

CMSC 28100

# Introduction to Complexity Theory

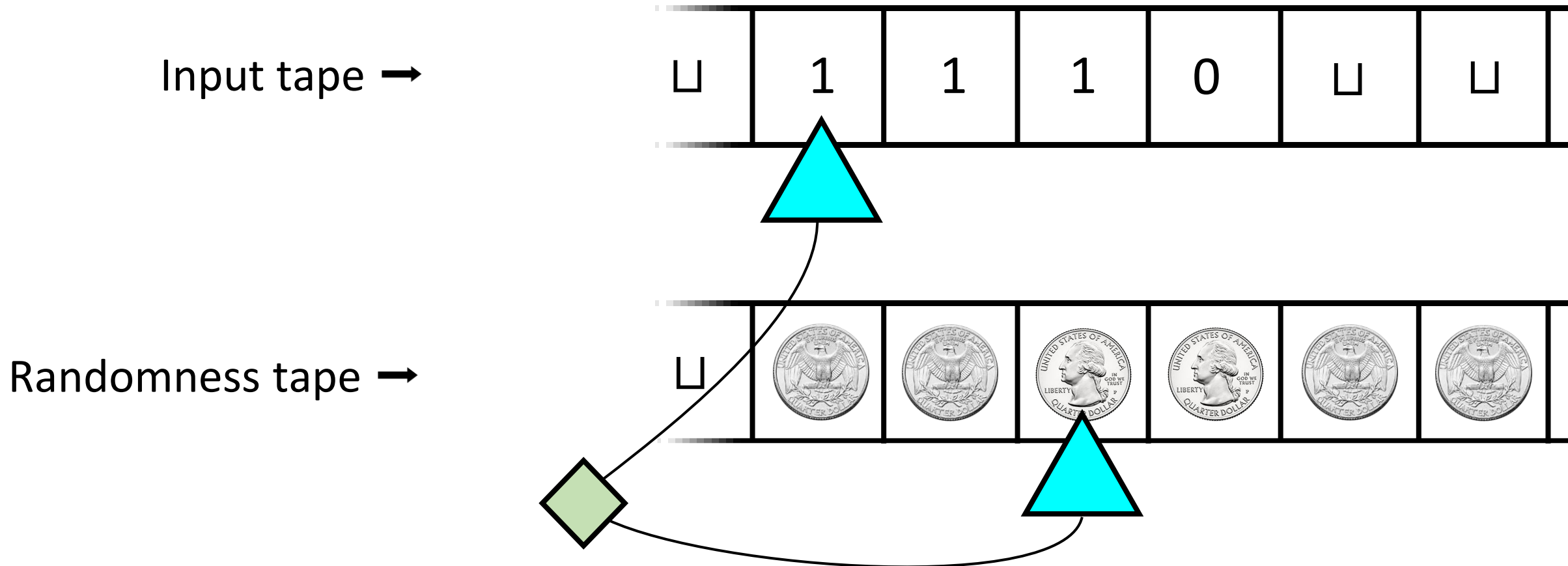
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Which problems  
can be solved  
through computation?

# Randomized Turing machines



# The complexity class BPP



- **Definition:** **BPP** is the set of languages  $Y \subseteq \{0, 1\}^*$  such that there exists a randomized polynomial-time Turing machine that decides  $Y$  with error probability  $1/3$
- “Bounded-error Probabilistic Polynomial-time”

# Example: High school algebra

- “Expand and simplify:  $(x + 1) \cdot (x - 1)$ ”



This type of expression is  
called an [arithmetic formula](#)

- [How difficult](#) is this type of exercise?

# Identity testing

- **Problem:** Given an arithmetic formula  $F$ , determine whether  $F \equiv 0$
- **As a language:**

IDENTICALLY-ZERO =  $\{\langle F \rangle : F \text{ is an arithmetic formula and } F \equiv 0\}$

# Identity testing example

- Given:  $F = (ab + a - b - 1) \cdot (cd - ad + a - c) \cdot (b - e) + (bd + d - b - 1) \cdot (bc + ea - ab - ce) \cdot (1 - a)$

