### Project Proposal CS598 DL4H Spring 2023

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Group ID: 53
Paper ID: 28
Presentation link: #TODO

Code link: https://github.com/willtsai/dlh-sp23-team53

#### 1 Cite the original paper.

The paper we have selected for our reproducibility study is: *Context-aware Health Event Prediction via Transition Functions on Dynamic Disease Graphs* (Lu et al., 2022)

## 2 State the general problem the paper aims to solve. Do not use the same language as the paper.

The authors of the paper propose a new deep learning model called Chet (context-aware health event prediction via transition functions on dynamic disease graphs) that leverages the relationship between diseases and how they develop over time to predict future outcomes and diagnoses. Existing research on deep learning models for classification and prediction of diseases based on longitudinal EHR data have modeled disease diagnoses as independent events in their respective visits. However, intuition and data indicate that there are in fact hidden patterns within the combinations of disease diagnoses that may be useful for predicting future outcomes for patients, but yet have not been leveraged in existing best-in-class healthcare deep learning models. The Chet model is able to learn how diagnosed diseases develop over the course of each patient's doctor visits and then utilize this learned disease combination context to predict future outcomes and diagnoses.

## 3 Describe the new and specific approach taken by the paper. Discuss why it is interesting or innovative.

Instead of treating diagnosis as independent diseases as most existing approaches, this paper tried to view disease diagnosis as co-dependent events and use these combinational and dynamic disease information to inform the deep learning model and make it context-aware. The most innovative part

of the approach is the design to include both disease combinational information and the dynamic scheme of disease into the model. To include disease combinational information, the paper constructed a weighted disease combination based on the entire longitudinal EHR data globally and also a disease subgraph based on the specific visit locally. To include dynamic scheme of diseases, the paper utilized a disease-level temporal learning with multiple diagnosis roles and corresponding transition functions to extract historical contexts.

### 4 Identify the specific hypotheses you plan to verify in your reproduction study.

In our reproduction study, we will use the same methodology as proposed by the authors for data selection, cleaning, and preprocessing as the authors of the paper. Specifically, we will join the MIMIC-III (Johnson et al., 2023b) and MIMIC-IV (Johnson et al., 2023a) datasets along the same overlapping time ranges that the authors describe and split training/validation/test sets randomly using the same ratios they used. We will build the diagnosis graphs and calculate the adjacency matrices for their corresponding subgraphs using the same methodology described by the authors. Leveraging the same parameter settings specified, we will train the model and then compare the performance of the Chet model to the listed baseline performance of best-in-class models for diagnosis prediction and heart failure prediction. We will not attempt to reproduce the baseline performance of best-in-class models.

# 5 Outline any additional ablations you plan to do and explain why they are interesting.

As an initial proposal, we are interested in the following four potential ablation studies. We will at least complete one. Additional ones will be tried if we have enough capacity.

- Removing the dynamic part of GNN. This ablation study is mentioned in the paper with few details. This ablation study is interesting because dynamic subgraph is used for building local context of visits in the model. Removing this part will only leave global combination graph in GNN, and by comparing this variant with the original Chet, it will help us understand the effect of dynamic subgraphs.
- Removing the transition functions. This ablation study is mentioned in the paper with few details. This ablation study is interesting because transition functions are used for extracting historical contexts for each diagnosis roles to learn transition process of diseases. By comparing this variant with the original Chet, it will help us understand the effect of these transition functions.
- Removing attention from embedding layer. At the last layer of the model, the paper applied a location-based attention to calculate the final patient embedding of all visits. By comparing this variant with the original Chet, it will help us understand the effect of the attention used in the embedding layer.
- Reduce three diagnosis roles and three corresponding transition functions to two. When doing diagnosis-level temporal learning, the paper defined three diagnosis roles and used three corresponding transition functions. We want to try to reduce to two to better understand the importance of these diagnosis roles.

### 6 Explain how you have access to the necessary data.

For their experiment, the authors use the MIMIC-III (Johnson et al., 2023b) and MIMIC-IV (Johnson et al., 2023a) datasets for training, validation, and testing. Since we have completed the required training and are credentialed users, we are able to download both the MIMIC-III and MIMIC-IV datasets from PhysioNet (Goldberger et al., 2000).

### 7 Discuss the computational feasibility of your proposed work.

We have downloaded the data to our local machine and used the author's original code base to test the computational feasibility. Based on our initial investigation, it took around 10 minutes for data preprocessing and 96 hours to complete total 200 epoches of training for the training set. These are definitely long-running tasks but still feasible with our current resource. But we might slightly reduce the size of dataset or the epoch iteration numbers during actual training for our ablation studies depends on our capacity.

## 8 Specify if you will be re-using existing code and provide a link to it, or if you will implement the code yourself.

We plan to re-use the existing code provided by the authors of the paper for data preprocessing, building the needed input graphs/matrices, building the model architecture, and training the model for the experiment. We will author our own shell scripts to download, unzip, and move datasets into the appropriate paths. We also plan to evelop our own code to compute and evaluate model performance based on the test dataset following the metrics and methodology detailed in the paper, as well as make the required modifications to the existing model architecture and training code to perform the ablation studies mentioned in the paper as well as our own extra ablations. The code provided by the authors is available at www.github.com/LuChang-CS/Chet (Lu et al., 2022), we will clone this as reference for our implementations described above.

#### References

A. L. Goldberger, L. A. N. Amaral, L. Glass, J. M. Hausdorff, P. Ch. Ivanov, R. G. Mark, J. E. Mietus, G. B. Moody, C.-K. Peng, and H. E. Stanley. 2000. Physiobank, physiotoolkit, and physionet: Components of a new research resource for complex physiologic signals. *Circulation*, 101(23):e215–e220. Circulation Electronic Pages: http://circ.ahajournals.org/content/101/23/e215.full PMID:1085218; doi: 10.1161/01.CIR.101.23.e215.

Alistair Johnson, Lucas Bulgarelli, Tom Pollard, Steven Horng, Leo Anthony Celi, and Roger Mark. 2023a. Mimic-iv.

Alistair Johnson, Tom Pollard, and Roger Mark. 2023b. Mimic-iii clinical database.

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