

CMSC 28100

# Introduction to Complexity Theory

Autumn 2025

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Which problems  
can be solved  
through computation?  
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CLASSICAL

Which languages are in P?

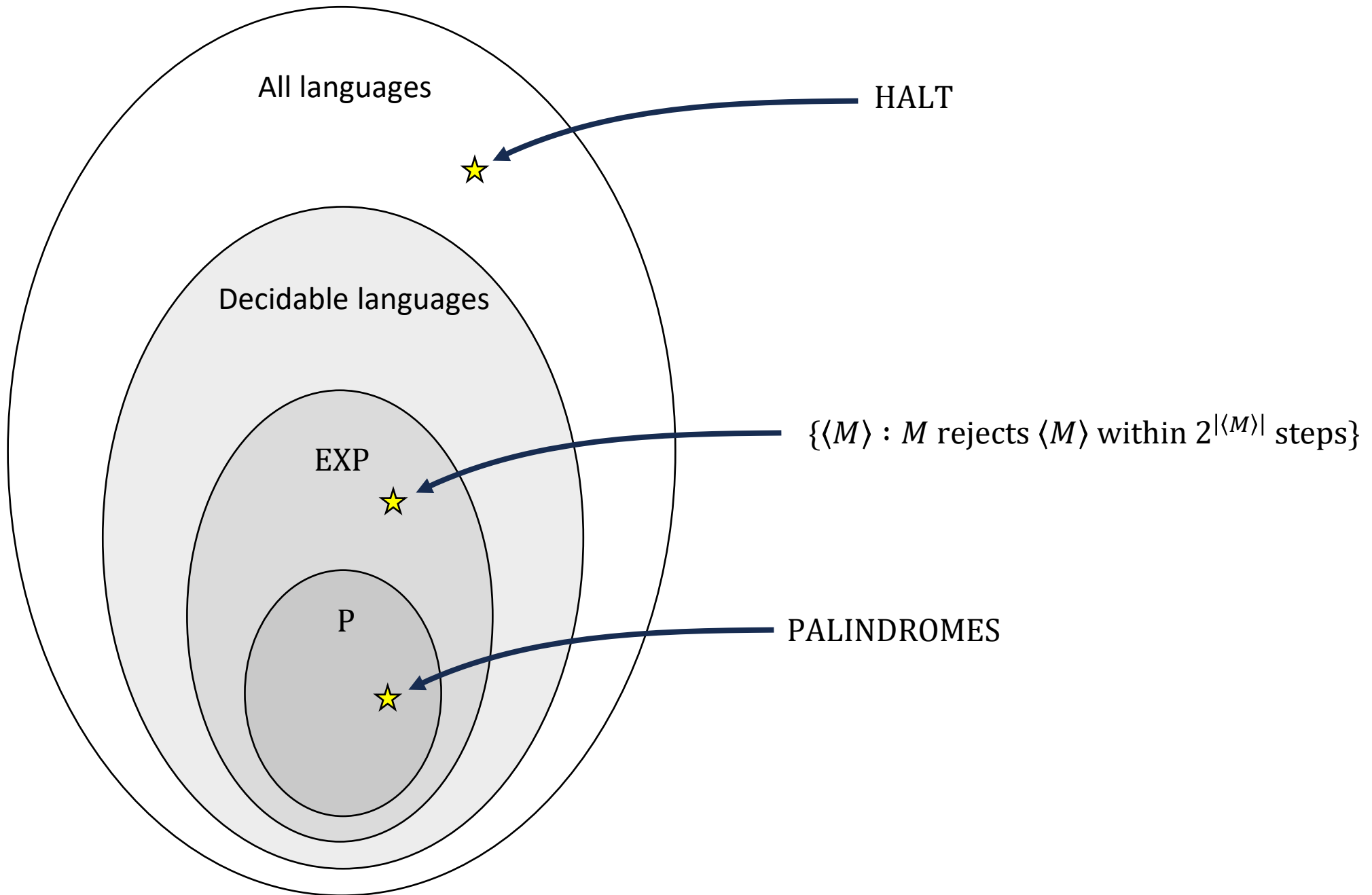
Which languages are **not** in P?

