

# 1 Motivation

Let  $(X, \mathcal{O}_X)$  be a scheme. The global sections functor is left exact, meaning that for a short exact sequence

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$

of quasicoherent sheaves, the following sequence

$$0 \longrightarrow \Gamma(X, \mathcal{F}) \longrightarrow \Gamma(X, \mathcal{G}) \longrightarrow \Gamma(X, \mathcal{H})$$

is exact, but the last map is not in general surjective. For example if  $X = \mathbb{P}_k^1$ , then applying global sections to the short exact sequence

$$0 \longrightarrow \mathcal{O}(-2) \longrightarrow \mathcal{O}(1)^{\oplus 2} \longrightarrow \mathcal{O} \longrightarrow 0$$

gives

$$0 \longrightarrow 0 \longrightarrow 0 \longrightarrow k,$$

and the last map is clearly not surjective. One of the *raison-d'être* of sheaf cohomology is to measure to what extent  $\Gamma(X, \cdot)$  fails to be right exact. The “shape” of the answer will be a sequence of additive functors  $H^i: \mathbf{QCoh}(X) \rightarrow \mathbf{Ab}$  such that for every short exact sequence

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$

of quasicoherent sheaves, there exist  $\delta^i: H^i(\mathcal{H}) \rightarrow H^{i+1}(\mathcal{F})$  producing a long exact sequence

$$\cdots \longrightarrow H^i(\mathcal{H}) \xrightarrow{\delta^i} H^{i+1}(\mathcal{F}) \longrightarrow H^{i+1}(\mathcal{G}) \longrightarrow \cdots$$

which is functorial,  $H^0 \cong \Gamma$ , and universal with respect to these properties. Why should we care about surjective morphisms of quasicoherent sheaves? We begin with some motivating examples.

## 1.1 Maps to projective space

Let  $X$  be a  $k$ -scheme and  $V$  be a  $k$ -vector space. Let  $\mathbb{P}V = \mathrm{Proj} \mathrm{Sym} V^\vee$ . Then by the universal property of  $\mathrm{Proj}$ , a map  $f: X \rightarrow \mathbb{P}V$  is the same as a surjective morphism  $V \otimes \mathcal{O}_X \rightarrow \mathcal{L} \rightarrow 0$ , where  $\mathcal{L}$  is the pullback. For example if  $V = \Gamma(X, \mathcal{L})$ , we are interested in determining when the map  $\mathrm{ev}: \Gamma(X, \mathcal{L}) \otimes \mathcal{O}_X \rightarrow \mathcal{L}$  is surjective. For a closed point  $x \in X$ , let  $\mathcal{I}_x$  denote the ideal sheaf. We can check surjectivity on stalks, leading us to consider the sequence

$$0 \longrightarrow \mathcal{I}_x \otimes \mathcal{L} \longrightarrow \mathcal{L} \longrightarrow \mathcal{L}|_x \longrightarrow 0$$

which becomes, under global sections,

$$0 \longrightarrow \Gamma(\mathcal{I}_x \otimes \mathcal{L}) \longrightarrow \Gamma(\mathcal{L}) \longrightarrow \Gamma(\mathcal{L}|_x) \xrightarrow{\delta^0} H^1(\mathcal{I}_x \otimes \mathcal{L}).$$

So  $\text{ev}$  is surjective if  $H^1(\mathcal{I}_x \otimes \mathcal{L}) = 0$  for all closed points  $x \in X$ .

Another interesting question is when  $X \rightarrow \mathbb{P}\Gamma(X, \mathcal{L})$  is injective. In terms of closed points, it is sufficient to demand that  $\Gamma(X, \mathcal{L}) \rightarrow \Gamma(\mathcal{L}_x \oplus \mathcal{L}_y)$  be surjective. That is,

$$0 \rightarrow \mathcal{I}_{x \cup y} \otimes \mathcal{L} \rightarrow \mathcal{L} \rightarrow \mathcal{L}_x \oplus \mathcal{L}_y \rightarrow 0$$

gives the long exact sequence

$$0 \rightarrow \Gamma(\mathcal{I}_{x \cup y} \otimes \mathcal{L}) \rightarrow \Gamma(\mathcal{L}) \rightarrow \Gamma(\mathcal{L}_x \oplus \mathcal{L}_y) \xrightarrow{\delta^0} H^1(\mathcal{I}_{x \cup y} \otimes \mathcal{L}).$$

A sufficient condition would then be  $H^1(\mathcal{I}_{x \cup y} \otimes \mathcal{L}) = 0$  for all closed points  $x, y \in X$ .

