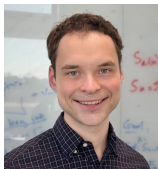


# History-Independent Load Balancing



Michael A. Bender

Stony Brook University



Bill Kuszmaul

CMU



Elaine Shi

CMU



**Rose Silver**

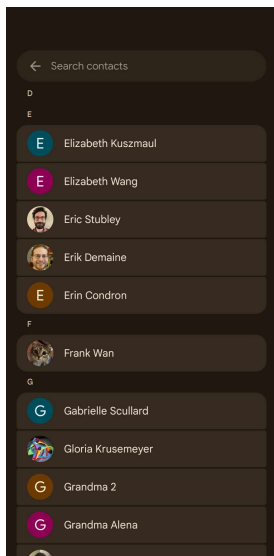
CMU

# HISTORY INDEPENDENT DATA STRUCTURES

**History Independence:** “If an adversary were to see the state of the data structure, they would learn only the current set of elements, and nothing else about the history of past operations.”

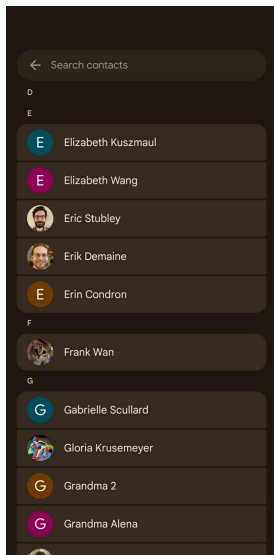
[Micciancio '97], [Naor, Teague '01]

# HISTORY VS CONTENT



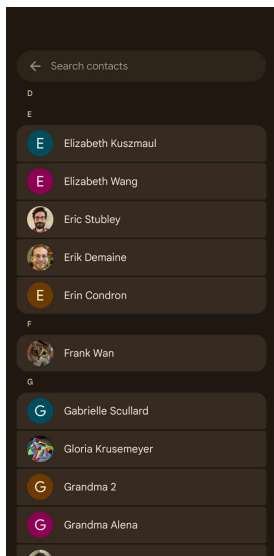
- If someone hacks my phone, they can learn my contacts list.

# HISTORY VS CONTENT



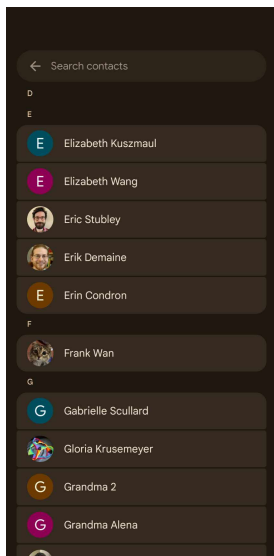
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# HISTORY VS CONTENT



- ▶ If someone hacks my phone, they can learn my contacts list.
- ▶ But can they learn who my contacts were in the past?
- ▶ What about the order in which contacts were added?
- ▶ A history independent data structure protects this kind of information.

# HISTORY INDEPENDENT IS A SECURITY GUARANTEE

**History Independence:** “If an adversary were to see the state of the data structure, they would learn only the current set of elements, and nothing else about the history of past operations.”

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**Lost of successes:** Hash tables, trees, memory allocation, PMAs, graph algorithms, B-trees, cache-oblivious data structures... [Micciancio '97], [Naor, Teague '01], [Buchbinder, Petrank '03], [Molnar, Kohno, Sastry, Wagner '06], [Blelloch, Golovin '07], [Moran, Naor, Segev '07] [Naor, Segev, Wieder '08], [Golovin '08 '09 '10], [Tzouramanis '12], [Bajaj, Sion '13] [Bajaj, Chakrabati, Sion '15], [Roche, Aviv, Choi '15], [Bender, Berry, Johnson, Kroeger, McCauley, Phillips, Simon, Singh, Zage '16], ...



