

1 Motivation

Let (X, \mathcal{O}_X) be a scheme. The global sections functor is left exact, meaning that for a short exact sequence

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$

of quasicoherent sheaves, the following sequence

$$0 \longrightarrow \Gamma(X, \mathcal{F}) \longrightarrow \Gamma(X, \mathcal{G}) \longrightarrow \Gamma(X, \mathcal{H})$$

is exact, but the last map is not in general surjective. For example if $X = \mathbb{P}_k^1$, then applying global sections to the short exact sequence

$$0 \longrightarrow \mathcal{O}(-2) \longrightarrow \mathcal{O}(1)^{\oplus 2} \longrightarrow \mathcal{O} \longrightarrow 0$$

gives

$$0 \longrightarrow 0 \longrightarrow 0 \longrightarrow k,$$

and the last map is clearly not surjective. One of the raisons-d'être of sheaf cohomology is to measure to what extent $\Gamma(X, \cdot)$ fails to be right exact. The “shape” of the answer will be a sequence of additive functors $H^i: \mathrm{QCoh}(X) \rightarrow \mathrm{Ab}$ such that for every short exact sequence

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$

of quasicoherent sheaves, there exist $\delta^i: H^i(\mathcal{H}) \rightarrow H^{i+1}(\mathcal{F})$ producing a long exact sequence

$$\cdots \longrightarrow H^i(\mathcal{H}) \xrightarrow{\delta^i} H^{i+1}(\mathcal{F}) \longrightarrow H^{i+1}(\mathcal{G}) \longrightarrow \cdots$$

which is functorial, $H^0 \cong \Gamma$, and universal with respect to these properties. Why should we care about surjective morphisms of quasicoherent sheaves? We begin with some motivating examples.

1.1 Maps to projective space

Let X be a k -scheme and V be a k -vector space. Let $\mathbb{P}V = \mathrm{Proj} \mathrm{Sym} V^\vee$. Then by the universal property of Proj, a map $f: X \rightarrow \mathbb{P}V$ is the same as a surjective morphism $V \otimes \mathcal{O}_X \rightarrow \mathcal{L} \rightarrow 0$, where \mathcal{L} is the pullback. For example if $V = \Gamma(X, \mathcal{L})$, we are interested in determining when the map $\mathrm{ev}: \Gamma(X, \mathcal{L}) \otimes \mathcal{O}_X \rightarrow \mathcal{L}$ is surjective. For a closed point $x \in X$, let \mathcal{I}_x denote the ideal sheaf. We can check surjectivity on stalks, leading us to consider the sequence

$$0 \longrightarrow \mathcal{I}_x \otimes \mathcal{L} \longrightarrow \mathcal{L} \longrightarrow \mathcal{L}|_x \longrightarrow 0$$

which becomes, under global sections,

$$0 \longrightarrow \Gamma(\mathcal{I}_x \otimes \mathcal{L}) \longrightarrow \Gamma(\mathcal{L}) \longrightarrow \Gamma(\mathcal{L}|_x) \xrightarrow{\delta^0} H^1(\mathcal{I}_x \otimes \mathcal{L}).$$

So ev is surjective if $H^1(\mathcal{I}_x \otimes \mathcal{L}) = 0$ for all closed points $x \in X$.

Another interesting question is when $X \rightarrow \mathbb{P}\Gamma(X, \mathcal{L})$ injective. In terms of closed points, it is sufficient to demand that $\Gamma(X, \mathcal{L}) \rightarrow \Gamma(\mathcal{L}_x \oplus \mathcal{L}_y)$ be surjective. That is,

$$0 \longrightarrow \mathcal{I}_{x \cup y} \otimes \mathcal{L} \longrightarrow \mathcal{L} \longrightarrow \mathcal{L}_x \oplus \mathcal{L}_y \longrightarrow 0$$

gives the long exact sequence

$$0 \longrightarrow \Gamma(\mathcal{I}_{x \cup y} \otimes \mathcal{L}) \longrightarrow \Gamma(\mathcal{L}) \longrightarrow \Gamma(\mathcal{L}_x \oplus \mathcal{L}_y) \xrightarrow{\delta^0} H^1(\mathcal{I}_{x \cup y} \otimes \mathcal{L}).$$

A sufficient condition would then be $H^1(\mathcal{I}_{x \cup y} \otimes \mathcal{L}) = 0$ for all closed points $x, y \in X$.

