## Exam 3 Study Guide

# Concurrency in C: Threads, Mutexes, Semaphores, Read-Write Locks, and Barriers

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## POSIX Threads (Pthreads) Overview

Pthreads (POSIX Threads) is the most common threading library in Unix-based systems. The API provides functions to create, manage, and synchronize threads.

#### **Basic APIs for Thread Management:**

- pthread\_create(): Creates a new thread.
  - Syntax:

```
int pthread_create(pthread_t *thread, const pthread_attr_t *attr,
void *(*start_routine)(void *), void *arg);
```

- thread: Pointer to pthread\_t where the thread ID will be stored.
- attr: Attributes for the new thread (can be NULL for default attributes).
- start routine: Function that the thread will execute.
- arg: Argument to pass to start\_routine.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_t thread;
int ret = pthread_create(&thread, NULL, thread_function, NULL);
```

```
if (ret != 0) {
    printf("Error creating thread\n");
}
```

- pthread\_join(): Waits for a thread to finish.
  - Syntax:

```
int pthread_join(pthread_t thread, void **retval);
```

- thread: The thread ID to wait for.
- retval: Pointer to the return value of the thread (can be NULL).
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_join(thread, NULL); // Wait for the specified thread to
finish
```

- pthread\_exit(): Terminates the calling thread.
  - Syntax:

```
void pthread_exit(void *retval);
```

- retval: Pointer to the return value for the calling thread.
- Usage: Used by a thread to exit and optionally return a value.
- Example:

```
pthread_exit(NULL); // Exit the current thread
```

- pthread\_detach(): Detaches a thread, allowing its resources to be released when it terminates without requiring a join.
  - Syntax:

```
int pthread_detach(pthread_t thread);
```

- thread: The thread ID to detach.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_detach(thread); // Detach the specified thread
```

```
#include <pthread.h>
#include <stdio.h>
#include <stdlib.h>
void* thread_function(void* arg) {
   int id = *((int*)arg);
   printf("Hello from thread %d!\n", id);
   free(arg); // Free dynamically allocated memory
    pthread_exit(NULL); // Exit thread
}
int main() {
   pthread_t threads[5];
   for (int i = 0; i < 5; i++) {
        int* id = malloc(sizeof(int));
        *id = i;
        pthread_create(&threads[i], NULL, thread_function, id); // Create
thread
   for (int i = 0; i < 5; i++) {
        pthread_join(threads[i], NULL); // Wait for each thread to finish
   }
   return 0;
}
```

In the above example, we dynamically allocate memory for thread arguments to avoid data races on the loop variable i. Each thread prints its unique ID.

#### **Detached Threads**

Detached threads automatically release their resources upon completion without requiring a pthread\_join() call.

```
#include <pthread.h>
#include <stdio.h>

void* thread_function(void* arg) {
    printf("Detached thread running.\n");
    pthread_exit(NULL); // Exit the current thread
}

int main() {
    pthread_t thread;
    pthread_create(&thread, NULL, thread_function, NULL); // Create thread
    pthread_detach(thread); // Detach thread
    // The main thread continues executing without waiting for the detached
```

```
thread.
    printf("Main thread done.\n");
    return 0;
}
```

In this example, the detached thread runs independently of the main thread, which avoids having to call pthread\_join().

### **Mutexes**

#### Introduction to Mutexes

A mutex (mutual exclusion) is a synchronization primitive that ensures only one thread can access a critical section at a time, preventing data races.

#### **Building Mutexes from Scratch**

The simplest way to conceptualize a mutex is as a "lock" that only one thread can hold. In the kernel, mutexes can be implemented using atomic variables and system calls that put threads to sleep when the lock is not available.

#### **Basic APIs for Mutex Management:**

- pthread\_mutex\_init(): Initializes a mutex.
  - Syntax:

```
int pthread_mutex_init(pthread_mutex_t *mutex, const
pthread_mutexattr_t *attr);
```

- mutex: Pointer to the mutex to initialize.
- attr: Attributes for the mutex (can be NULL for default attributes).
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_mutex_t lock;
pthread_mutex_init(&lock, NULL); // Initialize the mutex
```