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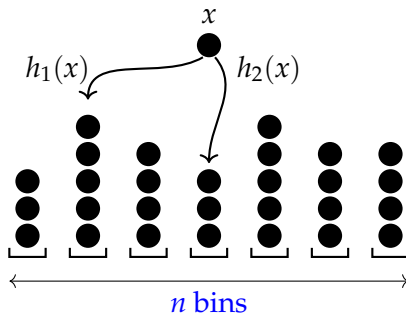
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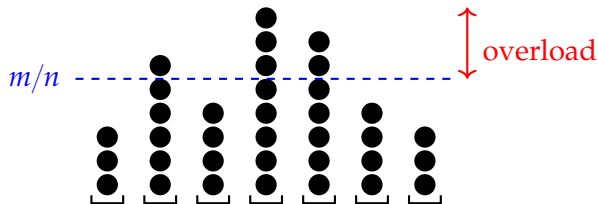
**But... some very basic questions also remain open.**

# TWO-CHOICE LOAD BALANCING



- ▶ Balls are **inserted/deleted**, with up to  $m$  present at a time.
- ▶ Each ball has two random bins where it can go.
- ▶ We must maintain a valid assignment of balls to bins.

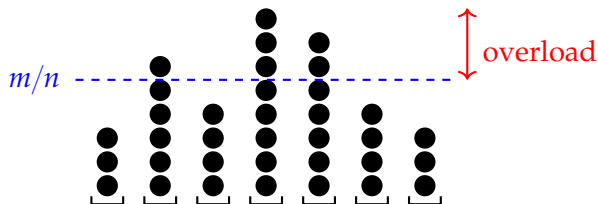
## TWO GOALS



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The amount by which the fullest bin exceeds  $m/n$  is small.

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### **Minimize Overload:**

The amount by which the fullest bin exceeds  $m/n$  is small.

### **Minimize Recourse:**

On any given insertion/deletion, the number of balls moved around is small.

# THIS PAPER

**Question:** Does there exist a **history-independent** solution with small **recourse** and **overload**?

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**Theorem:** There exists a **history-independent** solution with:

- ▶ **Overload**  $O(1)$ , with high probability.
- ▶ Expected **recourse**  $O(\log \log(m/n))$ .

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Lots of work on the insertion-only case.

[Azar, Broder, Karlin and Upfal '94] [Berenbrink, Czumaj, Steger, and Vöcking '00][Dietzfelbinger and Weidling '07]  
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Is there a **fully dynamic** solution with **recourse**  $o(m/n)$  and **overload**  $O(\log \log n)$ ?

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## Answer:

Yes! We get **recourse**  $O(\log \log(m/n))$  and **overload**  $O(1)$ .

# THIS PAPER

**Question:** Does there exist a **history-independent** solution with small **recourse** and **overload**?

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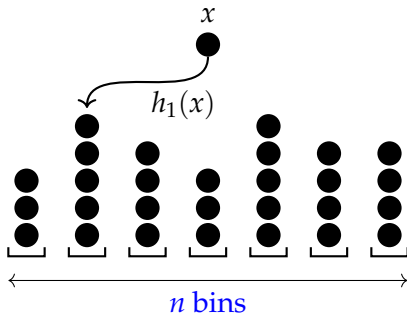
**Theorem:** There exists a **history-independent** solution with:

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**Rest of Talk:** A simple history-independent algorithm with **overload**  $O(\log \log n)$  and **expected recourse**  $O(m/n)$ .

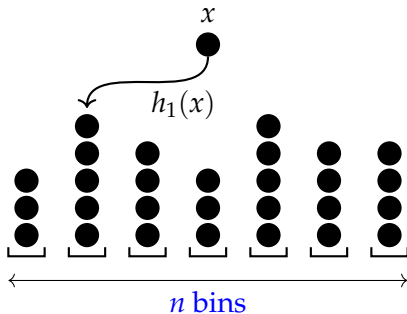
## WARMUP 1: THE SINGLE-CHOICE STRATEGY

To insert a ball  $x$ , just put it in bin  $h_1(x)$ :



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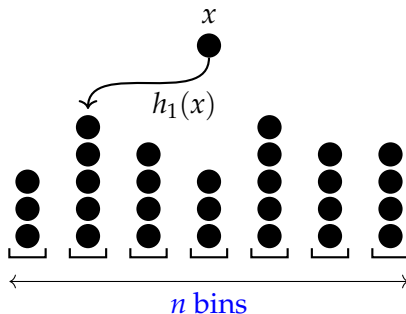
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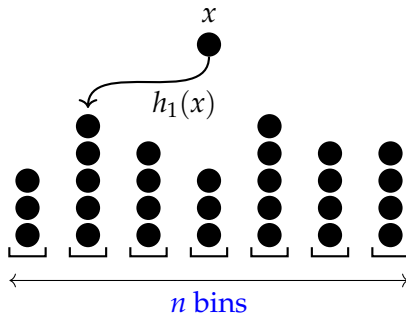


