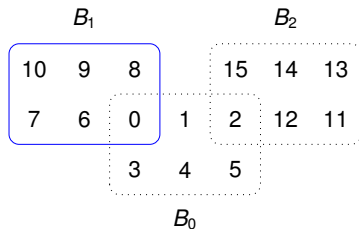
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- $A = B_0 \cup B_1 \cup B_2$
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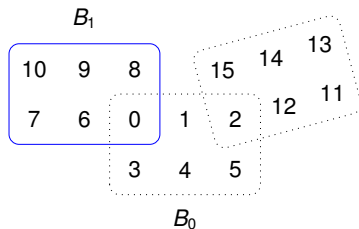
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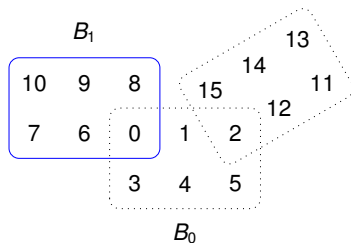
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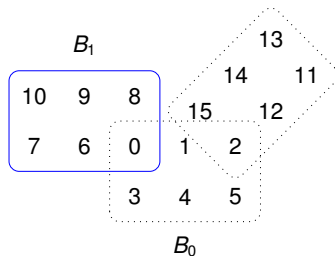
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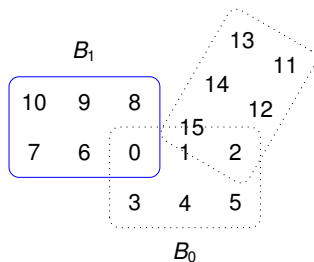
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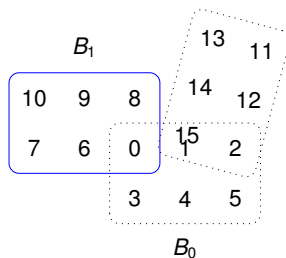
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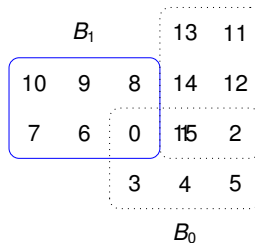
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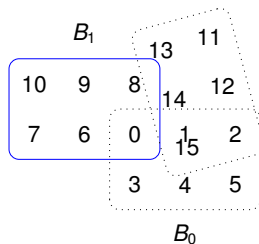
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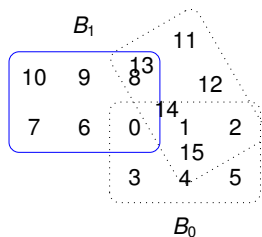
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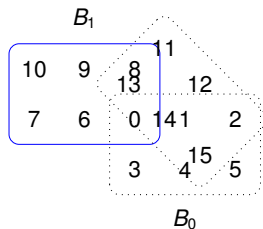
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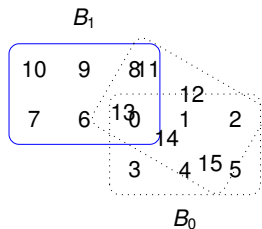
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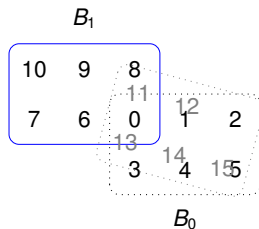
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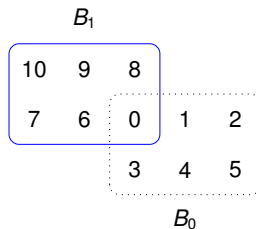
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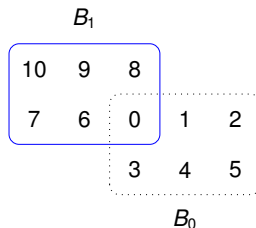
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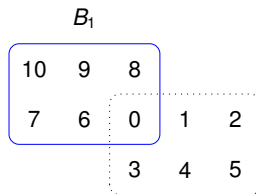
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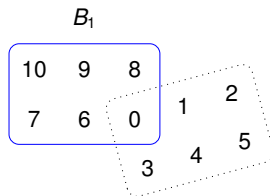
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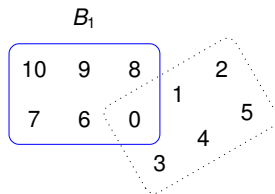
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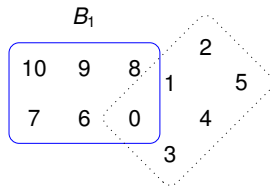
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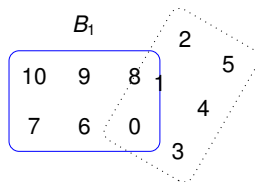
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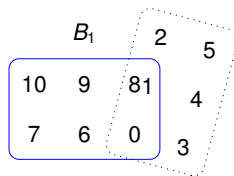
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	B_1	2	5
10	9	8	4
7	6	0	3

