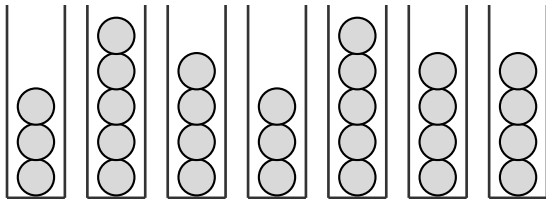


History-Independent Load Balancing



Michael A. Bender

Stony Brook University

Bill Kuszmaul

CMU

Elaine Shi

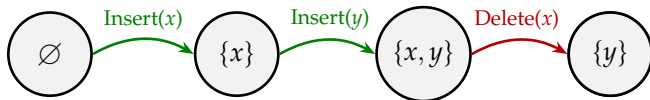
CMU

Rose Silver

CMU

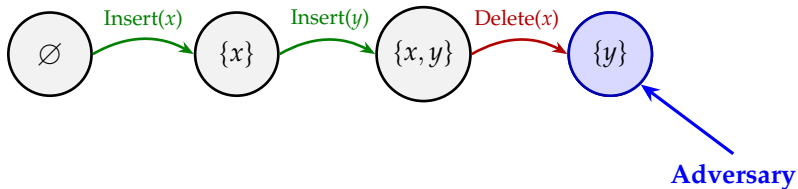
HISTORY-INDEPENDENT DATA STRUCTURES

History 1:

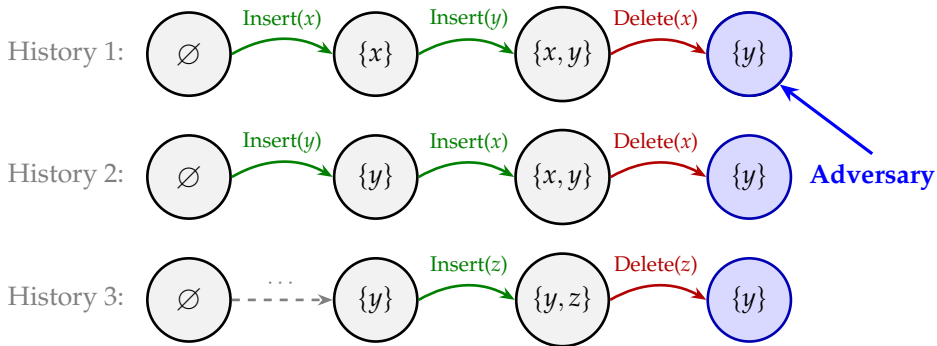


HISTORY-INDEPENDENT DATA STRUCTURES

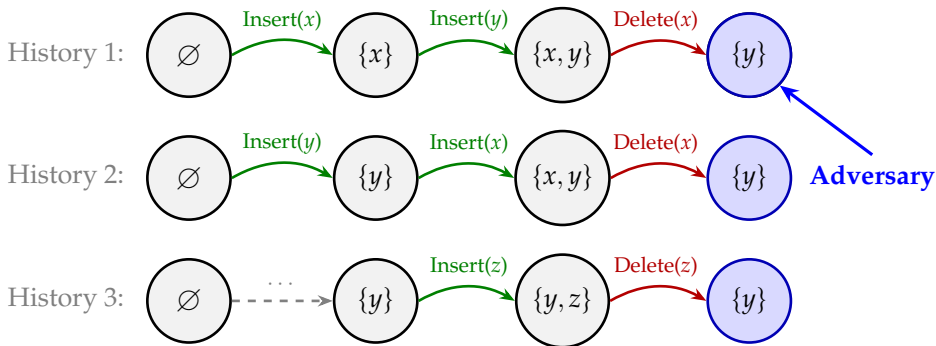
History 1:



HISTORY-INDEPENDENT DATA STRUCTURES



HISTORY-INDEPENDENT DATA STRUCTURES



History Independence (Micciancio '97, Naor & Teague '01)

- ▶ The state reveals only the current elements—**not the history of operations.**

HISTORY INDEPENDENT DATA STRUCTURES

A History of Applications

Hash tables, trees, memory allocation, PMAs, graph algorithms, cache-oblivious data structures, and more.

Micciancio '97, Naor & Teague '01, Buchbinder & Petrank '03, Molnar et al. '06, Blelloch & Golovin '07, Moran et al. '07, Naor et al. '08, Golovin '08–'10, Bajaj & Sion '13, Roche et al. '15, Bender et al. '16

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Yet fundamental questions remain open.

