

CSCI 136  
Data Structures &  
Advanced Programming

Hashing: Loose Ends

# Video Outline

- Growing hashtables
- Choosing an appropriate hashtable size
- Ideal hash function properties and examples
- Revisiting hashtable performance

# Hashtable Size

- Vectors are useful because, when a Vector “runs out of space”, the Vector grows
  - It’s very clear when we need to grow a vector: excess capacity = 0
- What does it mean for a hashtable to “run out of space”?
- Even ignoring correctness, performance is slowed by “full” hashtables

# Hashtable Size

- The right size for our hashtable will make a trade-off between **space** and **performance**
  - We want our table size to be large to minimize collisions (and run/chain lengths) :
  - We want our table size to be small to minimize wasted space (empty slots):
- In addition, we would like some flexibility in case we make a bad initial guess for our size

# Hashtable Fullness: Load Factor

- Suppose a hashtable with  $M$  slots stores  $N$  elements
- Load factor is a measure of how full the hash table is
  - $LF = (\# \text{ elements}) / (\# \text{ slots}) = N / M$
- A smaller load factor means the hashtable is less full, which likely gives better performance

# Calculating Load Factor

- To track a hashtable's load factor, we can keep a running count of its elements
  - Every successful `remove()` decrements the count
    - Careful with reserved slots!
    - May want to use chaining if you anticipate many deletes
  - *Some* `put()` operations increment the count
    - Only increment when putting **new keys**: replacing the value associated with an existing key doesn't change the hashtable's count
- Load factor is then  $(\text{count} / \text{table.length})$

# Using Load Factor

- Given a hashtable's load factor, what should we do?
  - If the load factor is low, **nothing!**
    - A low load factor should give good performance
  - If the load factor is high (.6?) , **grow our table**
    - Increase the number of slots without changing the number of elements ( $LF = N / M$ )
- How to grow?
  - Vectors: **ensureCapacity( )**
    - Allocate new Object array, then copy elements to same index within new (larger) array
      - Does this work for hashtables?

# Load factors

- Idea: always keep load factor below a certain fraction
- Usually .5 to .75
  - Java HashMap (uses external chaining) uses .75
  - structure5 Hashtable (uses linear probing) uses .6
- Plan: keep track of the load factor. Once it gets too high, make more slots

# Doubling Array

- Cannot just copy values
  - Why?
    - Canonical slot may change
  - Example: suppose `(key.hashCode() == 11)`
    - $11 \% 8 = 3$ ;
    - $11 \% 16 = 11$ ;
- **Result:** to grow our array, we must recompute the hashcode for each item, then reinsert each item into new array

# Array sizes

- Some people like using hash tables whose size is a prime
- Reason: taking mod the hash table size (if it's a prime) can help “spread out” our items
- Downside: need to find a prime size when “doubling”

# Good Hashing Functions

- Important point:
  - All of our performance hinges on using “good” hash functions that spread keys “evenly”
- Good hash functions:
  - Are fast to compute
  - Uniformly distribute keys across the range
- General rules of thumb?
  - Not really. We almost always have to test “goodness” empirically.

# Example Hash Functions

- What are some feasible hash functions for Strings?
  - Use the first char's ASCII value?
    - 0-255 only
    - Not uniform (some letters more popular than others)
  - Sum of all characters' ASCII values?
    - Not uniform - lots of small words
    - Doesn't give coverage over large array sizes
    - Not good at avoiding collisions – e.g., smile, limes, miles, and slime are all the same
    - Let's look at how this works in practice