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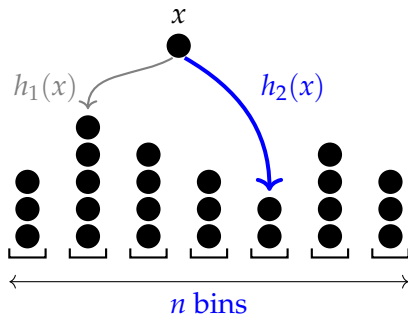
To insert a ball  $x$ , just put it in bin  $h_1(x)$ :



- ▶ This is history-independent ✓
- ▶ The recourse is 0 ✓
- ▶ But... the overload is huge, roughly  $\sqrt{m/n}$  ✗

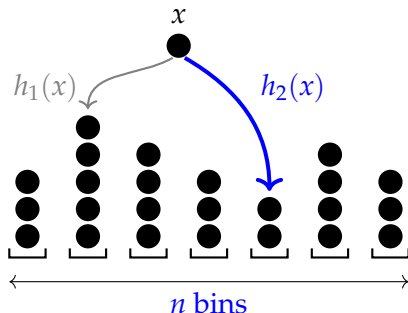
## WARMUP 2: GREEDY INSERTIONS

To insert a ball  $x$ , put it in the **emptier** of its choices:



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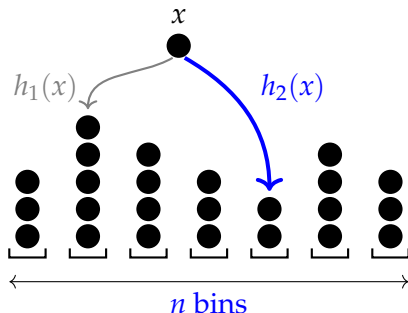
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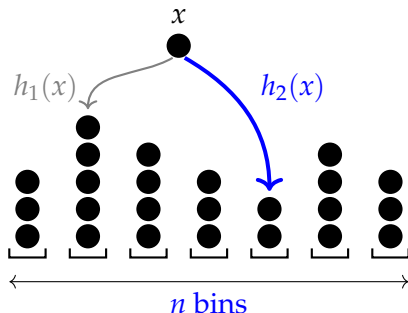
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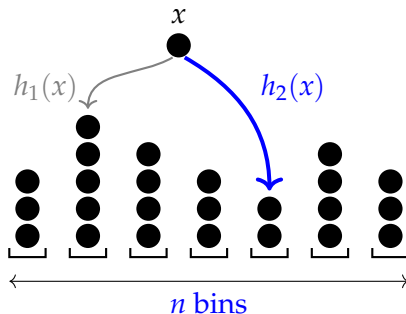
To insert a ball  $x$ , put it in the **emptier** of its choices:



- ▶ This is **not** history-independent ✗
- ▶ The recourse is 0 ✓
- ▶ In the insertion-only case, the overload is  $O(\log \log n)$  ✓

[Azar, Broder, Karlin and Upfal '94]

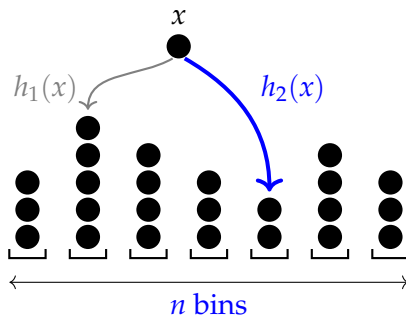
# A SIMPLE HISTORY-INDEPENDENT ALGORITHM



Given a set  $S$  of balls, define  $\text{Greedy}(S)$  as:

- ▶ Start with empty bins.
- ▶ Sort the balls in  $S$  to get a sequence  $x_1, x_2, \dots$
- ▶ Insert  $x_1, x_2, \dots$  using the greedy algorithm.