HISTORY INDEPENDENT DATA STRUCTURES

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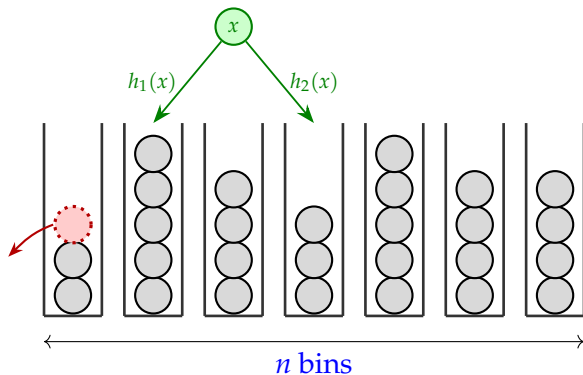
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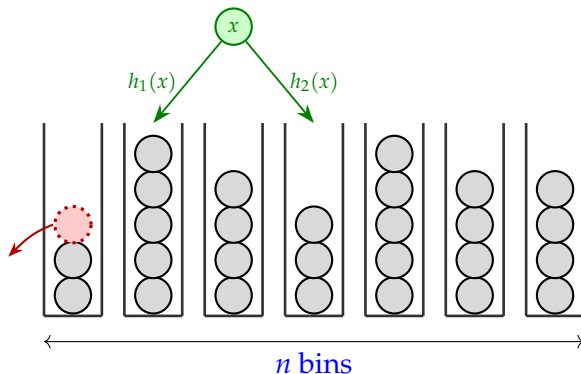
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This work: History-Independent Load Balancing

TWO-CHOICE LOAD BALANCING

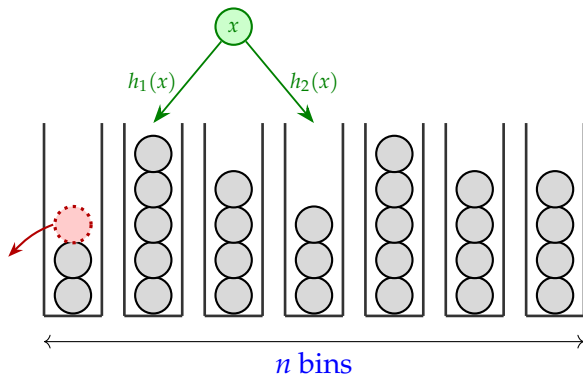


TWO-CHOICE LOAD BALANCING



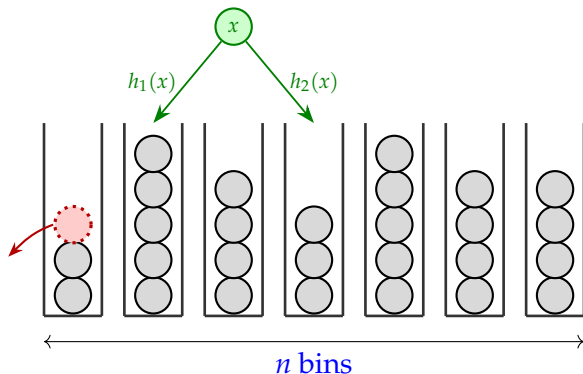
- Balls are **inserted**/**deleted**, with up to m present at a time.

TWO-CHOICE LOAD BALANCING



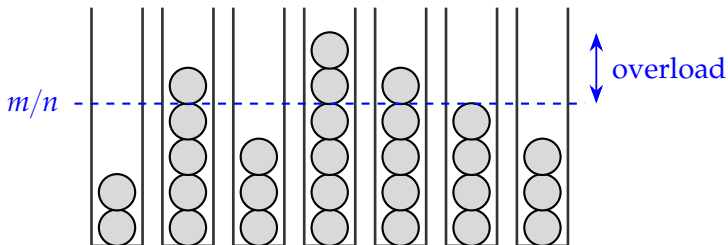
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TWO-CHOICE LOAD BALANCING



- ▶ Balls are **inserted**/**deleted**, with up to m present at a time.
- ▶ Each ball has two random bins where it can go.
- ▶ We must maintain a valid assignment of balls to bins.

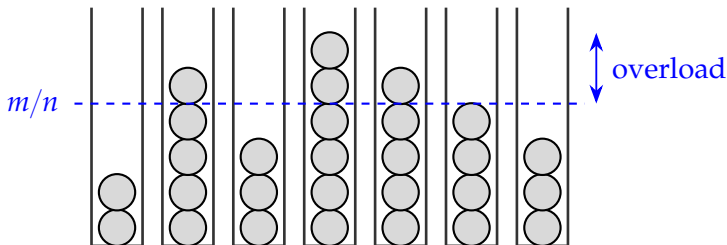
TWO GOALS



Minimize Overload:

- ▶ i.e., the amount by which the fullest bin exceeds m/n .

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Minimize Overload:

- ▶ i.e., the amount by which the fullest bin exceeds m/n .

Minimize Recourse:

- ▶ i.e., the number of balls moved around on any given insertion/deletion.

THIS PAPER

Question: Does there exist a **history-independent** solution with small **recourse** and **overload**?

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- ▶ Expected **recourse** $O(\log \log(m/n))$.

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Lots of work on the insertion-only case.

[Azar, Broder, Karlin and Upfal '94] [Berenbrink, Czumaj, Steger, and Vöcking '00][Dietzfelbinger and Weidling '07]
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Open Question:

Is there a **fully dynamic** solution with **recourse** $o(m/n)$ and **overload** $O(\log \log n)$?

Answer:

Yes! We get **recourse** $O(\log \log(m/n))$ and **overload** $O(1)$.

