- Paying colonization credit with forest management could
- <sup>2</sup> accelerate the range shift of temperate trees under climate

## change

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22 suggestions for improvement.

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### 36 Highlights:

- We test the effect of sylvicultural practices in a forest model under climate change.
- We assess how planting and harvesting can increase forest resilience and range shift.
- Planting temperate trees is more efficient at increasing response rate to climate change.
- Enrichment planting boreal stands is more efficient than planting empty stands.
  - Plantation increases resilience and coldward range shift to keep up with climate change.

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Abstract

The northward migration of several tree species ranges is likely to lag behind climate change due to slow demography, competitive interactions, and dispersal limitations. These will result in a colonization credit, where suitable climate envelopes are left unoccupied, and extinction debt, where tree stands persist at unsuitable climatic locations. While the underlying mechanisms explaining the delayed range shift of forest trees have been investigated, few studies have focused on how management could overcome this lag. Here

we extend a forest community state model derived from the metapopulation theory and validated with over 40,000 forest inventory plots, to formulate how forest management can accelerate the response of the boreal-temperate ecotone under warming temperature. We first complete the model equations to represent how four types of forest management may affect the transitions between four forest states: Boreal, Temperate, Mixed and Regeneration. We then simulated the potential of forest management to reduce colonization credit and extinction debt using two complementary approaches to measure the resilience and range shift of the boreal-temperate ecotone in response to warming temperature. Our simulations reveal that paying the colonization credit by planting temperate trees in a stand in Regeneration or Boreal state are likely to i) reduce the return time to equilibrium, ii) increase forest resilience, and iii) move the ecotone towards colder temperatures. Surprisingly, harvesting boreal trees in stands in Boreal or Mixed state were not effective to reduce extinction debt and provide colonization opportunities for temperate trees. Our results suggest that forest management related to planting actions could help the boreal-temperate ecotone keep pace with climate change. Future experiments are required to test these theoretical expectations and make operational recommendations.

Keywords: Adaptive management, Assisted migration, Resilience, Range dynamics, Transient dynamics, Metapopulation, State and Transition Models

## $_{57}$ 1 Introduction

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There is a growing concern about how tree species will respond to climate change, and how fast they can migrate to keep pace with climate warming. Correlative statistical models have projected large range shifts following temperature increases, such as the migration of plant species hundreds of kilometers northward by the end of this century (Malcolm et al. 2002, Mckenney et al. 2007). While the range of short-lived mobile species may keep pace with climate change (Chen et al. 2011), the range of long-lived tree species generally does not (Harsch et al. 2009, Zhu et al. 2012). In fact, trees of eastern North America have shifted their range limits way bellow of the pace required to keep up with temperature increases (Boisvert-Marsh et al. 2014, 2019, Sittaro et al. 2017). This mismatch

between climate conditions and forest community composition will likely lead to maladaptation of trees to their environment, and therefore a possible loss of future forest productivity (Aitken et al. 2008). Assessing the mechanisms determining species range limits is, therefore, critical for formulating adaptive management strategies (Becknell et al. 2015).

Range limits of forest trees are driven by colonization and extinction dynamics. The metapopulation theory predicts the boundary of a species' range occurs where the colonization rate equals the extinction rate, wherever habitat is available (Holt and Keitt 2000). Derived from this theory, Talluto et al. (2017) quantified the colonization and extinction rates as a function of climate for 21 tree species in eastern North America and found that their distribution is out of equilibrium with the current climate. Specifically, they found a colonization credit at the leading edge of their range whereby suitable habitat is left unoccupied, and an extinction debt at the trailing edge whereby populations persist in unsuitable habitats. This equilibrium mismatch is predicted to increase in the future, as the range limits of temperate trees will barely shift northward due to their slow demography and limited dispersal rates (Vissault et al. 2020).

Forest management provides an opportunity to reduce colonization credit and extinction debt and, therefore, accelerate range shifts. Although some management practices, such as assisted migration (Peters and Darling 1985), have been proposed as a potential tool towards this end (e.q. Gray et al. 2011), there has been extensive debate about its effectiveness with no definite conclusion (e.g. 93 McLachlan et al. 2007, Ricciardi and Simberloff 2009, Schwartz et al. 2009, Vitt et al. 2009). The truth is, temperature is warming and there is an increased need to adapt forest management practices to consider future environmental conditions (Keenan 2015, Ameztegui et al. 2018). In the boreal 96 forest in Quebec, simulations indicate that if current management practices persist, climate change will decrease the maximum sustainable harvest yield due to the heightened frequency of fires, which prevents individuals from reaching maturity (Forestier en Chef 2020). Changing the current management strategies to reduce colonization credit and extinction debt can be obtained through different silvicultural 100 approaches that trigger or modify some ecological processes. There are basically two broad categories of 101 actions: harvesting (removing individuals) or planting trees (adding individuals). Large-scale harvesting 102 may reduce extinction debt by removing maladapted individuals at the trailing edge, and also reduce 103 colonization credit by reducing competitive interactions at the leading edge (Leithead et al. 2010, 104