Finally, when is  $X \rightarrow \mathbb{P}\Gamma(X, \mathcal{L})$  an immersion? Using homogeneous coordinates, we need  $\bigoplus \Gamma(X, \mathcal{L}^{\otimes n})$  to be generated in degree 1. That is,  $\text{Sym} \Gamma(X, \mathcal{L}) \rightarrow \bigoplus \Gamma(X, \mathcal{L}^{\otimes n})$  should be surjective. Since  $\mathcal{L}$  is locally free, tensoring the sequence

$$0 \rightarrow \ker \rightarrow \Gamma(X, \mathcal{L}) \otimes \mathcal{O} \rightarrow \mathcal{L} \rightarrow 0$$

gives

$$0 \rightarrow \ker \otimes \mathcal{L} \rightarrow \Gamma(X, \mathcal{L}) \otimes \mathcal{L} \rightarrow \mathcal{L}^{\otimes 2} \rightarrow 0$$

and then applying global sections,

$$0 \rightarrow \Gamma(\ker \otimes \mathcal{L}) \rightarrow \Gamma(X, \mathcal{L})^{\otimes 2} \rightarrow \Gamma(\mathcal{L}^{\otimes 2}) \xrightarrow{\delta^0} H^1(\ker \otimes \mathcal{L}).$$

Once again, a sufficient condition is  $H^1(\ker \otimes \mathcal{L}) = 0$ .

## 1.2 A source of invariants for the classification of varieties

Let  $X$  be a proper  $k$ -scheme. In this case, it will be a theorem that  $H^i(X, \mathcal{F})$  is finite-dimensional for  $\mathcal{F}$  coherent. Cohomology is a common source of invariants. For example,

- Let  $X$  be a smooth curve. Then its genus is  $\dim H^1(X, \mathcal{O}_X)$ .
- In general,  $\dim H^i(X, \mathcal{O}_X)$  is a birational invariant for any smooth  $X$ .
- Let  $X$  be smooth. Then  $\dim H^0(X, (\Lambda^{\dim X} \Omega_X^1)^{\otimes n})$  are birational invariants called plurigenera.
- Let  $X$  be smooth. Then  $\dim H^p(X, (\Lambda^{\dim X} \Omega_X^1)^{\otimes n})$  are called Hodge numbers.
- Let  $\mathcal{F}$  be a coherent sheaf. Then  $\chi(X, \mathcal{F}) = \sum_i (-1)^i \dim H^i(X, \mathcal{F})$  is the Euler characteristic.

## 1.3 Intersection theory

Let  $X$  be a smooth projective surface and  $C, D$  curves in  $X$ . Then  $C \cdot D$ , which can be thought of as the points of intersection, is defined as

$$\chi(\mathcal{I}_C \mathcal{I}_D) - \chi(\mathcal{I}_C) - \chi(\mathcal{I}_D) + \chi(\mathcal{O}_X).$$

## 1.4 Hodge theory

Let  $X$  be a smooth projective complex variety. There are deep relationships between the cohomology of coherent sheaves on  $X$  and the singular cohomology of the complex manifold naturally associated to  $X$ .

## 2 Derived Functors

### 2.1 Abelian categories

A category  $\mathcal{C}$  is called *abelian* if

- (a) Each hom set possesses the structure of an abelian group with respect to which composition is bilinear.
- (b) It has a zero object.
- (c) It has a biproduct; that is, finite coproducts and products exist and the natural map  $A \sqcup B \rightarrow A \times B$  is an isomorphism.
- (d) Kernels and cokernels exist.
- (e) For  $f: A \rightarrow B$ , the natural map

$$\text{coker}(\ker f \rightarrow A) \longrightarrow \ker(B \rightarrow \text{coker } f)$$

is an isomorphism.

It turns out that the group structure on hom sets can be recovered from the biproduct, so being abelian is a property, not an additional datum.

**Example 2.1.1.**  $R\text{-Mod}$  and  $\mathcal{O}_X\text{-Mod}$  are abelian categories.

**Counterexample 2.1.2.** Free abelian groups are not an abelian category.

**Counterexample 2.1.3.** Hausdorff topological groups are not an abelian category. For example  $\mathbb{Q} \rightarrow \mathbb{R}$  has image  $\mathbb{Q}$  but coimage  $\mathbb{R}$ . Also  $\mathbb{Z} \xrightarrow{2} \mathbb{Z}$  has image  $2\mathbb{Z}$  but coimage  $\mathbb{Z}$ .

### 2.2 $\delta$ -functors

Let  $F$  be a left exact additive functor; that is,  $F$  preserves left exact sequences and  $F$  is a group homomorphism on hom sets.