THIS PAPER

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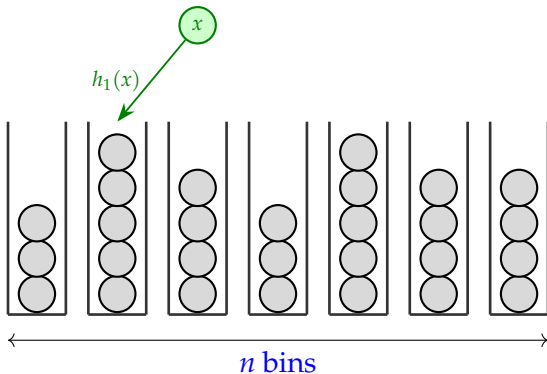
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Rest of Talk: A simple history-independent algorithm with **overload** $O(\log \log n)$ and **expected recourse** $O(m/n)$.

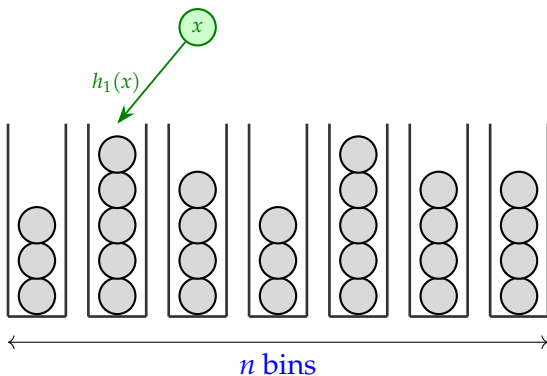
WARMUP 1: THE SINGLE-CHOICE STRATEGY

To insert a ball x , just put it in bin $h_1(x)$:



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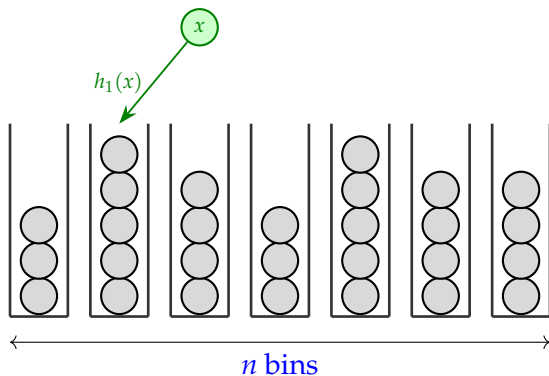
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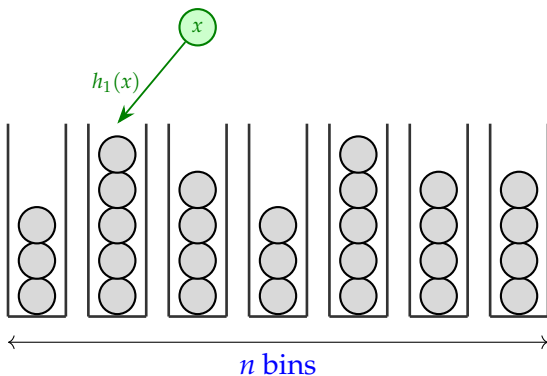
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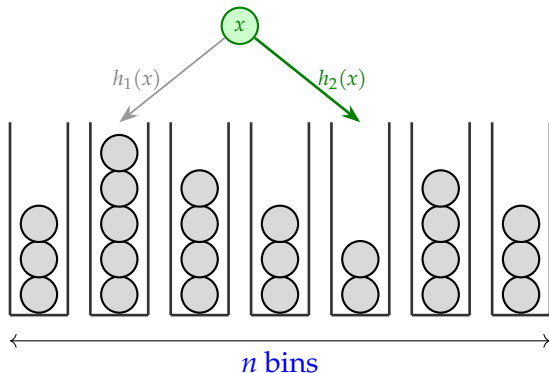
To insert a ball x , just put it in bin $h_1(x)$:



- ▶ This is history-independent ✓
- ▶ The recourse is 0 ✓
- ▶ But... the overload is huge, roughly $\sqrt{m/n}$ ✗

