

INSPIRATION: THE BRAIN

 Many machine learning methods inspired by biology, e.g., the (human) brain

Our brain has ~ 10¹¹ neurons, each of which communicates (is connected) to ~ impulses carried

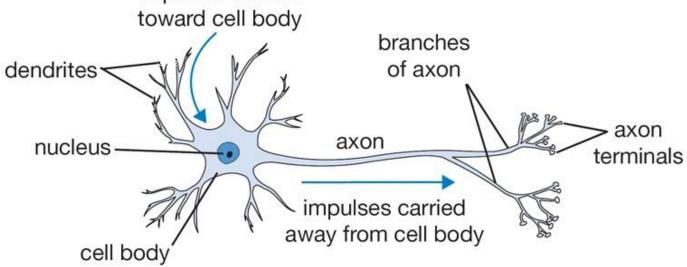


Figure: The basic computational unit of the brain: Neuron



INSPIRATION FROM NATURE

Velcro



interestingengineering.com

Flight



Whale wind turbines



Sonar



Gecko skin



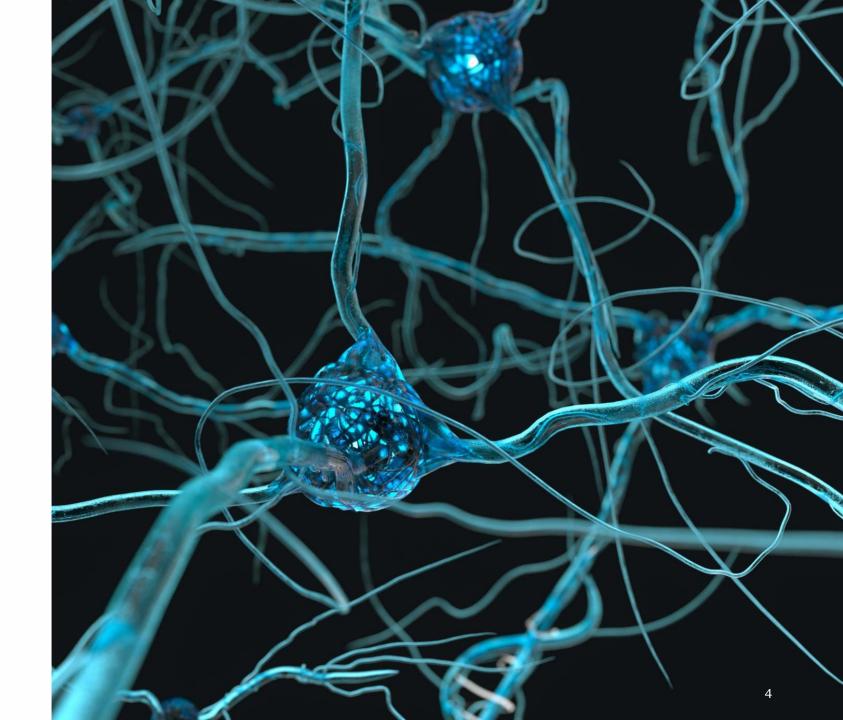
Shark skin





ARTIFICIAL NEURAL NETWORKS

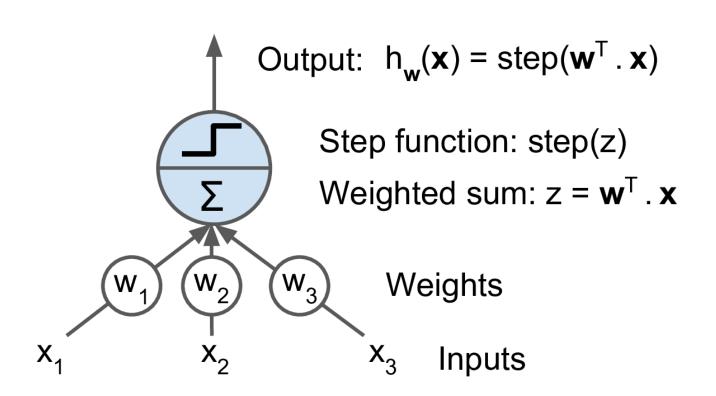
- Artificial Neural Networks (ANNs) are computational models inspired by the structure and functioning of biological neural networks.
- They are widely used in machine learning for tasks such as classification, regression, and clustering.
- The earliest models used simple linear functions.