Finally, when is $X \rightarrow \mathbb{P}\Gamma(X, \mathcal{L})$ an immersion? Using homogeneous coordinates, we need $\bigoplus \Gamma(X, \mathcal{L}^{\otimes n})$ to be generated in degree 1. That is, $\text{Sym } \Gamma(X, \mathcal{L}) \rightarrow \bigoplus \Gamma(X, \mathcal{L}^{\otimes n})$ should be surjective. Since \mathcal{L} is locally free, tensoring the sequence

$$0 \longrightarrow \ker \longrightarrow \Gamma(X, \mathcal{L}) \otimes \mathcal{O} \longrightarrow \mathcal{L} \longrightarrow 0$$

gives

$$0 \longrightarrow \ker \otimes \mathcal{L} \longrightarrow \Gamma(X, \mathcal{L}) \otimes \mathcal{L} \longrightarrow \mathcal{L}^{\otimes 2} \longrightarrow 0$$

and then applying global sections,

$$0 \longrightarrow \Gamma(\ker \otimes \mathcal{L}) \longrightarrow \Gamma(X, \mathcal{L})^{\otimes 2} \longrightarrow \Gamma(\mathcal{L}^{\otimes 2}) \xrightarrow{\delta^0} H^1(\ker \otimes \mathcal{L}).$$

Once again, a sufficient condition is $H^1(\ker \otimes \mathcal{L}) = 0$.

1.2 A source of invariants for the classification of varieties

Let X be a proper k -scheme. In this case, it will be a theorem that $H^i(X, \mathcal{F})$ is finite-dimensional for \mathcal{F} coherent. Cohomology is a common source of invariants. For example,

- Let X be a smooth curve. Then its genus is $\dim H^1(X, \mathcal{O}_X)$.
- In general, $\dim H^i(X, \mathcal{O}_X)$ is a birational invariant for any smooth X .
- Let X be smooth. Then $\dim H^0(X, (\Lambda^{\dim X} \Omega_X^1)^{\otimes n})$ are birational invariants called plurigenera.
- Let X be smooth. Then $\dim H^p(X, (\Lambda^{\dim X} \Omega_X^1)^{\otimes n})$ are called Hodge numbers.
- Let \mathcal{F} be a coherent sheaf. Then $\chi(X, \mathcal{F}) = \sum_i (-1)^i \dim H^i(X, \mathcal{F})$ is the Euler characteristic.

1.3 Intersection theory

Let X be a smooth projective surface and C, D curves in X . Then $C \cdot D$, which can be thought of as the points of intersection, is defined as

$$\chi(\mathcal{I}_C \mathcal{I}_D) - \chi(\mathcal{I}_C) - \chi(\mathcal{I}_D) + \chi(\mathcal{O}_X).$$

1.4 Hodge theory

Let X be a smooth projective complex variety. There are deep relationships between the cohomology of coherent sheaves on X and the singular cohomology of the complex manifold naturally associated to X .

2 Derived Functors

2.1 Abelian categories

A category \mathcal{C} is called *abelian* if

- (a) Each hom set possesses the structure of an abelian group with respect to which composition is bilinear.
- (b) It has a zero object.
- (c) It has a biproduct; that is, finite coproducts and products exist and the natural map $A \sqcup B \rightarrow A \times B$ is an isomorphism.
- (d) Kernels and cokernels exist.
- (e) For $f: A \rightarrow B$, the natural map

$$\text{coker}(\ker f \rightarrow A) \longrightarrow \ker(B \rightarrow \text{coker } f)$$

is an isomorphism.

It turns out that the group structure on hom sets can be recovered from the biproduct, so being abelian is a property, not an additional datum.

Example 2.1.1. $R\text{-Mod}$ and $\mathcal{O}_X\text{-Mod}$ are abelian categories.

Counterexample 2.1.2. Free abelian groups are not an abelian category.

Counterexample 2.1.3. Hausdorff topological groups are not an abelian category. For example $\mathbb{Q} \rightarrow \mathbb{R}$ has image \mathbb{Q} but coimage \mathbb{R} . Also $\mathbb{Z} \xrightarrow{2} \mathbb{Z}$ has image $2\mathbb{Z}$ but coimage \mathbb{Z} .

2.2 δ -functors

Let F be a left exact additive functor; that is, F preserves left exact sequences and F is a group homomorphism on hom sets.