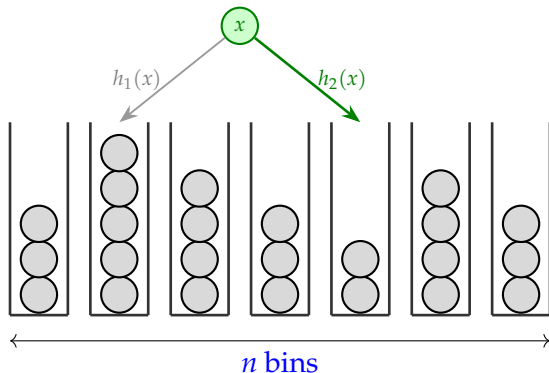
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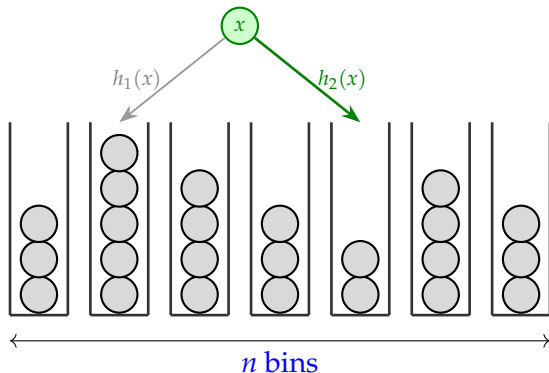
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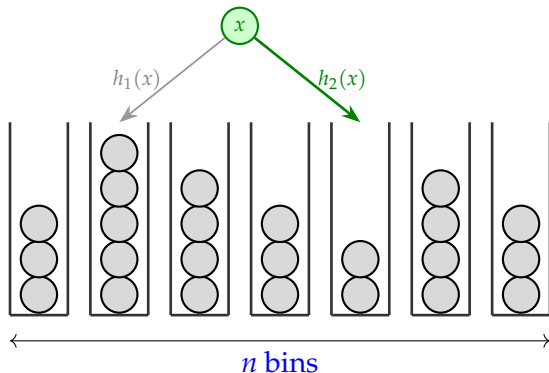
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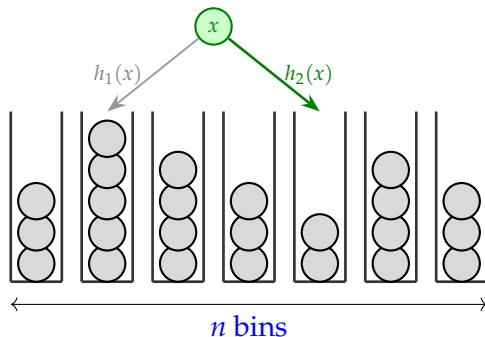


- ▶ This is **not** history-independent ✗
- ▶ The recourse is 0 ✓
- ▶ In the insertion-only case, the overload is $O(\log \log n)$ ✓

[Azar, Broder, Karlin and Upfal '94]

A SIMPLE HISTORY-INDEPENDENT ALGORITHM

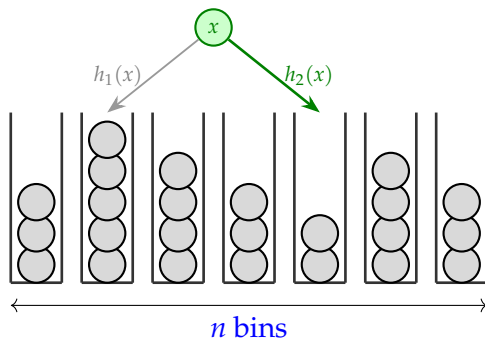
A SIMPLE HISTORY-INDEPENDENT ALGORITHM



Given a set S of balls, define $\text{Greedy}(S)$ as:

- ▶ Start with empty bins.
- ▶ Sort the balls in S to get a sequence x_1, x_2, \dots
- ▶ Insert x_1, x_2, \dots using the greedy algorithm.

A SIMPLE HISTORY-INDEPENDENT ALGORITHM

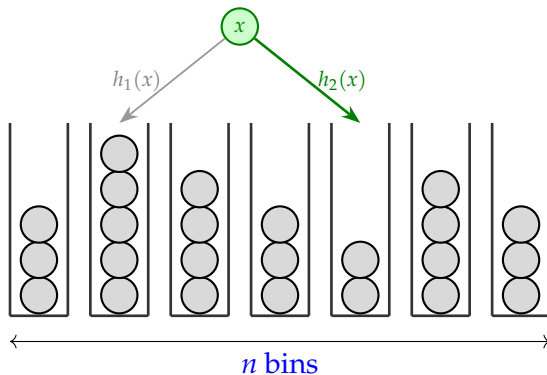


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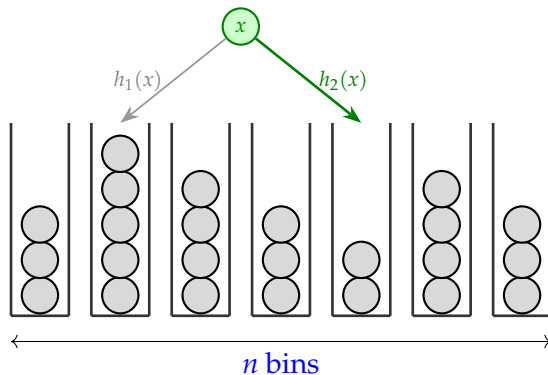
- ▶ Start with empty bins.
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A History-Independent Algorithm: If S is the current set, use $\text{Greedy}(S)$.