PERCEPTRONS

- The Perceptron is one of the simplest ANN architectures, invented in 1957 by Frank Rosenblatt.
- It is based on a slightly different artificial neuron called a linear threshold unit (LTU)
- No hidden layers.
- The LTU computes a weighted sum of its inputs (z = w1 x1 + w2 x2 + ··· + wn xn = wT · x), then applies a step function to that sum.
- Restricted to binary classification of linearly separable problems.

heaviside
$$(z) = \begin{cases} 0 & \text{if } z < 0 \\ 1 & \text{if } z \ge 0 \end{cases}$$



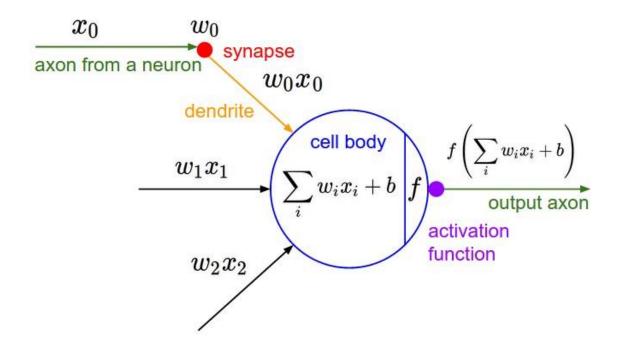
$$sgn(z) = \begin{cases} -1 & \text{if } z < 0 \\ 0 & \text{if } z = 0 \\ +1 & \text{if } z > 0 \end{cases}$$



ARTIFICIAL NEURON STRUCTURE

- Inputs: The features or data fed into the neuron.
- Weights: Scalars that signify the importance of each input.
- **Bias**: A scalar added to the weighted sum to shift the activation function. Provides greater modeling flexibility.
- Activation Function. Determines the neuron's output based on the weighted sum.
- Output: The result of applying the activation function to the weighted sum.
- The formula for the output is:

$$y=f\left(\sum_{i}^{n}w_{i}x_{i}+b
ight)$$





You're trying to decide whether to take a class based on the following features:

- **1.Is the class required?** (1 = yes, 0 = no)
- **2.Is it offered before 9:00 a.m.?** (1 = yes, 0 = no)
- 3.ls my girlfriend taking the class? (1 = yes, 0 = no)

Perceptron Model:

•
$$\mathbf{x}$$
 = input vector = [\mathbf{x} 1, \mathbf{x} 2, \mathbf{x} 3]

•
$$w = weights = [w1, w2, w3]$$

$$y = egin{cases} 1 & ext{if } w \cdot x + b > 0 \ 0 & ext{otherwise} \end{cases}$$

This predicts 1 if you decide to take the class, otherwise 0.



Decision Rule

- 1.ls the class required? (1 = yes, 0 = no)
- **2.ls it offered before 9:00 a.m.?** (1 = yes, 0 = no)
- 3.ls my girlfriend taking the class? (1 = yes, 0 = no)

$$2x_1 - 1.5x_2 + x_3 - 0.5 > 0$$

- If true, take the class
- Else: don't take the class



Case 1:

- Required class: Yes (1)
- **Before 9 a.m.**: Yes (1)
- Girlfriend is in the class: Yes (1)

$$z = 2(1) - 1.5(1) + 1(1) - 0.5 = 2 - 1.5 + 1 - 0.5 = 1.0 \Rightarrow y = 1 \Rightarrow \text{Take the class}$$



Case 2:

- Required: No (0)
- **Before 9 a.m.**: Yes (1)
- Girlfriend: No (0)

$$z = 2(0) - 1.5(1) + 1(0) - 0.5 = -1.5 - 0.5 = -2.0 \Rightarrow y = 0 \Rightarrow \text{Don't take the class}$$



Case 3:

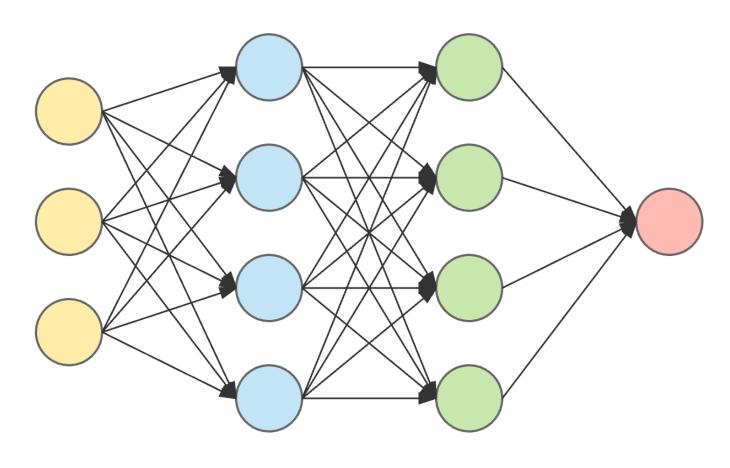
- Required: Yes (1)
- **Before 9 a.m.**: No (0)
- Girlfriend: No (0)

$$z = 2(1) - 1.5(0) + 1(0) - 0.5 = 2 - 0 - 0.5 = 1.5 \Rightarrow y = 1 \Rightarrow \text{Take the class}$$