Definition 2.2.1

A δ -functor is a sequence of additive functors $T^i: A \rightarrow B$ such that for any short exact sequence $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$ in A , there exist morphisms $\delta^i: T^i(W) \rightarrow T^{i+1}(U)$ such that

$$\cdots \longrightarrow T^i(W) \xrightarrow{\delta^i} T^{i+1}(U) \longrightarrow T^{i+1}(V) \longrightarrow \cdots$$

is a long exact sequence in B and δ^i is functorial in the following sense: given a morphism of short exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & U & \longrightarrow & V & \longrightarrow & W & \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow & \\ 0 & \longrightarrow & U' & \longrightarrow & V' & \longrightarrow & W' & \longrightarrow 0, \end{array}$$

the following square commutes:

$$\begin{array}{ccc} T^i(W) & \xrightarrow{\delta^i} & T^{i+1}(U) \\ \downarrow & & \downarrow \\ T^i(W') & \xrightarrow{\delta^i} & T^{i+1}(U'). \end{array}$$

We say T^i extends F if $T^0 = F$.

We define the category $\delta\text{-Fun}(A, B)$ to be the category whose objects are δ -functors and whose morphisms are natural transformations $t^i: T^i \rightarrow S^i$ such that for any short exact sequence

$$0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$$

in A , the following square commutes:

$$\begin{array}{ccc} T^i(W) & \xrightarrow{\delta^i} & T^{i+1}(U) \\ \downarrow t^i & & \downarrow t^{i+1} \\ S^i(W) & \xrightarrow{\delta^i} & S^{i+1}(U). \end{array}$$

We call a δ -functor T^i *universal* if $\text{Hom}_{\delta\text{-Fun}(A, B)}(T^i, S^i) \cong \text{Hom}_{\text{Fun}(A, B)}(T^0, S^0)$, and *effaceable* if for each $U \in A$, there exists a monomorphism $0 \rightarrow U \xrightarrow{u} V$ such that $T^i(u) = 0$ for $i > 0$.

Proposition 2.2.2

Let T^i be an effaceable δ -functor. Then T^i is a universal δ -functor.

Proof. Let S^i be a δ -functor and $t^0: T^0 \rightarrow S^0$ a natural transformation. By induction on n , we construct natural transformations t^i for $i \leq n$ which are compatible with the δ^i

for $i < n$. Given $U \in A$, pick a monomorphism $u: U \rightarrow V$. Let $W = \text{coker } u$. The short exact sequence

$$0 \longrightarrow U \xrightarrow{u} V \longrightarrow \text{coker } u \longrightarrow 0$$

gives a long exact sequence

$$\cdots \longrightarrow T^n(U) \longrightarrow T^n(V) \longrightarrow T^n(W) \xrightarrow{\delta^n} T^{n+1}(U) \xrightarrow{0} T^{n+1}(V) \longrightarrow \cdots,$$

which shows that $T^{n+1}(U) \cong \text{coker}(T^n(V) \rightarrow T^n(W))$. Consider the commutative diagram

$$\begin{array}{ccccccc} T^n(V) & \longrightarrow & T^n(W) & \xrightarrow{\delta^n} & T^{n+1}(U) & \cong & \text{coker}(T^n(V) \rightarrow T^n(W)) \\ \downarrow t^n & & \downarrow t^n & & & & \\ S^n(V) & \longrightarrow & S^n(W) & \xrightarrow{\delta^n} & S^{n+1}(U) & \cong & \text{coker}(S^n(V) \rightarrow S^n(W)). \end{array}$$

By the universal property of $\text{coker}(T^n(V) \rightarrow T^n(W))$, there exists a unique vertical arrow making the following diagram commute:

$$\begin{array}{ccc} T^n(W) & \xrightarrow{\delta^n} & T^{n+1}(U) \\ \downarrow t^n & & \downarrow \exists! \\ S^n(W) & \xrightarrow{\delta^n} & S^{n+1}(U). \end{array}$$

We define $t_U^{n+1}: T^{n+1}(U) \rightarrow S^{n+1}(U)$ to be this vertical arrow, which is compatible with δ^n by construction. It remains to show that t^{n+1} defines a natural transformation $T^{n+1} \rightarrow S^{n+1}$. A morphism $U \rightarrow U'$ yields a morphism of short exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & U & \longrightarrow & V & \longrightarrow & W \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & U' & \longrightarrow & V' & \longrightarrow & W' \longrightarrow 0, \end{array}$$

since $U' \rightarrow V'$ is mono. The following cube commutes:

$$\begin{array}{ccccc} & & T^n(W) & \longrightarrow & T^n(W') \\ & \swarrow \delta^n & \downarrow t^n & & \searrow \delta^n \\ T^{n+1}(U) & \longrightarrow & T^{n+1}(U') & \longrightarrow & T^{n+1}(W') \\ \downarrow t^{n+1} & & \downarrow t^{n+1} & & \downarrow t^n \\ & & S^n(W) & \longrightarrow & S^n(W') \\ & \swarrow \delta^n & & & \searrow \delta^n \\ S^{n+1}(U) & \longrightarrow & S^{n+1}(U') & & \end{array}$$