- Expand:

$$\begin{aligned} F \equiv & ab^2cd - eabcd - a^2b^2d + ea^2bd - ab^2c + eabc + a^2b^2 - ea^2b + acdb - eacd - a^2db + ea^2d - acb \\ & + eac + a^2b - ea^2 - b^2cd + ebcd + b^2da - ebda + b^2cb - ebc - b^2a + eba - cdb + ecd + dab - eda + cb \\ & - ec - ab + ea - ea^2bd + eabd + ea^2b - eab - ea^2d + ead + ea^2 - ea + a^2b^2d - ab^2d - a^2b^2 + ab^2 \\ & + a^2db - adb - a^2b + ab - b^2cda + b^2cd + bcdea - bcde + b^2ca - b^2c - bcea + bce - cdab + cdb + cab \\ & - cb + cdea - cde - cea + ce \end{aligned}$$

- Everything cancels out:  $F \equiv 0$

# Complexity of identity testing

- Expanding  $F$  takes  $2^{\Omega(n)}$  time in some cases 😞
- E.g.,  $F = (x + y) \cdot (x + y) \cdot (x + y) \cdots (x + y)$
- **Open Question:** Is IDENTICALLY-ZERO  $\in \mathbf{P}$ ?
- Next 5 slides: We will prove IDENTICALLY-ZERO  $\in \mathbf{BPP}$



# Identity testing algorithm: Approach

- **Goal:** Figure out whether  $F \equiv 0$ , where  $F$  is an arithmetic formula
- **Strategy:** Compute  $F(\vec{x})$  for some  $\vec{x}$
- **Rationale:** If  $F \equiv 0$ , then  $F(\vec{x}) = 0$  for all  $\vec{x}$  😊
- **Difficulty:** Even if  $F \not\equiv 0$ , there still might be  $\vec{x}$  such that  $F(\vec{x}) = 0$  😞
- How often can this occur?

# Counting roots

How many roots can a nonzero degree- $d$  two-variable polynomial have?

A: Up to  $d$

B: Up to  $d^2$

C: It might have infinitely many

D: Only finitely many, but there is no bound in terms of  $d$

Respond at PollEv.com/whoza or text "whoza" to 22333

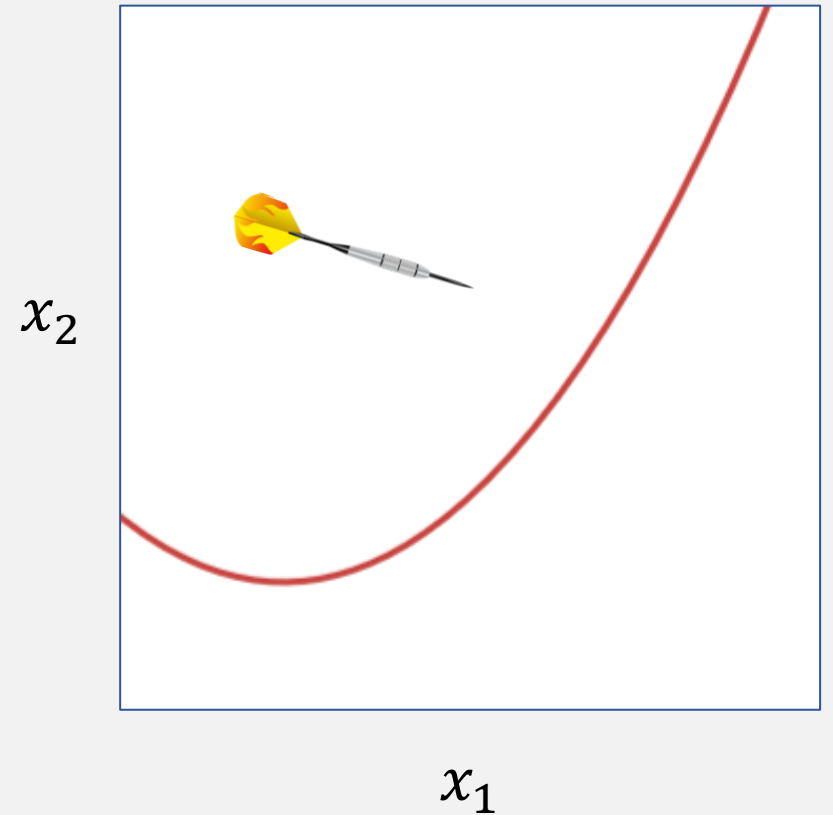
- **Fundamental Theorem of Algebra**  $\Rightarrow$  Every nonzero degree- $d$  **univariate** polynomial has at most  $d$  real roots
- What about a **multivariate** polynomial?