Steenberg et al. 2013, Brice et al. 2020). Similarly, stand thinning could improve the competitive ability and recruitment of certain tree species that thrive in forest gaps. Alternatively, the planting of novel species or genotypes in open areas, or enrichment planting in mature stands (which increase the population of a tree species in a stand before natural dynamics) could favor the desired successional pathways. In the next section, we will develop in detail the link between forest management and the ecological processes as we introduce the model.

In this paper, we will study how forest management can accelerate the response of the boreal-temperate ecotone to climate warming. We first extend a field-based model derived from metapopulation theory to determine how four different management practices affect the colonization and extinction processes driving tree range dynamics. Our analysis is based on an empirical model which accounts for colonization and extinction dynamics, along with competitive exclusion and invasion processes, to predict how the boreal-temperate ecotone responds to climate warming (Vissault et al. 2020). This model was initially calibrated and validated with data from over 40,000 forest inventory plots from eastern North America. We integrate the effects of plantation, enrichment planting, harvest, and thinning on the colonization and extinction dynamics of temperate deciduous and boreal conifer stands.

We then assess the theoretical effectiveness of the four management practices using two complementary 120 approaches that quantify: (i) the transient dynamics under equilibrium and (ii) the forest range shifts on 121 a lattice grid (Figure 1). Transient dynamics are defined as the period a forest stand takes to reach a new 122 equilibrium after a temperature-increase (Hastings 2004). In dynamic models, equilibrium is defined as 123 the absence of change in a state variable over time. We simulate an increase in temperature and analyze 124 the effect of forest management in five metrics characterizing the transient dynamics (Boulangeat et al. 125 2018). Initial resilience  $(-R_0)$  and asymptotic resilience  $(R_\infty)$  measure the rate of change near to the 126 initial and final equilibriums and are read as the system's reactivity and stability, respectively. Exposure 127  $(\Delta_{state})$  measures the degree to which the old and new equilibrium's states differ, and sensitivity  $(\Delta_{time})$ 128 describes the amount of time needed to reach the new equilibrium. Cumulative amount of changes 129  $(\int S(t)dt)$  combines all four metrics described above to quantify the total amount of state and time in 130 which the system is out of equilibrium and therefore vulnerable. In the second approach, we implement 131 a stochastic and spatially explicit version of the model to account for limited dispersal. We quantify 132 how each of the management practices accelerates the range shift of the boreal-temperate ecotone

in a landscape grid. Because of the lack of abundant data on forest management across a climate gradient, we could not parametrize and validade the extended model. Rather, these analyzes will serve as references to guide future empirical studies by revealing the potential effect of forest management in accelerating the response of forest to climate warming and thus contribute to the advancement of adaptive management practices.

## 2 Modelling forest range limits and management practices

A classical model to study spatial dynamics at the regional spatial scale comes from Levins' metapopulation theory (Levins 1969). The theory is particularly suitable to describe the mosaic of forest successional stages at the landscape scale arising from natural disturbances and succession. The model describes metapopulation as a set of patches that are either occupied or empty and connected by dispersal. At this point, the model is spatially implicit, meaning that dispersal is global and all patches are connected equally. The dynamics of the metapopulation is given by individuals arriving and establishing in empty patches through the process of colonization ( $\alpha$ ), and occupied patches becoming empty through the process of extinction ( $\varepsilon$ ):

$$\frac{dp}{dt} = \alpha p(1-p) - \varepsilon p \tag{1}$$

Where p is the proportion of occupied patches. We can further extend this model to incorporate an environmental gradient by turning the demographic parameters ( $\alpha$  and  $\varepsilon$ ) into functions of climate conditions. As a result, we can derive range limits as the set of environmental conditions where the extinction rate equals the colonization rate (Holt et al. 2005). Relaxing the assumption of one single species dynamics, we can consider multiple species competing for the same patches by having both colonization and extinction parameters varying as a function of species interactions (Gravel and Massol 2020). In this multi-species setting, range limits are not only determined by climate, but also by interactions that can either reduce or expand the northward limit (Godsoe et al. 2017). The theoretical model composed of differential equations can be made spatially explicit, meaning every patch is located on a lattice and that dispersal only occurs between neighboring patches. The spatially explicit model

