



*AIX Ver. 4*  
*Korn Shell Programming*  
(Course Code AU23)

Master Visuals  
ERC2.2

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# Contents

Course Presentation Material Overview .....	xi
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## Units

<b>Unit 1. Basic Shell Concepts</b> .....	1-1
Objectives .....	1-2
Shells .....	1-3
Directories .....	1-4
A File .....	1-5
AIX File Names .....	1-6
What Is a Shell Script? .....	1-7
Invoking Shells .....	1-8
Invoking Scripts .....	1-9
Korn Shell Configuration Files .....	1-10
What Are Metacharacters? .....	1-11
Metacharacters .....	1-12
Sample Directory .....	1-13
Expansion Examples .....	1-14
Korn Shell Metacharacters .....	1-15
Quoting .....	1-16
Process I/O .....	1-17
Input Re-direction .....	1-18
Output Re-direction .....	1-19
Output Appending .....	1-20
Association .....	1-21
Setting I/O and File Descriptors .....	1-22
Setting I/O Descriptor Examples .....	1-23
Pipes .....	1-24
Command Grouping () .....	1-25
Command Grouping {} .....	1-26
Background Processing .....	1-27
Korn Shell Job Control .....	1-28
Job Control Example .....	1-29
Command Line Editing and Recall .....	1-30
Summary .....	1-31
<b>Unit 2. Variables</b> .....	2-1
Objectives .....	2-2

Setting Variables	2-3
Referencing Variables	2-4
Positional Parameters	2-5
Shifting Arguments	2-6
Setting Positional Parameters	2-7
Variable Parameters	2-8
Fixed Parameters	2-9
Parameter Code Example	2-10
Parameter Output Example	2-11
This Shell and the Next	2-12
Inheritance Example	2-13
Korn Shell Variables	2-14
Environment Variables	2-15
Korn Environment Variables	2-16
Korn Environment Variables (Cont)	2-17
Summary	2-18
<b>Unit 3. Return Codes and Traps</b>	<b>3-1</b>
Objectives	3-2
Return Values	3-3
Exit Status	3-4
Conditional Execution	3-5
The test Command	3-6
Compound Expressions	3-7
File test Operators	3-8
Numeric Expressions	3-9
String Expressions	3-10
Korn Shell test Operators	3-11
Korn Shell [[ ]] Expressions	3-12
Practice test	3-13
Signals	3-14
What You Can Do with Signals	3-15
The kill Command	3-16
Signal List	3-17
Signal List (Cont.)	3-18
Catching Signals with Traps	3-19
Trap Examples	3-20
Summary	3-21
<b>Unit 4. Flow Control</b>	<b>4-1</b>
Objectives	4-2
The if - then - else Construct	4-3
if Example	4-4
Conditional Loop Syntax	4-5

until Loop Example	4-6
while true Example	4-7
for Loop Syntax	4-8
for - in Loop Example	4-9
for Loop Example	4-10
The case Statement	4-11
case Code Example	4-12
case Code Output	4-13
Mini Quiz	4-14
The Korn Shell select Syntax	4-15
select Code Example	4-16
select Output Example	4-17
exit The Loop	4-18
break The Loop	4-19
continue The Loop	4-20
null Logic	4-21
Program Logic Constructs Example	4-22
Summary	4-23
<b>Unit 5. Shell Commands</b>	<b>5-1</b>
Objectives	5-2
The print Command	5-3
Special Print Characters	5-4
print Examples	5-5
The read Command	5-6
read Examples	5-7
read Command Options	5-8
read Options Examples	5-9
Processing Options	5-10
The getopts Command	5-11
getopts Syntax Example	5-12
getopts Example	5-13
The eval Command	5-14
eval Examples	5-15
The fc Command	5-16
fc Examples - Edit and Execute	5-17
fc Examples - Lists	5-18
The set Command	5-19
Shell Options With set	5-20
Shell Options With set (Cont)	5-21
set Quiz	5-22
Shell builtin Commands	5-23
AIX Shell Commands	5-24
Summary	5-25

<b>Unit 6. Arithmetic</b>	6-1
Objectives	6-2
expr Arithmetic	6-3
expr Operators	6-4
expr Logic Operators	6-5
expr Examples	6-6
expr Examples (Cont)	6-7
The Korn Shell let Command	6-8
let Arithmetic Operators	6-9
base#number Syntax	6-10
let Arithmetic Examples - 1	6-11
let Logical Operators	6-12
let Logical Examples	6-13
Korn Shell integer Variables	6-14
integer Examples	6-15
Implicit let Command	6-16
bc - Mathematics	6-17
bc Operators	6-18
bc Examples	6-19
Summary	6-20
 <b>Unit 7. Korn Shell Types, Commands and Shell Functions</b>	 7-1
Objectives	7-2
Defining Arrays	7-3
Assigning Array Elements	7-4
Referencing Array Elements	7-5
Array Examples	7-6
Another Array Example	7-7
Command Substitution	7-8
Command Substitution Examples	7-9
Defining Functions	7-10
Functions and Variables	7-11
function Examples	7-12
Ending Functions	7-13
Functions and Traps	7-14
The typeset Command	7-15
typeset Examples	7-16
typeset With Functions	7-17
typeset with Functions Examples	7-18
autoload Functions	7-19
Aliases	7-20
Processing Aliases	7-21
Preset Aliases	7-22
The alias Command	7-23

alias Examples	7-24
Tracked Aliases	7-25
The whence Command	7-26
Command Line Processing	7-27
Summary	7-28
<b>Unit 8. String Handling</b>	<b>8-1</b>
Objectives	8-2
Variable Replacements	8-3
Variable Replacement Examples	8-4
Korn Shell Sub-Strings	8-5
Korn Shell Sub-String Examples	8-6
Korn Shell Sub-String Quiz	8-7
Variable Lengths	8-8
typeset Options Review	8-9
Further typeset Options	8-10
typeset Examples	8-11
Tilde Expansions	8-12
Summary	8-13
<b>Unit 9. Regular Expressions and Text Selection Utilities</b>	<b>9-1</b>
Objectives	9-2
Sample Data File	9-3
Regular Expressions	9-4
Regular Expression Metacharacters	9-5
Extending the Pattern	9-6
Quoted Braces	9-7
Quoted Parentheses	9-8
Regular Expressions — Quiz	9-9
grep command	9-10
grep Examples	9-11
tr For Translations	9-12
tr Examples	9-13
The cut Command	9-14
cut Examples	9-15
The paste Command	9-16
paste Examples	9-17
Summary	9-18
<b>Unit 10. Utilities for Personal Productivity</b>	<b>10-1</b>
Objectives	10-2
sed	10-3
Line Selection	10-4
The Substitute Instruction	10-5

Substitutions - Quiz	10-6
sed with Quoted Parentheses	10-7
Summary for Substitutions	10-8
Delete and Print	10-9
Append, Insert and Change	10-10
Command Files	10-11
A Practical Example	10-12
Multiple Editing Instructions	10-13
Internal Operation	10-14
Internal Operation - Example	10-15
Grouping Instructions	10-16
sed Advanced Topics	10-17
Multiple Input Lines - N Instruction	10-18
The P and D Instructions	10-19
Multiline Pattern Spaces - Example	10-20
The Hold Space	10-21
The tar Utility	10-22
tar Options	10-23
tar Pathnames	10-24
Working in Absentia	10-25
The at Command	10-26
at Usage and Examples	10-27
The crontab Command	10-28
crontab File Format	10-29
crontab Examples	10-30
Summary	10-31
<b>Unit 11. The AWK Program</b>	<b>11-1</b>
Objectives	11-2
What is Awk?	11-3
Sample Data - awk	11-4
awk Regular Expressions	11-5
awk Command Syntax	11-6
The print Statement	11-7
awk Fields and Records	11-8
print Examples	11-9
Comparison Operators and Examples	11-10
Arithmetic Operators	11-11
User Variables and Expressions	11-12
BEGIN and END Processing	11-13
BEGIN Without END Example	11-14
END Without BEGIN Example	11-15
Built-In Variables	11-16
Built-In Variables Examples - 1	11-17



Built-In Variables Examples - 2	11-18
if - else if - else Statement	11-19
The while Loop	11-20
The for Loop	11-21
The continue and next Statements	11-22
The exit Statement	11-23
Arrays	11-24
printf for Formatted Printing	11-25
printf Formats	11-26
Functions in Awk	11-27
Built-In Arithmetic Functions	11-28
Built-In String Functions	11-29
Summary	11-30
<b>Unit 12. Putting It All Together</b>	12-1
Objectives	12-2
Korn Shell Scripts in AIX 4.3	12-3
Shell Script Uses in AIX 4.3	12-4
Program Headers	12-5
Program Headers (Cont.)	12-6
Program Structure	12-7
Selected Syntax Examples - 1	12-8
Selected Syntax Examples - 2	12-9
Selected Syntax Examples - 3	12-10
Selected Syntax Examples - 4	12-11
Summary	12-12
<b>Unit 13. Good Practices and Review</b>	13-1
Objectives	13-2
Planning and Design	13-3
Use of Comments	13-4
Commenting Out	13-5
Script Layout	13-6
Debugging Code	13-7
DEBUG Traps	13-8
Maintaining Code	13-9
Good Functions	13-10
Performance Issues for Shell Scripts	13-11
Timing Commands	13-12
Times for Shells	13-13
Korn Shell Performance	13-14
Korn Shell Script Performance	13-15
Good Rules To Follow	13-16
Course Summary	13-17

Course Summary (Cont.) . . . . . 13-18

Summary . . . . . 13-19

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# **Unit 1. Basic Shell Concepts**

# Objectives

---

To review basic Shell concepts in order to:

- Describe the AIX Shells
- Use the AIX file-system
- Create a Shell Script
- Use metacharacters
- Use I/O redirection
- Use pipes and tees
- Group commands
- Run background processes
- Use Korn Shell job control
- Use command line recall and editing

# Shells

---

## What is a Shell ?

- User interface to AIX
- Command interpreter
- Programming language

## AIX Shells:

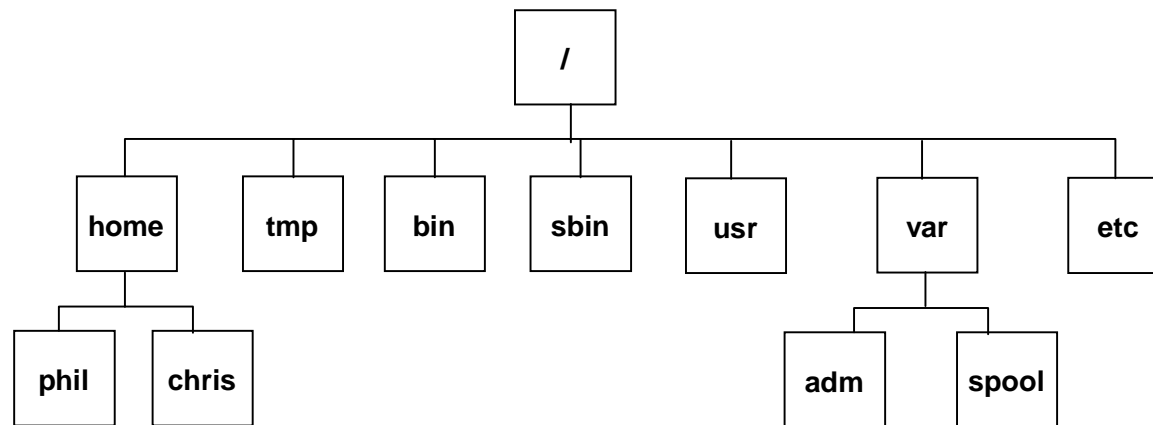
- |              |       |
|--------------|-------|
| • Korn       | - ksh |
| • Bourne     | - bsh |
| • Restricted | - Rsh |
| • C          | - csh |
| • Trusted    | - tsh |
| • POSIX      | - psh |
| • Default    | - sh  |
| • Remote     | - rsh |

*link to ksh in AIX V4*

# Directories

---

The file-system comprises directories in a hierarchical structure



# A File

---

## Definition:

- collection of data, located on a portion of a disk.
- stream of characters or a "byte stream".

No structure is imposed on an ordinary file by the operating system.

## Examples:

- Binary executable code – /bin/ksh
- Text data – /etc/passwd
- C program text – /home/john/prog.c
- Device special file – /dev/null
- Directory special file – /home

`$ file filename`      – *to find out which file type*



# AIX File Names

---

- Should be descriptive of the content
- Are case-sensitive
- Should use only alphanumeric characters:

UPPERCASE lowercase digits  
# . @ - \_

- Should not begin with "+" or "-" sign
- Should not contain embedded blanks or tabs
- Should not contain shell "special" characters:

\* ? > < / ; & ! ~  
[ | ] \$ \ ' " ` { } ( )

# What is a Shell Script?

---

- A readable text file which can be edited with a text editor
  - `/usr/bin/vi shell_prog`
- Anything that you can do from the Shell prompt
- A program, containing:
  - System commands
  - Variable assignments
  - Flow control syntax
  - Shell commands

**and Comments !**

# Invoking Shells

---

`$ ksh`

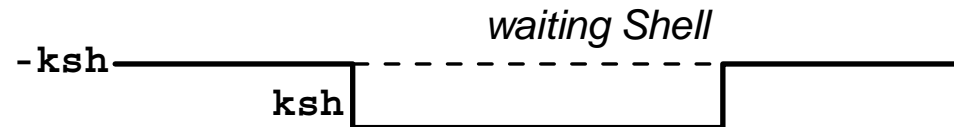
*begins a new Shell,  
interrupting the current one*

`$ ksh -c commands`

*runs commands in a Shell*

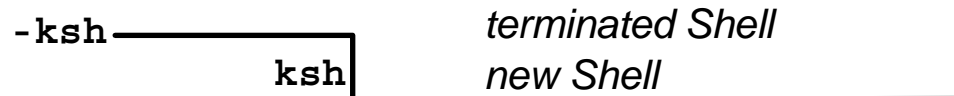
`$ ksh -r`

*starts a restricted Shell*



`$ exec ksh`

*terminates the current Shell and  
replaces with new Shell*



# Invoking Scripts

---

\$ . prog                      *prog run (sourced) in **current** Shell environment*

-ksh \_\_\_\_\_

\$ ksh prog                      *run prog in a new Shell*  
\$ prog                          *run in a new Shell if prog is executable*

-ksh \_\_\_\_\_ *waiting shell*

ksh	prog
-----	------

\$ exec prog                      *run in a new Shell to replace the current one*

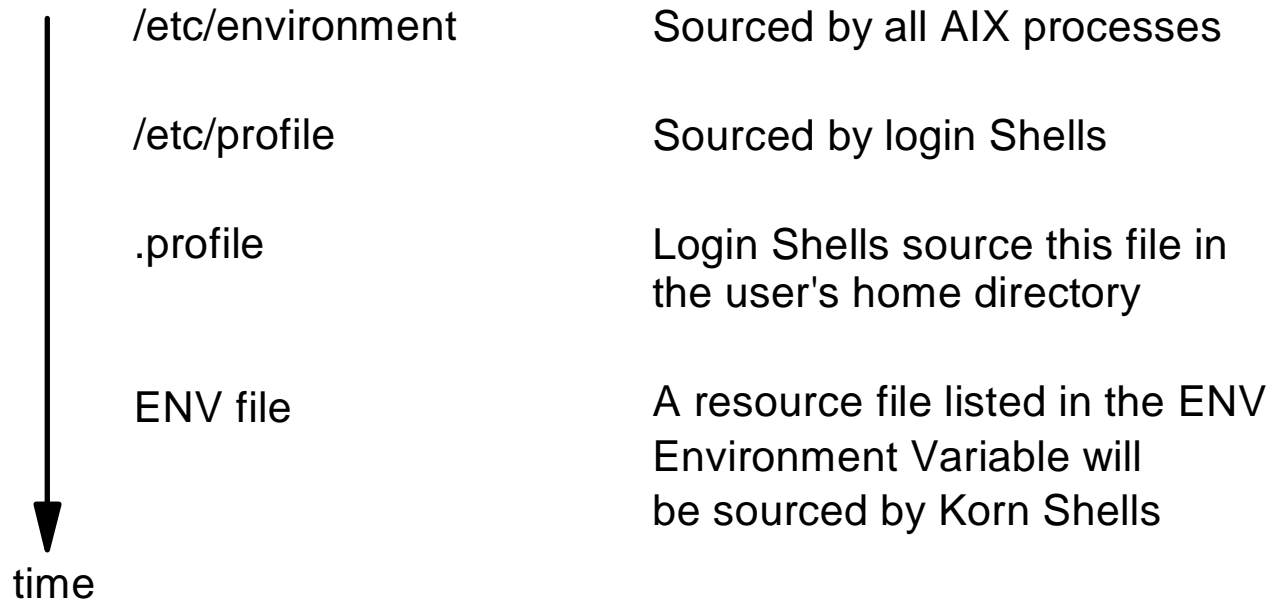
-ksh \_\_\_\_\_ *terminated shell*

ksh	prog
-----	------

# Korn Shell Configuration Files

---

Invoking the Korn Shell sources:



Each new **explicit** Korn Shell sources the ENV file again

# What Are Metacharacters?

---

Characters with special meaning

- 3 types
  - Wildcard (or expansion)
  - Korn Shell
  - Quoting
- Shell processes metacharacters before executing a command
- There are several different Shell metacharacters
- Metacharacters can be mixed

They can be turned off by Shell options

# Wildcard Metacharacters

---

Metacharacters that form patterns that are expanded into matching filenames from the current directory

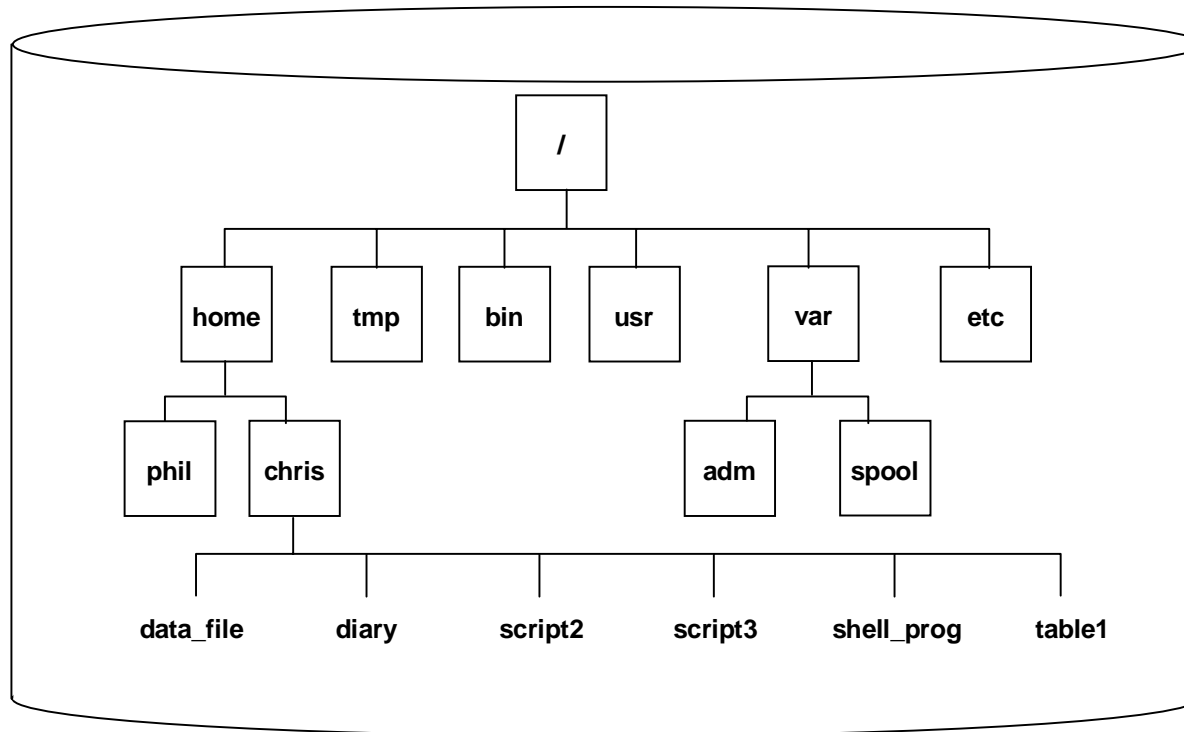
*	-	Match any number of any characters
?	-	Match any single character
[abc]	-	Match a single character from the bracketed list
[!az]	-	Match any single character except those listed
[a-z]	-	Inclusive range for a list

**Character Equivalence Classes** can be used in place of range lists, to avoid National Language collation problems:

[[:upper:]]	-	range list of all upper case letters
[[:lower:]]	-	all lower case letters: a, b, c,... z
[[:digit:]]	-	digits: 0, 1, 2,... 9
[[:space:]]	-	spacing characters: tab, space, etc.