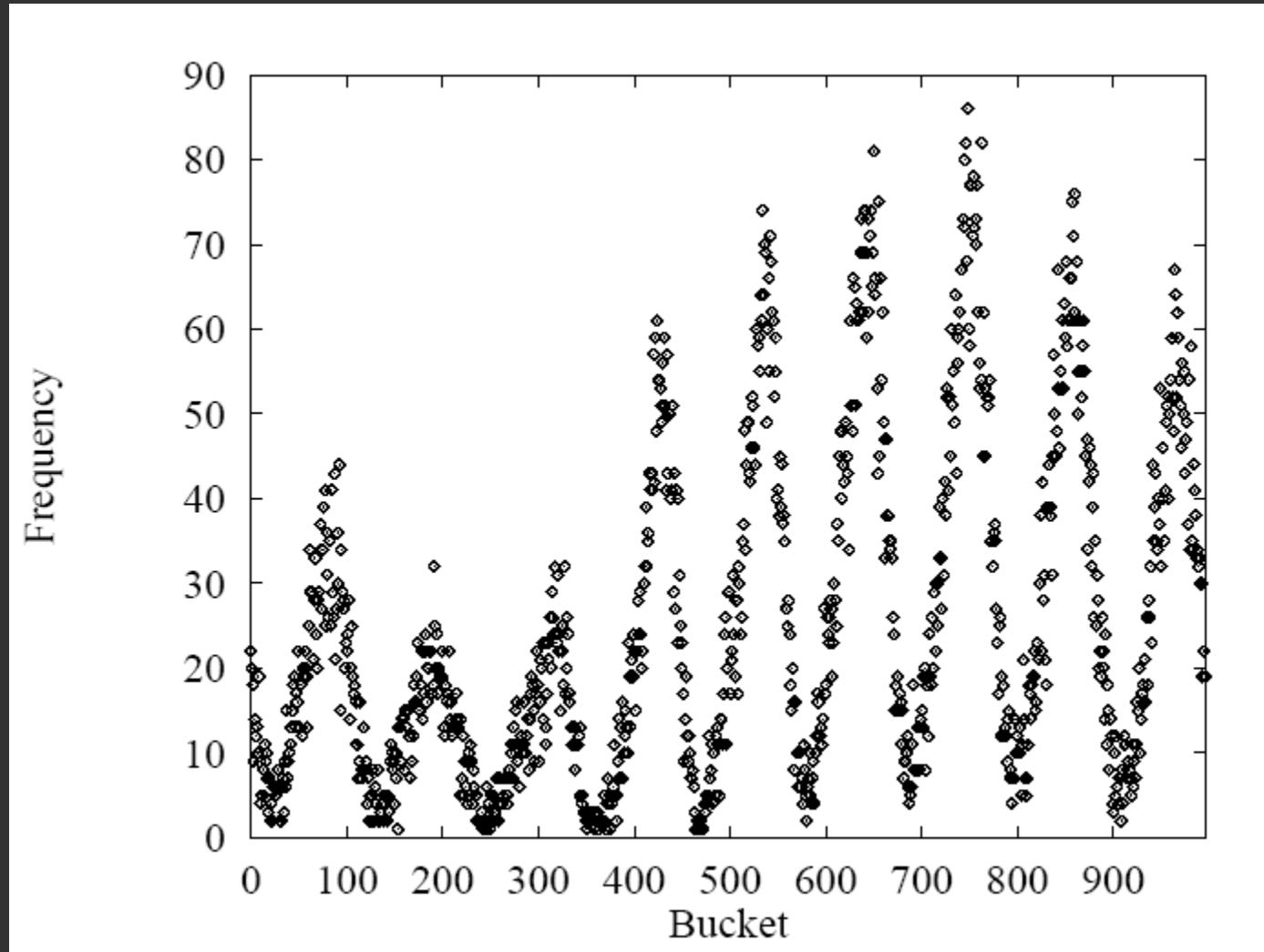
**ASCII TABLE**

Decimal	Hex	Char	Decimal	Hex	Char	Decimal	Hex	Char	Decimal	Hex	Char
0	0	[NULL]	32	20	[SPACE]	64	40	@	96	60	`
1	1	[START OF HEADING]	33	21	!	65	41	A	97	61	a
2	2	[START OF TEXT]	34	22	"	66	42	B	98	62	b
3	3	[END OF TEXT]	35	23	#	67	43	C	99	63	c
4	4	[END OF TRANSMISSION]	36	24	\$	68	44	D	100	64	d
5	5	[ENQUIRY]	37	25	%	69	45	E	101	65	e
6	6	[ACKNOWLEDGE]	38	26	&	70	46	F	102	66	f
7	7	[BELLI]	39	27	,	71	47	G	103	67	g
8	8	[BACKSPACE]	40	28	(	72	48	H	104	68	h
9	9	[HORIZONTAL TAB]	41	29	)	73	49	I	105	69	i
10	A	[LINE FEED]	42	2A	*	74	4A	J	106	6A	j
11	B	[VERTICAL TAB]	43	2B	+	75	4B	K	107	6B	k
12	C	[FORM FEED]	44	2C	,	76	4C	L	108	6C	l
13	D	[CARRIAGE RETURN]	45	2D	.	77	4D	M	109	6D	m
14	E	[SHIFT OUT]	46	2E	,	78	4E	N	110	6E	n