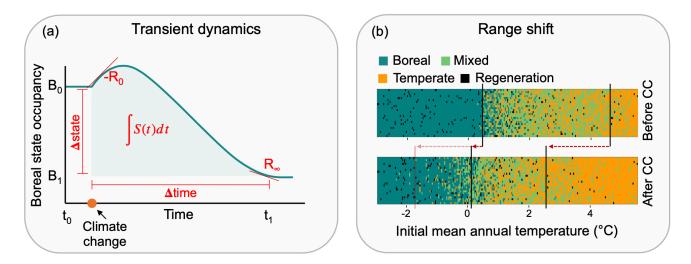


Figure 1: Conceptual schema of the two approaches used to test the effect of forest management on the response of forest to temperature increases. (a) Redrawn from Boulangeat et al. (2018). The spatially implicit version of the model was used to investigate how forest management affects the transient dynamics following temperature increases. Take, for instance, a patch with environmental conditions that mainly favour boreal species, the increase in temperature due to climate change will now favour other species over the boreal ones. As a result, the boreal state occupancy at equilibrium under the new climate  $(B_1 \text{ at } t_1)$  will be lower than it was before climate change  $(B_0 \text{ at } t_0)$ . Five metrics can describe the transient phase between the old and new equilibrium: initial resilience  $(-R_0)$ , asymptotic resilience  $(R_\infty)$ , exposure  $(\Delta_{state})$ , sensitivity  $(\Delta_{time})$  and cumulative amount of changes  $(\int S(t)dt)$ . (b) The spatially explicit version of the model was used to study the effect of forest management on the range shift of forest states following climate change (CC) while accounting for limited dispersal of trees and stochastic dynamics. The two lattice grids represent the distribution of pure boreal, mixed, pure temperate, and regeneration states along a gradient of temperature ranging from boreal dominant to temperate dominant climate conditions. The cell size of the grids in this figure was increased for visual clarity. The left and right vertical black bars indicate the range limit between boreal and mixed, and between mixed and temperate, respectively. The upper lattice shows the distribution of forest states in equilibrium with climate before the increase in temperature (initial state). The bottom lattice shows, according to Vissault et al. (2020), that after 150 years following the increase in temperature, the mixed/temperate range limit followed climate change (red arrow), but the boreal/mixed range limit did not (faded red arrow). We use this scenario to study the potential of forest management to accelerate the range shift of the boreal-temperate ecotone towards colder temperatures.

allows us to account for the effect of dispersal limitations when predicting the response of trees to climate warming. Our model previously parameterized for eastern North American forests is derived from this theory (Vissault et al. 2020).

Forest landscapes have been conceptualized as a dynamic mosaic of different states for a long time 161 (Picket and White 1985). While the formal application of Levins' metapopulation model over a climatic 162 gradient is recent (Talluto et al. 2017), it builds on key concepts formalized in previous forest dynamic 163 models. Among the first ones is the description of successional dynamics with a transition probability 164 matrix by Horn (1971). Our approach described bellow is somehow very similar, with the particularity 165 that the transition matrix is non-stationary over a climatic gradient and conditional on state occupancy. 166 Levin and Paine (1974) followed not long after with with a model of disturbances and patch formation 167 used to derive steady-state distributions of different patch states. Forest gap models like Jabowa were 168 developped independently (Botkin et al. 1972) and later followed by landscape models like Landis 169 (Mladenoff et al. 1996) and its climate-dependent variant Landis-II (Scheller and Mladenoff 2004). Such 170 models, and other descendants, differ significantly in implementation, scope and details, but they all 171 share the common feature that landscapes are composed of patches subject to disturbances (extinction) 172 and succession (colonization, exclusion) between different states. Our motivation with the Levins' 173 approach was twofold: i) maintain mathematical tractability to facilitate its analysis and ii) facilitate model calibration on forest inventory data. Below we summarize the model conception and calibration 175 to ease the reading and refer to Vissault et al. (2020) for a detailed description and sensitivity analysis. 176 We will then develop the integration of the four management practices in the following section.