ANALYZING HISTORY-INDEPENDENT GREEDY

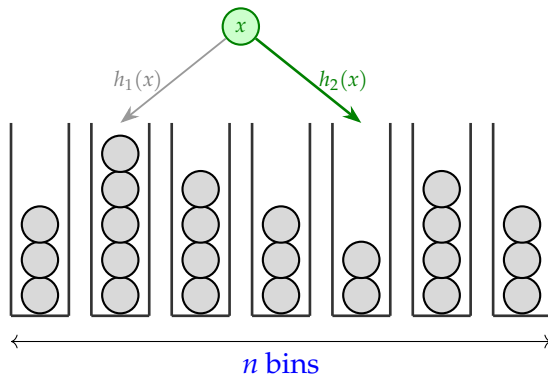


ANALYZING HISTORY-INDEPENDENT GREEDY



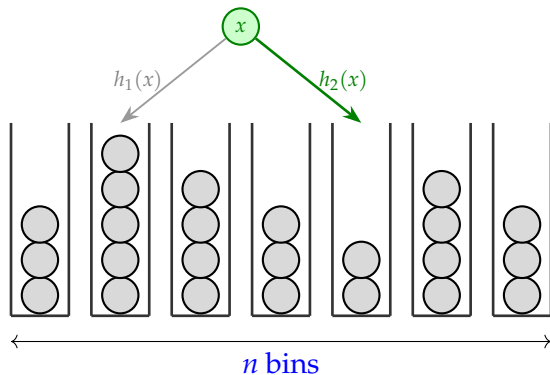
- The algorithm is history independent ✓

ANALYZING HISTORY-INDEPENDENT GREEDY



- ▶ The algorithm is history independent ✓
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ANALYZING HISTORY-INDEPENDENT GREEDY



- ▶ The algorithm is history independent ✓
- ▶ The overload is $O(\log \log n)$ ✓
- ▶ What is the recourse?

ANALYZING THE RECOURSE



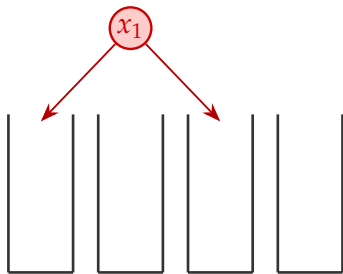
Computing $\text{Greedy}(S)$



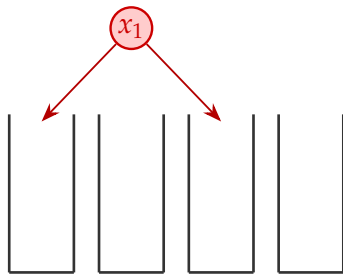
Computing $\text{Greedy}(S \cup \{x^*\})$

How does $\text{Greedy}(S)$ change if we add a ball x^* ?

ANALYZING THE RECOURSE



Computing Greedy(S)



Computing Greedy($S \cup \{x^*\}$)

ANALYZING THE RECOURSE

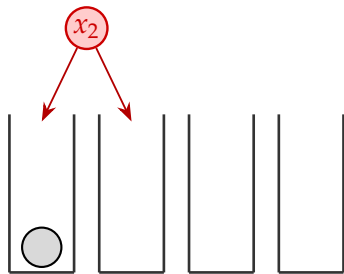


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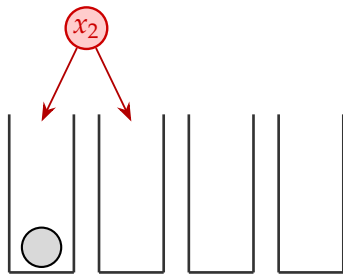


Computing $\text{Greedy}(S \cup \{x^*\})$

ANALYZING THE RECOURSE

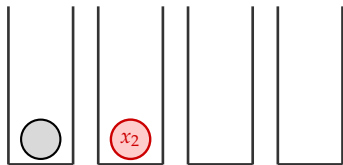


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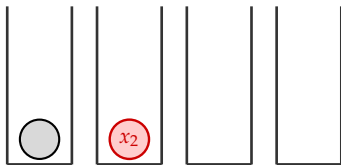


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ANALYZING THE RECOURSE

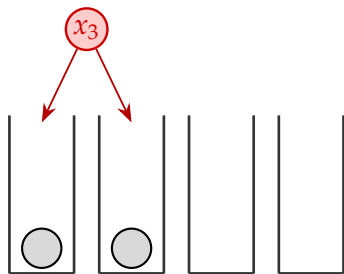


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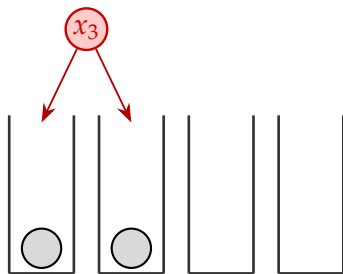


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ANALYZING THE RECOURSE

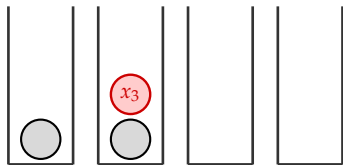


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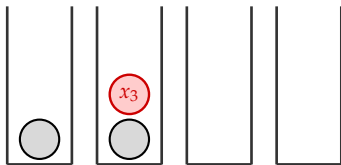


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ANALYZING THE RECOURSE

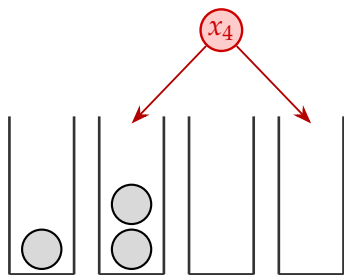


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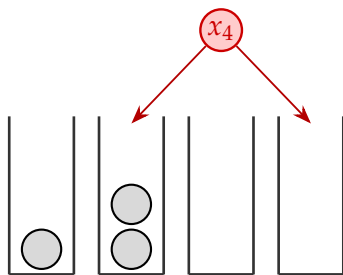