NEURAL NETWORK ARCHITECTURE

- ANNs are structured into layers:
 - Input Layer: Takes the features of the data.
 - Hidden Layers: Learn complex patterns.
 - Output Layer: Produces the final predictions.
- The number of layers and neurons per layer influences the network's capacity to learn.



input layer

hidden layer 1

hidden layer 2

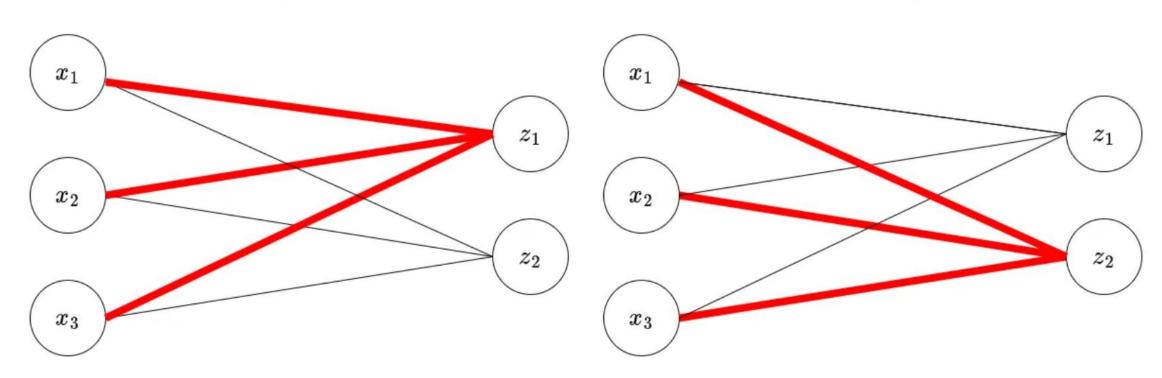
output layer



Weights Represented as Matrices

$$egin{pmatrix} (m{x_1} & m{x_2} & m{x_3}) egin{pmatrix} m{w_{1,1}} & w_{1,2} \ m{w_{2,1}} & w_{2,2} \ m{w_{3,1}} & w_{3,2} \end{pmatrix} = (m{z_1} & m{z_2})$$

$$egin{pmatrix} (m{x_1} & m{x_2} & m{x_3}) egin{pmatrix} m{w_{1,1}} & m{w_{1,2}} \ m{w_{2,1}} & m{w_{2,2}} \ m{w_{3,1}} & m{w_{3,2}} \end{pmatrix} = (m{z_1} & m{z_2})$$

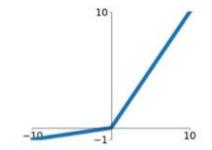




ACTIVATION FUNCTIONS

Sigmoid $\sigma(x) = \frac{1}{1 + e^{-x}}$ tanh tanh(x)ReLU $\max(0,x)$

Leaky ReLU max(0.1x, x)

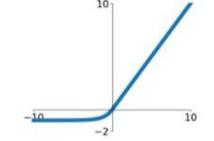


Maxout

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$



$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



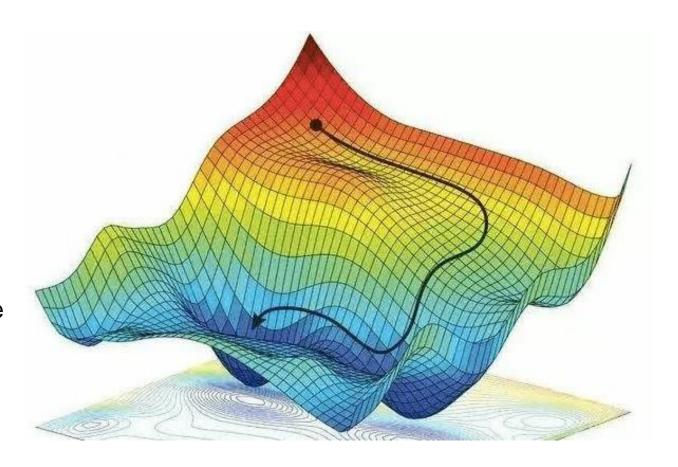


TRAINING PROCESS

- 1. Forward propagate the input.
- 2. Calculate the loss.
- 3. Backpropagate the error to update weights and biases.
- 4. Repeat for multiple epochs until loss below threshold.