- pthread\_mutex\_lock(): Locks the mutex.
  - Syntax:

```
int pthread_mutex_lock(pthread_mutex_t *mutex);
```

- mutex: The mutex to lock.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_mutex_lock(&lock); // Lock the mutex
```

- pthread\_mutex\_unlock(): Unlocks the mutex.
  - Syntax:

```
int pthread_mutex_unlock(pthread_mutex_t *mutex);
```

- mutex: The mutex to unlock.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_mutex_unlock(&lock); // Unlock the mutex
```

- pthread\_mutex\_destroy(): Destroys the mutex.
  - Syntax:

```
int pthread_mutex_destroy(pthread_mutex_t *mutex);
```

- mutex: The mutex to destroy.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_mutex_destroy(&lock); // Destroy the mutex
```

```
#include <pthread.h>
#include <stdio.h>
pthread_mutex_t lock;
int counter = 0;
void* increment(void* arg) {
    for (int i = 0; i < 5; i++) {
        pthread_mutex_lock(&lock); // Lock the mutex
        counter++;
        printf("Thread %ld incremented counter to %d\n", pthread_self(),
counter);
        pthread_mutex_unlock(&lock); // Unlock the mutex
    }
    pthread_exit(NULL); // Exit the current thread
}
int main() {
    pthread_t thread1, thread2;
```

```
pthread_mutex_init(&lock, NULL); // Initialize the mutex

pthread_create(&thread1, NULL, increment, NULL); // Create thread 1
pthread_create(&thread2, NULL, increment, NULL); // Create thread 2

pthread_join(thread1, NULL); // Wait for thread 1
pthread_join(thread2, NULL); // Wait for thread 2

pthread_mutex_destroy(&lock); // Destroy the mutex
return 0;
}
```

In the above example, we protect the **counter** variable using a mutex to prevent data races when multiple threads try to increment the value concurrently.

#### **Deadlock Prevention**

Deadlocks can occur when multiple threads are waiting indefinitely for resources held by each other. Deadlock prevention techniques include:

- Lock Ordering: Ensure all threads acquire locks in a predefined order.
- Timeouts: Use timed lock operations, such as pthread\_mutex\_timedlock(), to avoid indefinite blocking.

## **POSIX Conditions**

POSIX conditions (or condition variables) allow threads to wait for certain conditions to be met. They are often used in conjunction with mutexes to manage shared state.

#### **Basic APIs for Condition Variables:**

- pthread\_cond\_init(): Initializes a condition variable.
  - Syntax:

```
int pthread_cond_init(pthread_cond_t *cond, const pthread_condattr_t
*attr);
```

- cond: Pointer to the condition variable to initialize.
- attr: Attributes for the condition variable (can be NULL for default attributes).
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_cond_t cond;
pthread_cond_init(&cond, NULL); // Initialize the condition variable
```

• pthread\_cond\_wait(): Waits for the condition (must be used with a locked mutex).

• Syntax:

```
int pthread_cond_wait(pthread_cond_t *cond, pthread_mutex_t *mutex);
```

- cond: The condition variable to wait on.
- mutex: The mutex associated with the condition.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_mutex_lock(&lock); // Lock the mutex
pthread_cond_wait(&cond, &lock); // Wait for the condition
pthread_mutex_unlock(&lock); // Unlock the mutex
```

- pthread\_cond\_signal(): Wakes up one waiting thread.
  - Syntax:

```
int pthread_cond_signal(pthread_cond_t *cond);
```

- cond: The condition variable to signal.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_cond_signal(&cond); // Signal the condition
```

- pthread\_cond\_broadcast(): Wakes up all waiting threads.
  - Syntax:

```
int pthread_cond_broadcast(pthread_cond_t *cond);
```

- cond: The condition variable to broadcast.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_cond_broadcast(&cond); // Broadcast to all waiting threads
```

- pthread\_cond\_destroy(): Destroys the condition variable.
  - Syntax:

```
int pthread_cond_destroy(pthread_cond_t *cond);
```

- cond: The condition variable to destroy.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_cond_destroy(&cond); // Destroy the condition variable
```

```
#include <pthread.h>
#include <stdio.h>
pthread_mutex_t lock;
pthread_cond_t cond;
int ready = 0;
void* wait_for_condition(void* arg) {
    pthread_mutex_lock(&lock); // Lock the mutex
   while (!ready) {
        pthread_cond_wait(&cond, &lock); // Wait for the condition
   }
    printf("Thread %ld proceeding after condition met.\n", pthread_self());
    pthread_mutex_unlock(&lock); // Unlock the mutex
    pthread_exit(NULL); // Exit the current thread
}
void* signal_condition(void* arg) {
    pthread_mutex_lock(&lock); // Lock the mutex
   ready = 1;
    pthread_cond_signal(&cond); // Signal the condition
    pthread_mutex_unlock(&lock); // Unlock the mutex
    pthread_exit(NULL); // Exit the current thread
}
int main() {
    pthread_t thread1, thread2;
    pthread_mutex_init(&lock, NULL); // Initialize the mutex
    pthread_cond_init(&cond, NULL); // Initialize the condition variable
   pthread_create(&thread1, NULL, wait_for_condition, NULL); // Create
thread 1
    pthread_create(&thread2, NULL, signal_condition, NULL); // Create thread
    pthread_join(thread1, NULL); // Wait for thread 1
    pthread_join(thread2, NULL); // Wait for thread 2
    pthread_mutex_destroy(&lock); // Destroy the mutex
    pthread_cond_destroy(&cond); // Destroy the condition variable
   return 0;
}
```

In this example, thread1 waits until ready is set to I, and thread2 signals the condition to allow thread1 to proceed.

## **Building Semaphores from First Principles**

Semaphores are synchronization tools that can be built from basic synchronization primitives like mutexes and condition variables. In this section, we will implement a semaphore using POSIX mutexes and condition variables. This approach is often used when the standard semaphore library is unavailable or if more control is desired.

#### Using POSIX Conditions and Mutexes to Create a Semaphore

Below is an implementation of a semaphore from first principles using POSIX condition variables (pthread\_cond\_t) and mutexes (pthread\_mutex\_t).

#### **APIs for Custom Semaphore Management:**

- pthread\_sema\_init(): Initializes the semaphore.
  - Syntax:

```
int pthread_sema_init(pthread_sema_t *sem, unsigned int value);
```

- **sem**: Pointer to the semaphore structure.
- value: Initial value of the semaphore counter.
- Returns: 0 on success, -1 on failure.
- Example:

```
pthread_sema_t sem;
pthread_sema_init(&sem, 2); // Initialize the semaphore to allow up
to 2 threads in the critical section
```

- pthread\_sema\_destroy(): Destroys the semaphore.
  - Syntax:

```
int pthread_sema_destroy(pthread_sema_t *sem);
```

- sem: Pointer to the semaphore structure.
- Returns: 0 on success.
- Example:

```
pthread_sema_destroy(&sem); // Destroy the semaphore
```

- pthread\_sema\_post(): Signals (increments) the semaphore.
  - Syntax:

```
int pthread_sema_post(pthread_sema_t *sem);
```

- sem: Pointer to the semaphore structure.
- Returns: 0 on success.
- Example:

```
pthread_sema_post(&sem); // Signal the semaphore
```

- pthread\_sema\_wait(): Waits (decrements) the semaphore.
  - Syntax:

```
int pthread_sema_wait(pthread_sema_t *sem);
```

- sem: Pointer to the semaphore structure.
- Returns: 0 on success.
- Example:

```
pthread_sema_wait(&sem); // Wait for the semaphore
```

- pthread\_sema\_trywait(): Attempts to wait for the semaphore without blocking.
  - Syntax:

```
int pthread_sema_trywait(pthread_sema_t *sem);
```