15	F	[SHIFT IN]	47	2F	/	79	4F	O	111	6F	o
16	10	[DATA LINK ESCAPE]	48	30	0	80	50	P	112	70	p
17	11	[DEVICE CONTROL 1]	49	31	1	81	51	Q	113	71	q
18	12	[DEVICE CONTROL 2]	50	32	2	82	52	R	114	72	r
19	13	[DEVICE CONTROL 3]	51	33	3	83	53	S	115	73	s
20	14	[DEVICE CONTROL 4]	52	34	4	84	54	T	116	74	t
21	15	[NEGATIVE ACKNOWLEDGE]	53	35	5	85	55	U	117	75	u
22	16	[SYNCHRONOUS IDLE]	54	36	6	86	56	V	118	76	v
23	17	[ENG OF TRANS. BLOCK]	55	37	7	87	57	W	119	77	w
24	18	[CANCEL]	56	38	8	88	58	X	120	78	x
25	19	[END OF MEDIUM]	57	39	9	89	59	Y	121	79	y
26	1A	[SUBSTITUTE]	58	3A	:	90	5A	Z	122	7A	z
27	1B	[ESCAPE]	59	3B	;	91	5B	[	123	7B	{
28	1C	[FILE SEPARATOR]	60	3C	<	92	5C	\	124	7C	
29	1D	[GROUP SEPARATOR]	61	3D	=	93	5D	]	125	7D	}
30	1E	[RECORD SEPARATOR]	62	3E	>	94	5E	^	126	7E	~
31	1F	[UNIT SEPARATOR]	63	3F	?	95	5F	_	127	7F	[DEL]