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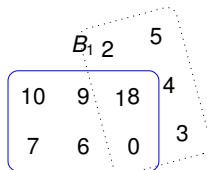
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- $A = B_0 \cup B_1 \cup B_2$

- Unary operations

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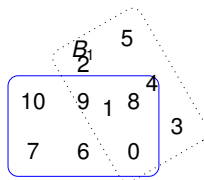
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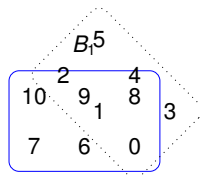
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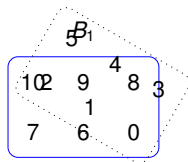
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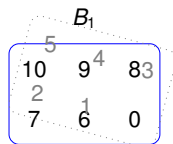
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B_1

10	9	8
7	6	0

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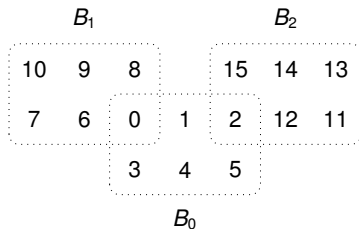
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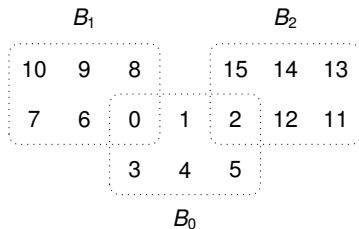
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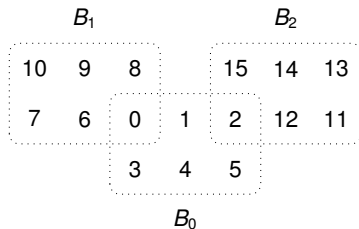
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WHY DOES IT WORK?

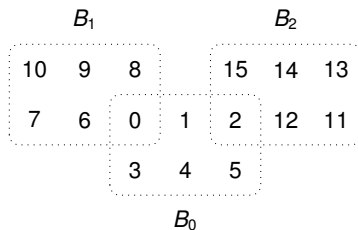
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$$\text{Con} \langle A, F_A \rangle$$

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$$\alpha^* = |0, 1, 2, 6, 7, 11, 12|3, 4, 5|8, 9, 10|13, 14, 15|$$

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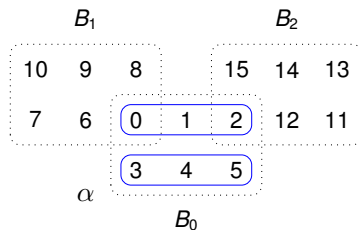
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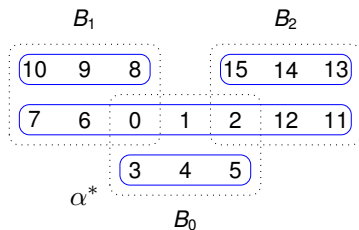
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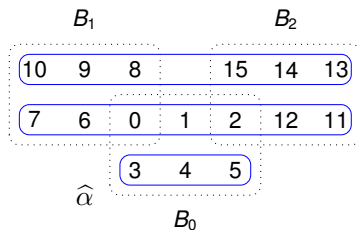
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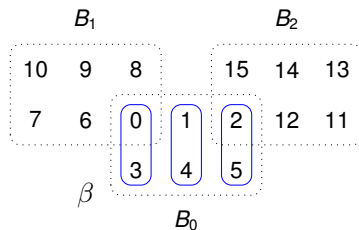
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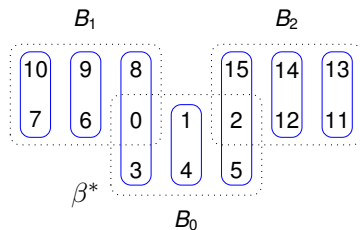
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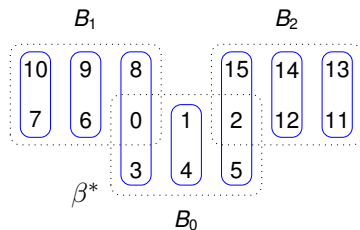
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Why don't the β classes of B_1 and B_2 mix?