# Sample Directory

---





# Expansion Examples

---

`$ rm d*y` *removes the diary file*

`$ file script*` *identifies script2 and script3*

`$ head script[345]` *displays the top lines of script3*

`$ more script[3-6]` *displays script3 screen by screen*

`$ tail script[!12]` *displays the last lines of script3*

Now your turn...

`$ touch ?a*`

`$ pg [st][ah]*`

`$ lpr [a-z]*t[0-9]`

# Korn Shell Metacharacters

---

## The Korn Shell can match multiple patterns

<code>*</code> (pattern pattern...)	zero or more occurrences
<code>?</code> (pattern pattern...)	zero or one occurrence
<code>+</code> (pattern pattern...)	one or more occurrences
<code>@</code> (pattern pattern...)	exactly one occurrence
<code>!</code> (pattern&pattern...)	anything except

One or more patterns, separated with "|" for "or", "&" for "and"

## Examples:

<code>* ( [0-9] )</code>	<i>0 or more consecutive digits</i>
<code>? (warning)</code>	<i>0 or 1 occurrence of "warning"</i>
<code>+ ( [[:upper:]]   [a-z] )</code>	<i>1 or more consecutive letters</i>
<code>@ ( [0-9]   abc )</code>	<i>1 digit or "abc"</i>
<code>! (err*&amp;fail*)</code>	<i>Word cannot start with "err" or "fail"</i>

# Quoting Metacharacters

---

Stops normal Shell metacharacter processing, including metacharacter expansion

- To form strings

**"double quotes"**

group characters into a string, and allow variable and command substitution

- To form literal strings

**'single quotes'**

remove any special meaning for the characters within them

- For a literal character

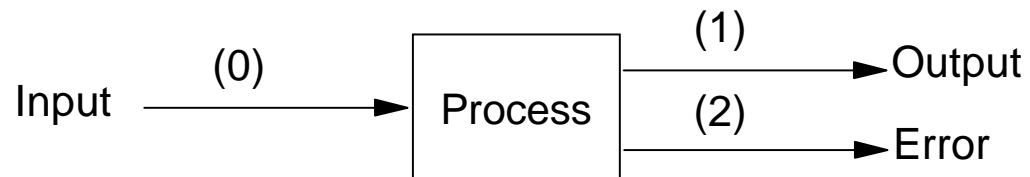
**\character**

removes the special meaning of the character following the \

# Process I/O

---

- Every process has a file descriptor table associated with it



File descriptor table

Defaults	{	0<	Standard in	- keyboard
		1>	Standard out	- screen
		2>	Standard error	- screen
User-defined	{	3		
		⋮		
		9		

# Input Redirection

---

Redirecting standard input from a file:      <  
command < filename

```
$ mail gene
Subject: Hello
A letter to see if you are still with us.
<Ctrl-d>
$ _
```

```
$ mail -s "Hello" gene < letter
$ _
```

Input may also be given inline. This is called a HERE document.

```
command << END
text
...
END
```

# Output Redirection

---

Redirecting standard output to a file:      >

command > filename

```
$ ls /home/chris  
data_file script2 script3 shell_prog table1  
$ _
```

```
$ ls /home/chris > listing  
$ _
```

Redirecting standard error output to a file:      2>

command 2> filename

```
$ cat /home/chris/printout  
cat: 0652-050 Cannot open printout.  
$ _
```

```
$ cat /home/chris/printout 2> errors  
$ _
```

# Output Appending

---

Appending standard output to a file: >>

command >> filename

```
$ wc -l /home/chris/script3
    42  /home/chris/script3
```

```
$ _
```

```
$ wc -l /home/chris/script3 >> line_count
```

```
$ _
```

Appending standard error output to a file: 2>>

command 2>> filename

```
$ wc -c /home/chris/characters
wc: 0652-755 Cannot open characters.
```

```
$ _
```

```
$ wc -w /home/chris/words/ 2>> errors
```

```
$ _
```

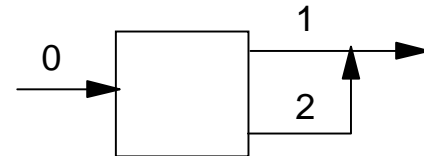
# Association

---

File descriptors can be joined, so that they output to the same place

```
command > file 2>&1
```

Redirects standard error to join with standard out



What do you think this command does?

```
$ cat Message_file 1>&2
```



# Setting I/O or File Descriptors

---

The built-in Shell command `exec` allows you to

- open
- associate
- close

file descriptors

<code>\$ exec n&gt; of</code>	<i>Opens output file descriptor <math>n</math> to file "of"</i>
<code>\$ exec n&lt; if</code>	<i>Opens input file descriptor <math>n</math> to read file "if"</i>
<code>\$ exec m&gt;&amp;n</code>	<i>Associates output file descriptor <math>m</math> with <math>n</math></i>
<code>\$ exec m&lt;&amp;n</code>	<i>Associates input file descriptor <math>m</math> with <math>n</math></i>
<code>\$ exec n&gt;&amp;-</code>	<i>Closes output file descriptor <math>n</math></i>
<code>\$ exec n&lt;&amp;-</code>	<i>Closes input file descriptor <math>n</math></i>

# Setting I/O Descriptor Examples

---

To open file descriptor 3 for output to Dale's out file and 4 to Dale's err file

```
$ exec 3> /home/dale/out  
$ exec 4> /home/dale/err  
$ date >&3  
$ ls /home/gale >&4
```

To associate output to file descriptor 3 with file descriptor 4

```
$ exec 3>&4  
$ wc -l /home/gale/script3 >&3  
$ wc -l /home/gale/table1 >&4
```

To close file descriptors 3 and 4

```
$ exec 3>&-  
$ exec 4>&-
```

# Pipes

---

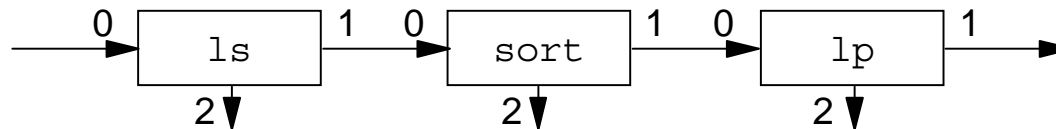
Commands can be joined, so one inputs into the next

```
command1 | command2 | command3
```

Gives a command *pipeline*

```
$ ls /home/robin | sort -r | lp
```

*sorts the file list into reverse order, and prints it*



Pipelines may have a branch using *the tee* command

- duplicates the standard input to the branch and to standard out

```
$ ls /home/francis | tee raw_list | sort -r | lp
```

*saves the unsorted list in the file raw\_list*

# Command Grouping ( )

---

To combine the output of several commands: ( ) or { }

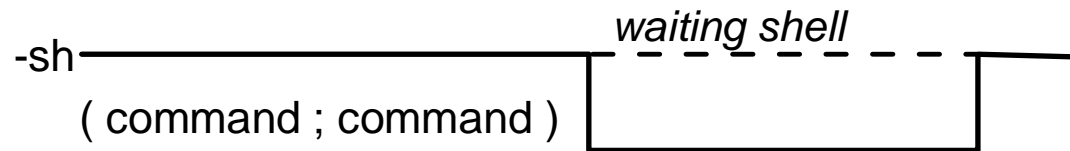
**( command ; command ... )**

- Runs commands in a Sub-Shell

For root to alter Lynn's files:

```
# ( cd /home/lynn ; chown lynn:bin d* )
```

leaves the working directory unchanged on completion



# Command Grouping {}

---

```
{ command ; command ... ; }
```

- Runs commands in the current Shell
- Directory (or environment) changes remain in effect
- Must leave spaces around the braces

Either    have the braces on separate lines  
or        include a final "; " before the closing brace

```
# { cd /home/lynn ; chown lynn:bin s* ; }
```

```
-ksh _____ { command ; command ; }
```

# Background Processing

---

Execute command in the background:      &

command &

```
$ sleep 999 &
```

Waiting for the end...

```
$ date
```

```
Fri Dec 31 11:59:59 EST 1999
```

```
$ wait
```

*When all background processes have finished*

```
$ _
```

# Korn Shell Job Control

---

Korn Shell assigns job numbers to background or suspended processes

- The **jobs** command lists your current Shell processes and their job ids
- **Ctrl-z** suspends the current foreground job
- **bg** runs a suspended job in background
- **fg** brings to foreground a suspended or background job
- Jobs can be stopped with the **kill** command

kill, fg and bg work with the following arguments:

pid	process id
%job_id	job id
%% - or - %+	current job
%-	previous job
%command	match a command name
%?string	match string in command line

# Job Control Example

---

```
$ cc -o RUNME program_in.c
...
After some time running this long compilation...
Ctrl-z
[2] + 5692 Stopped (SIGTSTP)  cc -o RUNME
program_in.c
$ jobs
+ [2] Stopped (SIGTSTP)      cc -o RUNME
program_in.c
- [1] Running               sleep 999 &
$ bg %+
[2] cc -o RUNME program_in.c
$ jobs
+ [2] Running               cc -o RUNME
program_in.c
- [1] Running               sleep 999 &
$ kill %cc
[2] + 5692 Terminated      cc -o RUNME
program_in.c
$ fg %1
sleep 999
$ _
```

*Completing the sleep in the foreground...*



# Command Line Editing and Recall

---

Vi option for the Korn Shell gives:

- Command line editing
- Command recall

```
$ set -o vi
```

Then simply press **ESC** to enter editing mode:

- **h** to move the cursor left
- **l** to move the cursor right
- **-** or **k** fetches commands from the history file
- **+** or **j** if you go too far back
- Plus other *vi* commands to perform line editing

# Summary

---

- AIX Shells
- Hierarchical file-system
- File names and types
- Shell Scripts
- Invoking Shells
- Shell metacharacters: expansion, Korn and quoting
- < and << input redirection
- > and >> output redirection
- 2> and 2>> error redirection
- Setting file descriptors
- Pipes and tees
- Command grouping
- Background processes
- Korn Shell job control
- Korn Shell command editing

## **Unit 2. Variables**

# Objectives

---

How to use Shell variables and parameters:

- Setting variables
- Referencing variables
- Using Positional Parameters
- Shifting arguments
- Setting Positional Parameters
- Using Shell parameters
- How inheritance works
- Listing Shell variables
- Listing Environment variables

# Setting Variables

---

To assign a value to a variable: **name=value**

```
$ var1=Fri  
$ _
```

To protect a variable against further changes:

**readonly name=value**

**- or -**

**typeset -r name=value**

```
$ readonly var1=Sun  
$ var1=Mon  
ksh: var1: This variable is read only  
$ _
```

# Referencing Variables

---

To reference a variable, prefix name with a \$

```
$ print $var1  
Fri  
$ _
```

To separate a variable reference from other text use: \${ }

```
$ print The course ends on $var1day  
The course ends on  
$ print The course ends on ${var1}day  
The course ends on Friday  
$ _
```

# Positional Parameters

---

Parameters can be passed to Shell Scripts as arguments on the command line

```
$ params.ksh arg1 arg2
```

- "arg1" is Positional Parameter number 1
- "arg2" is Positional Parameter number 2
- Others are unset

They are referenced in the script by:

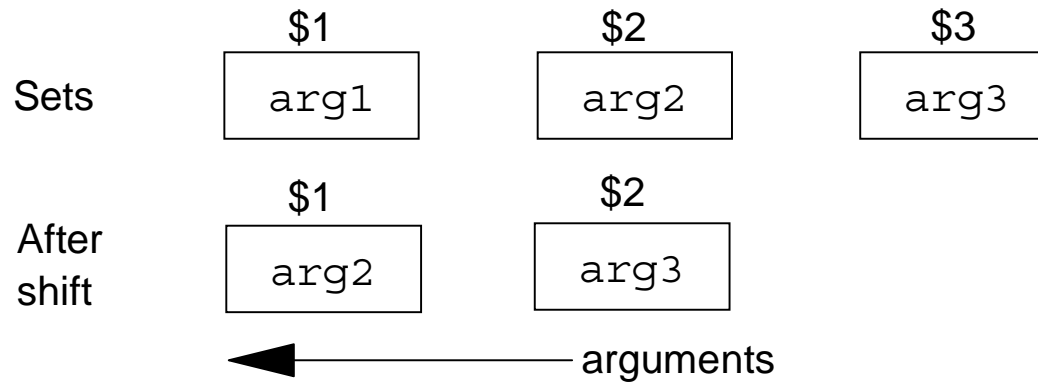
- \$1           to       \$9    for the first nine
- \${10}       to       \${n}   for the remainder (Korn Shell only!)

# Shifting Arguments

---

In a Shell Script the **shift** command moves arguments "to the left":

```
$ params.ksh arg1 arg2 arg3
```



- Discarding the first or "leftmost" argument
- Decrementing the number of Positional Parameters
- Allowing Bourne Shell to reference more than 9 arguments



# Setting Positional Parameters

---

In a Shell Script the **set** command can:

- Change the values of Positional Parameters
- Unset Positional Parameters previously set

```
$ cat first.ksh
print $1 $2 $3
set value1 value2
print $1 $2 $3
```

```
$ first.ksh a b c
a b c
value1 value2
```

```
$ _
```

# Variable Parameters

---

Shell Scripts set a number of other Shell Parameters:

- `$#`     The number of Positional Parameters set
- `$@`     Positional Parameters in a space separated list
- `$*`     Positional Parameters in a list separated by the first Field Separator (the default is a space)

In double quotes, `$@` and `$*` behave differently:

```
"$@" = "$1" "$2" "$3" . . .  
"$*" = "$1 $2 $3 . . . "
```

# Some Shell Parameters

---

Shell Parameters that remain fixed for the duration of the Script:

\$0     The (path)name used to invoke the Shell Script

\$\$     The Process Id (PID) of current process (shell)

\$-     Shell Options used to invoke the Shell, e.g. -r

Parameters set as the Script executes commands:

#!     The PID of the last background process

\$?     The return code from the last command executed

# Parameter Code Example

---

So let's put all of it into action in a Shell Script...

```
$ cat second.ksh
print $$
print $0
print "$# PPs as entered"
print "PP1=$1 PP2=$2 PP3=$3 PP4=$4"
shift
print $0
print "$# PPs after a shift"
print "PP1=$1 PP2=$2 PP3=$3 PP4=$4"
set "$@"
print 'Set "$@" - parameters in double quotes'
print "PP1=$1 PP2=$2 PP3=$3 PP4=$4"
set "$*"
print 'Set "$*" - parameters space separated'
print "PP1=$1 PP2=$2 PP3=$3 PP4=$4"

$ _
```

# Parameter Output Example

---

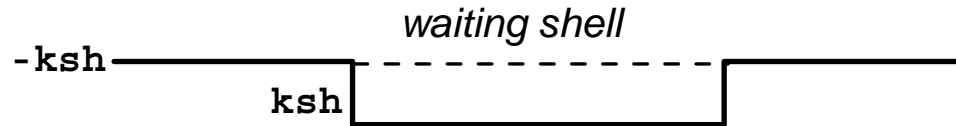
Here's what it does...

```
$ second.ksh arg1 arg2 "arg3 and text"
4687
second.ksh
3 PPs as entered
PP1=arg1 PP2=arg2 PP3=arg3 and text PP4=
second.ksh
2 PPs after a shift
PP1=arg2 PP2=arg3 and text PP3= PP4=
Set "$@" - parameters in double quotes
PP1=arg2 PP2=arg3 and text PP3= PP4=
Set $* - parameters in double quotes
PP1=arg2 arg3 and text PP2= PP3= PP4=
$ _
```

# This Shell and the Next

---

What happens to variables when you spawn a Sub-Shell?



Unless you export variables, they will not be passed on.