**Definition 2.2.1**

A  $\delta$ -functor is a sequence of additive functors  $T^i: A \rightarrow B$  such that for any short exact sequence  $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$  in  $A$ , there exist morphisms  $\delta^i: T^i(W) \rightarrow T^{i+1}(U)$  such that

$$\cdots \rightarrow T^i(W) \xrightarrow{\delta^i} T^{i+1}(U) \rightarrow T^{i+1}(V) \rightarrow \cdots$$

is a long exact sequence in  $B$  and  $\delta^i$  is functorial in the following sense: given a morphism of short exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & U & \longrightarrow & V & \longrightarrow & W \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & U' & \longrightarrow & V' & \longrightarrow & W' \longrightarrow 0, \end{array}$$

the following square commutes:

$$\begin{array}{ccc} T^i(W) & \xrightarrow{\delta^i} & T^{i+1}(U) \\ \downarrow & & \downarrow \\ T^i(W') & \xrightarrow{\delta^i} & T^{i+1}(U'). \end{array}$$

We say  $T^i$  extends  $F$  if  $T^0 = F$ .

We define the category  $\delta\text{-Fun}(A, B)$  to be the category whose objects are  $\delta$ -functors and whose morphisms are natural transformations  $t^i: T^i \rightarrow S^i$  such that for any short exact sequence

$$0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$$

in  $A$ , the following square commutes:

$$\begin{array}{ccc} T^i(W) & \xrightarrow{\delta^i} & T^{i+1}(U) \\ \downarrow t^i & & \downarrow t^{i+1} \\ S^i(W) & \xrightarrow{\delta^i} & S^{i+1}(U). \end{array}$$

We call a  $\delta$ -functor  $T^i$  *universal* if  $\text{Hom}_{\delta\text{-Fun}(A, B)}(T^i, S^i) \cong \text{Hom}_{\text{Fun}(A, B)}(T^0, S^0)$ , and *effaceable* if for each  $U \in A$ , there exists a monomorphism  $0 \rightarrow U \xrightarrow{u} V$  such that  $T^i(u) = 0$  for  $i > 0$ .

**Proposition 2.2.2**

Let  $T^i$  be an effaceable  $\delta$ -functor. Then  $T^i$  is a universal  $\delta$ -functor.

*Proof.* Let  $S^i$  be a  $\delta$ -functor and  $t^0: T^0 \rightarrow S^0$  a natural transformation. By induction on  $n$ , we construct natural transformations  $t^i$  for  $i \leq n$  which are compatible with the  $\delta^i$

for  $i < n$ . Given  $U \in A$ , pick a monomorphism  $u: U \rightarrow V$ . Let  $W = \text{coker } u$ . The short exact sequence

$$0 \longrightarrow U \xrightarrow{u} V \longrightarrow \text{coker } u \longrightarrow 0$$

gives a long exact sequence

$$\cdots \longrightarrow T^n(U) \longrightarrow T^n(V) \longrightarrow T^n(W) \xrightarrow{\delta^n} T^{n+1}(U) \xrightarrow{0} T^{n+1}(V) \longrightarrow \cdots,$$

which shows that  $T^{n+1}(U) \cong \text{coker}(T^n(V) \rightarrow T^n(W))$ . Consider the commutative diagram

$$\begin{array}{ccccc} T^n(V) & \longrightarrow & T^n(W) & \xrightarrow{\delta^n} & T^{n+1}(U) \cong \text{coker}(T^n(V) \rightarrow T^n(W)) \\ \downarrow t^n & & \downarrow t^n & & \\ S^n(V) & \longrightarrow & S^n(W) & \xrightarrow{\delta^n} & S^{n+1}(U) \cong \text{coker}(S^n(V) \rightarrow S^n(W)). \end{array}$$

By the universal property of  $\text{coker}(T^n(V) \rightarrow T^n(W))$ , there exists a unique vertical arrow making the following diagram commute:

$$\begin{array}{ccc} T^n(W) & \xrightarrow{\delta^n} & T^{n+1}(U) \\ \downarrow t^n & & \downarrow \exists! \\ S^n(W) & \xrightarrow{\delta^n} & S^{n+1}(U). \end{array}$$

We define  $t_U^{n+1}: T^{n+1}(U) \rightarrow S^{n+1}(U)$  to be this vertical arrow, which is compatible with  $\delta^n$  by construction. It remains to show that  $t^{n+1}$  defines a natural transformation  $T^{n+1} \rightarrow S^{n+1}$ . A morphism  $U \rightarrow U'$  yields a morphism of short exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & U & \longrightarrow & V & \longrightarrow & W \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & U' & \longrightarrow & V' & \longrightarrow & W' \longrightarrow 0, \end{array}$$

since  $U' \rightarrow V'$  is mono. The following cube commutes:

$$\begin{array}{ccccc} & & T^n(W) & \longrightarrow & T^n(W') \\ & \swarrow \delta^n & \downarrow t^n & \swarrow \delta^n & \downarrow t^n \\ T^{n+1}(U) & \longrightarrow & T^{n+1}(U') & & \\ \downarrow t^{n+1} & & \downarrow t^{n+1} & & \downarrow t^n \\ & \swarrow \delta^n & S^n(W) & \longrightarrow & S^n(W') \\ & \downarrow t^{n+1} & \downarrow t^{n+1} & & \downarrow t^n \\ S^{n+1}(U) & \longrightarrow & S^{n+1}(U') & & \end{array}$$