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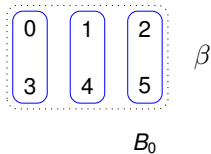
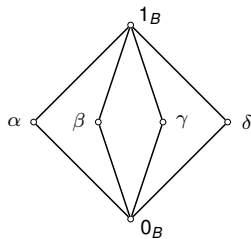
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VARIATIONS ON THE SAME EXAMPLE...

- Suppose we want $\beta = \text{Cg}^{\mathbf{B}}(0, 3) = |0, 3|2, 5|1, 4|$ to have non-trivial inverse image $\beta|_B^{-1} = [\beta^*, \widehat{\beta}]$.



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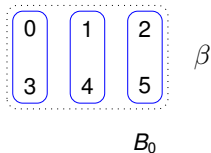
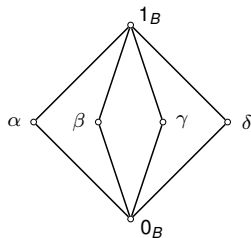
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- Select elements 0 and 3 as intersection points:

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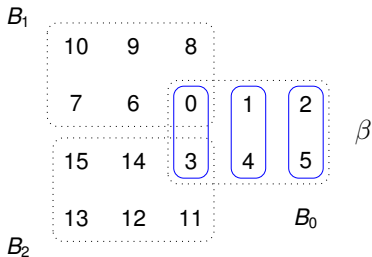
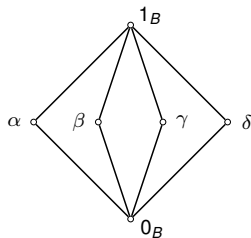
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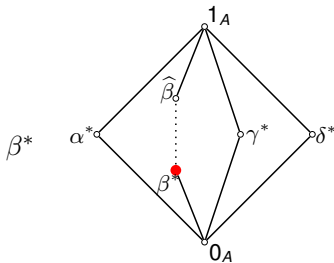
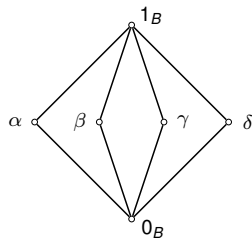
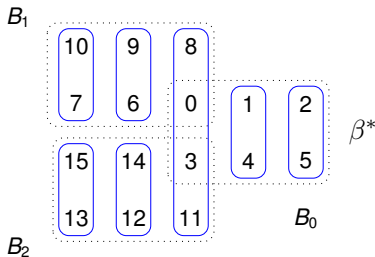
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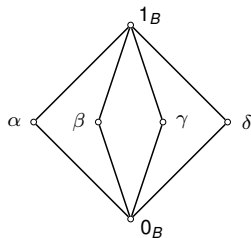
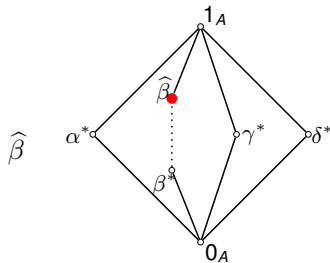
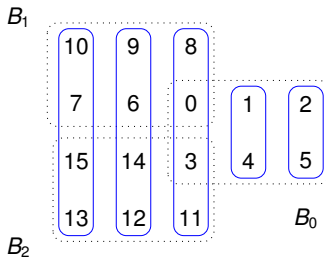
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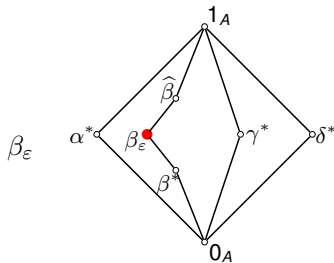
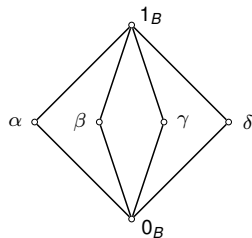
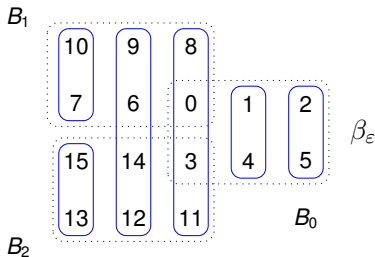
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- Select elements 0 and 3 as intersection points:

$$A = B_0 \cup B_1 \cup B_2 \quad \text{where}$$

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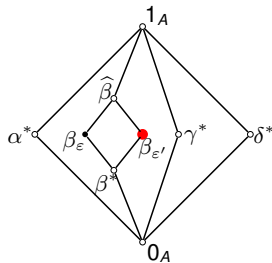
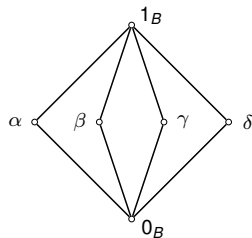
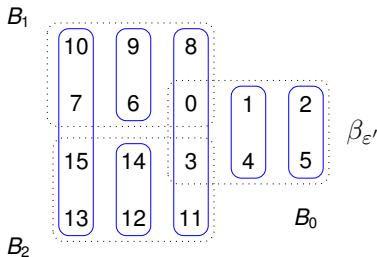
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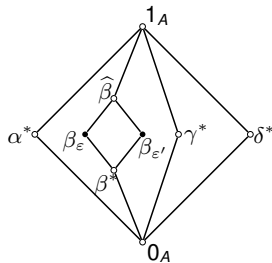
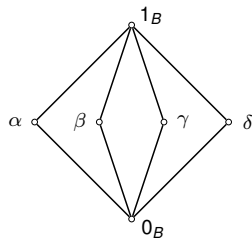
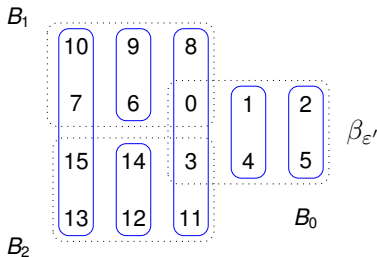
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THE P^5 LEMMA

LEMMA (PÁLFY AND PUDLÁK)

Let $\mathbf{A} = \langle A, F \rangle$ be a unary algebra with $e^2 = e \in F$.

Define $\mathbf{B} = \langle B, G \rangle$ with

$$B = e(A) \quad \text{and} \quad G = \{ef|_B : f \in F\}.$$

Then

$$\text{Con } \mathbf{A} \ni \theta \mapsto \theta \cap B^2 \in \text{Con } \mathbf{B}$$

is a lattice epimorphism.

THE P^5 LEMMA

LEMMA (PÁLFY-PUDLÁK, 1980)

Let $\mathbf{A} = \langle A, F \rangle$ be a unary algebra where F is a monoid.

Suppose $e \in F$ satisfies $e \circ e = e$.

Define $\mathbf{B} = \langle B, G \rangle$

$$B = e(A) \quad \text{and} \quad G = \{ef|_B \mid f \in F\}.$$

Let $|_B : \text{Con}(\mathbf{A}) \rightarrow \text{Con}(\mathbf{B})$ be the restriction mapping:

$$\theta|_B = \theta \cap B^2$$

Then $|_B$ is a surjective homomorphism (even for arbitrary meets and joins).



Péter Pál Pálfi and Pavel Pudlák: *Congruence lattices of finite algebras and intervals in subgroup lattices of finite groups.*

Algebra Universalis **11**(1), 22–27 (1980).