The State and Transition Model (STM) considers three discrete forest (or occupied) states along a 178 gradient of temperature: (B) oreal, (T) emperate, and (M) ixedwood forest states; and the (R) egeneration 179 (or empty) state (Vissault et al. 2020). The colonization ( $\alpha$ ) and extinction ( $\varepsilon$ ) processes drive the 180 transitions between empty (R) and occupied (by either B, M, or T) patches. The model describes 181 species interaction through the mechanisms of invasion and competitive exclusion. Invasion  $(\beta)$  happens 182 when an occupied state type of pure boreal (B) or pure temperate (T) is colonized by tree species from 183 the opposite type, and becomes then a mixed state (M). Competitive exclusion ( $\theta$ ) drives the transitions 184 from a mixed forest state (M) to either state boreal (B) or temperate (T), depending on the competitive 185 ability of each of forest types. The rate at which occurs each of these processes  $(\alpha, \varepsilon, \beta, \text{ and } \theta)$  is specific to the forest type and the local climatic conditions, and the resulting process is dependent on the amount of the corresponding state in the landscape (Figure 2 a).

The parameters describing transitions among states were calibrated using over 40,000 plots from the eastern North American forest (Vissault et al. 2020). In this study, the database incorporates data from 190 the FIA in the United States (O'Connell et al. 2007), the Canadian provinces of Québec, Ontario, and 191 New Brunswick (Porter 2001, Ontario Ministry of Natural Resources 2014, Ministere des Ressources 192 Naturelles 2016), as well as a private forest company in Québec (Domtar). For each plot (measured 193 between 1960 and 2010) and each census, the forest states (B, M, and T) were classified following 194 their species composition. A stand was classified as T whenever all boreal species were absent while 195 at least one of the following eight temperate species was present: Prunus serotina, Acer rubrum, 196 Acer saccharum, Fraxinus americana, Fraxinus nigra, Fagus grandifolia, Ostrya virginiana, and Tilia 197 americana. Alternatively, a stand was classified as B whenever all temperate species were absent while 198 at least one of the following seven boreal species was present: Picea mariana, Picea glauca, Picea 199 rubens, Larix laricina, Pinus banksiana, Abies balsamea, Thuja occidentalis. The stand was classified as 200 mixedwood (M) when both boreal and temperate species were present. Therefore, T and B stands are 201 inheritly pure compositions. The stand was classified as regeneration (R) when the total basal area was 202 inferior to 5 m<sup>2</sup> ha<sup>-1</sup>, irrespective of its species composition. After classifying each plot year into one 203 of the four forest states, transitions were modelled as a function of local climate conditions, namely 204 mean annual temperature (MAT) and total annual precipitation (TAP). Parameters of the non-linear 205 multi-nomial models were evaluated by maximum likelihood and a simulated annealing optimization 206 procedure. Note that this model avoids the presumption that the point data is at equilibrium since it 207 predicts the transition between states rather than the distribution. Only permanent sampling plots 208 with a time interval within the 5-15 year range were used in the parameterization (median time interval 209 among plots ~5 years). Furthermore, all disturbances such as fire, drought, and outbreaks were included 210 in the fitting of the STM; only managed plots were excluded of the analysis to assure the four transition 211 processes were naturally induced. Part of the data not used in the calibration was used to validate 212 the predictions of the model. The parameters of the model were validated by solving the model to 213 equilibrium using current climate conditions and comparing the model predictions to the current forest 214 distribution from the validation data. The accuracy of the STM in predicting each of the four states

given MAT and TAP ranged from 70% to 98% (Vissault et al. 2020).

This simple State Transition Model allows one to predict the distribution of forest community composition 217 at the continental scale. In the present study, we use the STM equations with their estimated parameters 218 to integrate the effects of four management practices. We are aware of the theory that predicts species 219 range limits as a process derived from their local demographic vital rates (Araújo and Rozenfeld 220 2014, Normand et al. 2014). Given that different species within the same community have different 221 demographic rates, their response to climate change will likely generate different range shifts. However, empirical studies have had little success in establishing the link between the vital rate of tree species 223 and their distribution (Kunstler et al. 2021, Le Squin et al. 2021). In addition, we can expect that species within the same forest state will respond similarly to each other compared to species in other 225 states, regardless of the demographic variance among the species of the same group. Since we are 226 interested in exploring how forest management affects forest range limits, we chose to work beyond 227 the species level to model general management practices at the scale of forest community composition. Therefore, we stress our study as a theoretical investigation to guide future models and experimentation 229 towards adaptive management practices. In the next section, we detail the ecological assumptions and 230 mathematical formulation for each management practice implemented in the model. Finally, with the 231 extended model equations and estimated parameters, we develop our two simulation approaches to test the effect of forest management on transient dynamics and forest range shifts. 233