- **sem**: Pointer to the semaphore structure.
- Returns: 0 on success, EAGAIN if the semaphore is not available.
- Example:

```
if (pthread_sema_trywait(&sem) == EAGAIN) {
    printf("Semaphore not available\n");
}
```

#### **Example Implementation and Usage:**

```
#include <pthread.h>
#include <errno.h>
#include <stdio.h>

typedef struct pthread_sema {
    pthread_mutex_t _m;
    pthread_cond_t _c;
    int _counter;
    int _asleep;
} pthread_sema_t;
```

```
int pthread_sema_init(pthread_sema_t* sem, unsigned int v) {
    if (pthread_mutex_init(&sem->_m, NULL) != 0) return -1;
    if (pthread_cond_init(&sem->_c, NULL) != 0) {
        pthread_mutex_destroy(&sem->_m);
        return -1;
    sem->_counter = v;
    sem->_asleep = 0;
    return 0;
}
int pthread_sema_destroy(pthread_sema_t* sem) {
    pthread_mutex_destroy(&sem->_m);
    pthread_cond_destroy(&sem->_c);
    return 0;
}
int pthread_sema_post(pthread_sema_t* sem) {
    pthread_mutex_lock(&sem->_m); // Lock the mutex
    sem->_counter++;
    if (sem->_asleep > 0) {
        pthread_cond_signal(&sem->_c); // Signal a waiting thread
    pthread_mutex_unlock(&sem->_m); // Unlock the mutex
    return 0;
}
int pthread_sema_wait(pthread_sema_t* sem) {
    pthread_mutex_lock(&sem->_m); // Lock the mutex
    while (sem->_counter <= 0) {</pre>
        sem->_asleep++;
        pthread_cond_wait(&sem->_c, &sem->_m); // Wait for the condition
        sem->_asleep--;
    }
    sem->_counter--;
    pthread_mutex_unlock(&sem->_m); // Unlock the mutex
    return 0;
}
int pthread_sema_trywait(pthread_sema_t* sem) {
    int result = 0;
    pthread_mutex_lock(&sem->_m); // Lock the mutex
    if (sem->_counter > 0) {
        sem->_counter--;
    } else {
        result = EAGAIN;
    pthread_mutex_unlock(&sem->_m); // Unlock the mutex
    return result;
```

```
}
void* task(void* arg) {
   pthread_sema_t* sem = (pthread_sema_t*)arg;
   pthread_sema_wait(sem); // Wait (decrement) the semaphore
   printf("Thread %ld is in critical section.\n", pthread_self());
   pthread_sema_post(sem); // Signal (increment) the semaphore
   pthread_exit(NULL); // Exit the current thread
}
int main() {
   pthread_t threads[4];
   pthread_sema_t sem;
   pthread_sema_init(&sem, 2); // Initialize the semaphore to allow up to 2
threads in the critical section
   for (int i = 0; i < 4; i++) {
        pthread_create(&threads[i], NULL, task, &sem); // Create threads
   }
   for (int i = 0; i < 4; i++) {
        pthread_join(threads[i], NULL); // Wait for each thread
   }
   pthread_sema_destroy(&sem); // Destroy the semaphore
   return 0;
}
```

In this implementation, a custom semaphore (pthread\_sema\_t) is created using a mutex and a condition variable. The semaphore can manage access to a critical section, allowing only a limited number of threads (in this case, 2) to enter at once.

## **UNIX Semaphores for Inter-Process Communication**

UNIX System V semaphores are useful for inter-process communication (IPC). These semaphores are part of the System V IPC mechanisms and can be used by multiple processes, but require shared memory to coordinate between processes.

#### **Key Concepts for UNIX Semaphores**

- Shared Memory Requirement: UNIX semaphores are often used in conjunction with shared memory, allowing different processes to synchronize access to the shared resource.
- Poor-Man's Threading: When the pthread library is not available, UNIX semaphores can offer basic synchronization mechanisms akin to threading. This allows for simple coordination between processes without full-fledged thread support.