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ANALYZING THE RECOURSE

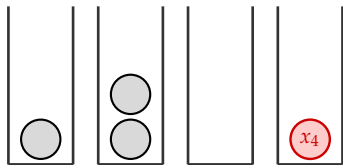


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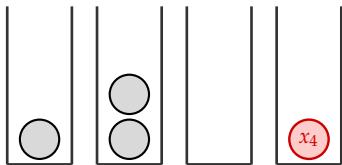


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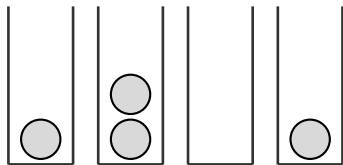


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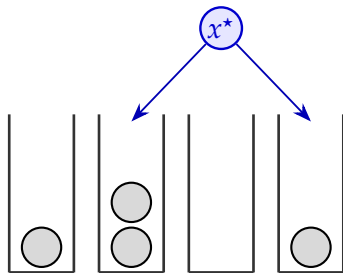


Computing Greedy($S \cup \{x^*\}$)

ANALYZING THE RECOURSE

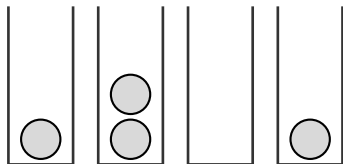


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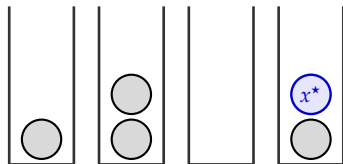


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ANALYZING THE RECOURSE

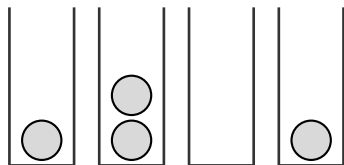


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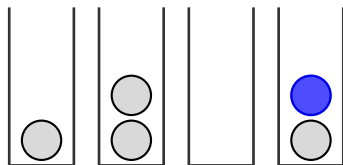


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ANALYZING THE RECOURSE



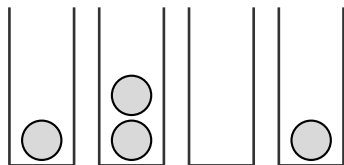
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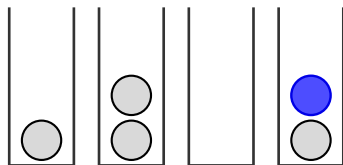
Computing $\text{Greedy}(S \cup \{x^*\})$

Subsequent balls will experience either:

ANALYZING THE RECOURSE



Computing $\text{Greedy}(S)$

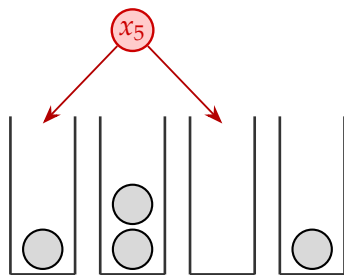


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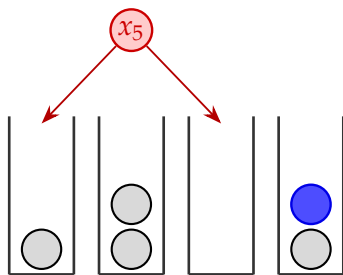
Subsequent balls will experience either:

1. No recourse

ANALYZING THE RECOURSE



Computing Greedy(S)

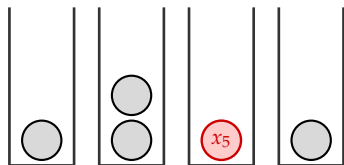


Computing Greedy($S \cup \{x^*\}$)

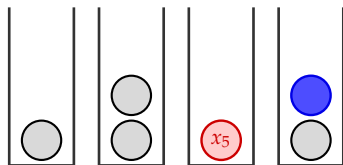
Future insertions will experience either:

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ANALYZING THE RECOURSE



Computing $\text{Greedy}(S)$

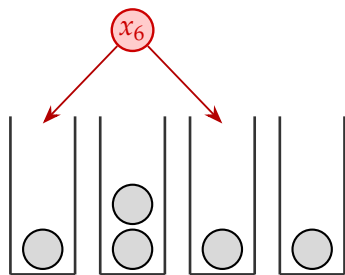


Computing $\text{Greedy}(S \cup \{x^*\})$

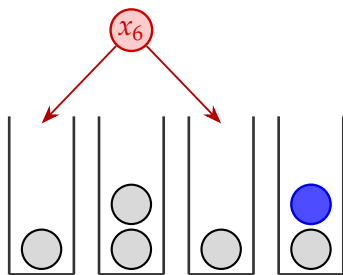
Subsequent balls will experience either:

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ANALYZING THE RECOURSE



Computing Greedy(S)

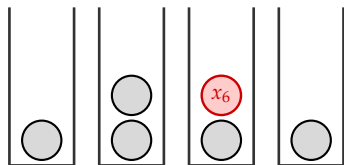


Computing Greedy($S \cup \{x^*\}$)