\$ set	<i>to list all variables and values</i>
\$ export var	<i>export variable var so that it will</i>
- or -	<i>be inherited by Sub-Shells, or</i>
\$ typeset -x var	<i>use typeset in the Korn Shell</i>
\$ export	<i>to list variables that are exported,</i>
- or -	<i>other variables will be unset in a</i>
\$ typeset -x	<i>Sub-Shell</i>

# Inheritance Example

---

Let's see inheritance in action...

```
$ x=324
$ print "$$: X=$x"
4589: X=324
```

*We can set a variable x  
in our current shell*

```
$ ksh
$ print "$$: X=$x"
4590: X=
```

*In a Sub-Shell, x is unset  
- there is no value to print*

```
$ _
Ctrl-d
$ print "$$: X=$x"
4589: X=324
```

*Returning to the main Shell...*

```
$ export x
$ ksh
$ print "$$: X=$x"
4591: X=324
```

*x will have its value restored  
If we export x, a Sub-Shell  
can inherit the value of x*

```
$ x=3
$ _
Ctrl-d
$ print "$$: X=$x"
4589: X=324
```

*If we change x from the  
Sub-Shell, the change does  
not affect the main Shell*

# Korn Shell Variables

---

Korn Shell sets certain variables each time they are referenced:

SECONDS	seconds since Shell invocation
RANDOM	random number in the range 0 to 32767
LINENO	current line number within a Shell Script or function
ERRNO	system error number of the last failed system call – a system-dependent value!



# Environment Variables

---

Several variables define the environment of a Shell:

CDPATH	a search path for the cd command
HOME	your home directory
IFS	input field separators (defaults to: space, tab, newline)
MAIL	the name of your mail file
MAILCHECK	mail check frequency (default 600 seconds)
MAILMSG	the "you have new mail" message
PATH	the system command search path
PS1	the primary Shell command prompt
PS2	a secondary prompt for multi-line entry
SHELL	the pathname of the Shell
TERM	the terminal type (selects terminfo file)

# Korn Environment Variables

---

Korn Shell specific features require environment variables:

COLUMNS	screen width
EDITOR	the editor for command line editing
ENV	program/script to be sourced for each new Shell
FCEDIT	an editor for the <code>fc</code> command
FPATH	a search path for function definition files
HISTFILE	your history file
HISTSIZE	limit of history commands accessible
LC_COLLATE	sorting sequence for pattern ranges
LINES	screen length
OLDPWD	previous working directory for <code>cd -</code>

# Korn Environment Variables (Cont.)

---

OPTARG	required value for an option – <code>getopts</code>
OPTIND	index of the next argument for <code>getopts</code> to process
PPID	the parent process id
PS3	prompt for the <code>select</code> command
PS4	debug prompt for <code>ksh</code> with the <code>-x</code> option
PWD	the current working directory
REPLY	set by <code>select</code> command and the <code>read</code> command if no argument is given
TMOUT	seconds to Shell timeout
VISUAL	a visual editor – overrides <code>EDITOR</code>

# Summary

---

- Setting variables
- Referencing variables
- Positional Parameters
- Shifting arguments
- Setting Positional Parameters
- Shell parameters
- Inheritance
- Shell variables
- Environment variables

## **Unit 3. Return Codes and Traps**

# Objectives

---

In this unit we will learn about:

- Return values
- Exit Codes
- Conditional execution
- The test command
- Compound expressions
- File test operators
- Numerical expressions
- String expressions
- Korn Shell test operators
- Korn Shell `[[ ]]` expressions
- Signals
- Sending signals
- Catching signals

# Return Values

---

Each command, pipeline or group of commands returns a value to its parent process

**\$?** contains the value of the return code

- **zero** means success
- **non-zero** means an error occurred

The single value returned by a pipeline is the return code of the last command in the pipeline

For grouped commands – that is, ( ) or { } – the return code is that of the last command executed in the group

# Exit Status

---

A Shell script provides a return code using the *exit* command

\$ print \$\$	<i>check the Shell process id</i>
879	
\$ ksh	<i>start a new Sub-Shell</i>
\$ print \$\$	<i>and check its process id</i>
880	
\$ exit	<i>quit the Sub-Shell</i>
\$ print \$?	<i>and print the return code</i>
0	
\$ print \$\$	
879	
\$ ksh	<i>begin another Sub-Shell</i>
\$ print \$\$	
890	
\$ exit 101	<i>exit with a value to set</i>
\$ print \$?	<i>the return code</i>
101	
\$ print \$\$	
879	
\$ _	



# Conditional Execution

---

A return code (or exit status) can be used to determine whether or not to execute the next command

- if command1 is successful execute command2

```
command1 && command2
```

```
$ rm -f file1 && print file1 removed
```

- if command1 is not successful execute command2

```
command1 || command2
```

```
$ who|grep marty || print Marty logged off
```

# The test Command

---

The test command is used for expression evaluation

```
test expression
```

- or -

```
[ expression ]
```

- returns zero if the expression is true
- returns non-zero if the expression is false

The Korn Shell provides an improved version

```
[[ expression ]]
```

- easier syntax
- includes same functionality as *test*
- additional operators
- Shell expansions prevented

# Compound Expressions

---

For the [ ] or test command

<code>exp1 -a exp2</code>	binary and operation
<code>exp1 -o exp2</code>	binary or operation
<code>! exp</code>	logical negation
<code>\ ( \ )</code>	to group expressions

For the [[ ]] syntax

<code>exp1 &amp;&amp; exp2</code>	true if both expressions are true - the second is only evaluated if the first is true
<code>exp1    exp2</code>	true if either expression is true - the second is only evaluated if the first is false
<code>! exp</code>	logical negation
<code>( )</code>	to group expressions

# File Test Operators

---

File status can be examined using several operators

*Operator:      True if ....:*

-s file	file has a size greater than zero
-r file	file exists and is readable
-w file	file exists and is writable
-x file	file exists and is executable
-u file	file exists and has the SUID bit set
-g file	file exists and has the SGID bit set
-k file	file exists and has the SVTX sticky bit set
-e file	file exists
-f file	file exists and is an ordinary file
-d file	file exists and is a directory
-c file	file exists as a character special file
-b file	file exists as a block special file
-p file	file exists and is a named pipe file
-L file	file exists and is a symbolic link

# Numeric Expressions

---

For arithmetic expressions and integer values use

*Expression:*

*True if ...:*

exp1 -eq exp2

exp1 is equal to exp2

exp1 -ne exp2

exp1 is not equal to exp2

exp1 -lt exp2

exp1 is less than exp2

exp1 -le exp2

exp1 is less than or equal to  
exp2

exp1 -gt exp2

exp1 is greater than exp2

exp1 -ge exp2

exp1 is greater than or equal  
to exp2

# String Expressions

---

To examine strings use one of the following

*Expression:*

*True if ...:*

`-n str`                      `str` is non-zero in length

`-z str`                      `str` is zero in length

`str1 = str2`                `str1` is the same as `str2`

`str1 != str2`               `str1` is not the same as `str2`

# Korn Shell Test Operators

---

The Korn Shell provides a number of additional `test` operators

<i>Expression:</i>	<i>True if ...:</i>
<code>file1 -ef file2</code>	file1 is another name for file2
<code>file1 -nt file2</code>	file1 is newer than file2
<code>file1 -ot file2</code>	file1 is older than file2
<code>-O file</code>	file exists and its owner is the effective user id
<code>-G file</code>	file exists and its group is the effective group id
<code>-S file</code>	file exists as a socket special file
<code>-t des</code>	file descriptor <i>des</i> is open and associated with a terminal device

# Korn Shell [[ ]] Expressions

---

When using the Korn Shell [[ ]] syntax there are a few extra expressions...

<i>Expression:</i>	<i>True if ...:</i>
--------------------	---------------------

<code>str = pattern</code>	<code>str</code> matches <code>pattern</code>
----------------------------	---

<code>str != pattern</code>	<code>str</code> does not match <code>pattern</code>
-----------------------------	--

<code>str1 &lt; str2</code>	<code>str1</code> is before <code>str2</code> in the ASCII collation sequence
-----------------------------	---

<code>str1 &gt; str2</code>	<code>str1</code> is after <code>str2</code> in ASCII collation
-----------------------------	---

<code>-o opt</code>	option <i>opt</i> is on for this shell
---------------------	--

You may use Shell metacharacters in the patterns



# Practice Test

---

```
$ [[ -s /etc/passwd || -r /etc/group ]]
$ print $? True or False?
```

```
$ test -f /etc/motd -a ! -d /home
$ print $? True or False?
```

```
$ x="005"
$ y=" 10"
$ test "$y" -eq 10
$ print $? True or False?
```

```
$ [ "$x" = 5 ]
$ print $? True or False?
```

```
$ [[ -n "$x" ]]
$ print $? True or False?
```

```
$ test -S /dev/tty0
$ print $? True or False?
```

```
$ [[ 1234 = +([0-9]) ]]
$ print $? True or False?
```

# Signals

---

The kernel sends signals to processes during their execution

- certain system events issue signals when they
  - run out of paging space
  - receive special key sequences like <Ctrl-c>
- The **kill** command sends a specific signal to a process

# What You Can Do with Signals

---

Signals sent to processes may be

- Caught            the process deals with it
- Ignored           nothing happens
- Defaulted        use default *handlers*

# The Kill Command

---

- To send a signal to a process:

```
kill -sig pid    -or-    kill -s sig pid
```

- To signal the current process group:

```
kill -sig 0      -or-    kill -s sig 0
```

- To send a signal to all of your processes, except those with PPID 1  
(do not use if you are root):

- 

```
kill -sig -1     -or-    kill -s sig -1
```

- To list all defined signals

```
kill -l
```

- To list the signal that caused an exit error

```
kill -l $?
```

# Signal List

---

Here is a list of some useful signals

***Signal:***    ***Event:***

0	EXIT	issued when a process or function completes (Shell specific)
1	HUP	you logged out while the process was still running – sent to Sub-Shells too
2	INT	interrupt pressed (Ctrl-c)
3	QUIT	quit key sequence pressed (Ctrl-\)
15	TERM	default kill command signal
18	TSTP	process suspend (Ctrl-z)

## Signal List (Cont.)

---

<i><b>Signal:</b></i>	<i><b>Event:</b></i>
19 CONT	continue if stopped – issued by <code>kill</code> to a suspended process before TERM or HUP
29 PWR	power failure imminent – save data now!
33 DANGER	paging space low
63 SAK	you pressed <Ctrl-x> and <Ctrl-r> the SAK sequence

# Catching Signals with Traps

---

The `trap` command specifies any special processing you want to do when the process receives a signal:

To process signals

```
$ trap 'rm /tmp/$$; print signal!; exit 2' 2 3
```

To ignore signals

```
$ trap '' INT QUIT
```

To reset signal processing

```
$ trap - INT QUIT      - or -      trap 2 3
```

To list traps set

```
$ trap
```

# Trap Example

---

```
#!/usr/bin/ksh
# ps_monitor
# monitor processes using ps -elf at intervals
# of 30 seconds for 2 minutes.  If interrupted,
# a summary report is produced by executing
# psummary.
#
trap 'print $0: interrupt received ;
      ./psummary ;
      exit' 2 3 15
ps -elf > /tmp/pdata
sleep 30
ps -elf >> /tmp/pdata
sleep 30
ps -elf >> /tmp/pdata
sleep 30
ps -elf >> /tmp/pdata
sleep 30
ps -elf >> /tmp/pdata
trap - 2 3 15
```



# Summary

---

- Return values
- Exit status
- Conditional execution
- The *test* command
- Compound expressions
- File *test* operators
- Numerical expressions
- String expressions
- Korn Shell *test* operators
- Korn Shell *[[ ]]* expressions
- Signals
- Sending signals – *kill* command
- Catching signals – *trap* command

## **Unit 4. Flow Control**

# Objectives

---

For practical Shell Scripts we need program logic:

- The *if - then - else* construct
- Conditional loops with *until* and *while*
- Specific value iteration with *for*
- Multiple choice pattern matching with *case*
- The *select* command for menus
- Breaking and continuing loops
- Doing nothing – the null command

# The *if - then - else* Construct

---

```
if expression1
then
    commands to be executed if
    expression1 is true
elif expression2
then
    commands to be executed if
    expression1 is false, and
    expression2 is true
elif expression3
then
    commands to be executed if
    expression1 and expression2
    are false, but expression3 is true
else
    commands to be executed if all
    expressions are false
fi
```

# if Example

---

Here is a simple if construct:

```
#!/usr/bin/ksh
# Usage: goodbye username
#
if [[ $# -ne 1 ]]
then
    print "Usage is: goodbye username"
    print "Please try again."
    exit 1
fi
rmuser $1
print "O.K., $1 is removed."
```

When we run "goodbye", this is what we get ...

```
$ goodbye
Usage is: goodbye username
Please try again.
$ goodbye pete
O.K., pete is removed.
$ _
```

# Conditional Loop Syntax

---

```
until expression  
do  
    commands executed  
    when expression is false  
done          # optional < file
```

```
while expression  
do  
    commands executed  
    when expression is true  
done          # optional < file
```

# until Loop Example

---

The C compiler returns a non-zero exit code **until** its compilation is successful:

```
$ until  cc prog.c  
> do  
>    vi prog.c  
> done  
$ _
```

# while true Example

---

The Script "forever" is a tough cookie!

```
#!/usr/bin/ksh
# An endless loop with a trap for INT QUIT TSTP
trap 'print "hasta la vista - baby!"' 2 3 18
while true
do
    print "I'll be back."
    sleep 10
done
```

```
$ forever
I'll be back.
I'll be back.
I'll be back.
```

*every ten seconds  
the script speaks!*

*Ctrl-c*

*an attempt to stop it...*

```
hasta la vista - baby!
I'll be back.
I'll be back.
```

*invokes the trap, and  
it carries on.*



# for Loop Syntax

---

```
for identifier in word1 word2 ...  
do  
    commands using $identifier  
    more commands  
done
```

```
for identifier  
# equivalent to: for identifier in "$@"  
do  
    commands using $identifier which takes  
    values from the positional parameters  
done
```

# for - in Loop Example

---

Here we have a quick tidy-up to delete files:

```
$  for  file  in  *.tmp
>  do
>    rm -f $file
>  done
$  _
```

Why use the option -f ?

# for Loop Example

---

The sample Script "getprice.ksh" will look up the price list:

```
#!/usr/bin/ksh
# getprice.ksh - select price from "pricelist" file
# for each item entered on the command line
# Usage: getprice item1 item2 ...
#
for item
do
    grep -i "$item" pricelist
done
```

```
$ getprice.ksh "Shock Absorbers" "Air Filter"
Front Shock Absorbers      49.99
Rear Shock Absorbers      59.99
Air Filter                 10.99
$ _
```

# The case Statement

---

```
case word in  
  ( pattern1 | pattern2 | ... )  
    action      ;;  
  (*) default    ;;  
esac
```

```
case $identifier in  
  (pattern1)      command1  
                   more_commands ;;  
  (pattern2 | pattern3) commands  ;;  
  (*)             commands  ;;  
esac
```

# case Code Example

---

A guessing game of sorts:

```
#!/usr/bin/ksh
# Usage:  match string
# To see how lucky you are feeling today

case "$1" in
    Ace   )      print "You are really close." ;;
    King  )      print "Missed it by that much." ;;
    Queen )      print "Finally!" ;;
    Jack  )      print "I hope you'll get it next time." ;;
    *     )      print "Guess again." ;;

esac
```

# Case Code Output

---

A casino dealer in the making?

\$ match Three  
Guess again.

\$ match Jack  
I hope you'll get it next time.

\$ match Ace  
You are really close.

\$ match King  
Missed it by that much.

\$ match Queen  
Finally!

# Mini Quiz

---

1. There can be any number of *elif* statements in an *if* – *then* – *else* construct.
2. *while* - *true* and *until* - *false* — are they equals or opposites?
3. The statement: "*for identifier*" takes its input from positional parameters.