# Intractability vs. undecidability

- Recall:

**Theorem:** There exists  $Y \subseteq \{0, 1\}^*$  such that  $Y$  is decidable, but  $Y \notin P$ .

- Language:  $Y = \{\langle M \rangle : M \text{ rejects } \langle M \rangle \text{ within } 2^{|\langle M \rangle|} \text{ steps}\}$
- Note:  $Y \in \text{EXP}$ , so the theorem shows  $P \neq \text{EXP}$ 
  - Some exponential-time algorithms **cannot be converted** into poly-time algorithms



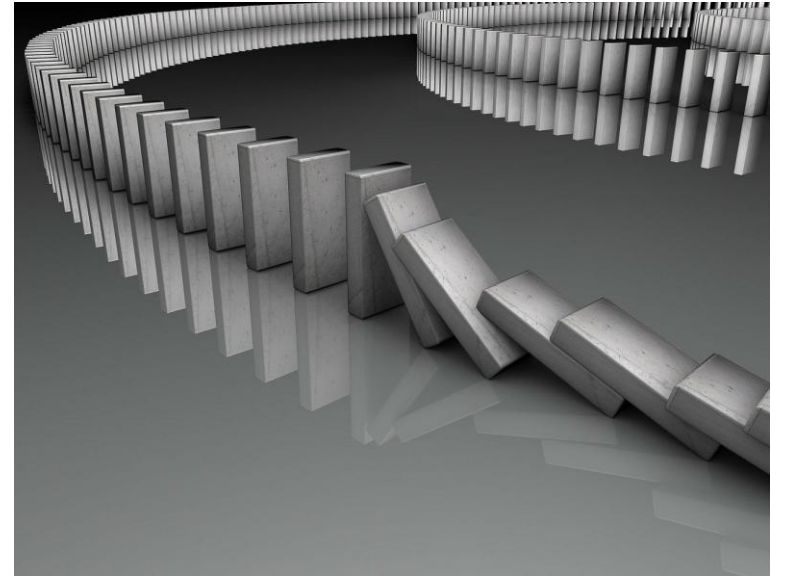
# Contrived vs. natural

- The language

$$\{\langle M \rangle : M \text{ rejects } \langle M \rangle \text{ within } 2^{|\langle M \rangle|} \text{ steps}\}$$

is rather **contrived**

- Are there other examples of decidable languages outside P that are more **interesting / natural / well-motivated**?



# The bounded halting problem

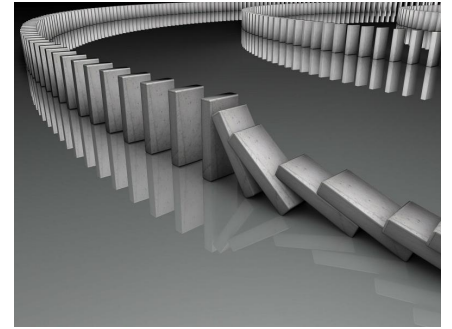
- Let  $\text{BOUNDED-HALT} = \{\langle M, w, T \rangle : M \text{ halts on } w \text{ within } T \text{ steps}\}$
- Exercise: Can decide in time  $O(|\langle M \rangle|^2 \cdot |w|^2 \cdot T^2)$ 
  - Pseudo-polynomial time
  - The input size is  $n = |\langle M, w, T \rangle| \approx |\langle M \rangle| + |\langle w \rangle| + \log T$
- $\text{BOUNDED-HALT} \in \text{TIME}(n^4 \cdot 2^{2n}) \subseteq \text{EXP}$



Polynomial time?