Indeed, the rear face commutes by naturality of  $t^n$ , the top and bottom faces commute by functoriality of  $\delta^n$ , the left and right faces commute by compatibility of  $t^n$  with  $\delta^n$ , and the  $\delta^n$  are all epimorphisms. The front face is the desired naturality of  $t^{n+1}$ .  $\square$

We have thus reduced our search for universal  $\delta$ -functors to a search for effaceable  $\delta$ -functors. This line of inquiry leads us to injective objects. We say  $I \in A$  is *injective* if it satisfies the following universal lifting property: given an injection  $X \rightarrow Y$  and a map  $X \rightarrow I$ , there exists a lift  $Y \rightarrow I$ .

$$\begin{array}{ccccc} 0 & \longrightarrow & X & \longrightarrow & Y \\ & & \downarrow & \nearrow \exists & \\ & & I & & \end{array}$$

### Proposition 2.2.3

$I$  satisfies the universal lifting property if and only if  $\text{Hom}(\cdot, I)$  is exact.

*Proof.* ( $\implies$ ) Let  $0 \rightarrow U \xrightarrow{u} V \xrightarrow{v} W \rightarrow 0$  be a short exact sequence. We want to show

$$0 \rightarrow \text{Hom}(W, I) \rightarrow \text{Hom}(V, I) \rightarrow \text{Hom}(U, I) \rightarrow 0$$

is exact. Since  $\text{Hom}(\cdot, I)$  is always left exact, it remains to show  $\text{Hom}(V, I) \rightarrow \text{Hom}(U, I)$  given by precomposing with  $u$  is surjective. This is just the lifting property.

( $\impliedby$ ) Let  $u: U \rightarrow V$  and let  $\alpha: U \rightarrow I$  be given. Since  $f \mapsto f \circ u$  is a surjection  $\text{Hom}(V, I) \rightarrow \text{Hom}(U, I)$ , there exists  $\beta: V \rightarrow I$  such that  $\beta \circ u = \alpha$ .  $\square$

**Example 2.2.4.** The divisible abelian groups; that is,  $\mathbb{Q}$  and  $\mathbb{Z}[\frac{1}{p}]/\mathbb{Z}$ , are injective. In fact, every injective in  $\mathbf{Ab}$  is a direct sum of these.

### Proposition 2.2.5

Let  $T^i$  be effaceable. Let  $I$  be injective. Then  $T^i(I) = 0$  for  $i > 0$ .

*Proof.* Since  $T^i$  is effaceable, there exists a monomorphism  $0 \rightarrow I \xrightarrow{u} V$  such that  $T^i(u) = 0$  for  $i > 0$ . Write a short exact sequence

$$0 \rightarrow I \xrightarrow{u} V \rightarrow W \rightarrow 0.$$

The identity  $I \rightarrow I$  has a lift  $s: V \rightarrow I$ , so for  $i > 0$ ,

$$0 = T^i(s) \circ T^i(u) = T^i(s \circ u) = T^i(\text{id}_I) = \text{id}_{T^i(I)}.$$

Hence  $T^i(I) = 0$  for  $i > 0$ .  $\square$

We say  $A$  has enough injectives if for any  $A \in U$ , there exists a monomorphism  $0 \rightarrow U \rightarrow I$ . An *injective resolution* of  $U$  is an exact sequence  $0 \rightarrow U \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$ . We denote the chain complex by  $I^\bullet = (I^n)_{n \in \mathbb{N}}$ . If  $A$  has enough injectives, then every object has an

injective resolution. Indeed, pick a monomorphism  $0 \rightarrow U \rightarrow I^0$ , then complete the exact sequence

$$0 \longrightarrow U \longrightarrow I^0 \longrightarrow W^0 \longrightarrow 0.$$

Then repeat the process with  $W^0$  to get

$$0 \longrightarrow W^0 \longrightarrow I^1 \longrightarrow W^1 \longrightarrow 0,$$

and so on. This will be our recipe for computing effaceable  $\delta$ -functors. More precisely, given a left exact functor  $F: A \rightarrow B$ , let  $R^i F(U) = H^i(F(I^\bullet))$ , where  $I^\bullet$  is an injective resolution of  $U$ . We first show this is well-defined.

**Theorem 2.2.6**

$R^i F$  is a universal  $\delta$ -functor such that  $R^0 F \cong F$ .