# The Korn Shell select Syntax

---

**select** identifier **in** word1 word2 ...

**do**

    commands using \$identifier usually  
    containing a case statement

**done**

**select** identifier

# equivalent to: **select** identifier **in** "\$@"

**do**

    commands using \$identifier from positional  
    parameters usually containing a case  
    statement

**done**



# select Code Example

---

To help identify animals we have a "barn.ksh" Shell Script:

```
#!/usr/bin/ksh
# usage: barn.ksh
PS3="Pick an animal: "
select animal in cow pig dog quit
do
    case $animal in
        (cow)      print "Moo"
                    ;;
        (pig)      print "Oink"
                    ;;
        (dog)      print "Woof"
                    ;;
        (quit)     exit
                    ;;
        ('')       print "Not in the barn"
                    ;;
    esac
done
```

# select Output Example

---

Running "barn.ksh" we can choose an animal to examine ...

```
$ barn.ksh
```

- 1) cow
- 2) pig
- 3) dog
- 4) quit

```
Pick an animal: 1
```

```
Moo
```

```
Pick an animal: 2
```

```
Oink
```

```
Pick an animal: 3
```

```
Woof
```

```
Pick an animal: 8
```

```
Not in the barn
```

```
Pick an animal: 4
```

```
$
```

# exit The Loop

---

In the Korn Shell script /usr/sbin/snap

```
...
if [ "$badargs" = n ]
then
    for choice in $cmplist
    do
        if [ "$component" = "$choice" ]
        then found=y ; break ;
        fi
    done
    if [ "$found" = y ]
    then
        if [ -r "$destdir/$component/$component.snap" ]
        then
            more $destdir/$component/$component.snap
        else
            echo "^Gsnap:  $destdir/$component/$component.snap not found"
            exit 25
        fi
    fi
else
    usage
    exit 26
fi
...
```

# break The Loop

---

The break command jumps out of **do . . . done** loops:

- exits from the smallest enclosing loop
- jumps out a specified *number* of layers/loops

**break** *number*

```
select choice in Backup Restore Quit
do
    case $choice in
        (Backup)  find . -print|backup -iqf /dev/rfd0
        ;;
        (Restore) restore -xqf /dev/rfd0
        ;;
        (Quit)     break
        ;;
        ('')       print "What ?" 1>&2
        ;;
    esac
done
```

# continue The Loop

---

The **continue** command begins the next iteration of a **do ... done** loop:

- starts at the top of the smallest enclosing loop
- begins again a specified *number* of layers/loops out

**continue** *number*

```
$ for File in *
> do
> if [[ -d $File ]]
> then
>     continue
> fi
> file $File
> done
$ _
```

# null Logic

---

Sometimes you require a command, but you don't actually want to do anything – a NULL command

`:` # a COLON character

```
sys_call parameter1 parameter2
if [[ $? -eq 0 ]]
then
    # Debug slot      } without the null command ":"
    :                 } this would be illegal syntax
else
    print $0: Error: command failed
    exit $ERRNO
fi
```

# Program Logic Constructs Example

---

Here's a Script to delete empty files:

```
#!/usr/bin/ksh
# Usage: delfile file1 file2 ...
while [[ $# -gt 0 ]]
do
    if [[ -f "$1" ]]
    then
        if [[ ! -s "$1" ]]
        then
            rm $1 && print $1 deleted
        else
            print $1 not deleted 1>&2
        fi
    elif [[ -d "$1" ]]
    then
        print $1 is a directory
    else
        print "$1" is a special file
    fi
    shift
done
```

# Summary

---

- The *if – then – else* construct
- Conditional loops with *until* and *while*
- Specific value iteration with *for*
- Multiple choice pattern matching with *case*
- The *select* command for menus
- Leaving loops – *Exit* and *Break*
- Beginning again – *Continue*
- Doing nothing — the null command – *:*



## **Unit 5. Shell Commands**

# Objectives

---

We shall learn in this unit about some special built-in Shell commands:

- The Korn Shell print command
- Special printing characters
- The read command
- Option and argument processing with getopt
- Command line re-evaluation with eval
- History manipulations with fc
- The set command
- Shell options with set
- Shell invocation
- Built-in commands
- Shell commands provided by AIX

# The Print Command

---

The **print** command is the Korn Shell output mechanism:

<b>print</b> argument ...	prints arguments to standard output separated by spaces
<b>print -</b> argument ...	to print arguments that look like options
<b>print -r</b> argument ...	RAW mode – do not interpret special characters
<b>print -R</b> argument ...	equivalent to "-" and "-r"
<b>print -n</b> argument ...	no trailing newline after output
<b>print -uN</b> argument ...	output sent to file descriptor <b>N</b>
<b>print -s</b> argument ...	output to the shell history file only

# Special Print Characters

---

Backslash character sequences have special meaning (except in raw mode)

<code>\a</code>	Alarm - ring the terminal bell
<code>\b</code>	Backspace
<code>\c</code>	Print without trailing newline (same as <code>print -n</code> )
<code>\f</code>	Form feed
<code>\n</code>	Newline
<code>\r</code>	Return
<code>\t</code>	Tab
<code>\v</code>	Vertical tab
<code>\\</code>	Backslash
<code>\0xxx</code>	Character with octal code <code>xxx</code> (up to three octal digits)

# print Examples

---

When you use the **print** command, here's what you get...

```
$ print "Line 1\n\tLine2"
Line1      Line2

$ print 'One quarter = \0274'
One quarter = ¼

$ print 'Backslash = \0134'
Backslash = \

$ print -r 'hi\\\\there 1'
hi\\\\there 1

$ print -r hi\\\\there 2
hi\\there 2

$ print 'hi\\\\there 3'
hi\\there 3

$ print hi\\\\there 4
hi\there 4

$ _
```

# The read Command

---

To get input while a Shell Script is running, use **read**:

```
read variable ...
```

The read command reads a line from its standard input

- Assigns input words to the variables
- Set remaining variables to null if too few words
- Set last variable to the remainder of the words if too few variables

For the Korn Shell, if no variables are specified, the **REPLY** variable is set to the whole input line

# read Examples

---

We can use **read** from the Shell prompt as well...

```
$ read var1 var2
123 456 789
$ print "var1 = $var1 \tvar2 = $var2"
var1 = 123          var2 = 456 789
$ read var1 var2
abc
$ print "var1 = $var1 \tvar2 = $var2"
var1 = abc          var2 =
$ read
hi there
$ print $REPLY
hi there
$ _
```

# read Command Options

---

The Korn Shell **read** command has some options:

<b>read -r</b> variable ...	raw mode – \ is not taken as a line continuation character
<b>read -s</b> variable ...	record the input line in the history file and set variables
<b>read -uN</b> variable ...	read from file descriptor <b>N</b>

You can specify a prompt for the command to display on standard error  
Add a "?prompt" to the first variable

```
read variable?prompt variable ...
```

For example, to request a user for a text string:

```
read string?'Please enter a text string'
```



# read Options Examples

---

```
#!/usr/bin/ksh
# Usage: readrun
# Prompt the user when asking for input.
read word1?"Enter some text : " word2
print "Word1 = $word1 Word2 = $word2 \n"

$ readrun
Enter some text : The cursor appeared here
Word1 = The Word2 = cursor appeared here
$ _
#!/usr/bin/ksh
# Usage: readraw
# Read & print text_file in raw mode until EOF.
while read -r line
do
    print -R "$line"
done < text_file
$ readraw
The first line of \ttext_file
-now the second
The last line of \ttext_file\t-\tend of file!\a
$ _
```

# Processing Options

---

Parameters on a script command line are of two types

- arguments – used in script
- options – used to tell the script things

General parameter/argument processing is difficult

Consider

```
$ myscript -a -f optionfile argfile
```

```
$ myscript -foptionfile -va argfile
```

Shell provides **getopts** as a solution

# The getopt Command

---

The **getopts** command processes options and associated arguments from a parameter list

**getopts** optionstring variable *parameter*...

- Each invocation of **getopts** processes the next option in the *parameter* list
  - usually called within a loop
- The optionstring lists expected option identifiers
  - if an option identifier requires an associated argument, add a colon (:)
  - a leading colon in the list suppresses "invalid option" messages by `getopts`

# **getopts Syntax Example**

---

How are options processed when passed to a script?

Assume

- The possible options are a, b and c
- Option b is to have an associated argument
- Suppress normal OpSys error messages

Inside the script **getopts** will be used early on:

```
while getopts ':ab:c' flag arguments
do
```

*identify the values set by getopts*

```
done
```

A correct command line to the script might be

```
$ prog.ksh +c -ab barg -- arg1 arg2
```

What about?

```
$ prog.ksh -c -b -a -- arg1 arg2
```

# getopts Example

---

```
#!/usr/bin/ksh
# Example of getopts
USAGE="usage:  example.getopts.ksh [+c] [+v] [-a argument]"

while getopts :a:cv arguments
do
case $arguments in
    a)  argument=$OPTARG ;;
    c)  compile=on ;;
    +c) compile=off ;;
    v)  verbose=on ;;
    +v) verbose=off ;;
    : ) print "You forgot an argument for the switch called a."; exit ;;
    \?) print "$OPTARG is not a valid switch" ; print "$USAGE" ; exit ;;
    esac
done

print "compile is $compile; verbose is $verbose; argument is $argument "

#END
```

# The eval Command

---

The Shell processes each command line read before invoking the relevant command(s).

If you want to re-read and process a command line, use **eval**:

- **Eval** processes its arguments as normal
- The arguments are formed into a space separated string
- The Shell then executes that string as a command line
- The return value is that of the executed command line

# eval Examples

---

Here are some eval command lines...

```
$ eval print '*sh'
```

```
getopts.example.ksh eval.ksh
```

try.sh

```
$ message1=Goodbye
```

```
$ message10=Hello
```

```
$ variable=message10
```

```
$ eval print '$'$variable
```

```
Hello
```

*print the message  
named by \$variable*

```
$ print "ls | sort -r" > cmd_file
```

```
$ read -r line < cmd_file
```

```
$ eval "$line"
```

```
zfile
```

```
afile
```

*read a cmd\_file line  
- run as a command*

```
$ cmd='ps -ef | grep tommy'
```

```
$ eval $cmd
```

```
...
```

```
$ _
```

*run a string command  
to list tommy's processes*

# The fc Command

---

The Korn Shell `fc` command interactively edits and then re-executes portions of your command history file:

`fc start end`      edits and executes a command range  
    – *start* defaults to the last command  
    – *end* defaults to the value of *start*

`-e editor`      to specify an editor other than  
    **\$FCEDIT** - Shell default is `/bin/ed`

To re-execute a single command with automatic editing:

`fc -e - old=new  
command`

- *old=new* to swap string *old* with string *new*
- *command* to specify a command - default last



# fc Examples - Edit and Execute

---

Ranges may be strings, absolute or relative numbers...

\$ **fc** *edit the last command with the \$FCEDIT editor, and then re-execute*

\$ **fc** pwd cc *edit with \$FCEDIT from the most recent command starting with pwd, to one beginning with cc*

\$ **fc** -e vi 10 20 *use vi to edit history lines 10 to 20*

\$ **fc** -e ex -3 -1 *edit the last three commands with ex*

Automatic editing can specify a command in a similar way

\$ **fc** -e - *re-execute last command as was*

\$ **fc** -e - cc *re-run most recent cc command*

\$ **fc** -e - 2=3 10 *swap 3 for 2 in command 10*

\$ **fc** -e - s=\? -2 *change "s" into "?" in the command before last*

# fc Examples - Lists

---

The Korn Shell `fc` command lists portions of your command history file:

<code>fc -l start end</code>	list the specified command range - the default is the last 16 commands
<code>-n</code>	suppress command numbers in list
<code>-r</code>	reverses the order of commands

For example...

<code>\$ fc -l pg grep</code>	<i>lists commands from the last pg to a grep</i>
<code>\$ fc -l 15 20</code>	<i>lists commands 15 to 20</i>
<code>\$ fc -l -5 -1</code>	<i>lists the last five commands</i>

# The set Command

---

We have seen three functions performed by the **set** command:

<code>set</code>	lists set variables with their values
<code>set value ...</code>	re-sets the positional parameters
<code>set -o vi</code>	enables Korn Shell line recall and editing

This last form sets a Korn Shell option. There are several more options to set:

- Korn Shell options and settings are listed by `set -o`
- Turn option on with `set -o option` or `set -L`  
(where **L** is an option identifier)
- Turn option off using `set +o option` or `set +L`

# Shell Options With Set

---

<i>Option:</i>	<i>L</i>	<i>Description:</i>
allexport	a	automatically export each variable set
bgnice		run all background jobs at a lower priority – this is on by default for interactive Shells
ignoreeof		stops an interactive Shell exiting on Ctrl-d – you must use the exit command
noclobber	C	stops the Shell overwriting existing files with > re-direction ( >  works instead)
noexec	n	for a non-interactive Shell to check syntax without executing commands
noglob	f	disables metacharacter pathname expansion

## Shell Options With Set (Cont.)

---

<i>Option</i>	<i>L</i>	<i>Description</i>
notify	b	to notify asynchronously of background job completions
	s	to sort positional parameters
trackall	h	set-up a tracked alias for each new command – on for non-interactive Shells
verbose	v	to display input on standard error as it is read
vi		turns on history line recall and <b>vi</b> editing
xtrace	x	the debug option – the Shell displays PS4 with each processed command line
errexit	e	exits if any command returns a non-zero return code
nounset	u	displays an error message when an unset variable is used

# Set Quiz

---

1. What command would you use to re-set the positional parameters to "one" "two" "three"?
2. What lists the Shell options with settings?
3. Which *set* option ensures that each variable assignment will be inherited by a sub-Shell?
4. What would stop <Ctrl-d> from logging me out?
5. How can I use *set* to protect my files from being overwritten by output re-direction?

# Shell builtin Commands

---

We have seen the following builtin Shell commands:

<u>.</u>	<u>:</u>	<i>bg</i>	<u>break</u>
<b>cd</b>	<u>continue</u>	<b>echo</b>	<u>eval</u>
<u>exec</u>	<u>exit</u>	<u>export</u>	<i>fc</i>
<i>fg</i>	<i>getopts</i>	<i>jobs</i>	<b>kill</b>
<i>print</i>	<b>pwd</b>	<b>read</b>	<u>readonly</u>
<b>set</b>	<u>shift</u>	<b>test</b>	[ ]
<u>trap</u>	<u>typeset</u>	<b>unset</b>	<b>wait</b>

In the later units we will see:

<i>alias</i>	<i>command</i>	<i>let or (( ))</i>	<u>return</u>
<u>times</u>	<b>ulimit</b>	<i>unalias</i>	<i>whence</i>

All builtin commands can run in the current environment

Special builtin commands may terminate the Shell if an error occurs

# AIX Shell Commands

---

Some built-in Korn Shell commands are also provided as AIX commands – accessible from all Shells:

<code>alias</code>	<code>bg</code>	<code>cd</code>	<code>command</code>
<code>echo</code>	<code>fc</code>	<code>fg</code>	<code>getopt</code>
<code>jobs</code>	<code>kill</code>	<code>newgrp</code>	<code>read</code>
<code>umask</code>	<code>unalias</code>	<code>wait</code>	

AIX commands are also provided for the logical words:

<code>false</code>	<code>true</code>
--------------------	-------------------

Most of these commands are shell scripts in /usr/bin – they are provided for POSIX compliance



# Summary

---

- The Korn Shell print command
- Special printing characters
- The *read* command
- Option and argument processing with *getopts*
- Command line re-evaluation with *eval*
- History manipulations with *fc*
- The *set* command
- Shell options with *set*
- Shell invocation
- Builtin commands
- Shell commands provided by AIX

## **Unit 6. Arithmetic**

# Objectives

---

In this unit we will learn how to do arithmetic in the Shell.

- The expr utility
- Expr arithmetic and logical operators
- Korn Shell let or (( ))
- Number bases
- Let logical operators
- Integer variables
- Implicit let
- The bc utility

# expr Arithmetic

---

AIX provides the **expr** utility to perform *integer* arithmetic

```
expr argument1 operator argument2 ...
```

*expr* features

- runs in a Sub-Shell – not a Shell builtin command
- writes results to standard output
- exit code is 0 for non-zero evaluations
- exit code is 1 for zero or null evaluations
- exit code is  $\geq 2$  if an expression is invalid

Mostly used for control flow in shell scripts – loop counters

# expr Arithmetic Operators

---

To group expressions use:

( )        fixes evaluation order - otherwise  
             normal rules of precedence apply

The integer operators result in mathematical evaluations:

\*           multiplication

/           integer division

%          remainder

+           addition

-           subtraction (also unary minus sign)

NOTE: Use of backslash?

# expr Logic Operators

---

For integers or strings the following result is 1 for true, 0 for false:

- = equal
- != not equal
- < less than
- <= less than or equal
- > greater than
- >= greater than or equal

Logic operators & (and) and | (or) give different output:

**expr LHS \& RHS**      "and" - results in LHS if both sides are non-zero, 0 otherwise

**expr LHS \| RHS**      "or" - evaluates to LHS if it is non-zero, otherwise to RHS

# expr Examples

---

Here is some simple integer arithmetic...

```
$ var1=6; var2=3
```

```
$ expr $var1 / $var2
```

2

```
$ expr $var1 - $var2
```

3

```
$ expr \( $var1 + $var2 \) \* 5
```

45

```
$ _
```

What is the result of the following?

```
$ expr 10 % 3
```

```
$ expr 10 / 3
```

## expr Examples (Cont.)

---

Some logical examples...

```
$ expr abc \< def
1
                                meaning true with expr
$ expr 3 \>= 4
0
                                meaning false
$ value=4
$ expr 5 != $value
1
$ _
```

What is the result of the following?

```
$ expr 10 \| 3

$ zero=0
$ expr 10 \& 1 + $zero
```



# The Korn Shell `let` Command

---

```
let argument ..
```

-or-

```
(( argument ))
```

- The *let* built-in Shell command performs long integer arithmetic approximately 10 times faster than *expr*
- Evaluates each argument as an arithmetic expression
- No quotes for special characters, or arguments with spaces or tabs in them, within `(( ))`
- Variables need no `$`
- The exit code is 0 (true) for non-zero, and 1 (false) for zero evaluations

# let Arithmetic Operators

---

For simple arithmetic:

(	)	overrides normal precedence rules
*		multiplication
/		division
%		remainder
+		addition
-		subtraction (or unary minus)
=		assignment

**var op= exp** means `var = var op exp`

Upto nine levels of nested processing will be evaluated:

```
$ z=2 ; y="z + 1"
$ (( x=3*y ))
$ print $x
9
$ _
```

# base#number Syntax

---

With **let** you are not limited to just decimal (base ten) integers:

- **let** constants are of the form **base#number**
- **base** is an integer in the range 2 to 36 (10 default)
- **number** may include upper or lower case letters for bases greater than 10

2#100 in binary                      =                      4 in base 10

8#33 in octal                              =                      27

16#b in hexadecimal                      =                      11

16#2A in base16                              =                      42

# let Arithmetic Examples - 1

---

Some simple arithmetic...

```
$ a=1
```

```
$ b=2
```

```
$ (( z = 2#10 + -b ))
```

*unary minus needs a space before it*

```
$ let c=a+b d=b\*b
```

*no spaces, but \ needed for \**

*multiple arguments*

```
$ (( e = 9 / 2#10 ))
```

*integer division*

```
$ (( e += a ))
```

*assignment: addition*

```
$ print $z $a $b $c $d $e
```

What do you think we get?