<http://dx.doi.org/10.1007/BF02483080>

STAR MAP AND HAT MAP

STAR MAP $^* : \text{Con } \mathbf{B} \rightarrow \text{Con } \mathbf{A}$ is the congruence generation operator restricted to the set $\text{Con } \mathbf{B}$:

$$\beta^* = \text{Cg}^{\mathbf{A}}(\beta) \quad (\forall \beta \in \text{Con } \mathbf{B})$$

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HAT MAP $\hat{} : \text{Con } \mathbf{B} \rightarrow \text{Con } \mathbf{A}$ is

$$\hat{\beta} = \{(x, y) \in A^2 \mid (ef(x), ef(y)) \in \beta \text{ for all } f \in \text{Pol}_1(\mathbf{A})\}.$$

(Used by McKenzie (1982) in an alternative proof of the P^5 Lemma.)



Ralph McKenzie: *Finite forbidden lattices*.

In: Universal algebra and lattice theory (Puebla, 1982),
Lecture Notes in Math., vol. 1004, pp. 176–205. Springer, Berlin (1983).

<http://dx.doi.org/10.1007/BFb0063438>

RESIDUATION LEMMA

A little lemma relating the three maps * , $|_B$ and $\hat{}$.

LEMMA

- (I) $^* : \text{Con } \mathbf{B} \rightarrow \text{Con } \mathbf{A}$ is a **residuated mapping** with **residual** $|_B$.
- (II) $|_B : \text{Con } \mathbf{A} \rightarrow \text{Con } \mathbf{B}$ is a **residuated mapping** with **residual** $\hat{}$.
- (III) For all $\alpha \in \text{Con } \mathbf{A}$, $\beta \in \text{Con } \mathbf{B}$,

$$\beta = \alpha|_B \quad \Leftrightarrow \quad \beta^* \leq \alpha \leq \hat{\beta}.$$

In particular, $\beta^*|_B = \beta = \hat{\beta}|_B$.

ADJUNCTION LEMMA

New version (of the little lemma):

$$* \dashv \mid_B \dashv \widehat{}$$

LEMMA

- (I) $*$: $\text{Con } \mathbf{B} \rightarrow \text{Con } \mathbf{A}$ is **left adjoint** to \mid_B .
- (II) \mid_B : $\text{Con } \mathbf{A} \rightarrow \text{Con } \mathbf{B}$ is **left adjoint** to $\widehat{}$.
- (III) For all $\alpha \in \text{Con } \mathbf{A}$, $\beta \in \text{Con } \mathbf{B}$,

$$\beta = \alpha|_B \iff \beta^* \leq \alpha \leq \widehat{\beta}.$$

In particular, $\beta^*|_B = \beta = \widehat{\beta}|_B$.

PROOF OF THE P^5 LEMMA

LEMMA (PÁLFY-PUDLÁK, 1980)

The restriction mapping

$$\text{Con } \mathbf{A} \ni \alpha \mapsto \alpha|_B = \alpha \cap B^2 \in \text{Con } \mathbf{B}$$

is a complete lattice epimorphism.

PROOF.

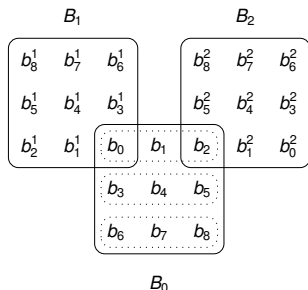
Recall, for $f : X \rightarrow Y$ a monotone function on preorders X, Y , if f has a right (left) adjoint, then f preserves all joins (meets) existing in X .

By the little lemma $|_B$ has both a left and right adjoint.



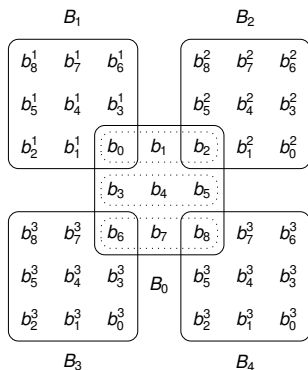
THE STRUCTURE OF THE INTERVAL $[\beta^*, \hat{\beta}] \leq \mathbf{Con A}$.

- If $\beta \in \mathbf{Con B}$ is a coatom of $\mathbf{Con B}$ with m congruence classes then the interval $[\beta^*, \hat{\beta}]$ in $\mathbf{Con A}$ is 2^{m-1} .