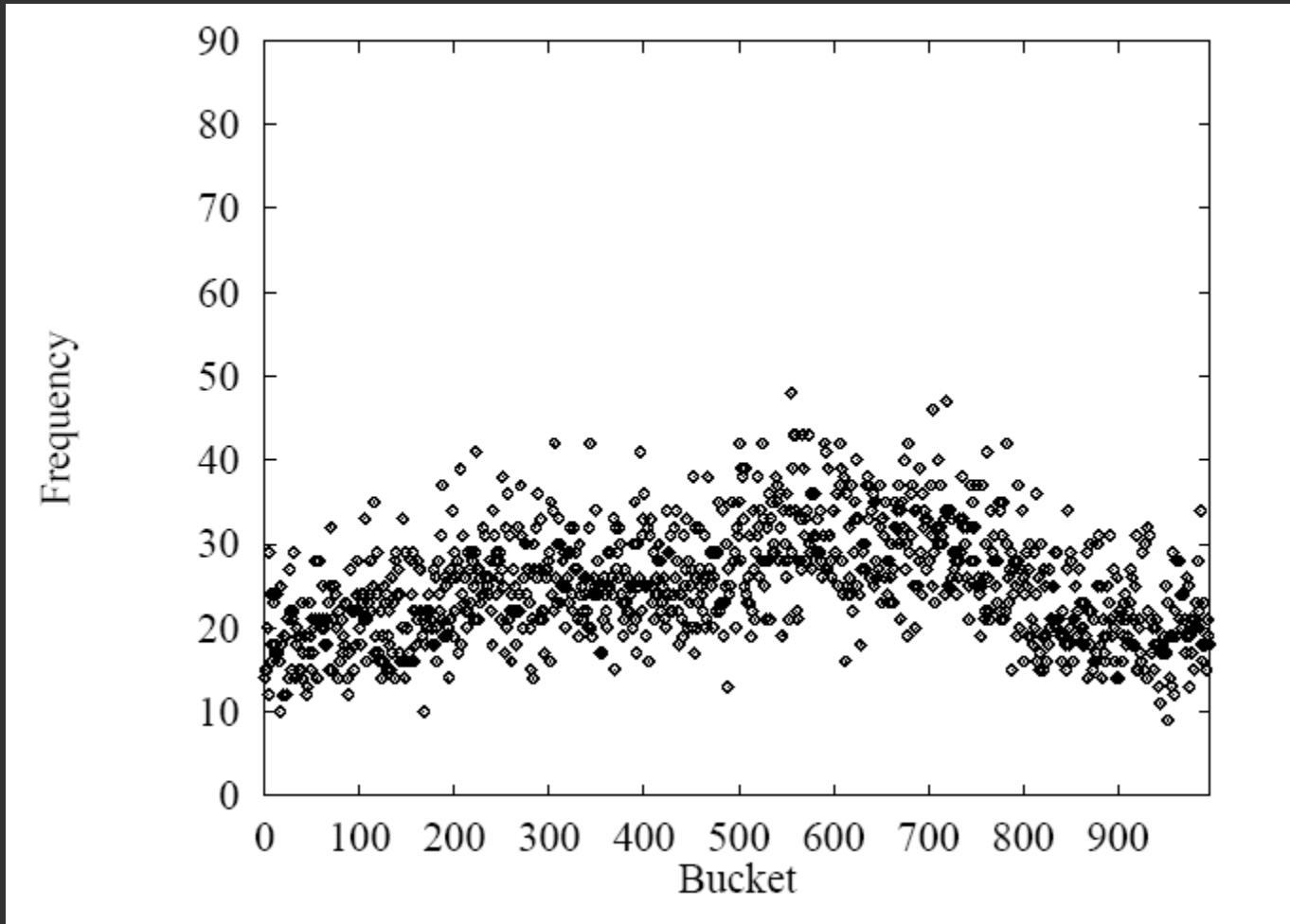
*s.length()*

$$\sum_{i=0}^{s.length()} s.charAt(i)$$

Hash of all words in UNIX  
spelling dictionary (997  
buckets)