# let Logical Operators

---

Logical expressions evaluate to 1 if true, 0 if false  
(the exit code is 0 for non-zero, 1 for zero – as expected):

!            logical negation

<           less than

<=          less than or equal to

>           greater than

>=          greater than or equal to

==          equal to

!=          not equal to

&&          logical "and" = 1 if both LHS and RHS are true  
(RHS not evaluated if LHS is false)

||          logical "or" = 1 if either LHS or RHS are true (if  
LHS is true, RHS not used)

# let Logical Examples

---

```
$ (( p = 9 ))
```

```
$ (( p = p * 6 ))
```

```
$ print $p
```

```
54
```

```
$ (( p > 0 && p <= 10 ))
```

```
$ print $?
```

```
1
```

```
$ q=100
```

```
$ (( p < q || p == 5 ))
```

```
$ print $?
```

```
0
```

```
$ if (( p < q && p == 54 ))
```

```
> then
```

```
> print TRUE
```

```
> fi
```

```
TRUE
```

```
$ _
```

# Korn Shell integer Variables

---

Korn Shell variables are stored as character strings unless defined with the *integer* command

```
integer variable=value ...
```

-or-

```
typeset -iN variable=value ...
```

- Sets the **integer** attribute for each variable
- *typeset* can define a base **N**, variables then print in the specified base (2 to 36)
- Assignment to an **integer** variable causes expression evaluation – an implicit *let* command
- **let** does not have to convert **integer** variables from character strings to numerical values

# integer Examples

---

Some examples of **integer** and **typeset -i...**

```
$ integer x                x can hold only integers
$ x=string
ksh:  string: 0403-009 The specified number is
not valid for this command.
$ x=5+10                    implicit let command
$ print $x
15
$ (( x = 5 + 100 ))
$ print $x
105
$ typeset -i8 nums0 nums1 nums2
$ nums0=8#5                 define an octal integer variable
$ nums1=8#10
$ (( nums2=8#3*nums0 ))    assign value
$ print ${nums2}
8#17
$ x=${nums2}
$ print $x                 print gives answer in base 10
15
$ _
```



# Implicit let Command

---

**integer** variable assignments are an implicit *let* command

Other implicit let commands are:

- Values for the Korn Shell **shift** command

```
shift OPTIND-1
```

- Resource limits with **ulimit**

```
ulimit -t TMOUT+60
```

# bc - Mathematics

---

The AIX system provides the bc utility

```
bc  [file]
```

- performs floating point arithmetic
- acts as a filter command or interactively
- reads arithmetic expression strings from standard input or a specified file
- semicolons or new lines separate expressions
- set the **scale** variable inside **bc** to define the required number of decimal places
- prints results to standard output

# bc Operators

---

For simple arithmetic and logical evaluations, use:

<code>(, ), +, -, *, /, %, =</code>	as for <b>let</b> arithmetic operators
<code>==, !=, &lt;, &lt;=, &gt;, &gt;=</code>	as for <b>let</b> logical operators
<code>x^y</code>	raise x to the power y
<code>sqrt (x)</code>	square root
<code>x++ ++x</code>	post and pre increment x
<code>x-- --x</code>	post and pre decrement x
<code>x op= y    ≡    x = x op y</code>	for +=, -=, *=, /=, %=, ^=

A library provides complex mathematical functions:

<code>s (x)</code>	sine of x
<code>c (x)</code>	cosine of x
<code>e (x)</code>	natural exponential of x
<code>l (x)</code>	natural log of x
<code>a (x)</code>	arctangent of x
<code>j (n, x)</code>	Bessel function

Precision functions:

<code>length(n)</code>	number of significant digits	E.g. 123.456 has n=6
<code>scale(n)</code>	number of digits after decimal point	E.g. 123.456 has n=3

# bc Examples

---

Here are some examples of **bc** working both as a filter and interactively...

<pre>\$ print '1/4'   bc</pre>	<i>integer division without a scale</i>
<pre>0</pre>	
<pre>\$ print 'scale = 3 ; 1/4'   bc</pre>	<i>explicit scale value set</i>
<pre>0.250</pre>	
<pre>\$ print '5.5 * 2.2'   bc</pre>	<i>scale set implicitly from input</i>
<pre>12.1</pre>	
<pre>\$ bc</pre>	
<pre>sqrt( 4 )</pre>	<i>no prompt – this is my input</i>
<pre>2</pre>	<i>the result from the command</i>
<pre>Ctrl-d</pre>	<i>to end interactive mode</i>
<pre>\$ _</pre>	

# Summary

---

- The *expr* utility
- *Expr* arithmetic and logical operators
- Korn Shell *let* or *(( ))*
- Number bases
- *let* logical operators
- Integer variables
- Implicit *let*
- The *bc* utility

## **Unit 7. Korn Shell Types, Commands and Shell Functions**

# Objectives

---

This unit describes Korn Shell arrays and takes an in-depth look at commands and their use

- Korn Shell arrays
- Command substitution
- Functions
- Typeset command
- Autoload functions
- Command aliases
- Pre-set aliases
- Tracked aliases
- The whence command
- Command line processing

# Defining Arrays

---

The Korn Shell supports one-dimensional arrays:

- arrays need not be "declared"
- access an element of an array by a subscript to a variable name
- any variable with a valid subscript becomes an array
- a subscript is an expression enclosed within [ ]
- subscripts should lie in the range 0 to 4095
- variable attributes (e.g. **readonly**) apply to all elements of the array

**Caution:** an entire array cannot be exported, only the 0th element



# Assigning Array Elements

---

Just like ordinary variables, values can be assigned, and later referred to:

- assign contents to an array element using  
`array[N] = argument`
- to **unset** an array and assign new values sequentially,  
use  
`set -A array argument ...`
- to simply replace existing array values with new ones,  
use  
`set +A array argument ...`

# Referencing Array Elements

---

The \$ notation is used to refer to the value in a variable:

- when referencing an array element use { } notation  
`print ${array[N]}`
- to refer to all the elements of an array use an \* or @ subscript (to give a space separated list)  
`${array[*]}`                      or                      `${array[@]}`
- if you omit a subscript, it means the zeroth element  
`${array[0]}`                      ==                      `$array`

# Array Examples

---

```
$ list[0]="Line 0"
$ list[1]="Line 1"
$ list[3]="Line 3"
$ print $list
Line 0
$ print ${list[*]}
Line 0 Line 1 Line 3
$ print ${list[0]}
Line 0
$ print ${list[1]}
Line 1
$ print ${list[2]}

$ print ${list[3]}
Line 3
$ print $list[1]
Line 0[1]
$ _
```

*Fill the array list.*

*Print the zeroth element.*

*Print all elements.*

*Print elements individually.*

*Element [2] is null.*

*Without { } notation, we  
get "\$list" + "[1]".*

# Another Array Example

---

Here we have the beginnings of a card game...

```
#!/usr/bin/ksh
# Usage: pickacard.ksh
# To choose a random card from a new deck
integer number=0
for suit in CLUBS DIAMONDS HEARTS SPADES
do
  for n in ACE 2 3 4 5 6 7 8 9 10 JACK QUEEN KING
  do
    card[number]="$n of $suit"
    number=number+1
  done
done
print  ${card[RANDOM%52]}

$ pickacard.ksh
QUEEN of DIAMONDS
$ _
```

# Command Substitution

---

Command substitution allows you to use the output of a command or group of commands:

- in a variable assignment
- in part of an argument list

**Bourne**

`variable=`command``

- or -

**Korn**

`variable=$(command)`

Nesting is possible:

`var=`cmd1 \ `cmd2 \ \ \ `cmd3 \ \ \ ` \ ` ``

- Or -

`var=$(cmd1 $(cmd2 $(cmd3) ) )`

# Command Substitution Examples

---

Here is command substitution in action...

```
$ d=$(date)
$ print $d
Tue Feb 29 02:29:00 EST 2000
$ print "Contents of a file" > tmp_file
$ c=`cat tmp_file`
$ r=$(< tmp_file)                no command, no Sub-Shell, so faster
$ print "Cat: $c \n<: $r"
Cat: Contents of a file
<: Contents of a file
$ print "Most recent file: $(ls -t | head -1)"
Most recent file: tmp_file
$ arg1=1 ; arg2=2
$ answer=$(expr $arg2 \* $(expr $arg1 + 3) )
$ print $answer
8
$ _
```

# Defining Functions

---

Commands can group together and be named

The set of commands form the function body

Function definitions look like:

<u><b>Bourne</b></u>	<u><b>Korn</b></u>
<code>identifier()</code>	<code>function identifier</code>
<code>{</code>	<code>{</code>
<code>    <i>commands</i></code>	<code>    <i>commands</i></code>
<code>}</code>	<code>}</code>

Functions

- provide a means of breaking down programs into discrete units
- stored in memory for fast access
- executed, like new commands, in the current environment

# Functions and Variables

---

Functions have different variables to the main Script:

- arguments
  - taken as positional parameters to the function
  - calling script `$1- ${n}` parameters are reset on leaving the called function
- variables
  - declared with the **typeset** or **integer** commands (inside a Korn Shell function) are "local" variables to the function
  - all other variables are "global" in the Script
  - the "scope" of a "local" variable includes all functions called from the current function



# function Examples

---

Some useful functions...

```
$ function cd
> {
>     command cd "$@"           - command stops recursion
    PS1="\`pwd` : "             - PS1 is set to "/tmp : "
> }
$ cd /tmp
/tmp : cd /
/ : _
```

```
# Handy for usage errors in Shell Scripts
# Invoke function usage with arguments: script
# followed by arglist. Note exit status!
function usage
{
    prog="$1"; shift
    print -u2 "$prog: usage: $prog $"
    exit 1
}
```

# Ending Functions

---

A function completes after executing the last command:

- the exit code is normally that of the last command
- **return** can be used to specify an exit code *N*, or just end the function at that point

*return N*

- **exit** will terminate the current function and current Shell

*exit N*

- errors within a Korn Shell function cause it to return control and the error exit code to the calling Script

Functions may be deleted from memory using...

`unset -f functionname`

# Functions and Traps

---

The behavior of **trap** with functions is determined by the Shell type:

Bourne:        a **trap** is "global" – the same in and out of a function

Korn:         a trap is "local" to a function and is reset on completion

a main program trap is not shared with functions

a signal that is not caught or ignored, may cause the script to terminate

a signal that is ignored by a Korn Shell, is also ignored by functions called from it

# The typeset Command

---

The Korn Shell typeset command defines or lists variables and their attributes:

```
typeset ±LN variable1=value1 variable2=value2 ...
```

omitting variables lists variables with specified attributes

- sets attributes, or lists names and values
- + unsets attributes, or lists just names

Where **L** is any of ...

- |   |  |
|---|--|
| r | the <b>readonly</b> attribute – no modification of variables' value      |
| i | sets the <b>integer</b> attribute – use with <i>N</i> to set number base |
| x | the <b>export</b> attribute – the variable will be exported              |

# typeset Examples

---

Declare arrays to specify:

- size
- attributes

```
$ typeset -xi8 a2[1]           exported & octal integer
$ a2=52
$ a2[1]=25
$ ksh
$ print $a2 ${a2[1]}
8#64                           only element 0 was exported
$ _
```

Inside a Korn Shell function, **typeset** creates a "local" variable...

```
# Function to convert numbers into binary
function binary_convert
{
    typeset -i2 binary=$1
    print "$1 = $binary"
}
```

# typeset With Functions

---

Other uses of **typeset** are:

- display functions
- set function attributes
- unset function attributes

*typeset **+fL** function1 function2 ...*

- to list functions with specified attributes, omit function list
- **-f** sets attributes, or displays function names and definitions
- **+f** unsets attributes, or displays only function names

Where **L** is any of...

- |          |  |
|----------|--|
| <i>x</i> | the <b>export</b> attribute – the function will be available to implicit Shells invoked from the current one |
| <i>u</i> | to mark a function as undefined  |
| <i>t</i> | the Shell <b>xtrace</b> option for a function  |

# typeset with Functions Examples

---

```
$ typeset -f                                lists functions in full
function list
{
    while [[ "$1" != "X" ]]
    do
        print $1
        shift 1
    done
}
$ typeset -fx list                          export the list function
$ typeset +f                                lists function names
list
$ _
```

# autoload Functions

---

A Korn Shell function that is defined only when it is first called, is an **autoload** function:

```
autoload function  
- or -  
typeset -fu function
```

- using **autoload** functions improves performance
- the Korn Shell searches directories listed in the **FPATH** variable for a file with the name of the called function
- the contents of that file then defines the function
- existing function definitions are not unset



# Aliases

---

The Korn Shell **alias** facility provides:

- a way of creating new commands
- a means of renaming existing commands

Creation:

`alias name=definition`

Deletion:

`unalias name`

An **alias** definition may contain any valid Shell Script or metacharacters

# Processing Aliases

---

Command lines are split into words by the Shell:

- check the first word of each command line for a defined **alias**
- a backslash in front of a command name prevents **alias** expansion if the alias exists
- if the **definition** ends in a space or tab, the next command word will also be processed for **alias** expansion
- **resolve alias** names within a function when function definitions are read – not at execution!

# Preset Aliases

---

Korn Shell uses the following exported aliases

- may be unaliased or redefined

```
alias autoload='typeset -fu'
```

```
alias false='let 0'
```

```
alias functions='typeset -f'
```

```
alias hash='alias -t'
```

```
alias history='fc -l'
```

```
alias integer='typeset -i'
```

```
alias nohup='nohup ' with trailing space
```

```
alias r='fc -e -'
```

```
alias true=:
```

```
alias type='whence -v'
```

# The alias Command

---

The **alias** command has some options:

*alias -L name=definition*

Where **L** is any mix of...

*x* to set, or display exported aliases

*t* to set, or list tracked aliases

If **definition** is quoted...

"definition" interpreted when entered

'definition' text stored for later interpretation

# alias Examples

---

```
$ alias -x ls='ls -a'           ls is set and exported
$ x=10
$ alias px="print $x" rx='print $x'
$ x=100
$ px                             prints $x as it was
10
$ rx                             prints the latest $x
100
$ alias od=done                 an alias for some flow control
$ for i in lazy done
> do
>     print $i
> od
lazy
done
```

# Tracked Aliases

---

A "tracked alias" reduces the search time for a future use of a command

```
set -o trackall or set -h
```

turns on Shell **trackall** option

First use of a command creates tracked alias

Force creation with

```
alias -t name
```

List all "tracked aliases"

```
alias -t
```

NOTE: the value of a "tracked alias" becomes undefined when the PATH variable is reset

# The whence Command

---

**Whence** reports how a command will be carried out by the Korn Shell

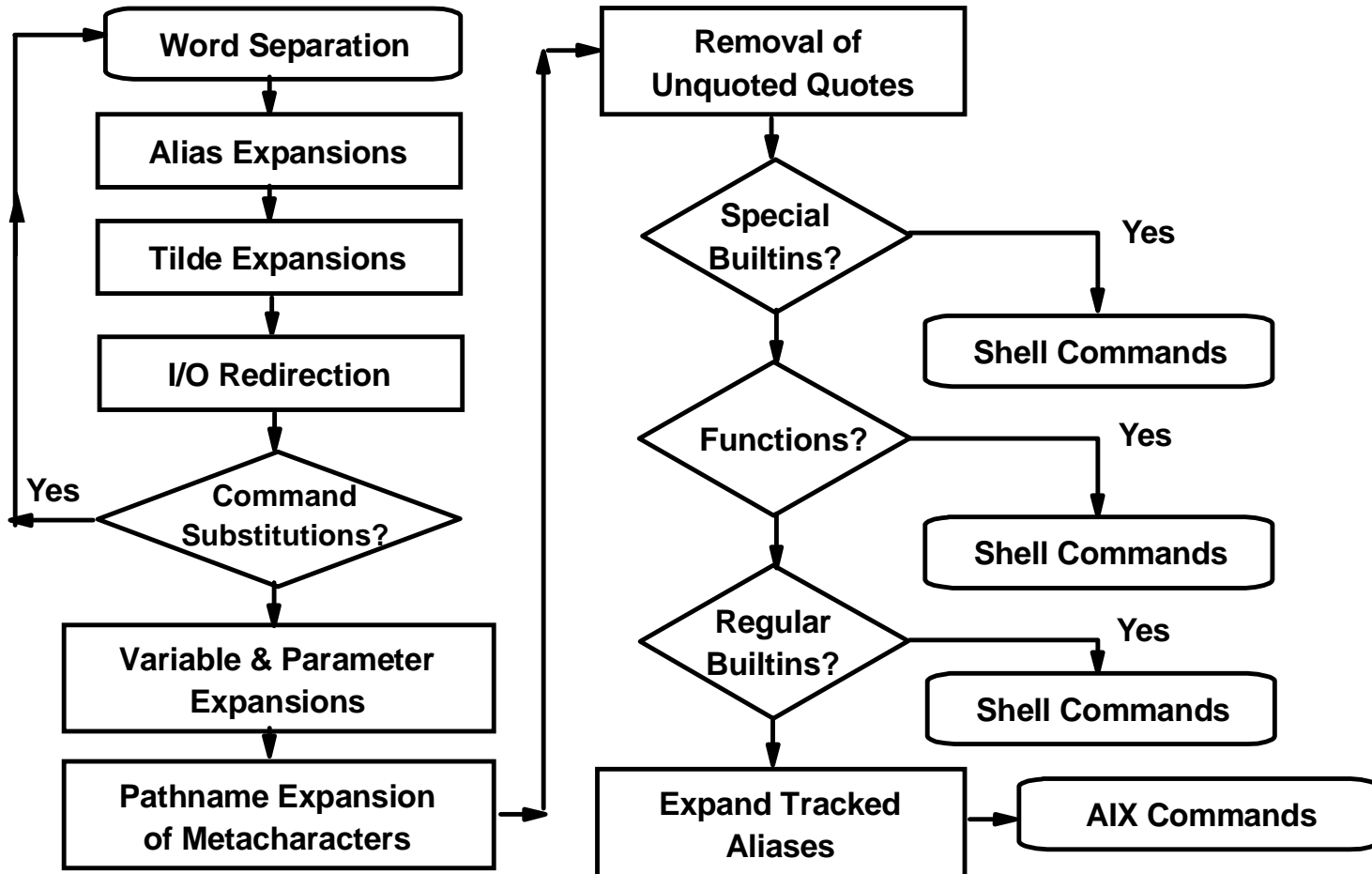
*whence -pv command*

- **-v** for a verbose report
- **-p** to force a PATH search even if the command is an alias or function (AIX only option)

```
$ whence vi
/usr/bin/vi
$ whence -v vi                               executable program
vi is a tracked alias for /usr/bin/vi
$ whence -v print
print is a shell builtin
$ whence type                                so type is an alias
whence -v
$ type for
for is a reserved word
$ _
```

# Command Line Processing

Each command line is processed in the following way by the Korn Shell:





# Summary

---

- Korn Shell arrays – defining and referencing
- Command substitution
- Functions
- Typeset command
- Autoload functions
- Command aliases
- Preset aliases
- Tracked aliases
- The whence command
- Command line processing

## **Unit 8. String Handling**

# Objectives

---

This unit will show how to manipulate text (character) strings using Korn Shell variables:

- Variable replacements
- Variable sub-strings
- Variable lengths
- Further typeset options
- Tilde expansions

# Variable Replacements

---

Value of variables can be replaced with alternate values

`${variable:-word}` value is **word** if **variable** is unset (use default value)

`${variable:=word}` value is **word** if **variable** is unset and assigns word to **variable** if it is unset (assign default value)

`${variable:+word}` value is null if **variable** is unset, else value is **word** (use alternate value)

`${variable:?word}` if **variable** is unset, **word** is displayed on standard error and the Shell script or function terminates with a non-zero exit code (exit 1)

# Variable Replacement Examples

---

Some simple examples...