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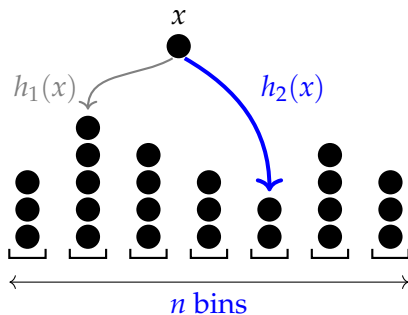


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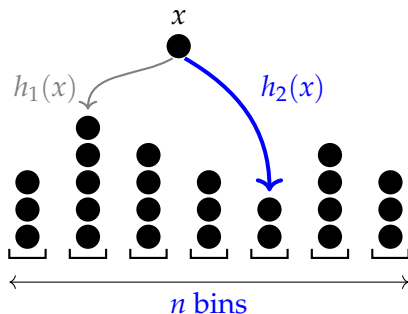
**A History-Independent Algorithm:** At any given moment, if  $S$  is the current set of balls, use allocation  $\text{Greedy}(S)$ .

# ANALYZING HISTORY-INDEPENDENT GREEDY



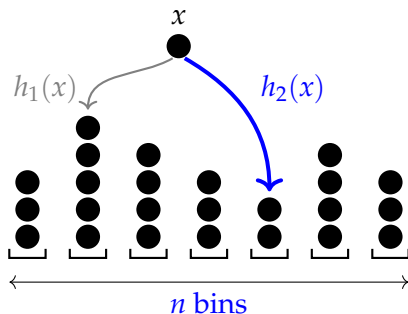


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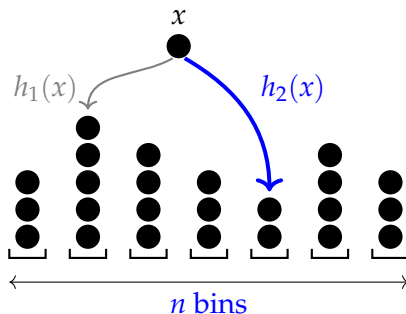
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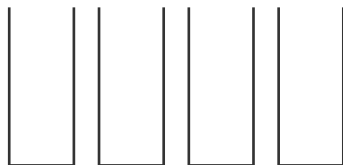


- ▶ The algorithm is history independent ✓
- ▶ The overload is  $O(\log \log n)$  ✓
- ▶ But what about the recourse?

# ANALYZING THE RECOURSE



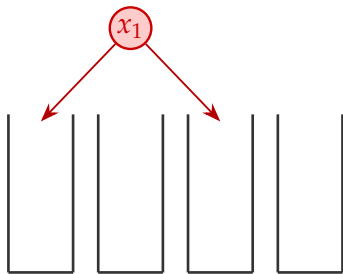
**Computing  $\text{Greedy}(S)$**



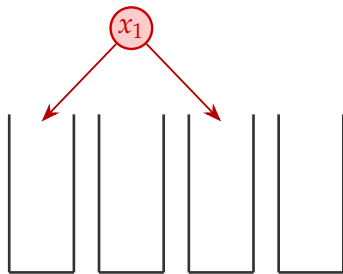
**Computing  $\text{Greedy}(S \cup \{x^*\})$**

How does  $\text{Greedy}(S)$  change if we add a ball  $x^*$ ?

# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**



**Computing Greedy( $S \cup \{x^*\}$ )**

# ANALYZING THE RECOURSE

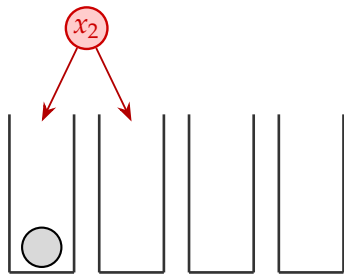


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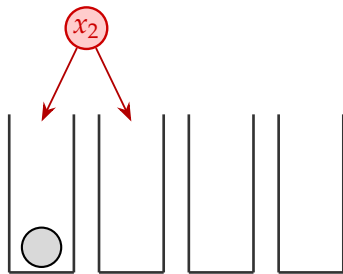


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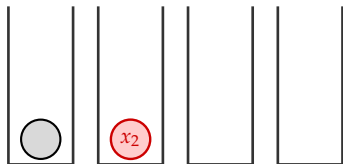


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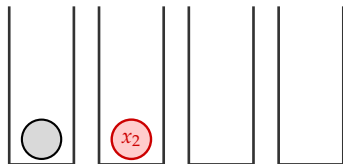