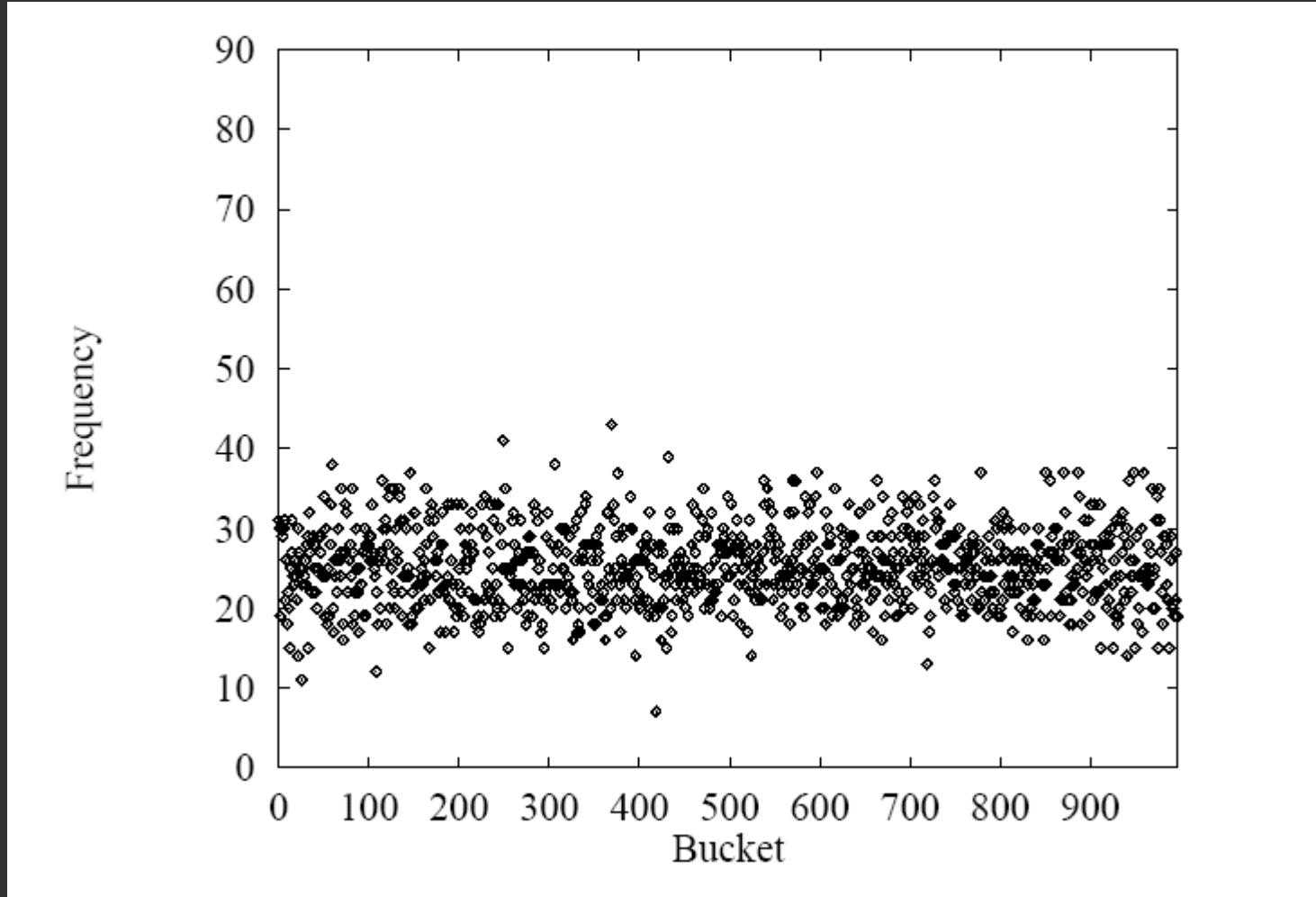
$$s.length() \sum_{i=0} s.charAt(i) * 2^i$$



Better, but buckets are  
still pretty uneven (middle  
quite a bit bigger than  
ends)

$$s.length() \sum_{i=0} s.charAt(i) * 256^i$$

This looks pretty good, but  
 $256^i$  is big...