- To assign the value of TERM\_DEF to TERM if it is unset or null:

```
TERM_DEF=ibm3162
...
print "TERM set as ${TERM:=$TERM_DEF}"
```

- Print date and time using command substitution, or what was set earlier (do not allow null date):

```
print ${date:-$(date)}
```

- Using the alternate value "1" if variable has a value:

```
var_flag=${var:+1}
```

- To exit the script if positional parameter 3 was not given (it can be null):

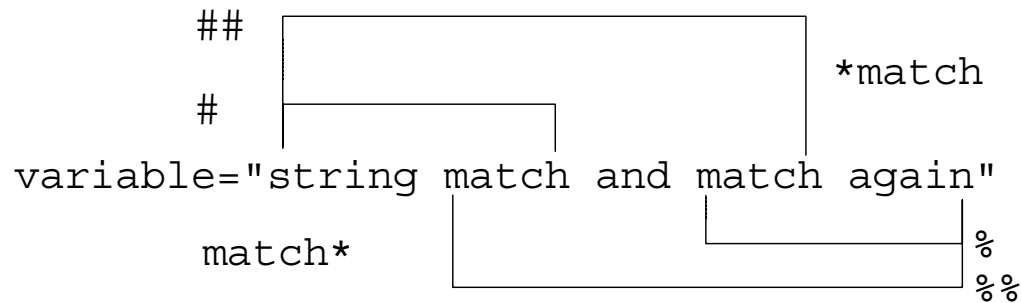
```
${3?"No parameter 3? exit"}
```

# Korn Shell Sub-Strings

---

In the Korn Shell the \${ } syntax also works with patterns:

<code>\${variable#pattern}</code>	removes smallest matching left pattern from variable
<code>\${variable##pattern}</code>	removes the largest matching left pattern
<code>\${variable%pattern}</code>	removes the smallest right matching pattern
<code>\${variable%%pattern}</code>	removes the largest matching right pattern



# Korn Shell Sub-String Examples

---

A bit of chopping...

```
$ variable="Now is the time"
$ print ${variable#N*i}           shortest left
s the time
$ print ${variable##N*i}         longest left
me
$ print ${variable%time}         shortest right
Now is the
$ print ${variable%%t*e}         longest right
Now is
$ _
```

Here's a function to strip out the file name from its path and print it...

```
function base
{
    print ${1##*/}                # match what?
}
```

# Korn Shell Sub-String Quiz

---

Now it's your turn...

1. How can I strip the ".c" extension from a C program file name held in variable "name", and print it?
2. Write a function "path" to print the pathname part of a file name.



# Variable Lengths

---

A special Korn Shell variant of the `${ }` syntax can be used to find the length of a variable:

- to find the number of characters in a variable...

`${#variable}`

- the number of positional parameters is...

`${#*}`                      or                      `${#@}`

- for the number of elements set in an array (not the highest element subscript)...

`${#array[*]}`    or                      `${#array[@]}`

# typeset Options Review

---

**Typeset** command used to

- set attributes for variables or functions
- create local variables in functions

*typeset*  $\pm LN$  *variable=value...*

where  $L$  is...  
 $i$  integer,  $N$  is a fixed base  
 $r$  readonly  
 $x$  to export the variable

*typeset*  $\pm fL$  *function...*

where  $L$  is...  
 $x$  to export the function  
 $u$  for an autoload function  
 $t$  to set xtrace in the function

- to set attributes, display names and values
- $+$  to unset attributes or display just names

# Further typeset Options

---

Options below allow variables to be formatted upon expansion by the Korn Shell:

```
typeset ±LN variable=value...
```

where **L** is...

- |           |  |
|-----------|--|
| <i>u</i>  | convert <b>value</b> to uppercase when expanded  |
| <i>l</i>  | convert <b>value</b> to lowercase  |
| <i>L</i>  | left-justify, pad with trailing blanks to width <b>N</b> – if value is too big, truncate from the right  |
| <i>R</i>  | right-justify, adding leading blanks to width <b>N</b> – if wider than <b>N</b> , truncate from the left |
| <i>LZ</i> | left-justify to width <b>N</b> and strip leading zeros   |
| <i>RZ</i> | right-justify to width <b>N</b> , adding lead zeros if the first character is a digit                    |

# typeset Examples

---

Here are the different types in action...

```
$ typeset -u var=upper
$ print $var
UPPER
$ typeset -l var=LOWER      # lower case ell
$ print $var
lower
$ typeset -L6 text=SIDE
$ print "${text}="
SIDE  =
```

```
$ typeset -R6 text
$ print "=$text"
=  SIDE
```

```
$ typeset -LZ4 num=000.1234567
$ print ${num}
.123
$ typeset -RZ5 num=1234567
$ print $num
34567
```

# Tilde Expansions

---

Following alias expansion the Korn Shell checks for a leading unquoted ~ character to see if it is:

~	tilde by itself is replaced by \$HOME
~+	is replaced by \$PWD
~-	is replaced by \$OLDPWD
~user_name	is expanded into the \$HOME value for the <b>user_name</b> given
~other_text	will be left alone

## Examples...

cd ~	≡	cd \$HOME
lastdir=~-	≡	lastdir=\$OLDPWD
johns=~john	≡	johns=/home/john

# Summary

---

- Variable replacements
  - *for unassigned/null strings*
- Variable sub-strings
  - *simple pattern matches*
- Variable lengths
  - *the # "operator"*
- Further typeset options
  - *justification and padding*
- Tilde expansions
  - *shortcuts*

## **Unit 9. Regular Expressions and Text Selection Utilities**

# Objectives

---

This unit will show how to select and manipulate text (character) strings using:

- Regular expressions
- The *grep* command
- The *tr* command
- The *cut* command
- The *paste* command



# Sample Data File

---

To manipulate data, we need to know its format.

The data file we will use in this unit has the following structure:

```
Lastname,<SPC>Firstname<TAB>nnn-mmmm
```

```
$ cat phone.list
```

```
Terrell, Terry      617-7989
Franklin, Francis   704-3876
Patterson, Pat       614-6122
Robinson, Robin     411-3745
Christopher, Chris   305-5981
Martin, Marty       814-5587
Llewellyn, Lynn     316-6221
Jansen, Jan         903-3333
Llewellyn, Lee      817-8823
$ _
```

# Regular Expressions

---

Powerful feature available in many programs

Used to **select** text

- vi, ex, emacs, grep/egrep, sed, awk, perl

What are they?

- An expression representing a pattern of characters
- Contain a sequence of characters/metacharacters

# Regular Expression Metacharacters

<u>Pattern</u>	<u>Meaning (matches)</u>
alphanumeric character	The character itself (not really a metacharacter)
. (period)	Any single character
[AZ]	One of A or Z
[^AZ]	Any character not A or Z
[A-Z]	Any character in range A to Z
[-AZ]	One of -, A or Z
[0-9]	Any digit 0 to 9

# Extending the Pattern

---

Two ways:

- Anchors
- Multipliers

Anchors are

^	Matches beginning of line
\$	Matches end of line

Multipliers apply to patterns. They are

*	zero or more occurrences of previous pattern
?	zero or one occurrence of previous pattern
+	one or more occurrences of previous pattern
{m,n}	at least m and no more than n occurrences of previous pattern ("quoted braces")

# Quoted Braces

---

To specify the number of consecutive occurrences

**Syntax 1:**            `regular_expression\{min, max\}`

To look for two, three or four occurrences of any combination of the characters 3, 4 and 5 consecutively

```
grep '[345]\{2,4\}' phone.list
```

**Syntax 2:**            `regular_expression\{exact\}`

To look for any lines which have two consecutive "r" characters

```
grep 'r\{2\}' phone.list
```

**Syntax 3:**            `regular_expression\{min,\}`

To look for any lines with at least two consecutive "r" characters preceded by an "e"

```
grep 'er\{2,\}' phone.list
```

# Quoted Parentheses

---

To capture the result of a pattern

**Syntax:** `\(regular expression\)`

- Stores the character(s) that match the regular expression (within parentheses) in a register
- Nine registers are available; characters which match the first quoted parentheses are stored in register one, those that match the second quoted parentheses in register two, etc.
- To reference a register use a backslash followed by a register number:

`\1 to \9`

For example, to list any lines in "phone.list" where there are two identical characters together...

```
grep '\(.\) \1' phone.list
```

# Regular Expressions – Quiz

---

Using the "phone.list" file, what RE gives:

1. People with five-letter surnames?
2. People with first names of at least four characters?
3. All entries where the number before the dash is the same as that after the dash e.g. 3-3456?
4. People whose surnames begin with A, B or C?

# grep Command

---

- Search file(s) or standard input for lines containing a match for a specific pattern

```
grep [options] pattern [ file1 file2 . . . ]
```

- Valid grep metacharacters: . \* ^ \$ [ - ]

.	<u>any single</u> character
*	<u>zero or more</u> occurrences of the preceding character
^a	any line that begins with "a"
z\$	any line that ends with "z"
[a-f]	any ONE of the characters in the stated range

- Valid options:

-c	print only a count of matching lines
-i	ignore the case of letters when making comparisons
-l	print only the names of the files with matching lines
-n	number the matching lines
-s	works silently, displays only error messages
-v	print lines that do NOT match
-w	do a whole word search



# grep Examples

---

1. \$ grep -i "tech support" phone.list

2. \$ grep bob /etc/passwd

3. \$ ps -ef | grep tracy

4. \$ ls -l | grep '^d'

5. \$ grep -n '.\*' /etc/passwd > \  
> passwd.file.numbered.lines

6. \$ egrep '^b(i|o)' /etc/passwd

# tr For Translations

---

The **tr** command translates one set of characters into another:

```
tr LISTIN LISTOUT < in_file > out_file
```

- or -

```
tr -d LISTIN < in_file > out_file
```

- characters in **LISTIN** are replaced by the corresponding ones in **LISTOUT**
- if **LISTOUT** contains fewer characters than **LISTIN**, ignores extra ones from LISTIN
- if **LISTOUT** contains more characters than **LISTIN**, ignores extra ones from LISTOUT
- with **-d**, characters in **LISTIN** are deleted
- only works with STDIN and STDOUT

# tr Examples

---

Some simple translations...

```
$ print $HOME | tr "/" "-"
-home-team01
$ print "{ { [ ... ] } }" | tr "{}" "()"
( ( [ ... ] ) )
$ print "Lower to upper" | tr "[a-z]" "[A-Z]"
LOWER TO UPPER
$ print "TOP DOWN" | tr '[:upper:]' '[:lower:]'
top down
$ print "vowels and consonants" | tr -d aeiou
vwls nd cnsnnts
$ tr -d '\015' <dos_txt_file >aix_txt_file
$ _
```

# The cut Command

---

Cut extracts fields or columns from text input

```
cut -dS -s -fLIST [ file ]
```

or

```
cut -cLIST [ file ]
```

- dS            where S is the character to take as a delimiter
- s            with -dS suppresses lines that do not contain delimiters
- fLIST        specifies a **LIST** of fields to cut out and keep
- cLIST        is a **LIST** of columns to cut (character positions)
- LIST**        - specifies field or column numbers  
              - may contain comma separated values (m,n) or a range (m-n)

# cut Examples

---

Field numbering starts at 1

```
$ cut -d: -f1,3 /etc/passwd | head -3
```

```
root:0
```

```
daemon:1
```

```
bin:2
```

```
$ cat /etc/passwd | cut -d'*' -s -f1
```

```
guest:
```

```
$ df | cut -c6-10 | tail +2
```

```
hd4
```

```
hd2
```

```
hd3
```

```
hd1
```

```
$ text="A tasty dish to set before the King!"
```

```
$ echo $text | cut -c-8,32-
```

```
A tasty King!
```

```
$ _
```

# The paste Command

---

As name suggests, sticks (merges) things together

Commonly used to create or format a data stream

Default output is

line from file1 <TAB> line from file2

Separator(s) may be changed on command line

Options:

-d [dlist] the delimiter between files (may be a list)

-s make the output a single line of all lines of each file

# **paste Examples**

---

Print a 3 column listing of .ksh files:

```
ls *.ksh | paste - - -
```

Format a listing in 3 columns using <TAB> <TAB>  
<NEWLINE> as delimiters

```
ls *.ksh | paste -d"\t\t\n" -s -
```

# Summary

---

- Understand Regular Expressions
- Using the *grep* command to select text
- Using the *tr* command to translate characters
- Using the *cut* command to select text fields
- Using the *paste* command to merge data streams



## **Unit 10. Utilities for Personal Productivity**

# Objectives

---

This unit will introduce utilities that can improve your personal productivity – *sed*, *tar*, *at*, *crontab*

- use the stream edit utility – *sed*
- use the archive utility – *tar*
- manipulate when your work gets done – *at* and *crontab*

# sed

---



There are several ways of running sed:

- **sed** 'edit-instructions' filename
- command | **sed** 'edit-instructions'
- **sed** -f command.file filename

**Note:** The input file is not changed or overwritten by **sed**!

# Line Selection

---

The **sed** instructions operate on all lines of the input, unless you specify a **SELECTION** of lines:

```
sed ' SELECTION edit-instructions '
```

**SELECTION** can be

- a single line number
  - 1 = line 1 of the input
  - \$ = the last line of the input
- a range of line numbers
  - 5, \$ = from line 5 to the end of the input
- a regular expression to select lines matching a pattern
  - /string/ = selects all lines containing "string"
- a range using regular expressions
  - /^on/,/off\$/ = from the first line beginning with "on" to the first ending in "off"

# The Substitute Instruction

---

This instruction changes data

**Syntax:** `s/old string/new string/g`

Some examples

1. To replace the first occurrence of "Smith" on each line with "Smythe"

```
sed 's/Smith/Smythe/' phone.list
```

2. To replace all occurrences of "Smith" with "Smythe" using a different delimiter

```
sed 's!Smith!Smythe!g' phone.list
```

3. To precede each phone number with "Tel:"

```
sed '/[0-9]\{3\}-[0-9]\{4\}/s//Tel: &/g' \
phone.list
```

# Substitutions - Quiz

---

1. Convert the "phone.list" into just a name list, i.e. get rid of the phone numbers

*output:* Terrell, Terry  
Franklin, Francis  
Patterson, Pat  
..., ...

```
sed 's/_____//' phone.list
```

2. Convert the "phone.list" file to a first-name and number list

*output:* Terry 617-7989  
Francis 704-3876  
Pat 614-6122  
... ..

```
sed 's/_____//' phone.list
```

# sed with Quoted Parentheses

---

- Repeating the first character

```
$ print "1234" | sed 's/^\(.\)/\1\1/'
11234
$ _
```

*any single character to register 1*

*register 1 is repeated*

- Stripping out all but the first and last characters

```
$ print "1234" | sed 's/^\(.\).*\(.\)$/\1\2/'
14
$ _
```

*character to register 1*

*character to register 2*

*register 1 and 2*

Now it's your turn...

Working on the "phone.list" file, abbreviate everyone's first name to an initial and a period (use register 1 to store each initial)

```
sed 's/_____/_____/ ' phone.list
```

# Summary for Substitutions

---

- without a "g", **sed** only substitutes the first match

```
$ print xxx | sed 's/x/y/'  
yxx  
$ print xxx | sed 's/x/y/g'  
yyy  
$ _
```

- other delimiters can be used when "/" makes life difficult  
– e.g. converting an AIX to a DOS pathname

```
$ pwd | sed 's/\\/\\\\/g'  
\home\kim\desktop  
$ pwd | sed 's;/;\\;g'  
\home\kim\desktop  
$ _
```



# Delete and Print

---

This command removes text

**Syntax:**      **SELECTIONd**

- To delete all lines in the output stream

```
$ sed d phone.list
```

- Delete from line 5 to the end of the file

```
$ sed '5,$d' phone.list
```

By default **sed** writes out every line it reads in

– makes print instruction "**p**" by itself redundant:

```
$ cat in.file
```

```
line 1
```

```
line 2
```

```
$ sed p in.file
```

```
line 1
```

```
line 1
```

```
line 2
```

```
line 2
```

```
$ _
```

# Append, Insert and Change

---

These instructions add or modify text

**Syntax:**            **SELECTIONx\**  
                         **text**

Where **x** is

- i        inserts **text** before a single selected line
- a        appends **text** after a matched line
- c        changes a range of matched lines into **text**.  
          **SELECTION** can be a single line or a range but only one  
          copy of **text** is printed in its place

# Command Files

---

- A **sed** command file consists of one or more **sed** instructions on separate lines
- Command files are useful in many situations:
  - storing multiple instructions
  - storing a long complex command
  - for commands which may need to be modified and reused
- Use the **"-f"** option to use a command file

## Example...

```
$ cat sedscript.sed
s/ GA/, Georgia/
s/ FL/, Florida/
s/ IL/, Illinois/
s/ TX/, Texas/
s/ MD/, Maryland/
s/ DC/, District of Columbia/
$ sed -f sedscript.sed addrs.file > new.addrs.file
$ _
```

# A Practical Example

---

Converting a "BookMaster" script to a "wysiwyg" file

```
:ul.  
:li.An unordered list starts with ":ul."  
:li.Each list item is tagged with ":li." - it  
appears as an indented bullet point.  
:li.The end of the list is marked by ":eul."  
:eul.
```

Strategy:

1. Remove lines which contain just ":ul." or ":eul."
2. For lines that start with ":li.", substitute the ":li." with a dash followed by five spaces

```
$ cat bkm.wysi.sed  
/^:e*ul\.$/d  
s/^:li\./-    /  
$ sed -f bkm.wysi.sed bookmaster.file > wysi.file  
$ cat wysi.file  
-    An unordered list starts with ":ul."  
-    Each list item is tagged with ":li," - it  
appears as an indented bullet point.  
-    The end of the list is marked by ":eul."
```