Weights and biases are updated to minimize a cost function using an optimization process (e.g., gradient descent)

Gradient descent can be visualized as finding the most direct path to the bottom of a canyon (see figure)





FORWARD PROPAGATION

The process of passing input data through the network to compute the output.

Steps:

- 1. Multiply inputs with weights and add biases.
- 2. Apply activation functions at each neuron.
- 3. Pass the outputs to the next layer.

```
def forward_propagation(inputs, weights, biases, activation_function):
    layer_output = inputs
    for w, b in zip(weights, biases):
        layer_output = activation_function(np.dot(layer_output, w) + b)
    return layer_output
# Example with 2 layers
weights = [np.array([[0.2, 0.8], [-0.5, 0.3]]), np.array([[0.7], [-1.2]])]
biases = [np.array([0.1, -0.3]), np.array([0.5])]
inputs = np.array([1.0, -0.5])
output = forward_propagation(inputs, weights, biases, sigmoid)
print(f"Network output: {output}")
Network output: [0.55970227]
```



BACKPROPAGATION

- Backpropagation trains neural networks by reducing the difference between predicted and actual outputs.
- It works by calculating how much each weight and bias affects the error.
- Errors are sent backward through the network to update weights and biases.
- Uses the chain rule from calculus to compute gradients efficiently.

Steps

1. **Error Calculation**: Compute loss using a function like Mean Squared Error (MSE).

$$MSE = \frac{1}{n} \sum_{i=1}^{n} (y_{actual} - y_{pred})^2$$

2. **Gradient Calculation**: Use the chain rule to calculate gradients of weights and biases.

$$\frac{\partial Loss}{\partial w_k} = \frac{\partial Loss}{\partial z} * \frac{\partial z}{\partial w_k}$$

Where:

$$z = \sum w_i x_i + b$$

3. Weight Update: Update parameters using gradient descent:

$$w = w - \eta \frac{\partial Loss}{\partial w}$$

where η is the learning rate.



PYTHON EXAMPLE

Explanation of Key Parameters

- hidden_layer_sizes: Tuple specifying the number of neurons in each hidden layer. In this case, two layers with 10 neurons each.
- activation: Activation function for the neurons.
- Options: 'identity', 'logistic', 'tanh', 'relu'.
- solver: Optimization algorithm.
- Options: 'lbfgs', 'sgd', 'adam'.

ng

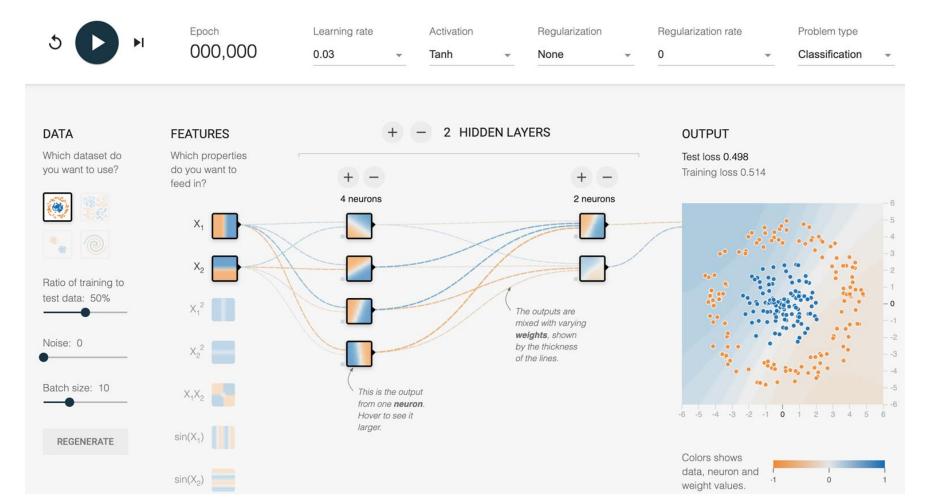
max_iter: Maximum number of iterations for training.

```
# Split dataset into training and testing sets
X train, X test, y train, y test = train test split(X, y, test size=0.2,
                                                    random state=42)
# Standardize the data (important for neural networks)
scaler = StandardScaler()
X train = scaler.fit transform(X train)
X test = scaler.transform(X test)
# Initialize the MLPClassifier
mlp = MLPClassifier(
    hidden layer sizes=(10, 10), # Two hidden layers with 10 neurons each
    activation='relu',
                                 # Activation function: ReLU
                                 # Optimization algorithm: Adam
    solver='adam',
    max_iter=500,
                                 # Maximum number of iterations
    random state=42
# Train the model
mlp.fit(X train, y train)
# Make predictions on the test set
y pred = mlp.predict(X test)
```