# How common are roots?

- Even if  $F \not\equiv 0$ , it might have infinitely many roots 😞
- Insight: Roots are nevertheless “rare”
- If we pick  $\vec{x}$  at random, it is unlikely that  $F(\vec{x}) = 0$  😊

Roots of  $F$ , where

$$F(\vec{x}) = x_2 - x_1^2$$



# Polynomial Identity Lemma

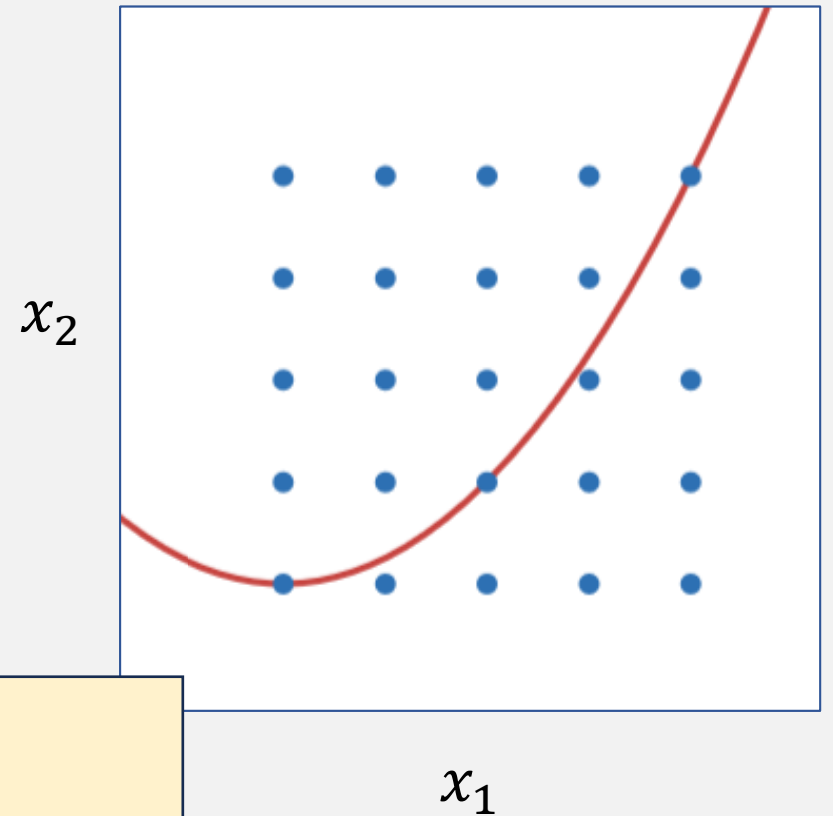
- Let  $F : \mathbb{R}^k \rightarrow \mathbb{R}$  be a multivariate polynomial of degree at most  $d$  in each variable individually
- Let  $S$  be a finite subset of  $\mathbb{R}$

## Polynomial Identity Lemma:

If  $F \not\equiv 0$ , then  $|\{\vec{x} \in S^k : F(\vec{x}) = 0\}| \leq dk \cdot |S|^{k-1}$

Roots of  $F$ , where

$$F(\vec{x}) = x_2 - x_1^2$$



Proof: On chalkboard

## Theorem: IDENTICALLY-ZERO $\in$ BPP

- Polynomial time ✓
- **Correctness proof:**
- Degree  $\leq d$  (can prove by induction)
- If  $F \equiv 0$ , then  $\Pr[\text{accept}] = 1$
- If  $F \not\equiv 0$ , then  $\Pr[\text{accept}] = \frac{1}{3}$

Given  $F$  with  $k$  variables and  $d$  leaves:

1. Let  $S = \{1, \dots, 3dk\}$
2. Pick  $\vec{c} \in S^k$  uniformly at random
3. Construct  $F'$  by replacing  $x_i$  with  $c_i$
4. If  $\langle F' \rangle \in \text{EQUALS-ZERO}$ , accept, otherwise reject

Which of the following best describes the algorithm?

**A:** The algorithm behaves correctly on most inputs

**B:** The amount of time it uses is rarely more than polynomial

**C:** For every input, the algorithm is likely to behave correctly

**D:** It is likely that for every input, the algorithm behaves correctly

$\Pr[\text{accept}] = \frac{1}{3}$

$$\frac{1}{3dk} = \frac{1}{3}$$

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# Identity testing: Recap

- We proved IDENTICALLY-ZERO  $\in$  BPP
- Therefore, we should consider IDENTICALLY-ZERO to be tractable
- Does this mean P is a bad model of tractability?
- Not necessarily. Maybe IDENTICALLY-ZERO  $\in$  P