# The bounded halting problem



- $\text{BOUNDED-HALT} = \{\langle M, w, T \rangle : M \text{ halts on } w \text{ within } T \text{ steps}\}$

**Theorem:**  $\text{BOUNDED-HALT} \notin \text{P}$

- Proof strategy: We'll show that if  $\text{BOUNDED-HALT}$  were in  $\text{P}$ , then it would follow that  $\text{P} = \text{EXP}$

# Proof that BOUNDED-HALT $\notin$ P



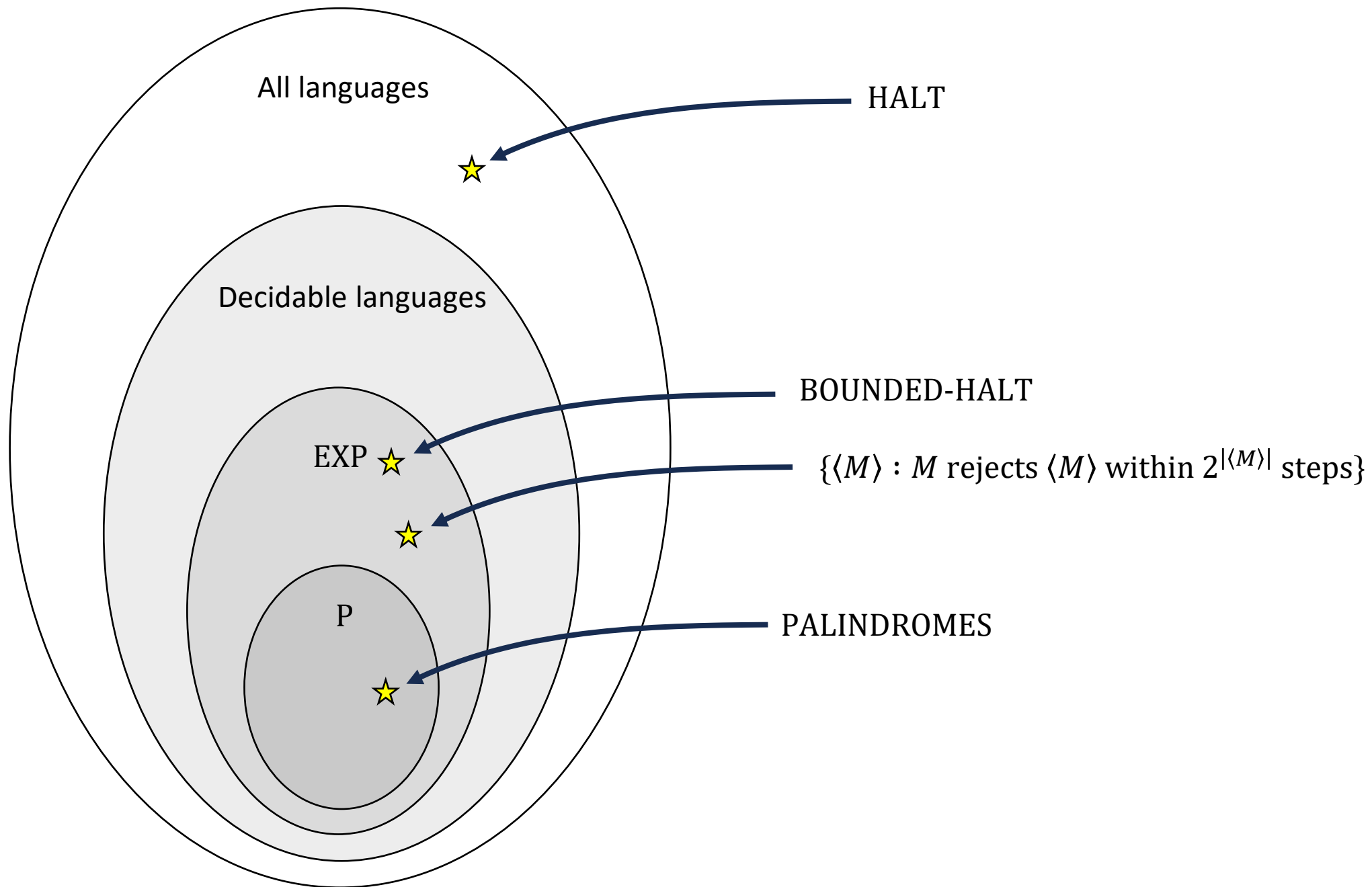
- Assume  $B$  is a poly-time TM deciding BOUNDED-HALT
- Let  $Y \in \text{EXP}$ . There is a TM  $M$  that  $\begin{cases} \text{accepts } w \text{ within } 2^{|w|^k} \text{ steps} & \text{if } w \in Y \\ \text{loops} & \text{if } w \notin Y \end{cases}$
- We will construct a **poly-time** TM  $R$  that decides  $Y$