# 2.1 Adapted forest management: reducing the gap between potential and actual forest distribution

Given the predictions that the distribution of the boreal-temperate ecotone may lag behind climate change (Vissault et al. 2020, Talluto et al. 2017), here we define and simulate four management practices to test how they may reduce the gap between potential and realized forest distribution with climate warming. The four management practices implemented in the model are plantation and enrichment planting to potentially reduce colonization credit, and harvest and thinning to potentially reduce extinction debt. The objective of these management practices is to favor the migration of both the leading edge of temperate forest and the trailing edge of boreal forest towards colder temperatures when the climate is suitable.

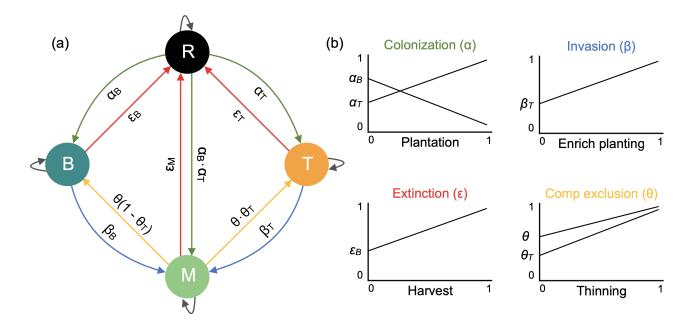


Figure 2: Schema of the State and Transition Model adapted from Vissault et al. (2020). Directional arrows describe the colonization  $(\alpha)$ , extinction  $(\varepsilon)$ , invasion  $(\beta)$ , and competitive exclusion  $(\theta)$  processes driving the transition between the four forest states: (R)egeneration, (B)oreal, (T)emperate, and (M)ixedwood. The panel (b) summarises the effect of increasing the intensity of forest management in each of the four ecological processes. For instance, increasing plantation intensity will increase the rate of transition from R to T and consequently descrease the rate of change from R to B and from R to M. The values of each of the 9 specific (process x state) parameters are shown in Figure S7.

#### 2.1.1 Forest management to reduce colonization credit

Colonization of temperate species beyond the leading edge of their distribution may depend on many 245 factors such as climate conditions, competitive ability, and seed sources through dispersion. The first 246 factor limiting the colonization of a population beyond its range is the climate. Once the climate 247 limitation is relaxed with climate warming, species interactions such as competition for light may limit the development of regenerating individuals (e.g. Bianchi et al. 2018). Finally, seed production is a 249 density-dependent process that, associated with the slow migration rate of trees, contributes to the lack 250 of colonization beyond the population range limits. In the context of managing ecological processes, some 251 of these factors can be modified with forest management. Here we model two management practices that may operate at different spatial scales to simulate density-independent colonization: plantation 253 (i.e. assisted migration) at the large spatial scale, and enrichment planting at the local spatial scale. Plantation occurs in regeneration states, while enrichment planting occurs in mature stands of the 255 alternative composition (e.g. introducing temperate hardwoods in a boreal stand). Following temperature 256 increases, plantation and enrichment planting of temperate species should overcome dispersal limitation 257 and the lack of seed sources and may increase the range shift towards colder temperatures by colonizing 258 stands beyond the current distribution. 259

2.1.1.1 Plantation of temperate stands In our model, the establishment of boreal, mixedwood or temperate forest in regenerating stands depends on the colonization capacity of boreal and temperate tree species ( $\alpha_B$  and  $\alpha_T$ ) as well as their abundance in the neighboring stands. The plantation practice is modelled as an increase in the probability of regeneration stands to become temperate forest stands P(T|R). A proportion p of available stands in state R is thus converted into state R at each time step. Only the remaining stands in state R (1 – p) are allowed to follow the natural colonization process. Plantation thus involves an additional parameter p that modifies the following probabilities:

$$P(T|R) = [\alpha_T(T+M) \times (1 - \alpha_B(B+M))] \times (1-p) + p$$

$$P(B|R) = [\alpha_B(B+M) \times (1 - \alpha_T(T+M))] \times (1-p)$$

$$P(M|R) = [\alpha_T(T+M) \times \alpha_B(B+M)] \times (1-p)$$
(2)

where p is the proportion of R stands that are planted per time step. Note that when p = 0, the natural dynamics occurs and when p = 1, P(T|R) = 1, P(B|R) = P(M|R) = 0.