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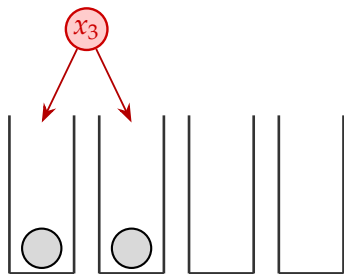
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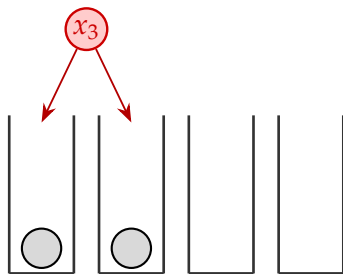
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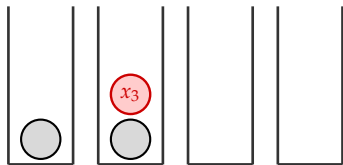


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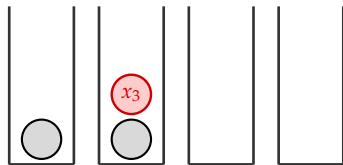


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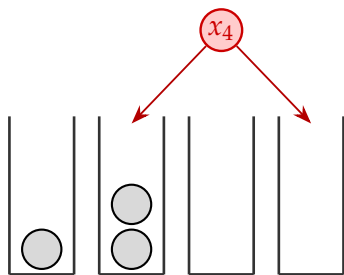


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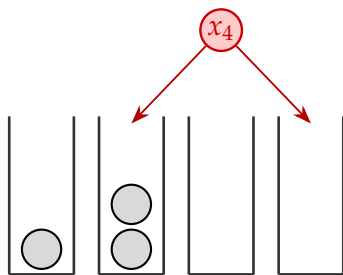


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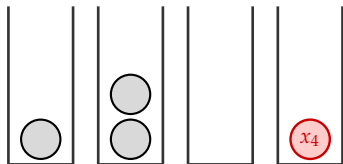


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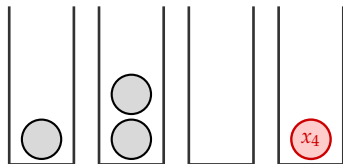


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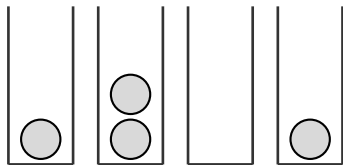


**Computing Greedy( $S$ )**

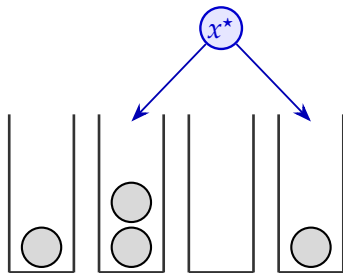


**Computing Greedy( $S \cup \{x^*\}$ )**

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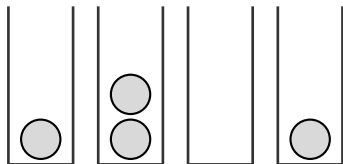


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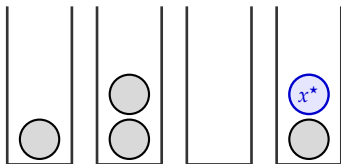


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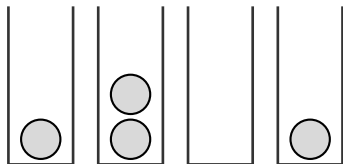


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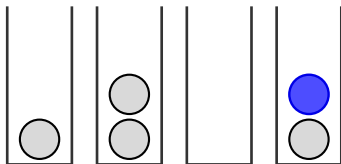


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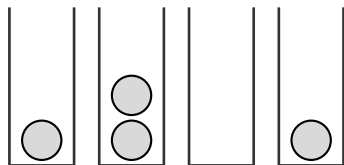


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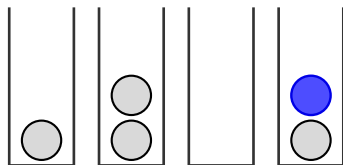


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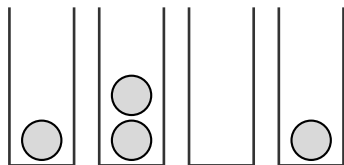