# Multiple Editing Instructions

---

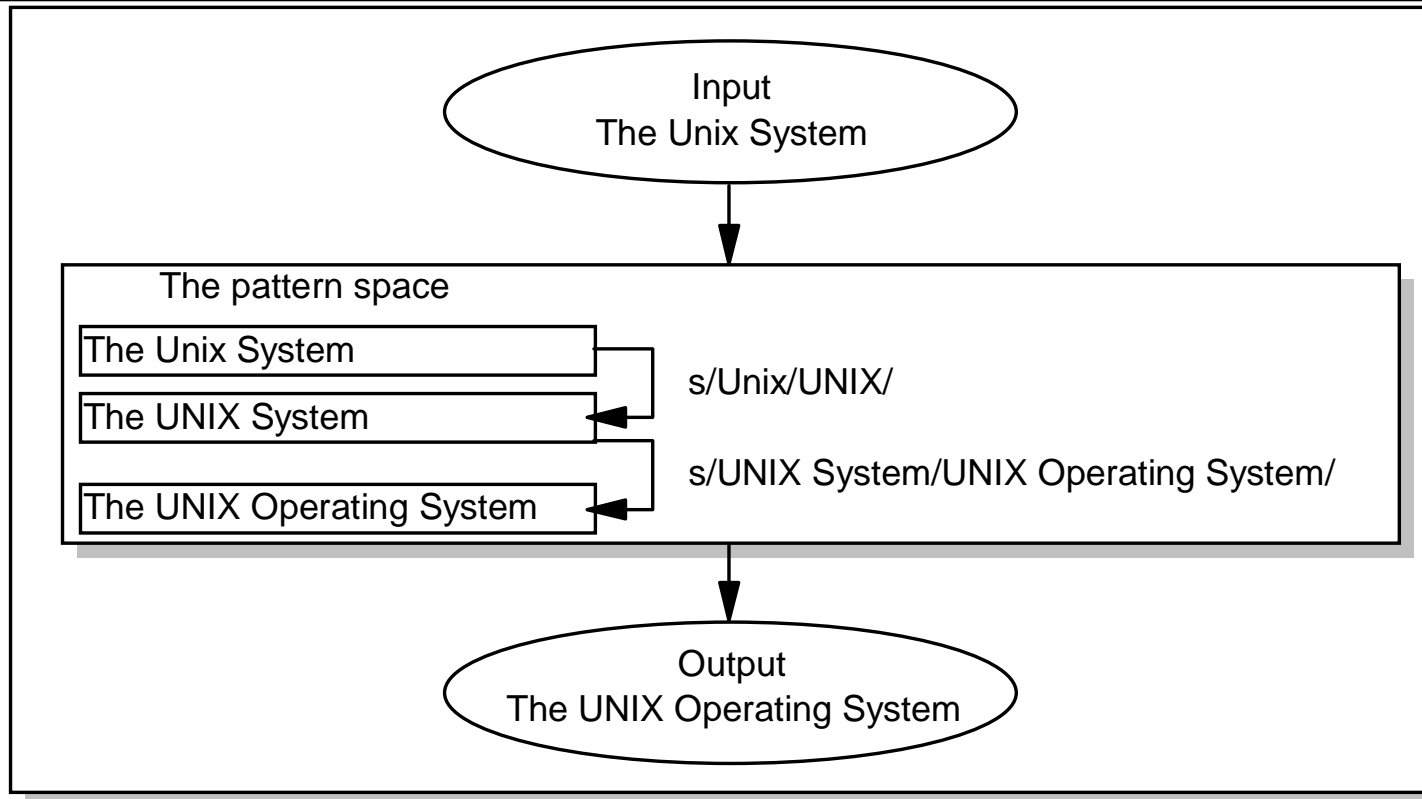
- Multiple instructions can be applied to each line
- Each instruction must be on a separate line

Example 1...

```
$ sed '/[1-4] -/s/$/ (Bldng 1) /  
>      /[5-9] -/s/$/ (Bldng 2) /' phone.list
```

```
Terrell, Terry      617-7989   (Bldng 2)  
Franklin, Francis  704-3876   (Bldng 1)
```

# Internal Operation



- `sed` applies all editing instructions to a line before it moves on to the next line
- it holds each input line in a "pattern space" or temporary buffer while editing instructions are applied in sequence

# Internal Operation – Example

---

Example of sed command/instructions

```
$ print "The Unix System" | sed 's/Unix/UNIX/  
> s/UNIX System/UNIX Operating System/'  
The UNIX Operating System  
$ _
```

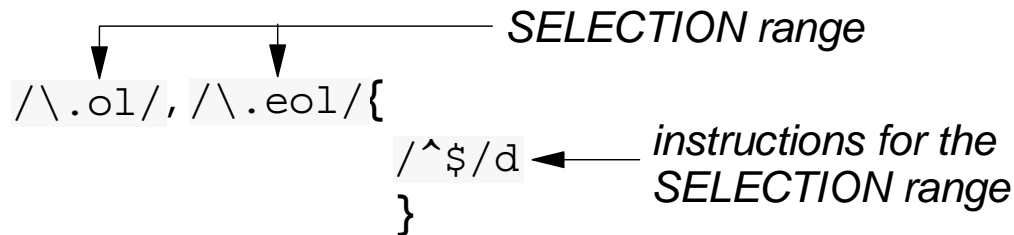
# Grouping Instructions

---

Braces "{" "}" are used for two purposes:

- one *SELECTION* inside another (*nest*)
- to apply multiple instructions to the same *SELECTION* range (*group*)

Example...



- The instruction `/^$/d` (delete blank lines) will be applied to a range of lines between one that contains an `".ol"` and up to the first containing an `".eol"`
- The special meaning of the dot preceding `"ol"` and `"eol"` is escaped by the use of a backslash



# sed Advanced Topics

---

There are two other areas in *sed* that can be useful

- multiple input lines for the pattern space
- use of the hold space (temporary area)

There are three instructions for multiline input

- N Read next line
- P Print line
- D Delete line

Notice they are in UPPER CASE

# Multiple Input Lines - N Instruction

---

The **N** instruction

- does NOT clear pattern space
- inserts an (embedded) newline ("\n") into the pattern space
- reads a line and appends to the pattern space

Similar to **n** instruction

- BUT **n** clears pattern first

An embedded newline ("\n") can be matched explicitly  
^ and \$ refer to the FIRST and LAST character  
respectively of the pattern space

# The P and D Instructions

---

These also do not clear the pattern space

P prints the pattern space up to the first embedded newline

D deletes the text up to the first embedded newline

- no new input (contrast to the d instruction)
- processing of pattern space continues from top of script

## Multiline Pattern Spaces – Example

---

```
$ sed ' /Adams/{
>      N
>      s/. - [0-9] */censored/g
>      }' phone.list
Smith, Terry          7-7989
Adams, Fran           censored
StClair, Pat          censored
Brown, Robin          1-3745
Stair, Chris          5-5972
Benson, Sam           4-5587
Harris, Ford          6-6221
Phiri, Ray            3-3333
Llewellyn, Nia        7-8823
$ _
```

# The Hold Space

---

This is a set-aside or copy buffer

Hold space cannot be directly changed (edited)

It is a temporary storage area

There are three instructions available

- h or H copy or append contents of pattern to hold space (HOLD)
- g or G copy or append contents of hold to pattern space (GET)
- x swap pattern and hold space (EXCHANGE)

An example

```
$ print "1\n2" | sed '/1/{
>             h           hold line matching 1
>             d           delete pattern space
>             }
>             /2/G'       2 line + hold space
2                     print pattern space
1
$ _
```

# The tar Utility

---

This is an archive/backup command

Historically used tape but now any device

- default to /dev/rmt0

Syntax:            `tar options pathname(s)`

# tar Options

---

Options are of two types

- required
- optional

Should be specified using a leading hyphen

Required options are one of

- c - create an archive
- x - extract file(s) from archive
- t - list (tell) what is in archive

Other (optional) options are

- f - used to specify other than default device
- v - verbose (usually with t or x)
- m - restore/keep modification times

# tar Pathnames

---

*tar* takes a pathname as one of its parameters

Full pathnames mean that restores (extracts) will be to original directory

Relative pathnames mean that restores may be to any part of filesystem

*tar* may be used to do recursive copies of data from one directory to another

```
$ cd fromdir; tar cf - . | (cd todir;\n>tar xf -)
```



# Working in Absentia

---

You can submit jobs for execution later

AIX provides two useful utilities

- at
- crontab

Access to these facilities is controlled by the system administrator

# The at command

---

*at* submits a set of commands (a job) for later execution

Syntax: `at [-r|-l] time`

Commands are read from stdin

`time` can be specified as absolute or relative

- the time may include a date

Options include

- l list your at jobs
- r remove your *at* job(s)

*at* uses mail to send the stdin and stderr output (unless redirected)

System administrator determines who may use *at*

# at Usage and Examples

---

Here are some examples (commands excluded)

at 2100

at 10pm

at 4am

at 9am tomorrow

at 10:30 Jul 3

at now + 2 hours

at now + 2 days

at now + 1 year

# The crontab Command

---

This command is like *at* but for regular "jobs"

Syntax: `crontab [-e | -l | -r] [job-file]`

The commands executed are in job-file (or from stdin)

The options allow you to edit, list or remove your crontab file

System administrator determines who may use *cron*

*cron* will mail the output of the command to crontab owner

# crontab File Format

---

*cron* needs crontab files in a particular format

Each line has time(s)/date(s) and the command to run

Format of each line is a set of fields

- minute (0-59)
- hour (0-23)
- day (1-31)
- month (1-12)
- day of week (0-6, 0 = Sunday)

Each of the first five fields may be

- a number
- a comma separated number list (1,3,4,13)
- a range (4-9)
- an asterisk (\*)

Sixth field contains the command(s) executed (a % means a newline)

# **crontab Examples**

---

Here are some possible crontab file entries/lines

```
# Run command at 0900 and 1200 Mon-Fri
15 9,12 * * 1-5 /home/sa/games_off
# Do some backups at 0200 Tue-Sat
0 2 * * 2,3,4,5,6 /home/sa/backup daily
```

```
# What does this one do?
13 5 * * 0 find $HOME -name ,\* -exec rm -f {} \;
```

# Summary

---

- Use of *sed* to automate repetitive editing tasks
- Archiving using *tar*
- Batching commands for later execution:
  - One off using *at*
  - Regular or repeated using *crontab*

# **Unit 11. The AWK Program**



# Objectives

---

This unit will show you how to use the awk utility by looking at:

- Regular expressions in awk
- Basic awk programming
- BEGIN and END processing
- Flow control – if, while, and for
- Leaving loops – continue, next and exit
- Awk arrays
- Better printing
- Awk functions

# What Is Awk?

---

- **Awk** is a programming language used to manipulate text
- **Awk** sees data as words (**fields**) in a line (**record**)
- An **awk** command consists of a ***pattern*** and an **action** comprising one or more statements

```
awk '/pattern/ { action }' file ...
```

- **Awk** tests every **record** in the specified ***file(s)*** for a ***pattern*** match. If a match is found, the specified **action** is performed
- **Awk** can act as a filter in a pipeline or take input from the keyboard (standard input) if no ***file(s)*** are specified

# Sample Data – awk

---

```
Lastname,<SPC>Firstname<TAB>nnn-mmmm
```

```
$ cat phone.list
```

Terrell, Terry	617-7989
Franklin, Francis	704-3876
Patterson, Pat	614-6122
Robinson, Robin	411-3745
Christopher, Chris	305-5981
Martin, Marty	814-5587
Llewellyn, Lynn	316-6221
Jansen, Jan	903-3333
Llewellyn, Lee	817-8823
\$ _	

The same file as in the RE and sed units

# awk Regular Expressions

---

- Like sed, regular expressions are "/" delimited – **/x/**
- All of the previous regular expression metacharacters can be used with **awk**

Awk has the following extensions

<code>/x+/</code>	for one or more occurrences of x
<code>/x?/</code>	zero or one occurrence of x
<code>/x y/</code>	matches either "x" or "y"
<code>(string)</code>	groups a string – for use with + or ?

Example:

```
/t[i|o]?n[iey]+/
```

matches: tiny, tony, toni, toney, tone (and others...)

# awk Command Syntax

---

- Basic syntax

```
pattern { actions }  
pattern  
        { actions }
```

- Multiple statements in an action

- use a line break or a semi-colon

```
$ awk '/L1/ { print $1 ; print $3 }' \  
> phone.list
```

- Comments start with a # until the end of a line

```
$ awk '/L1/ { print $1 # prints field 1  
>          print $3 }' phone.list
```

# The print Statement

---

One useful **action** is to **print** the data!

```
awk '/pattern/ { print }' ifile > ofile
```

- awk tests each **record** of the input for the specified *pattern*
- When a match is found the **print** statement sends the entire **record** to standard output

# awk Fields and Records

---

- Referencing fields in a record

\$0 = the entire record

\$1 = the first field in the record

\$2 = the second field in the record

...

- To print the first two fields in records beginning with "LI"

```
$ awk '/^LI/ {print "Name:", $2, $1 }' \  
> phone.list
```

```
Name: Lynn Llewellyn,
```

```
Name: Lee Llewellyn,
```

```
$ _
```

## print Examples

---

- Special character sequences are available for use in print strings or regular expressions

`\n`    newline

`\t`    tab

`\r`    carriage return

```
$ awk '/^Ll/ { print "Name:\t", $1  
>      print "Number:\t", $3, "\n" }' phone.list
```

```
Name:    Llewellyn,
```

```
Number: 316-6221
```

```
Name:    Llewellyn,
```

```
Number: 817-8823
```

```
$ _
```



# Comparison Operators and Examples

---

To compare regular expressions or strings with values:

<code>==</code>	equal to	<code>!=</code>	not equal to
<code>&lt;</code>	less than	<code>&lt;=</code>	less than or equal to
<code>&gt;</code>	greater than	<code>&gt;=</code>	greater than or equal to
<code>~</code>	matched by RE	<code>!~</code>	not matched by RE
<code>  </code>	logical "or"	<code>&amp;&amp;</code>	logical "and"

## Examples

`$1 ~ /x/`                      field one matches regular expression x

`$1 !~ "No"`                      field one doesn't match string "No"

You can use comparison operators in the ***pattern*** to select records

```
$ awk '$1 == "Terrell," { print $2, "Smythe" }' phone.list
Terry Smythe
$ _
```

# Arithmetic Operators

---

You can use the following operators to perform arithmetic:

+		addition
-		subtraction
*		multiplication
/		division
%		remainder
^		exponential (x^y, raise x to the power y)
++x	x++	pre and post increment
--x	x--	pre and post decrement
=		assignment (x = 4)
x op= y		x = x op y
		for: +=, -=, *=, /=, %=

## Example

```
count = count + 2
num *= 8
```

# User Variables and Expressions

---

You can define your own variables:

- Names must:
  - start with a letter or underscore
  - be followed by letters, underscores or digits
- Awk does not require variables to be defined before use

Variables are initialized as empty (numerically zero)

The empty string is null ("")

Reference by name only

# BEGIN and END Processing

---

You have seen the **pattern** and **action** awk syntax

You can also have actions at the beginning and end of input

You use the special patterns BEGIN and END

```
awk ' BEGIN { begin_action }  
      pattern { action }  
      pattern { action }  
      END { end_action }'   file...
```

Where

*BEGIN*            means execute the *begin\_action* before any input read

*END*             means execute *end\_action* once all input has been read

# BEGIN without END Example

---

You can use **BEGIN** to print a header to the output...

```
$ awk 'BEGIN { print "Words" }
>          { wcount = wcount + NF
>          print wcount }' phone.list
Words
    3
    6
    9
   ...
  24
  27
$ _
```

- Here we have a BEGIN with no END
- The statements within the second set of braces were performed on every line of "phone.list" as no ***pattern*** was specified

# END without BEGIN Example

---

You can use **END** to print a trailer after the output

```
$ awk '{ wcount = wcount + NF }  
> END { print "Words: ", wcount }' phone.list  
Words: 27  
$ _
```

- The statement within the first set of braces refers to the main **action**
- The main **action** is performed on every line of the file "phone.list", so the final value of wcount holds the total number of fields (or words) in the file
- At the end of the input **END** actions are processed
- This prints the heading "Words:" with the total word count

# Built-In Variables

---

Awk provides a number of useful built-in variables:

<b>FILENAME</b>	the name of the current <b>file</b>
<b>NF</b>	total number of <b>fields</b> in the current record
<b>NR</b>	number of <b>records</b> encountered
<b>FS</b>	<b>input field separator</b> (the default is space or tab)
<b>RS</b>	<b>input record separator</b> (default is newline)
<b>OFS</b>	<b>output field separator</b> (default is space)
<b>ORS</b>	<b>output record separator</b> (default is newline)

# Built-In Variables Examples - 1

---

```
$ cat employee.list
Name, company, city, phone
Pete Davis, IBM, Augusta, 770-835-3788
Bill Moran, IBM, Gaithersburg, 301-240-8068
Tommy Todd, IBM, Atlanta, 770-835-3523
$ _
```

```
$ awk 'BEGIN { FS = "," ; OFS = ":" }
>      { print $1, $4 }' employee.list
Name: phone
Pete Davis: 770-835-3788
Bill Moran: 301-240-8068
Tommy Todd: 770-835-3523
$ _
```



## Built-In Variable Examples - 2

---

```
$ cat authors
```

```
R.S. Davis          FIELD 1  
Augusta, GA 30809   FIELD 2  
770-835-3788        FIELD 3  
                    RECORD SEPARATOR
```

```
F.W. Moran  
Gaithersburg, MD 20879  
301-240-8068
```

```
C.T. Todd  
Atlanta, GA 30339  
770-835-3523
```

```
$ awk 'BEGIN { FS="\n" ; RS="\n\n" ; OFS="\n" ; ORS="\n\n"}  
> { print $1, $3  
> } ' authors
```

## if - else if - else Statement

---

```
awk '{
    if (first logical test) {
        action if test true
    }
    else if (second logical test) {
        action if first test false and
        second test true
    }
    else {
        action if both tests false
    }
}' file
```

# The while Loop

---

```
awk ' {  
    while (condition) {  
        action  
    }  
} ' file
```

## Example

```
awk ' {  
    i = 1  
    while (i <= 4) {  
        print $i  
        ++i  
    }  
} ' file
```

# The for Loop

---

```
awk ' {  
    for (initialise; test; increment) {  
        action  
    }  
}' file
```

## Examples...

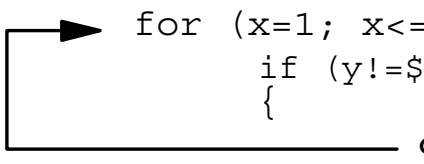
- to read and print each field of the current input line  
for (i=1; i<=NF; i++)  
 print \$i
- to print from the last field to the first of the current line  
for (i=NF; i>=1; i--)  
 print \$i

# The continue and next Statements

---

The **continue** statement stops the current innermost loop iteration and starts the next one:

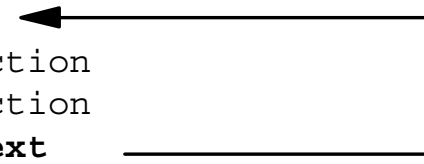
```
awk ' {
    y = 42
    for (x=1; x<=NF; x++) {
        if (y!=$x)
        {
            continue
        }
        print x, $x
    }
}' file
```



The diagram illustrates the execution of the `continue` statement. It shows a loop structure with a `for` loop containing an `if` statement. When the `if` condition is met, the `continue` statement is executed, and an arrow indicates the flow jumping back to the start of the `for` loop, bypassing the `print` statement and the closing brace of the `for` loop.