2.1.1.2 Enrichment planting of temperate trees on boreal stands Invasion of temperate species into boreal stands is a function of the capacity of temperate forest trees to colonize boreal forest  $\beta_T$ , and the abundance of mixed and temperate in neighboring stands. Invasion only applies to mature stands. Enrichment planting of temperate species in boreal stands is modelled as an increase in the probability of stands in state boreal to become mixedwood P(M|B). Among stands in state B available to invasion, a proportion e is directly converted to M. The colonization probability of temperate species establishing in boreal stands after enrichment planting adds a parameter e to the model:

$$P(M|B) = \left[ (1 - (\varepsilon \times (1-h) + h)) \times \beta_T(T+M) \right] \times (1-e) + e \tag{3}$$

Where e is the proportion of mature stands in state B that are enriched at each time step. Natural dynamics occurs when e = 0, while direct conversion by forest management occurs when  $P(M|B) = 1 - (\varepsilon \times (1-h) + h)$ . Note that h is the proportion of stands in state B that are harvested as explained in the next section.

#### 280 2.1.2 Forest management to reduce extinction debt

Different ecological mechanisms can explain extinction debt caused by the delayed response of forest 281 trees to temperature increases. Slow demographic rates along with dispersal limitations can delay the 282 response of species to environmental changes (Dullinger et al. 2012). These life-history traits, associated 283 with source-sink dynamics (Schurr et al. 2012), can increase considerably the extinction debt of tree 284 populations following temperature increases. To reduce this delayed response, unadapted species would 285 have to disappear and therefore make room for the new species that is better adapted to the novel 286 environmental conditions. Disturbance and competitive exclusion are two ecological processes suitable 287 to influence the rate of extinction and, if well directed, reduce extinction debt. Here we chose harvest 288 and thinning, which is a partial harvest within a stand, as complementary management practices that 289 may accelerate disturbance and competitive exclusion. Harvest of stands in state B has the same effect 290 than large spatial scale disturbances, such as fire, and transform a proportion of B stands in a R state. 291

Similarly, removal of boreal species by selective thinning in stands of state M can increase the rate at which temperate species can competitively exclude boreal species. Both harvest and thinning are intended to open space and reduce the proportion of boreal species, and therefore increase the likelihood of temperate states to shift towards colder temperatures.

2.1.2.1 Harvest of boreal stands In the natural extinction model, stands in state B turn into a regeneration state only after natural disturbances, occurring at a probability  $\varepsilon$ . Harvest is modelled as an increase in the probability of boreal states to become regeneration states P(R|B). A proportion h of mature stands in state B is converted into state R, featuring the cut of all trees. This proportion of B stands is thus excluded from following natural dynamics. Harvest thus involves an additional parameter h that modifies the following probabilities:

$$P(R|B) = [\varepsilon \times (1-h)] + h$$

$$P(M|B) = (1 - (\varepsilon \times (1-h) + h)) \times \beta_T(T+M)$$
(4)

Where h is the proportion of stands in state B that are harvested at each time step. If h = 1, no B stands will be maintained, and when h = 0, only natural disturbance occurs.

2.1.2.2 Thinning of boreal trees in mixedwood stands In the natural model, the transition from a mixed state M to either a pure state (B or T) is driven by the instability of the state M ( $\theta$ ), and the competitive ratio between temperate and boreal species ( $\theta_T$ ). It means that the higher the instability ( $\theta$ ), the higher the probability of competitive exclusion, and the winner is given the competitive ratio between temperate and boreal species ( $\theta_T$ ). Thinning of boreal species in M stands is modelled as an increase of the probability of M stands to become state T in two different ways ( $s_1$  and  $s_2$ ). First, thinning of boreal species can be translated into an increase in the instability of M stands:

$$\theta_m = [\theta \times (1 - s_1)] + s_1 \tag{5}$$

Second, selective thinning of boreal species can increase the competitive ability of temperate species:

$$\theta_{T,m} = [\theta_T \times (1 - s_2)] + s_2 \tag{6}$$

It is unclear if we need to distinguish between the two processes. The rationale is that the proportion  $s_1$  of M stands that are managed this way is directly converted into state T. It means that  $s_2$  should at least be equal to  $s_1$ . If thinning further boost the competitivity (fitness) of temperate species, then  $s_2$  can be greater than  $s_1$ . For a parsimonious approach, it seems reasonable to set  $s_1 = s_2$ . These modifications directly affect P(T|M) and P(B|M):

$$\theta_{m} = [\theta \times (1 - s)] + s$$

$$\theta_{T,m} = [\theta_{T} \times (1 - s)] + s$$

$$P(T|M) = \theta_{m} \times \theta_{T,m} \times (1 - \varepsilon)$$

$$P(B|M) = \theta_{m}(1 - \theta_{T,m}) \times (1 - \varepsilon)$$

$$(7)$$

Where s is the proportion of undisturbed stands in state M where thinning is applied per time step.