Given  $w \in \{0, 1\}^*$ :

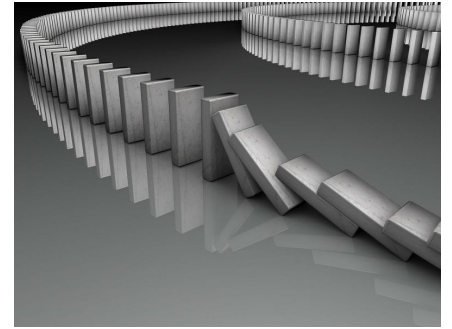
1. Simulate  $B$  on  $\langle M, w, 2^{|w|^k} \rangle$
2. If  $B$  accepts, accept. If  $B$  rejects, reject.

- Polynomial time ✓
- If  $w \in Y$ , then  $M$  accepts  $w$  within  $2^{|w|^k}$  steps, so  $R$  accepts  $w$  ✓
- If  $w \notin Y$ , then  $M$  loops on  $w$ , so  $R$  rejects  $w$  ✓

$R$  {



# What about CLIQUE?



- $\text{CLIQUE} = \{\langle G, k \rangle : G \text{ has a } k\text{-clique}\}$
- It seems likely that  $\text{CLIQUE} \notin \text{P}$
- Can we prove it by doing a reduction from BOUNDED-HALT?
- Answer: Probably **not!**
- To understand why, we need to go beyond “in P or not in P”

# Beyond “it’s not in P”

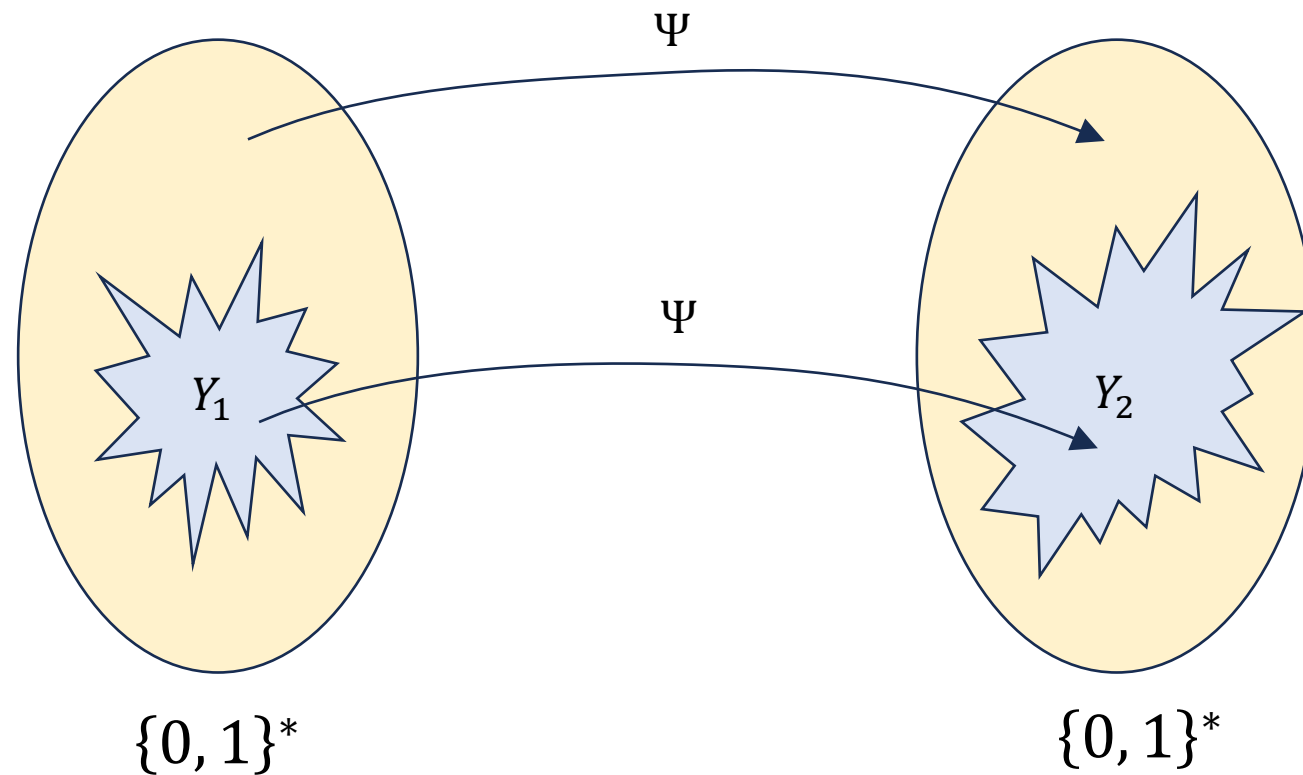
- We proved  $\text{BOUNDED-HALT} \notin P$
- Insight: The proof gives us **bonus information**
  - “**How far** outside P is it?”
  - “**Why** is it outside P? **What kind of hardness** does it have?”
- The proof shows that **every language in EXP reduces to** BOUNDED-HALT
- Furthermore, the reduction has a very specific **structure**