The **next** statement causes the next **record** to be read in, and the program to start from the first **pattern { action }** block again:

```
awk 'BEGIN { action }
    pattern {
        action
        action
        next
        action
    }
END { action }' file
```



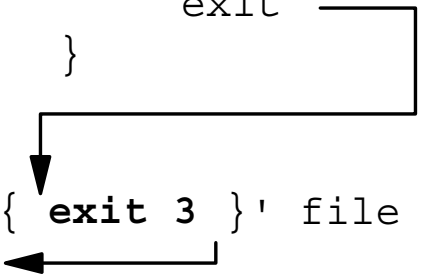
The diagram illustrates the execution of the `next` statement. It shows a `pattern { action }` block. When the `next` statement is executed, an arrow indicates the flow jumping to the start of the next `pattern { action }` block, bypassing the remaining `action` statements in the current block.

# The exit Statement

---

The **exit** statement jumps to any **END** processing – or out of the program if already in the **END** section. An exit code can be passed back to the Shell:

```
$ awk '{
>     y = 42
>     for (x=1; x<=NF; ++x) {
>         if (y==$x) {
>             print x, $x
>             exit
>         }
>     }
>     }
>     END { exit 3 }' file
$ print $?
3
$ _
```



# Arrays

---

- Awk allows array variables
- An array is a variable with an index
- An index is an expression in brackets
  - for example, array[ 10 ]
- Awk arrays are "associative"
  - index can be a string or number
  - no implicit order
  - to access all elements, use the **in** operator
    - `for ( var in array_name )`

Be aware that all array indices are internally strings

# printf for Formatted Printing

- One use of awk is as a report generator
- Better printing formats required
  - use **printf**
- **printf syntax:** `printf ( fmt [, args] )`
- Parentheses are optional
- *fmt* is usually a string constant with format specifications
- Specifiers are like the C language printf
- Format specification: %<char>
  - %s      string
  - %d      decimal integer
  - %f,%e   floating point (fixed or exponent notation)
  - %o      unsigned octal
  - %%      literal percent



# printf Formats

---

- Format specification strings can use modifiers  
    `%-width.precision`
  - If width used, contents are right justified
  - use - (minus/hyphen) after % to left justify
  - precision controls
    1. number of digits to right of decimal point for numeric values
    2. maximum number of characters to print for string values
- To print Hello within #'s right justified in 10 character field  
    `printf ("%10s#\n", "Hello")`
- To print a number left justified with minimum 3 characters  
    `printf (".3d\n", $1)`

# Functions in Awk

---

- There are four types of functions
- Three types are built-in to awk
  - general
  - arithmetic
  - string
- The fourth type is a user defined function
  
- General functions include
  - close
  - system
  - getline

# Built-In Arithmetic Functions

---

Functions available include

<code>atan2(y,x)</code>	arctangent of $y/x$ in range $-\pi$ to $+\pi$
<code>cos(x)</code>	cosine of $x$ ( $x$ in radians)
<code>sin(x)</code>	sine of $x$
<code>exp(x)</code>	$e$ to the power $x$
<code>log(x)</code>	natural log of $x$
<code>sqrt(x)</code>	square root of $x$
<code>int(x)</code>	truncated value of $x$
<code>rand()</code>	pseudo-random number $r$ , $0 \leq r \leq 1$

# Built-In String Functions

---

Functions available include

length(s)	length of string s or of \$0 if s not supplied
index(s,t)	position of substring t in s or zero if not present
match(s,r)	position in s of where RE r begins or zero
sub(r,s,t), gsub(r,s,t)	substitutes for r in t, returns 1 for OK uses \$0 if t not supplied (gsub does all matches)
split(s,a,sep)	parses s into array a elements using field separator sep (use RS if not supplied)
Set by match()	
RSTART	start of the match (same as the return value)
RLENGTH	length of the matching sub-string

# Summary

---

- Regular expressions in *awk*
- Basic *awk* programming
- BEGIN and END processing
- Flow control – if, while, and for
- Leaving loops – continue, next and exit
- Awk arrays
- Better printing
- Awk functions

## **Unit 12. Putting It All Together**

# Objectives

---

In this unit we will see:

- Shell script uses in AIX
- Program headers
- Program structure
- Selected syntax examples

# Korn Shell Scripts in AIX 4.3

---

/usr/sbin			
automount	bosboot	cfgmir	cfgvg
chC2admin	chlv	chlvcopy	chpv
chvg	chwebconfig	clvm_cfg	cplv
dhcpaction	dhcpaction8	dhcpremove	dhcpremove8
dtappintegrate	exportvg	extendlv	extendvg
fbcheck	importvg	index_config.sh	index_unconfig.sh
IsC2admin	lsjfs	migratepv	mirrorvg
mkC2admin	mkinsttape	mklv	mklvcopy
mktcpip	mkvg	piofontin	piomisc_base
rc.bootx	redefinevg	reducevg	reorgvg
rmC2admin	rmlv	rmlvcopy	shutdown
slipcall	snap	splitlvcopy	synclvodm
syncvg	tapechk	unmirrorvg	updatelv
updatevg	varyoffvg	which_fileset	
/usr/bin			
bf	bfrpt	chdoclang	chlang
chtz	defaultbrowser	ibm3812	mkpmlv
mksysb	mkszfile	ndx	oslevel
pmd	restvg	smit	spellin
subj	vgrind		
/etc			
rc	rc.C2	rc.bsdnet	rc.dacinet
rc.dt	rc.net	rc.net.serial	rc.powerfail
slip.logout			



# Shell Script Uses in AIX 4.3

---

Shell Scripts also make up part of the AIX operating system:

Start-up and shutdown...

- `rc.*` multi-user start-up programs
- `bosboot` configures and creates a device boot image
- `mktcpip` sets required values for starting TCP/IP
- `shutdown` used to shutdown the system before power-off, or to enter maintenance mode

Documentation...

- `snap` documentation for your system

# Program Headers

---

```
#!/bin/ksh
#(##)54      1.45 src/tcpip/usr/sbin/mktcpip/mktcpip, tcpip, tcpip43D, 9808A_43D 2/20/98
17:59:51
#
#COMPONENT_NAME: (TCPIP)
#
#FUNCTIONS: mktcpip.sh
#
#ORIGINS: 27
#
#                               COPYRIGHTS HAVE BEEN DELETED TO SAVE SPACE
#
##[End of PROLOG]

#FILE NAME: mktcpip
#
#FILE DESCRIPTION: High-level shell command for performing minimal
# configuration required to get a maching up and running TCP/IP.
#
#Basic functions performed are:
# 1) the hostname is set both in the config database and in running machine
# 2) the IP address of the interface is set in the config database
# 3) /etc/hosts entries made for hostname and IP address
# 4) the IP address of teh nameserver and domain name are set
# 5) the subnet mask is set
# 6) destination and gateway routes are set
# 7) TCP/IP deamons started
#    or
# 8) Retrieve the above information for SMIT display
# 9) the cable type (bnc, dix or tp) is set in database
#
# See Usage message for explanation of parms
#
#RETURN VALUE DESCRIPTION
#      0      Successful
#      non-zero Unsuccessful
#
#
#EXTERNAL PROCEDURES CALLED: chdev, hostname, hostsent, lsdev
#                             mkdev, netstat, namerslv, /etc/rc.tcpi, route
```

# Program Headers (Cont.)

---

```
#!/bin/ksh
#/usr/sbin/mktcpip
...
PATH=/bin:/usr/bin:/usr/sbin:/etc:/usr/ucb export PATH

NAME=$0

#Parse command flags arguments
set -- `getopt h:a:i:n:d:m:g:t:r:sc:D:S: $*`
if [ $? != 0 ]; then      #test for syntax error
    usage                #issue msg and don't return
fi

if [ $# -lt 3 ]; then    #test for too few parms

HOSTNAME= IPADDRESS= INTERFACE= NAMESERVER= DOMAIN= SUBNETMASK=
DESTINATION= GATEWAY= STARTTCP= SHOW= TYPE= DESTADDR= SUBCHANNEL=
RING=

while [ "$1" != "--" ]
do
    case $1 in
        -h)unset HOSTNAME
            HOSTNAME=$2 shift 2;;
    ...
```

# Program Structure

---

```
/usr/sbin/snap
#-----MAIN-----
trap intr action 2
# Save off current umask and set it to 077.
UMASKSAVE=`umask`
umask 077

set -- `getopt AaDd:flgGklcnNo:prv:sStXib $*`
if [ "$?" != 0 ]
then
    usage
    exit 1
fi
userid=`id -ru`
if [ "$userid" != 0 ]
then
    echo "Must be root user [0] to use this utility"
    exit 2
fi

while [ "$1" != -- ]
do
    case $1 in
        -A)      doasync=y          #Gather async (tty) information
                action=y
                shift;;
        -a)      doall=y            #Gather all information
                dopred=y
                dosecl=y
                action=y
                shift;;
        -d)      destdir=$2         #Directory to put information
                valid_dir $destdir
                shift;shift;;
        ...
    esac
done
```

# Selected Syntax Examples - 1

---

Rather than wade through very long programs, here we have some selected interesting bits of syntax

**rc.net:** using exec & re-direction...

```
# Close file descriptor 1 and 2 because the parent may be
# waiting for the file desc. 1 and 2 to be closed. The reason
# is that this shell script may spawn a child which inherits
# all the file descriptors from the parent and the child
# process may still be running after this process is
# terminated. The file desc. 1 and 2 are not closed and leave
# the parent hanging waiting for those desc. to be finished.
```

```
LOGFILE=/tmp/rc.net.out      # LOGFILE is where all stdout goes.
>$LOGFILE                   # truncated LOGFILE.
```

```
exec 1<&-                   # close descriptor 1
exec 2<&-                   # close descriptor 2
```

```
exec 1</dev/null            # open descriptor 1
exec 2</dev/null            # open descriptor 2
```

# Selected Syntax Examples - 2

---

```
#!/bin/ksh
#/usr/sbin/snap
...
TMPDIR=${TMPDIR:-$HOME/tmp}
[[ ! -d $TMPDIR ]] && TMPDIR=/tmp
TMPDIR=$TMPDIR/${0##/}.$$

mkdir $TMPDIR || {
    print -u2 "${0##*/}: Could not create temporary files"
    exit 1
}
trap "/bin/rm -rf $TMPDIR 2>/dev/null" EXIT INT TERM QUIT HUP

tdumpf=$TMPDIR/tmpfile.$$
...
```

# Selected Syntax Examples - 3

---

```
/usr/sbin/snap
...
#
#Now proceed to call the associated functions for real
#This is pass 2 on state functions
passno=2
for i in $state
do
    state_func${i}
done

#Set the umask back to the original value
umask $UMASKSAVE
...
shutdown sed & awk example...

# NAME: tabmnt
# FUNCTION: collect the mount information and force every field
# to be separated by a tab, so that awk can look at the
# different fields.
tabmnt()
{
    mount 2>/dev/null | awk '{ line[i] = "-"$0; i++; }
                          END { while ( i >= 4 ) {
                                i--; print line[i]; }
                                }' - >/tmp/mount.a

    tab /tmp/mount.a
    # remove extra tabs and blanks

    sed "/" /s//          /g" /tmp/mount.a \
    | sed "/"              /s//          /g" \
    | sed "/"              /s//          /g" \
    | sed "/"              /s//          /g" >/tmp/mount.t
    }

    rm -f /tmp/mount.a 2>/dev/null
```

# Selected Syntax Examples - 4

---

```
#!/usr/bin/ksh
# /usr/sbin/cfgmir
...
#keep getting parent device until parent device is a bus
#device or sio device
print $PARENT_MON | egrep "bus|sio" > /dev/null 2>&1
done = "$?"
...
#wait (with timeout) the end of portmir
for i in 1 2 3 4 5 6
do
    if ps -ef | grep portmir | grep -v grep >/dev/null
    then
        sleep 1
    else
        break
    fi
done
...
```



# Summary

---

- Shell Script uses in AIX
- Program headers
- Program structure
- Selected syntax examples

## **Unit 13. Good Practices and Review**

# Objectives

---

To write any serious script we need to:

- plan the activity
- produce "good code"

In this unit:

- Planning and design
- Documentation
- Debugging
- Performance issues
- Guidelines for scripting
- Course summary

# Planning and Design

---

As well as your favorite design methodology (Flow Charts, Data-Flow, SSADM, etc.) consider:

- functionality – clearly defined specification
- modular design – use of functions, separate programs
- environment – variables, directories
- file naming convention – for temporary files, results
- testing – individual units, integration tests, boundary conditions
- debugging code – do not forget the next maintainer

# Use of Comments

---

A good programmer uses comments in a program to:

- Explain the purpose and function of the code at key points
- Describe the use of variables
- Explain complicated syntax
- Give yourself the credit (or the blame) for your work
- Mark corrections or additions

Remember to update the comments with the code

# Commenting Out

---

Lines can be commented out using the # comment character:

```
# command arg1 arg2
```

- no Shell interpretation is performed to the right of #
- legal anywhere, except as the only statement in a flow-control construction (if, while, until)

The "null" command can be used where commenting out would not work:

```
: command arg1 arg2
```

- arguments are ignored, but processed as usual
- always returns 0 (true)

# Script Layout

---

Some things must be done in a certain order  
other things can be arranged for "good code":

- Shell control line (first in script) `#!/usr/bin/ksh`
- Header comments
- Validation of options
- Testing of arguments
- Initialization of variables
- Function definitions
- Main code

# Debugging Code

---

Korn Shell options can help with syntax checking:

- to check the syntax of a Shell Script without running it

```
set -o noexec    or    set -n
```

- for the Shell to print its input as it reads it

```
set -o verbose   or    set -v
```

- an execution trace displays each command before it is run and after command line processing

```
set -o xtrace    or    set -x
```

- for functions, use

```
typeset -ft function ...
```



# DEBUG Traps

---

After each simple command the Korn Shell issues the fake signals

- **DEBUG**
- ERR
- EXIT

The order is DEBUG, ERR, then any other traps, and lastly EXIT

To display the environment after each command set this trap

```
trap "set" DEBUG
```

When a command has a non-zero exit status, the Korn Shell sends the **ERR** signal

For example, to see what signals are causing error exits set this trap

```
trap "kill -1 $?" ERR
```

# Maintaining Code

---

Documentation: design and comments

Clarity

- Code
- Documentation

Modularity

- Main script
- Use "good" functions or separate programs

# Good Functions

---

To write functions that are reliable and easy to maintain:

- avoid altering global variables inside a function
- define and export functions only when necessary
- do not change the working directory inside a function (why?)
- tidy up local temporary files

# Performance Issues for Shell Scripts

---

If performance is an issue

- Do not guess
- Measure!

Performance of a script means two areas:

- that of the Korn Shell
- that of the script

Remember that you should work in this order

- Get the functionality working
- Make it robust
- If you have to, make it more efficient/faster

# Timing Commands

---

To report the elapsed, user and system time for a command or pipeline, use **time** in the KornShell:

- a Korn Shell reserved word (not a command)
- **time** output is to standard error
- input or output redirection applies to the command(s) under test only
- return value is that of the command(s) under test

```
$ time find / -name 'unix*' -print | sort
/unix
/usr/lib/unixtomh
real    0m25.51s
user    0m1.56s
sys     0m11.01s
$ _
```

*find output*

*wall clock time*

# Times for Shells

---

The **times** command displays how much time your current Shell and all its Sub-Shells have consumed:

```
$ times  
0m0.99s 0m15.37s  
0m8.61s 0m33.21s
```

- user and system timings given in hundredths of a second
- first line for the current Shell
- second line for the Sub-Shells

# Korn Shell Performance

---

To increase the startup speed of a new Shell:

- keep your history file (**.sh\_history**) small
- minimize the size of any **\$ENV** file
- use *autoload* with your functions
- use *FPATH* with your functions
- set `set -o nolog` to prevent function definitions being logged in your history
- use "tracked aliases"
- try to use an **alias** in place of a simple function
- set *MAILCHECK* greater than the 600 second default

# Korn Shell Script Performance

---

Tips for faster performance Shell Scripts:

- Shell built-in commands run faster than AIX ones
- Avoid command substitution where you can use `${ }` parameter expansions, *let* or pattern matching
- Note `$(< file)` is faster than `$(cat file)`
- Use multiple arguments rather than separate commands – e.g.  
`typeset -i a=3 b=4`
- Use `set -f` **or** `set -o noglob` if not using pathname metacharacters
- Use `{ }` grouping that is faster than `( )`
- Apply I/O re-directions to the whole of a loop syntax
- Set the *integer* attribute for suitable variables and don't use `$` for them with arithmetic expressions



# **Good Rules To Follow**

---

1. Documentation
2. Make Backups
3. Try three times
4. Don't overlook the obvious
5. Try it, it might work
6. Never say never, always avoid always
7. There's usually another way to do it

# Course Summary

---

Basic concepts

Shell variables and parameters

Exit status, return codes and traps

Programming constructs – control flow

Shell commands and features

Arithmetic in Shell

Shell types and functions

## Course Summary (Cont.)

---

More Shell variables

Regular expressions and text selection

Personal productivity – *sed*, *crontab/at*, *tar*

Using *awk*

Shell scripts in practice

Summary – good practice, debugging,  
performance

# Summary

---

- Planning and design
- Documentation
- Debugging
- Performance issues
- Guidelines for scripting
- Course summary