When s = 1, P(T|M) = 1 and P(B|M) = 0.

## 9 2.2 Simulation analysis

#### 320 2.2.1 Analysis of the transient dynamics under climate warming

We used the spatially implicit version of the STM at equilibrium with current climate conditions to test 321 the effect of forest management on the transient dynamics following temperature increases. To do so, we 322 simulated an increase in temperature and focused on the dynamics of the transient period of the four 323 forest states until they reach the new steady state. Steady state was considered as being reached when 324 the difference between two successive states prevalence was inferior to  $10^{-7}$  for 10 consecutive steps. 325 Each step in the model is equal to 5 years according to the initial parameterization of the model (Vissault 326 et al. 2020). We characterized the transient dynamics over a gradient of mean annual temperature 327 ranging from -2.61 to 5.07 °C. Note that this approach quantifies the model's local stability for a specific 328

location defined by climatic conditions. As a result, no spatially explicit dynamics like dispersal are 329 considered, and the transient metrics are calculated separately for each location along the MAT gradient. This gradient corresponds to the current temperature range along with the temperate-to-boreal forest 331 ecotone, and it is the reason we describe this gradient as "initial mean annual temperature". This gradient can be visualized by drawing a straight line from Montreal ( $\sim$ 45.5  $^{\circ}$  N) to Chibougamau ( $\sim$ 49.9 333 ° N), in Canada. While we simulated temperature changes, TAP was kept constant to the mean value 334 extracted from the database (998.7 mm) because TAP has a relatively small effect on model outputs 335 compared to MAT (Vissault et al. 2020). Temperature increased by 0.09 °C at each time step for 336 the first 20 steps (100 years) for a total increase of 1.8 °C following the Representative Concentration 337 Pathway (RCP) scenario of 4.5, and then remained constant until the model reached the steady state. 338 As we used a linear increase of temperature to represent the boreal-temperate ecotone (ranging from 339 -2.61 to 5.07 °C) instead of a real landscape, the RCP scenarios are based on the mean global projections 340 (IPCC 2013). We further tested the RCP8.5 scenario and observed that the increase in the disturbance 341 intensity with warmer temperatures only shifted the reponse to higher values, but did not change the overall interpretation compared to RC4.5 (results not shown). 343

We characterized the transient phase after temperature increases using five different metrics from 344 Boulangeat et al. (2018). The first two metrics are the asymptotic and initial resilience as measures of local stability derived from the Jacobian Matrix J at the new equilibrium (Arnoldi et al. 2016). J346 was numerically calculated using the R package rootSolve (Soetaert 2009, Soetaert and Herman 2009). 347 The asymptotic resilience  $(R_{\infty})$  is the leading eigenvalue of J, and quantifies the asymptotic rate of 348 return to equilibrium after small perturbation. The more negative  $R_{\infty}$ , the greater is the asymptotic 349 rate of change back to the equilibrium, and therefore the greater the resilience of the system. Although 350 the stability metrics are computed at the new equilibrium, we can derive the initial reactivity of the 351 system to disturbance using algebra transformation of the matrix J (Neubert and Caswell 1997). Initial 352 resilience  $(-R_0)$ , defined by Arnoldi et al. (2016) as the inverse of initial reactivity, is the leading 353 eigenvalue of the following matrix: 354

$$M = \frac{-J + J^T}{2} \tag{8}$$

Positive values of  $-R_0$  indicate a smooth transition to the new equilibrium whereas negative values 355 indicate reactivity, that is, an initial amplification in the opposite direction to the final equilibrium. The third metric is the exposure of the ecosystem states ( $\Delta_{state}$ ), defined by the euclidean distance 357 between initial and final state prevalence among the four states (Dawson et al. 2011). It reports the amount of change the system will experience. The fourth metric is the return time  $(\Delta_{time})$  or ecosystem 359 sensitivity, which is estimated by the number of time steps of the transitory phase. A combination of 360 the previous metrics, it describes how long it takes to reach the new equilibrium. The last metric is the 361 cumulative amount of changes in the transitory phase, or ecosystem vulnerability (Boulangeat et al. 2018). It is defined as the sum of all changes in the states after climate warming and is obtained by the 363 integral of the states change over time  $(\int S(t)dt)$ . It combines all of the prior metrics to describe how 364 much the system is "out-of-equilibrium" or vulnerable. These five metrics together can summarize the 365 multidimensionality of the response of a system to external disturbances. 366