Indeed, the rear face commutes by naturality of t^n , the top and bottom faces commute by functoriality of δ^n , the left and right faces commute by compatibility of t^n with δ^n , and the δ^n are all epimorphisms. The front face is the desired naturality of t^{n+1} . \square

We have thus reduced our search for universal δ -functors to a search for effaceable δ -functors. This line of inquiry leads us to injective objects. We say $I \in A$ is *injective* if it satisfies the following universal lifting property: given an injection $X \rightarrow Y$ and a map $X \rightarrow I$, there exists a lift $Y \rightarrow I$.

$$\begin{array}{ccccc} 0 & \longrightarrow & X & \longrightarrow & Y \\ & & \downarrow & \swarrow \exists' & \\ & & I. & & \end{array}$$

Proposition 2.2.3

I satisfies the universal lifting property if and only if $\text{Hom}(\cdot, I)$ is exact.

Proof. (\implies) Let $0 \rightarrow U \xrightarrow{u} V \xrightarrow{v} W \rightarrow 0$ be a short exact sequence. We want to show

$$0 \rightarrow \text{Hom}(W, I) \rightarrow \text{Hom}(V, I) \rightarrow \text{Hom}(U, I) \rightarrow 0$$

is exact. Since $\text{Hom}(\cdot, I)$ is always left exact, it remains to show $\text{Hom}(V, I) \rightarrow \text{Hom}(U, I)$ given by precomposing with u is surjective. This is just the lifting property.

(\impliedby) Let $u: U \rightarrow V$ and let $\alpha: U \rightarrow I$ be given. Since $f \mapsto f \circ u$ is a surjection $\text{Hom}(V, I) \rightarrow \text{Hom}(U, I)$, there exists $\beta: V \rightarrow I$ such that $\beta \circ u = \alpha$. \square

Example 2.2.4. The divisible abelian groups; that is, \mathbb{Q} and $\mathbb{Z}[\frac{1}{p}]/\mathbb{Z}$, are injective. In fact, every injective in Ab is a direct sum of these.

Proposition 2.2.5

Let T^i be effaceable. Let I be injective. Then $T^i(I) = 0$ for $i > 0$.

Proof. Since T^i is effaceable, there exists a monomorphism $0 \rightarrow I \xrightarrow{u} V$ such that $T^i(u) = 0$ for $i > 0$. Write a short exact sequence

$$0 \rightarrow I \xrightarrow{u} V \rightarrow W \rightarrow 0.$$

The identity $I \rightarrow I$ has a lift $s: V \rightarrow I$, so for $i > 0$,

$$0 = T^i(s) \circ T^i(u) = T^i(s \circ u) = T^i(\text{id}_I) = \text{id}_{T^i(I)}.$$

Hence $T^i(I) = 0$ for $i > 0$. \square

We say A has enough injectives if for any $A \in U$, there exists a monomorphism $0 \rightarrow U \rightarrow I$. An *injective resolution* of U is an exact sequence $0 \rightarrow U \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$. We denote the chain complex by $I^\bullet = (I^n)_{n \in \mathbb{N}}$. If A has enough injectives, then every object has an

injective resolution. Indeed, pick a monomorphism $0 \rightarrow U \rightarrow I^0$, then complete the exact sequence

$$0 \longrightarrow U \longrightarrow I^0 \longrightarrow W^0 \longrightarrow 0.$$

Then repeat the process with W^0 to get

$$0 \longrightarrow W^0 \longrightarrow I^1 \longrightarrow W^1 \longrightarrow 0,$$

and so on. This will be our recipe for computing effaceable δ -functors. More precisely, given a left exact functor $F: A \rightarrow B$, let $R^i F(U) = H^i(F(I^\bullet))$, where I^\bullet is an injective resolution of U . We first show this is well-defined.

Theorem 2.2.6

$R^i F$ is a universal δ -functor such that $R^0 F \cong F$.