#### **Basic APIs for UNIX Semaphores**

- semget(): Creates a new semaphore or accesses an existing one.
  - Syntax:

```
int semget(key_t key, int nsems, int semflg);
```

- key: Unique identifier for the semaphore set.
- nsems: Number of semaphores in the set.
- **semflg**: Flags to control creation and permissions.
- Returns: Semaphore ID on success, -1 on failure.
- Example:

```
int sem_id = semget(IPC_PRIVATE, 1, IPC_CREAT | 0666);
if (sem_id == -1) {
    perror("semget failed");
}
```

- semop(): Performs operations on a semaphore.
  - Syntax:

```
int semop(int semid, struct sembuf *sops, size_t nsops);
```

- semid: Semaphore ID returned by semget().
- sops: Pointer to an array of semaphore operations.
- nsops: Number of operations to be performed.
- Returns: 0 on success, -1 on failure.
- Example:

```
struct sembuf op;
op.sem_num = 0;
op.sem_op = -1; // Wait operation
op.sem_flg = 0;
if (semop(sem_id, &op, 1) == -1) {
    perror("semop failed");
}
```

- semctl(): Controls semaphore properties.
  - Syntax:

```
int semctl(int semid, int semnum, int cmd, ...);
```

- semid: Semaphore ID.
- **semnum**: The index of the semaphore in the set.

- cmd: Command to perform (e.g., IPC\_RMID to remove the semaphore).
- Returns: Depends on the command, -1 on failure.
- Example:

```
if (semctl(sem_id, 0, IPC_RMID) == -1) {
    perror("semctl failed");
}
```

While UNIX semaphores provide a powerful way to manage resources between processes, they are typically more complex to use than POSIX semaphores.

## **Read-Write Locks**

## **Introduction to Read-Write Locks**

Read-Write locks allow multiple threads to read simultaneously while ensuring only one thread writes at a time. This provides better performance for read-heavy workloads.

#### **Basic APIs for Read-Write Lock Management:**

- pthread\_rwlock\_init(): Initializes a read-write lock.
  - Syntax:

```
int pthread_rwlock_init(pthread_rwlock_t *rwlock, const
pthread_rwlockattr_t *attr);
```

- rwlock: Pointer to the read-write lock.
- attr: Attributes for the lock (can be NULL for default attributes).
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_rwlock_t lock;
pthread_rwlock_init(&lock, NULL); // Initialize the read-write lock
```

- pthread\_rwlock\_rdlock(): Locks for reading.
  - Syntax:

```
int pthread_rwlock_rdlock(pthread_rwlock_t *rwlock);
```

- rwlock: The read-write lock to lock for reading.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_rwlock_rdlock(&lock); // Lock for reading
```

- pthread\_rwlock\_wrlock(): Locks for writing.
  - Syntax:

```
int pthread_rwlock_wrlock(pthread_rwlock_t *rwlock);
```

- rwlock: The read-write lock to lock for writing.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_rwlock_wrlock(&lock); // Lock for writing
```

- pthread\_rwlock\_unlock(): Unlocks the lock.
  - Syntax:

```
int pthread_rwlock_unlock(pthread_rwlock_t *rwlock);
```

- rwlock: The read-write lock to unlock.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_rwlock_unlock(&lock); // Unlock the lock
```

- pthread\_rwlock\_destroy(): Destroys the lock.
  - Syntax:

```
int pthread_rwlock_destroy(pthread_rwlock_t *rwlock);
```

- rwlock: The read-write lock to destroy.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_rwlock_destroy(&lock); // Destroy the read-write lock
```

**Priority Inversion**: It can occur when a low-priority thread holds a lock that a high-priority thread needs, leading to potential delays. Using appropriate priority settings or priority inheritance mechanisms can help mitigate this.