# Mapping reductions

- Let  $Y_1, Y_2 \subseteq \{0, 1\}^*$
- **Definition:** We say  $Y_1$  is **poly-time mapping reducible** to  $Y_2$  if there exists  $\Psi: \{0, 1\}^* \rightarrow \{0, 1\}^*$  and a poly-time TM  $M_\Psi$  such that for every  $w \in \{0, 1\}^*$ :
  - If  $w \in Y_1$ , then  $\Psi(w) \in Y_2$  “YES maps to YES”
  - If  $w \notin Y_1$ , then  $\Psi(w) \notin Y_2$  “NO maps to NO”
  - $M_\Psi$  halts on  $w$  with  $\Psi(w)$  written on its tape “Poly-time computable”
- Notation:  $Y_1 \leq_P Y_2$ 
  - Intuition: “Complexity of  $Y_1$ ”  $\leq$  “Complexity of  $Y_2$ ”

# Mapping reductions

- $Y_1 \leq_P Y_2$  means there is an efficient way to convert questions of the form “is  $w \in Y_1$ ?” into questions of the form “is  $w' \in Y_2$ ?”



# Mapping reduction example

- $\text{COMPOSITES} = \{\langle K \rangle : K \text{ is a composite number}\}$
- $\text{FACTOR} = \{\langle K, M \rangle : K \text{ has a prime factor } p \leq M\}$
- **Claim:**  $\text{COMPOSITES} \leq_p \text{FACTOR}$
- **Proof:**  $\Psi(\langle K \rangle) = \langle K, K - 1 \rangle$ . Poly-time computable ✓
- If  $K$  is composite, then  $K$  has a prime factor less than  $K$  ✓
- If  $K$  is not composite, then  $K$  does not have a prime factor less than  $K$  ✓



Let  $n = |w|$  and  $m = |w'|$ . What is the relationship between  $n$  and  $m$ ?