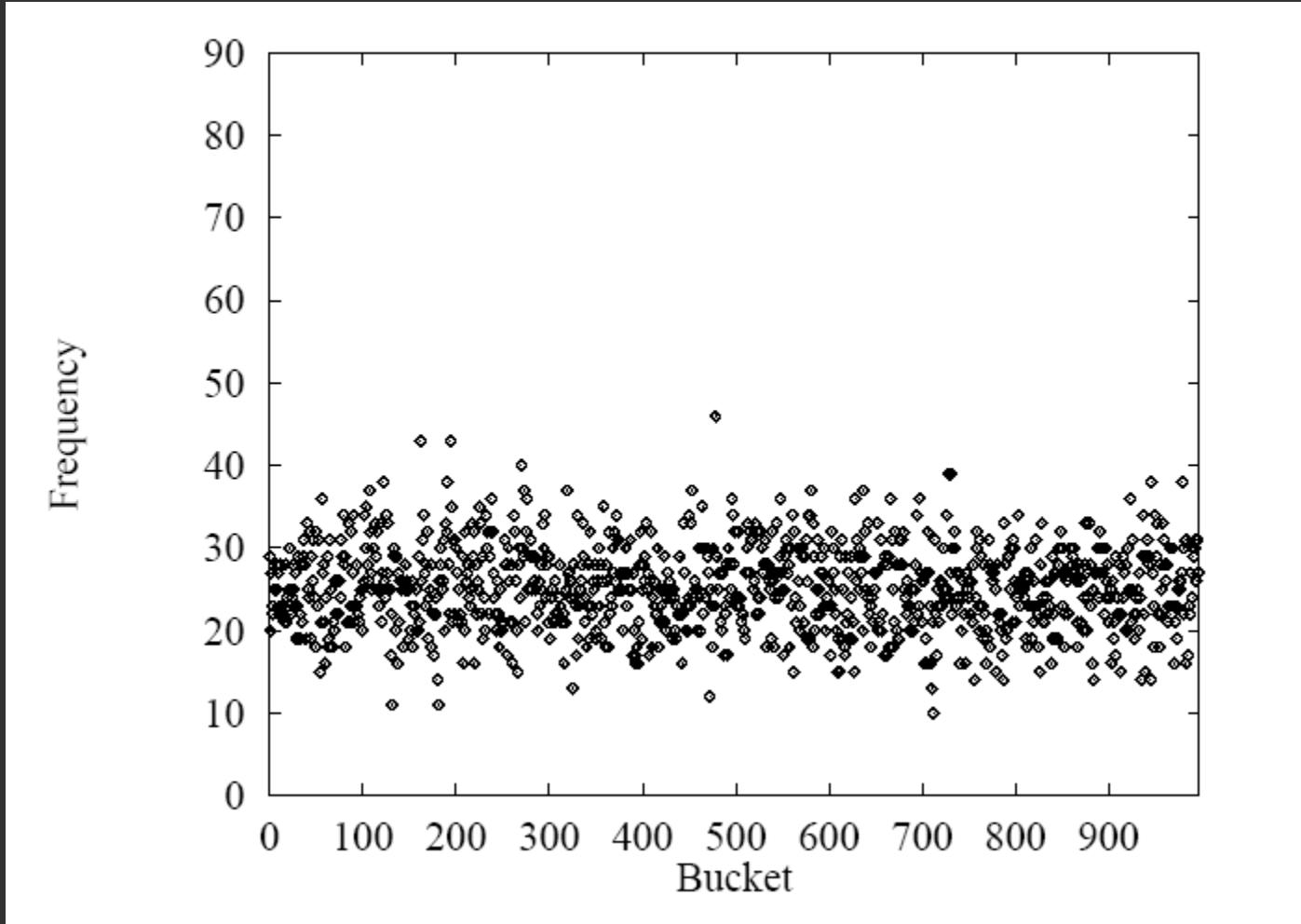


*s.length()*

$$\sum_{i=0} s.charAt(i) * 31^i$$

Java uses: (here  $n = s.length()$ )

$$\sum_{i=0}^n s.charAt(i) * 31^{n-i-1}$$



# Other Objects?

- Integer: `i.hashCode()` is `i`
  - That might be very bad depending on your data!
  - May want to use another `hashCode()` method in that case
    - Perhaps a wrapper class to give a new method
- Character, Long similar
- For your own classes: write your own methods!
  - Test empirically to make sure elements are spread out

# Hashtables: O(1) operations?

- How long does it take to compute a String's hashCode?
  - $O(s.length())$
  - (Doesn't depend on table size)
- Given an object's hash code, how long does it take to find that object?
  - $O(\text{run length})$  or  $O(\text{chain length})$  times cost of `.equals()` method to compare keys

# Impact on performance

- Let's say we have constant load factor
  - Number of slots is a constant factor greater than the number of elements
- And we have a good hash function
  - Spreads objects out “like random”
- Then: an **average** bucket has **constant chain length**
- An **average** bucket is in **a run of constant length**
- (**Worst case** is  $O(\log n)$  for both---but this is very rare)
- Usually we say that hash tables have  $O(1)$  performance

# Summary

	put	get	space
unsorted vector	$O(n)$	$O(n)$	$O(n)$
unsorted list	$O(n)$	$O(n)$	$O(n)$
sorted vector	$O(n)$	$O(\log n)$	$O(n)$
balanced BST	$O(\log n)$	$O(\log n)$	$O(n)$
hashtable	$O(1)^*$	$O(1)^*$	$O(n)^*$