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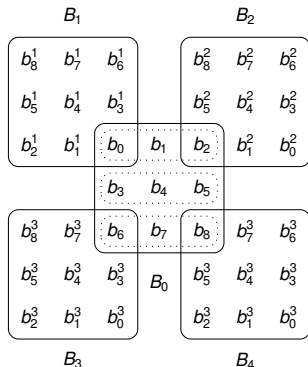
More generally...

- Suppose $\beta \in \mathbf{Con B}$ has transversal $b_{\beta(1)}, \dots, b_{\beta(m)}$.
- Denote by T_r the set of intersection points in the r -th block of β :

$$T_r = \bigcup_{k=1}^K B_k \cap b_{\beta(r)}/\beta.$$

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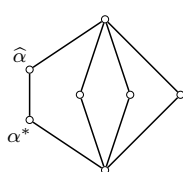
$$T_r = \bigcup_{k=1}^K B_k \cap b_{\beta(r)}/\beta.$$

$$\text{Then } [\beta^*, \widehat{\beta}] = \{\theta \in \text{Eq}(A) : \beta^* \subseteq \theta \subseteq \widehat{\beta}\} \cong \prod_{r=1}^m (\text{Eq} | T_r|)^{m-1}.$$

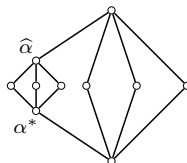
SLIGHTLY MORE GENERAL EXAMPLES...

Returning to our original example, the base algebra **B** is the right regular S_3 -set, and the nontrivial relations in $\text{Con } \mathbf{B}$ are

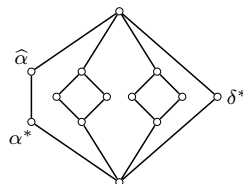
$$\alpha = |0, 1, 2|3, 4, 5| \quad \beta = |0, 3|1, 4|2, 5| \quad \gamma = |0, 4|1, 5|2, 3| \quad \delta = |0, 5|1, 3|2, 4|$$



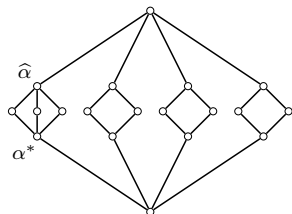
$$T = \{0, 1\}$$



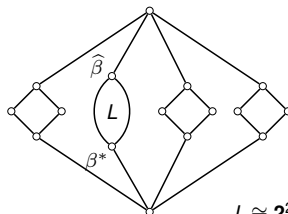
$$T = \{0, 1, 2\}$$



$$T = \{0, 2, 3\}$$



$$T = \{0, 1, 2, 3\}$$



$$T = \{0, 2, 3, 5\}$$

$$L \cong 2^2 \times 2^2$$

LIMITATIONS

Two limitations of the foregoing construction:

- 1 The sizes $|T_r|$ of the partition lattice factors in

$$[\beta^*, \hat{\beta}] \cong \prod_{r=1}^m (\text{Eq}|T_r|)^{m-1}$$

are limited by the size of the blocks of β .

- 2 If β is not principal, $[\theta^*, \hat{\theta}]$ may be non-trivial for some $\theta \not\leq \beta$.

A GENERALIZATION

THEOREM

Let $\mathbf{B} = \langle B, F \rangle$ be a finite algebra. Suppose

$$\beta = \text{Cg}^{\mathbf{B}}((a_1, b_1), \dots, (a_{K-1}, b_{K-1}))$$

has m blocks and fix $N < \infty$.

There exists an overalgebra $\langle A, F_A \rangle$ such that the interval $\beta|_B^{-1} \leq \text{Con } \mathbf{A}$ is

$$[\beta^*, \widehat{\beta}] \cong (\text{Eq}(N))^{m-1}.$$

Moreover, we can arrange it so that $\theta^* = \widehat{\theta}$ for all $\theta \not\geq \beta$ in $\text{Con } \mathbf{A}$.

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There exists an overalgebra $\langle \mathbf{A}, F_{\mathbf{A}} \rangle$ such that the interval $\beta|_B^{-1} \leq \text{Con } \mathbf{A}$ is

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