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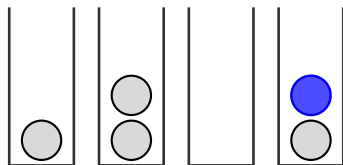
Subsequent balls will experience either:



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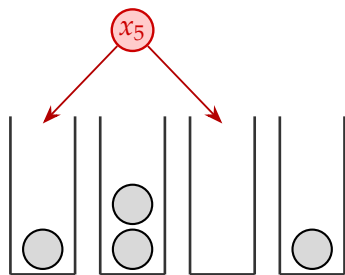


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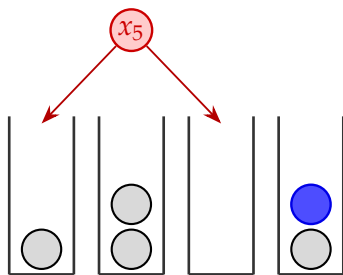
Subsequent balls will experience either:

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# ANALYZING THE RECOURSE



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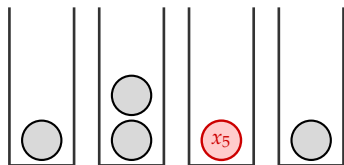


**Computing Greedy( $S \cup \{x^*\}$ )**

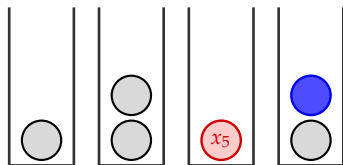
Future insertions will experience either:

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# ANALYZING THE RECOURSE



**Computing  $\text{Greedy}(S)$**

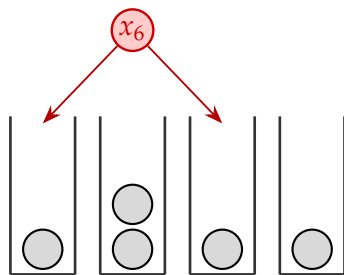


**Computing  $\text{Greedy}(S \cup \{x^*\})$**

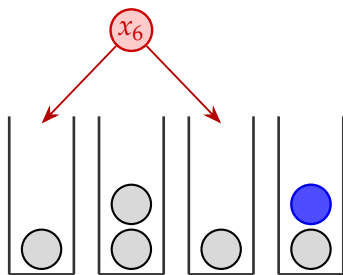
Subsequent balls will experience either:

1. No recourse

# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**

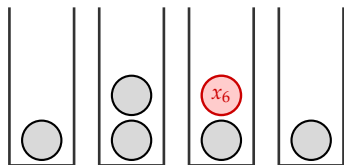


**Computing Greedy( $S \cup \{x^*\}$ )**

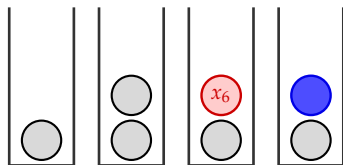
Subsequent balls will experience either:

1. No recourse

# ANALYZING THE RECOURSE



**Computing  $\text{Greedy}(S)$**

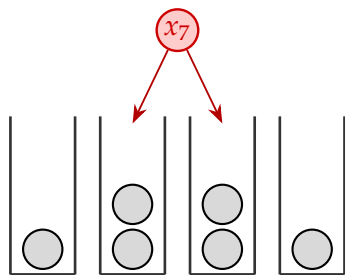


**Computing  $\text{Greedy}(S \cup \{x^*\})$**

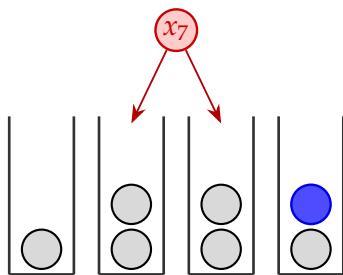
Subsequent balls will experience either:

1. No recourse

# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**

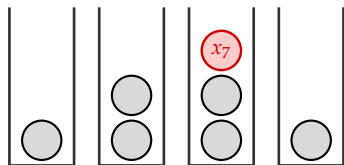


**Computing Greedy( $S \cup \{x^*\}$ )**

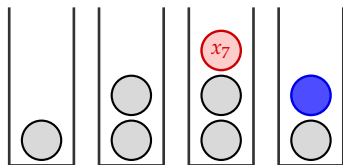
Subsequent balls will experience either:

1. No recourse

# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**

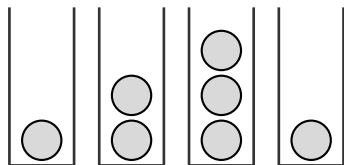


**Computing Greedy( $S \cup \{x^*\}$ )**

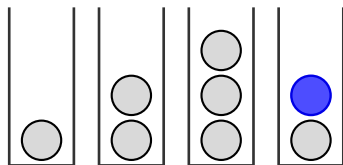
Subsequent balls will experience either:

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# ANALYZING THE RECOURSE



**Computing  $\text{Greedy}(S)$**



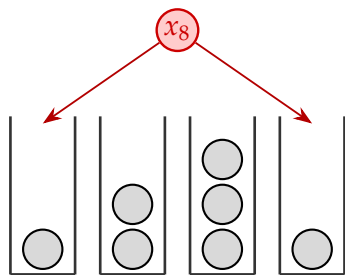
**Computing  $\text{Greedy}(S \cup \{x^*\})$**

Subsequent balls will experience either:

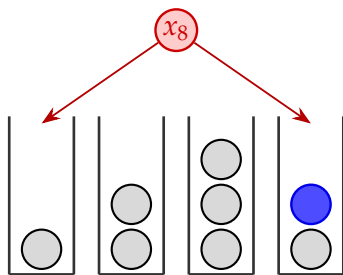
1. No recourse
2. Recourse



# ANALYZING THE RECOURSE



**Computing  $\text{Greedy}(S)$**

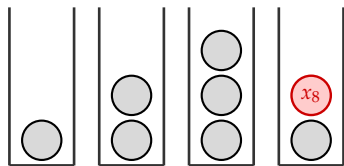


**Computing  $\text{Greedy}(S \cup \{x^*\})$**

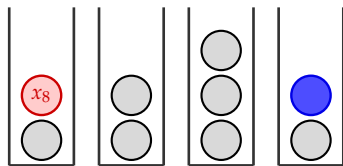
Subsequent balls will experience either:

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2. Recourse

# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**

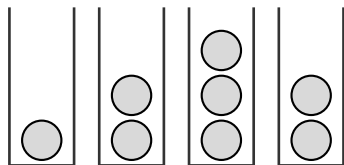


**Computing Greedy( $S \cup \{x^*\}$ )**

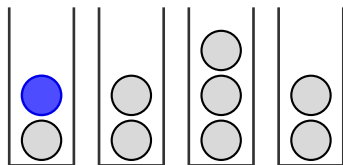
Subsequent balls will experience either:

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# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**

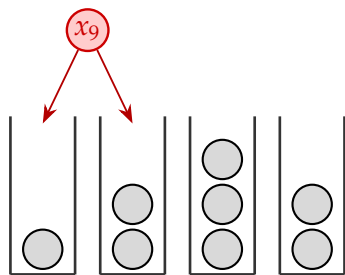


**Computing Greedy( $S \cup \{x^*\}$ )**

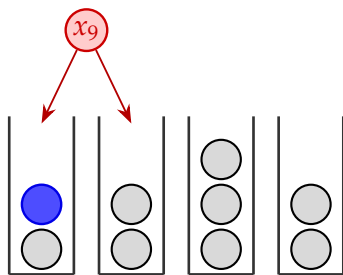
Subsequent balls will experience either:

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# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**

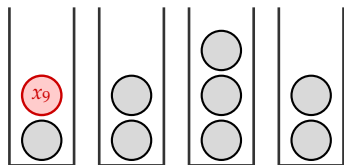


**Computing Greedy( $S \cup \{x^*\}$ )**

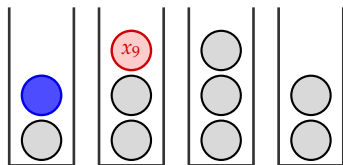
Subsequent balls will experience either:

1. No recourse
2. Recourse

# ANALYZING THE RECOURSE



**Computing  $\text{Greedy}(S)$**

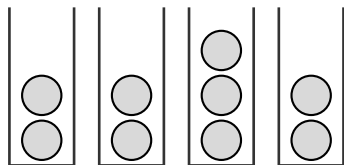


**Computing  $\text{Greedy}(S \cup \{x^*\})$**

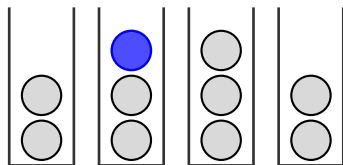
Subsequent balls will experience either:

1. No recourse
2. Recourse

# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**

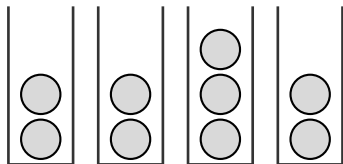


**Computing Greedy( $S \cup \{x^*\}$ )**

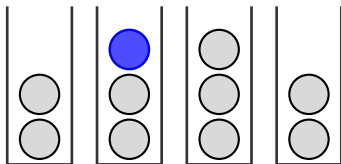
Subsequent balls will experience either:

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# ANALYZING THE RECOURSE



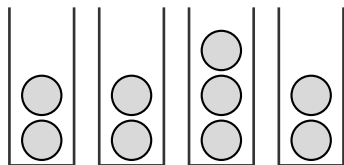
**Computing  $\text{Greedy}(S)$**



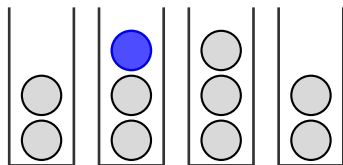
**Computing  $\text{Greedy}(S \cup \{x^*\})$**

Two key observations:

# ANALYZING THE RECOURSE



**Computing Greedy( $S$ )**



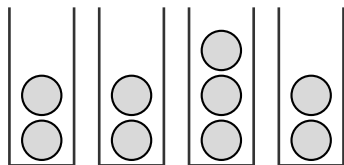
**Computing Greedy( $S \cup \{x^*\}$ )**

Two key observations:

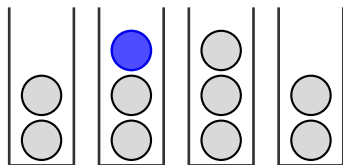
1. There's always one special bin with an extra ball



# ANALYZING THE RECOURSE



**Computing  $\text{Greedy}(S)$**

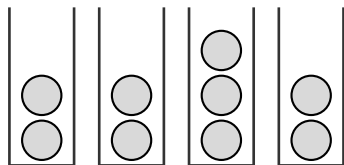


**Computing  $\text{Greedy}(S \cup \{x^*\})$**

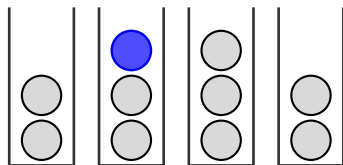
Two key observations:

1. There's always one special bin with an extra ball
2. If a ball incurs recourse, one of its choices is the special bin

## ANALYZING THE RECOURSE



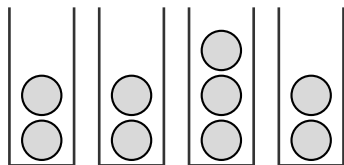
**Computing Greedy**( $S$ )



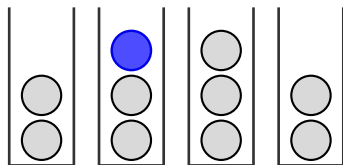
**Computing Greedy**( $S \cup \{x^*\}$ )

$$\Pr[\text{ball } x_i \text{ incurs recourse}] = O(1/n)$$

# ANALYZING THE RECOURSE



**Computing Greedy**( $S$ )



**Computing Greedy**( $S \cup \{x^*\}$ )

$$\Pr[\text{ball } x_i \text{ incurs recourse}] = O(1/n)$$

$$\implies \mathbb{E}[\text{total recourse}] = \sum_i \Pr[\text{ball } x_i \text{ incurs recourse}] = O(m/n)$$

# THIS PAPER

**Question:** Does there exist a **history-independent** solution with small **recourse** and **overload**?

**Theorem:** There exists a **history-independent** solution with:

- ▶ **Overload**  $O(1)$ , with high probability.
- ▶ Expected **recourse**  $O(\log \log(m/n))$ .

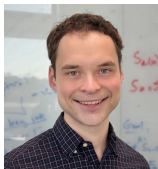
**Rest of Talk:** A simple history-independent algorithm with **overload**  $O(\log \log n)$  and **expected recourse**  $O(m/n)$ . ✓

# History-Independent Load Balancing



Michael A. Bender

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**Rose Silver**

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