A:  $m \leq \text{poly}(n)$

B:  $n \leq \text{poly}(m)$

C:  $n = m$

D: Not enough information

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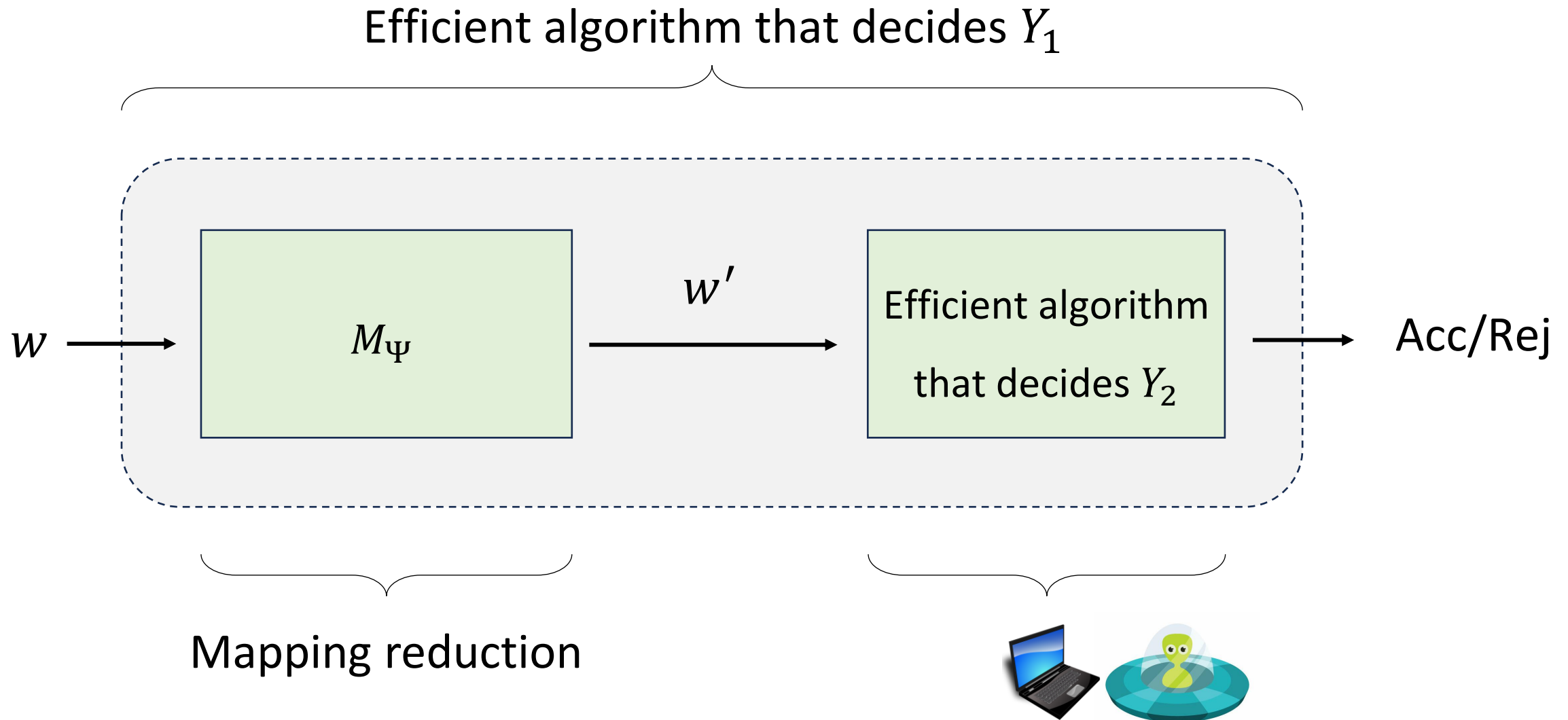
Language is in P

• **Proof:** Given  $w \in \{0, 1\}^*$ :

1. Compute  $w' = \Psi(w)$  (this takes  $O(n^{k_1})$  time)
2. Check whether  $w' \in Y_2$  (this takes  $O(m^{k_2})$  time where  $m = |w'|$ )
3. If so, accept; otherwise, reject.

•  $m \leq O(n^{k_1})$ , so the total time is  $O(n^{k_1} + n^{k_1 \cdot k_2}) = \text{poly}(n)$

# Reductions: Proving that a language is in P



# Reductions: Proving that a language is not in $P$

- Let  $Y_1, Y_2 \subseteq \{0, 1\}^*$
- **Claim:** If  $Y_1 \leq_P Y_2$  and  $Y_1 \notin P$ , then  $Y_2 \notin P$
- **Proof:** If  $Y_2$  were in  $P$ , then  $Y_1$  would also be in  $P$