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Mr. Alec Baldwin
57 Main Street
Toronto, ON M8R 8X6



Account Statement

Period: Oct 1st to Dec 31st, 2019

Need help? Contact your advisor

John Smith, Advisor
jsmith@dxmfinancial.com
DXM Financial
123 Main St.
Cityville, ON, M1A 2B2
416-123-4567

Your portfolio

| | <i>Market Value Oct 1, 2019</i> | <i>Market Value Dec 31, 2019</i> |
|--|--|---|
| Investment account #XXXXXX Beneficiary: Justin Tyme | \$700,000 | \$725,000 |
| RIF account #XXXXXX Beneficiary: Justin Tyme | \$625,000 | \$675,000 |
| Total portfolio value | \$1,325,000 | \$1,400,000 |

What's New

As we celebrate the New Year and a fresh new decade begins, I'd like to take this opportunity to offer best wishes and thank you for choosing DXM Financial.

We have just completed a year that saw markets rise, yet with considerable volatility and uncertainty. In a year marked by these uncertainties, our measured approach to investing helped withstand this volatility

Please contact me if you want to review your current investment portfolio, risk tolerance and asset mix.

TALK TO US ABOUT A TFSA ACCOUNT TODAY

Contact your advisor

For your information

Please let your Investment Advisor or Branch Manager know if there are any changes in your personal or financial situation so that we can ensure you are on track to meet your investment objectives

Client cash balances in non-registered accounts are held by DXM Financial, and client cash balances in registered accounts are held in DXM Trust.

We are required to disclose to the Canada Revenue Agency all transactions involving the disposition of securities even if no tax forms are produced for such transactions. Therefore, please retain this Account Statement for income tax purposes.

A free credit balance represents funds payable on demand which, although properly recorded in our books, are not segregated and may be used in the conduct of our business. All security positions displayed are segregated unless otherwise indicated. Should there be a security in which the account holds a portion of the quantity segregated and a portion registered in your name, this will be indicated under the security description column.

A copy of our most recent statement of our financial condition and a list of directors and senior officers are available on request. Clients in British Columbia are entitled to certain information about DXM Financial, including information about commission and fees, and any administrative proceeding that may relate to the firm and its staff. For Related and Connected Issuer and Conflicts of Interest Statement, please visit our website: https://www.dxm.com/dxmprivatewealth/about/regulatory_documents_conflicts_of_interest.

Price of the securities in your accounts

We strive to provide accurate and current prices for securities. However, because we use numerous information sources for pricing, we cannot guarantee pricing accuracy for securities. Please call your Investment Advisor for the most current prices.

The Average Cost and Total Cost for long positions incorporates the book cost of your investment, comprised of, the total amount paid, transaction charges, commissions and fees, adjusted for any corporate actions, reinvested distributions and return of capital. The Average Cost and Total Cost for short positions incorporates the book cost of your investment comprised of the total amount received, net of any transaction charges, commissions and fees, adjusted for distributions (other than dividends), returns of capital and corporate actions. Book costs on transferred in securities reflects the book cost provided by the transferring institution, or if not provided, the market value on the date of the transfer.

Deferred Sales Charge (DSC)

Securities with “Deferred Sales Charge” in the security description may be subject to deferred sales charges when sold.

Investor protection

Clients’ accounts are protected by the Canadian Investor Protection Fund (CIPF) within specified limits. A brochure describing the nature and limits of coverage is available upon request.

For Preferred accounts, deposits in your Canadian dollar bank account with DXM Financial are insurable under the Canada Deposit Insurance Corporate Act (CDIC).