https://colab.research.google.com/drive/18zuW6teHF4of6dtXNiVG7eoshursaifN?usp=shari



EXPERIMENT WITH NEURAL NETWORK PLAYGROUND





UNIVERSAL APPROXIMATION THEOREM

A neural network with one hidden layer and non-linear activations can approximate any continuous function.

Single Hidden Layer:

- One hidden layer is enough for approximation.
- May need many neurons \rightarrow can be inefficient.

Practical Notes:

- The theorem doesn't say how many neurons are needed.
- Deeper networks are often more efficient.

Limitations:

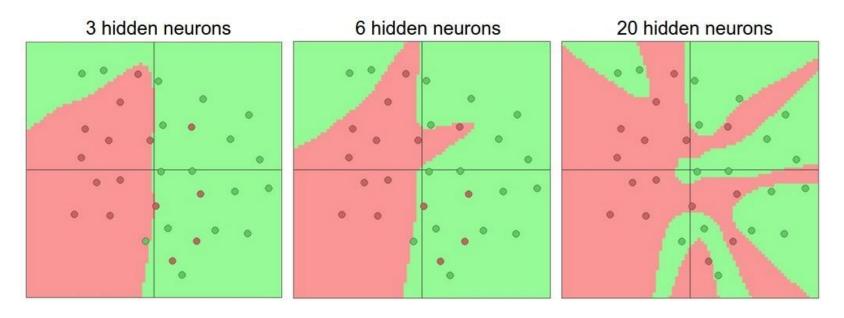
- **Size:** May need too many neurons \rightarrow inefficient or overfit.
- **Training:** Assumes perfect training, which is hard in practice.
- **Discontinuities:** Only applies to continuous functions.



REPRESENTATIONAL POWER

 Neural network with at least one hidden layer is a universal approximator (can represent any function).

Proof in: Approximation by Superpositions of Sigmoidal Function, Cybenko, paper



- The capacity of the network increases with more hidden units and more hidden layers
- Why go deeper? Read e.g.,: Do Deep Nets Really Need to be Deep? Jimmy Ba, Rich Caruana, Paper: paper]



GLOSSARY

Activation Function: A mathematical function that introduces non-linearity into a neural network.

Backpropagation: The central algorithm for training artificial neural networks. It uses gradient descent to minimize the difference between the network's predictions and the actual target values.

Bias: A value added to the weighted sum of inputs for each neuron. Biases help shift the activation function, allowing for more flexibility in the network's learning.

Cost Function: Also known as the loss function, this function measures the difference between the network's predictions and the target values.

Gradient Descent: An optimization algorithm that iteratively adjusts the weights and biases of a neural network to minimize the cost function. It calculates the gradient of the cost function with respect to each parameter and updates the parameters in the direction of the negative gradient.

Hidden Layers: Layers in a neural network between the input and output layers.

Input Layer: The first layer in a neural network that receives the initial data.

Logistic Function/Curve: Also known as the Sigmoid function, this function squashes the output of a neuron to a value between 0 and 1. It's often used in the output layer for binary classification tasks.

Multi-layer Perceptron (MLP): A type of feedforward neural network with one or more hidden layers.

Neuron: The basic building block of a neural network. Each neuron receives input from other neurons, performs a weighted sum of its inputs, applies an activation function, and passes the output to other neurons.

Output Layer: The final layer in a neural network that produces the network's predictions or classifications.

ReLU (Rectified Linear Unit): An activation function that outputs 0 for negative inputs and the input value for positive inputs. It's a popular choice for its computational efficiency and effectiveness in many applications.

Softmax Function: An activation function that outputs a probability distribution over multiple classes. Typically used in the output layer for multi-class classification tasks.

Tanh (Hyperbolic Tangent): An activation function similar to the Sigmoid function but squashes the output to a value between -1 and 1.

Weights: Numerical values that determine the strength of connections between neurons. They are adjusted during the learning process to minimize the cost function.

REFERENCES

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