```
#include <pthread.h>
#include <stdio.h>
```

```
pthread_rwlock_t lock;
int shared_data = 0;
void* read_data(void* arg) {
    pthread_rwlock_rdlock(&lock); // Lock for reading
    printf("Thread %ld read data: %d\n", pthread_self(), shared_data);
    pthread_rwlock_unlock(&lock); // Unlock the lock
    pthread_exit(NULL); // Exit the current thread
}
void* write_data(void* arg) {
    pthread_rwlock_wrlock(&lock); // Lock for writing
    shared_data++;
    printf("Thread %ld wrote data: %d\n", pthread_self(), shared_data);
    pthread_rwlock_unlock(&lock); // Unlock the lock
    pthread_exit(NULL); // Exit the current thread
}
int main() {
   pthread_t threads[4];
    pthread_rwlock_init(&lock, NULL); // Initialize the read-write lock
    pthread_create(&threads[0], NULL, read_data, NULL); // Create read
thread 1
    pthread_create(&threads[1], NULL, read_data, NULL); // Create read
   pthread_create(&threads[2], NULL, write_data, NULL); // Create write
thread
   pthread_create(&threads[3], NULL, read_data, NULL); // Create read
thread 3
   for (int i = 0; i < 4; i++) {
        pthread_join(threads[i], NULL); // Wait for each thread
   }
    pthread_rwlock_destroy(&lock); // Destroy the read-write lock
   return 0;
}
```

In this example, multiple threads can read data simultaneously, but only one thread can write, ensuring data consistency.

## **Barriers**

## **Purpose of Barriers**

Barriers are synchronization points where threads stop until all participating threads reach the barrier. Barriers are useful in scenarios where multiple threads must synchronize at certain stages.

#### **Basic APIs for Barrier Management:**

- pthread\_barrier\_init(): Initializes a barrier.
  - Syntax:

```
int pthread_barrier_init(pthread_barrier_t *barrier, const
pthread_barrierattr_t *attr, unsigned count);
```

- barrier: Pointer to the barrier to initialize.
- attr: Attributes for the barrier (can be NULL for default attributes).
- count: Number of threads that must call pthread\_barrier\_wait() before any are unblocked.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_barrier_t barrier;
pthread_barrier_init(&barrier, NULL, 3); // Initialize the barrier
for 3 threads
```

- pthread\_barrier\_wait(): Waits at the barrier until all threads arrive.
  - Syntax:

```
int pthread_barrier_wait(pthread_barrier_t *barrier);
```

- barrier: The barrier to wait at.
- Returns: PTHREAD\_BARRIER\_SERIAL\_THREAD for one thread or 0 for all others on success.
- Example:

```
pthread_barrier_wait(&barrier); // Wait at the barrier
```

- pthread\_barrier\_destroy(): Destroys the barrier.
  - Syntax:

```
int pthread_barrier_destroy(pthread_barrier_t *barrier);
```

- barrier: The barrier to destroy.
- Returns: 0 on success, an error number on failure.
- Example:

```
pthread_barrier_destroy(&barrier); // Destroy the barrier
```

```
#include <pthread.h>
#include <stdio.h>
pthread_barrier_t barrier;
void* task(void* arg) {
   printf("Thread %ld before barrier\n", pthread_self());
   pthread_barrier_wait(&barrier); // Wait at the barrier
   printf("Thread %ld after barrier\n", pthread_self());
   pthread_exit(NULL); // Exit the current thread
}
int main() {
   pthread_t threads[3];
   pthread_barrier_init(&barrier, NULL, 3); // Initialize the barrier for 3
threads
   for (int i = 0; i < 3; i++) {
        pthread_create(&threads[i], NULL, task, NULL); // Create threads
   ž
   for (int i = 0; i < 3; i++) {
        pthread_join(threads[i], NULL); // Wait for each thread
   }
   pthread_barrier_destroy(&barrier); // Destroy the barrier
   return 0;
}
```

In this example, all threads wait at the barrier until every thread reaches it, ensuring synchronized execution.

## Conclusion

Threads, mutexes, semaphores, read-write locks, and barriers are crucial components for developing concurrent applications. This guide introduces how to build these synchronization primitives from scratch and provides more in-depth usage examples in C. Mastering these tools will help you write efficient, thread-safe code for complex, real-world applications.