Your investment account #XXXXX

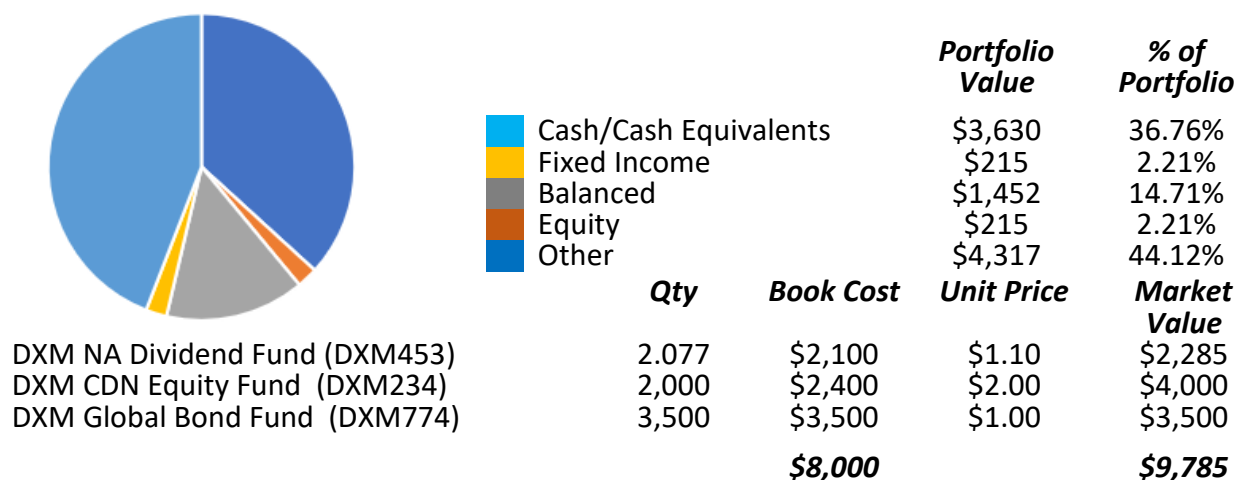
Changes to your account

| | <i>This period</i> | <i>This year</i> | <i>Since account opening</i> |
|------------------------|--------------------|-------------------|------------------------------|
| Opening value | \$9,675.00 | \$9,000.00 | \$0.00 |
| You added | \$60.00 | \$1,000.00 | \$10,000.00 |
| You withdrew | \$0.00 | -\$500.00 | -\$1,500.00 |
| Amount invested | \$60.00 | \$500.00 | \$8,500.00 |
| Change in value | \$50.00 | \$285.00 | \$1,785.00 |
| Closing value | \$9,785.00 | \$9,785.00 | \$9,785.00 |

Investment Objective: Aggressive Growth

My primary investment objective is to maximize the total return potential of my account. I am able and willing to tolerate a high to very high level of risk and price volatility including potential for significant temporary and permanent loss of capital. I do not require the portfolio to be diversified, to produce or supplement my income, and I am prepared to accept periods of liquidity.

How your money is invested as of Dec 31, 2019



Your account activity for Oct 1 – Dec 31, 2019

| | | <i>Qty</i> | <i>Price</i> | <i>Amount</i> |
|------------------------|---------------------------------|------------|--------------|---------------|
| Nov 11, 2019 Buy | DXM NA Dividend Fund (DXM453) | 60 | \$1.00 | \$60 |
| Dec 1, 2019 Redemption | DXM CDN Small Cap fund (DXM908) | 150 | \$2.00 | \$300 |
| Dec 2, 2019 Buy | DXM Global bond fund (DXM774) | 300 | \$1.00 | \$300 |

Your personal rates of return

These are the average rates of return for each year in the period and have been calculated after fees and other charges have been deducted.

| <i>1 yr</i> | <i>3 yrs</i> | <i>5 yrs</i> | <i>10yrs</i> | <i>Since acct opening</i> |
|-------------|--------------|--------------|--------------|---------------------------|
| 15.61% | 5.70% | 1.91% | N/A | 2.92% |

Your investment costs for 2019

These are the fees and payments associated with your investments for the past 12 months.

| | |
|---|-----------------------|
| <i>Fees you paid to your dealer</i> | <i>Fee</i> |
| Front end commission paid at time of trade | \$0.00 |
| Sub-total | \$0.00 |
| <i>Fees you paid to DXM Financial Funds</i> | |
| Redemption fee | \$0.00 |
| Sub-total | \$0.00 |
| <i>Fee DXM Financial Funds paid to your dealer</i> | |
| Service/trailer fees | \$30.05 |
| Deferred Sales Charge (DSC) | \$0.00 |
| Sub-total | \$30.05 |
| <i>Grand Total</i> | <i>\$30.05</i> |

Your RIF account #XXXXXX

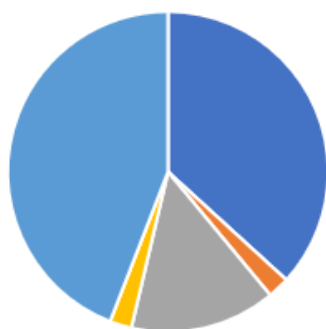
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| You withdrew | \$0.00 | -\$500.00 | -\$1,500.00 |
| Amount invested | \$60.00 | \$500.00 | \$8,500.00 |
| Change in value | \$50.00 | \$285.00 | \$1,785.00 |
| Closing value | \$9,785.00 | \$9,785.00 | \$9,785.00 |

Investment Objective: Low Risk

My primary investment objective for this account is to protect capital rather than to seek superior returns. With few expectations, I have a low tolerance for risk and seek a similarly low degree of price volatility. I do not wish to own any equities other than equities whose primary purpose is to produce income such as good quality preferred shares.

How your money is invested as of Dec 31, 2019



| |
|-----------------------|
| Cash/Cash Equivalents |
| Fixed Income |
| Balanced |
| Equity |
| Other |

| <i>Portfolio Value</i> | <i>% of Portfolio</i> |
|------------------------|-----------------------|
| \$3,630 | 36.76% |
| \$215 | 2.21% |
| \$1,452 | 14.71% |
| \$215 | 2.21% |
| \$4,317 | 44.12% |

| | <i>Qty</i> | <i>Book Cost</i> | <i>Unit Price</i> | <i>Market Value</i> |
|-------------------------------|------------|------------------|-------------------|---------------------|
| DXM NA Dividend Fund (DXM453) | 2.077 | \$2,100 | \$1.10 | \$2,285 |
| DXM CDN Equity Fund (DXM234) | 2,000 | \$2,400 | \$2.00 | \$4,000 |
| DXM Global Bond Fund (DXM774) | 3,500 | \$3,500 | \$1.00 | \$3,500 |
| | | \$8,000 | | \$9,785 |

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