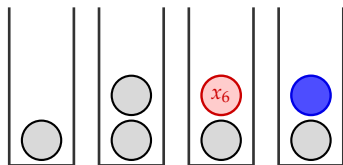
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ANALYZING THE RECOURSE



Computing $\text{Greedy}(S)$

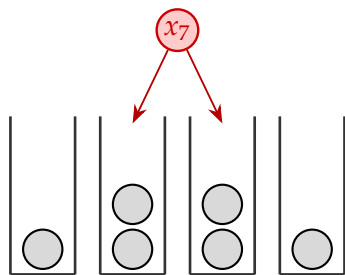


Computing $\text{Greedy}(S \cup \{x^*\})$

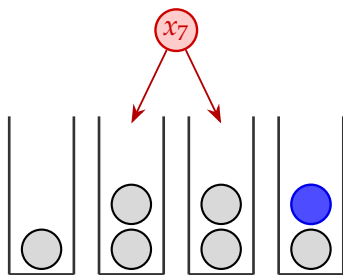
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ANALYZING THE RECOURSE



Computing Greedy(S)

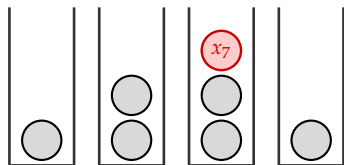


Computing Greedy($S \cup \{x^*\}$)

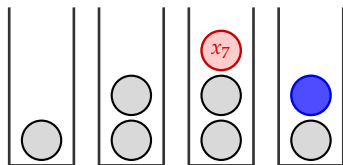
Subsequent balls will experience either:

1. No recourse

ANALYZING THE RECOURSE



Computing $\text{Greedy}(S)$

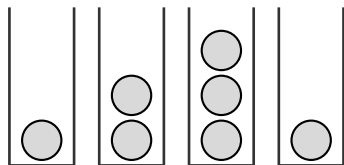


Computing $\text{Greedy}(S \cup \{x^*\})$

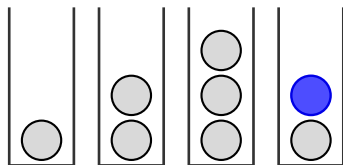
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ANALYZING THE RECOURSE



Computing Greedy(S)

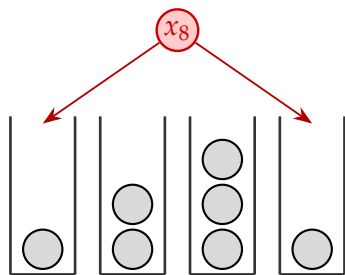


Computing Greedy($S \cup \{x^*\}$)

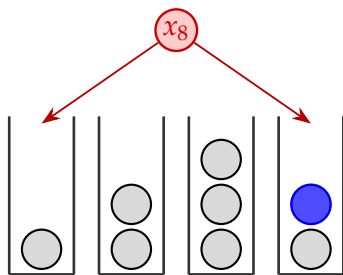
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ANALYZING THE RECOURSE



Computing $\text{Greedy}(S)$

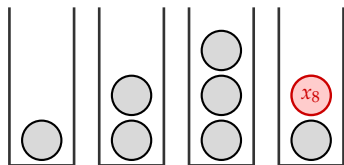


Computing $\text{Greedy}(S \cup \{x^*\})$

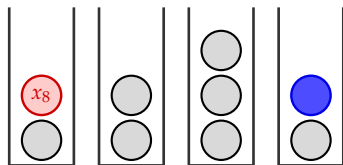
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ANALYZING THE RECOURSE



Computing $\text{Greedy}(S)$

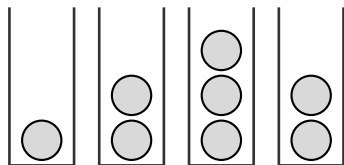


Computing $\text{Greedy}(S \cup \{x^*\})$

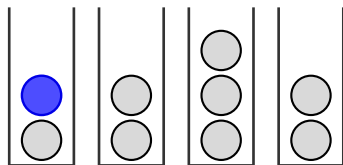
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ANALYZING THE RECOURSE



Computing Greedy(S)

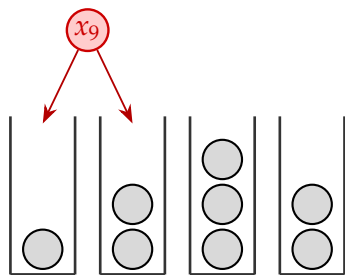


Computing Greedy($S \cup \{x^*\}$)

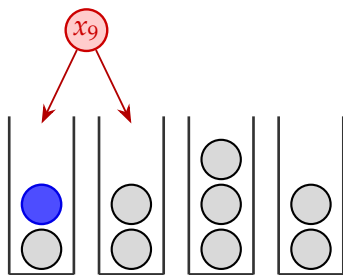
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ANALYZING THE RECOURSE



Computing Greedy(S)

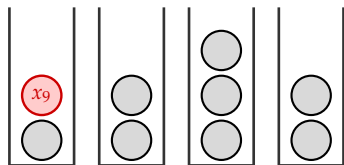


Computing Greedy($S \cup \{x^*\}$)

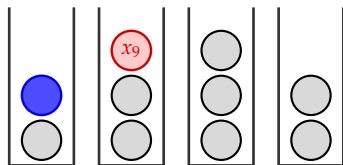
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ANALYZING THE RECOURSE



Computing Greedy(S)

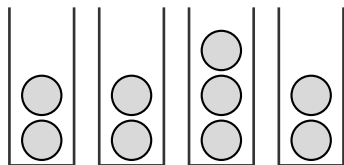


Computing Greedy($S \cup \{x^*\}$)

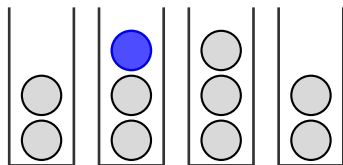
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ANALYZING THE RECOURSE



Computing $\text{Greedy}(S)$

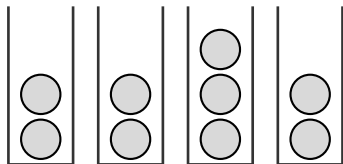


Computing $\text{Greedy}(S \cup \{x^*\})$

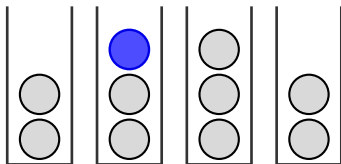
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ANALYZING THE RECOURSE



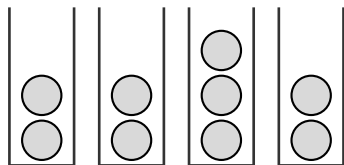
Computing $\text{Greedy}(S)$



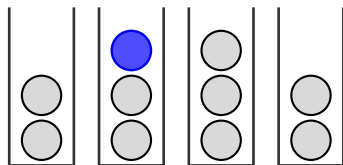
Computing $\text{Greedy}(S \cup \{x^*\})$

Two key observations:

ANALYZING THE RECOURSE



Computing Greedy(S)

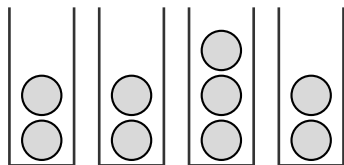


Computing Greedy($S \cup \{x^*\}$)

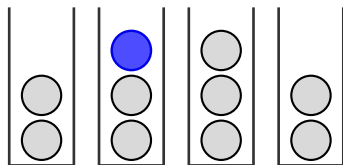
Two key observations:

1. There's always one special bin with an extra ball

ANALYZING THE RECOURSE



Computing $\text{Greedy}(S)$

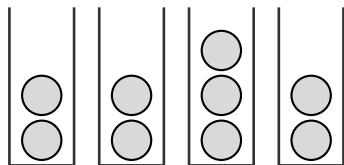


Computing $\text{Greedy}(S \cup \{x^*\})$

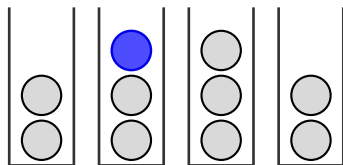
Two key observations:

1. There's always one special bin with an extra ball
2. If a ball incurs recourse, one of its choices is the special bin

ANALYZING THE RECOURSE



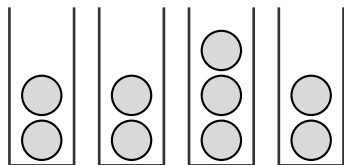
Computing Greedy(S)



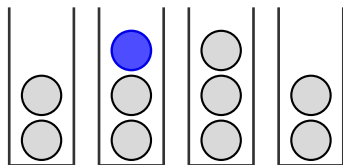
Computing Greedy($S \cup \{x^*\}$)

$$\Pr[\text{ball } x_i \text{ incurs recourse}] = O(1/n)$$

ANALYZING THE RECOURSE



Computing Greedy(S)



Computing Greedy($S \cup \{x^*\}$)

$$\Pr[\text{ball } x_i \text{ incurs recourse}] = O(1/n)$$

$$\implies \mathbb{E}[\text{total recourse}] = \sum_i \Pr[\text{ball } x_i \text{ incurs recourse}] = O(m/n)$$

THIS PAPER

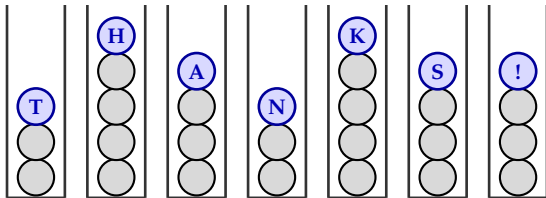
Question: Does there exist a **history-independent** solution with small **recourse** and **overload**?

Theorem: There exists a **history-independent** solution with:

- ▶ **Overload** $O(1)$, with high probability.
- ▶ Expected **recourse** $O(\log \log(m/n))$.

Rest of Talk: A simple history-independent algorithm with **overload** $O(\log \log n)$ and **expected recourse** $O(m/n)$. ✓

History-Independent Load Balancing



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Elaine Shi

CMU

Rose Silver

CMU