We used five distinct simulation scenarios: natural dynamics without forest management, 0.25\% of 367 plantation, 0.25% of enrichment planting, 1% of harvest, and 0.25% of thinning, at an annual rate. The 368 above values were chosen to maintain a certain degree of realism. In the Canadian province of Quebec, 369 about 1% of the forest territory is harvested annually. Of this 1% harvested, only 20 to 25% is followed 370 by planting. To our knowledge, enrichment planting and thinning of a specific species are more complex to operate and rarely used in Quebec and should not overpass the other practices, hence we chose to 372 analyze the same amount as the plantation. To further quantify the effect of increasing the intensity 373 of forest management from 0 to 100% for each practice. For instance, increasing plantation to 100% 374 (p=1) means that all regeneration stands will become T. For that, we chose two locations from the 375 gradient of temperature in which forest management had the most effect on the metrics of transient 376 dynamics: -1 and 0 °C MAT which represents the leading and trailing edge of the ecotone. 377

#### 2.2.2 Analysis of the range shift under climate warming

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Using the model equations with forest management, we created a spatially explicit version of the model with an artificial landscape (lattice) to account for explicit dispersal limitations and stochastic dynamics, to test the capacity of forest management to accelerate the range shift of the boreal-temperate ecotone towards colder temperatures. The landscape is composed as a regular grid of 1698 by 170 cells where

each cell (approx. 300 x 300 meters) at each time step is occupied by one of the four forest states (R, 383 B, T or M). Given the average dispersal rate for some temperate trees is in the range of 5-15  $m \cdot yr^{-1}$ (Ribbens et al. 1994), with maximum dispersal rates estimated in the post-glacial period reaching 385  $260 m \cdot yr^{-1}$  (Feurdean et al. 2013), our 300 m grid has sufficient distance to account for the rare long-distance dispersal events. Sensitivity analysis showed that the range shift following climate warming 387 increased with larger grid cells (from 1 hectare to 2500 hectares), but the effect was stronger in cells 388 larger than 100 hectares (Figure S1). While the choice of the cell size affects the absolute value of 389 range shift, it does not affect the relative effect of the different forest management strategies. Moreover, 390 although the smaller the cell the better we model dispersion, smaller cells are computationally expensive. 391 Therefore, the size of 300 x 300 m (9 ha) was the best compromise between these two factors. The 392 gradient of the landscape grid was defined using the same MAT range as in the spatially implicit model 393 (-2.61 to 5.07 °C) to represent the whole ecotone from boreal to temperate dominant forest types, with 394 a constant TAP of 998.7 mm. The prevalence of each state at time t+1 was calculated considering the 395 stand composition of the eight neighboring cells and the temperature and precipitation condition of the 396 cell at time t. The state of the current cell at time t+1 was then randomly drawn from the transition 397 probabilities. The effect of climate warming on the landscape dynamics was simulated by increasing 398 temperature of 0.09 °C for each cell at each time step for the first 20 steps (100 years; RCP4.5). We 399 further performed simulations using the RCP8.5 scenario, and the results are shown in Figure S6. The 400 spatially explicit version of the model was bind into an R package stored on GitHub (Vieira 2020). We 401 used the released version v2.0 of the package to run the simulations for this article. 402

We ran three simulations to compare the relative importance of temperature increases, forest management, 403 and their interaction with the equilibrium distribution in future climate conditions. The intensity of 404 the four management practices was the same as used in the first approach, and they were equally 405 applied across the landscape. The model simulated 150 years of forest dynamics under three different 406 scenarios: (i) only climate change, (ii) only one forest management practice, and (iii) climate change 407 and one forest management practice at a time. These "virtual experimental treatments" allow to 408 independently characterize their independent effects and also their interaction. These three simulation 409 scenarios were then compared with current  $(T_0)$  and future  $(T_1)$  forest distribution at equilibrium with 410 climate as reference points. For each simulation and reference points, we quantified the boreal and 411

the mixed/temperate occupancy over the gradient of initial mean annual temperature (-2.61 to 5.07 412 °C). This allowed us to visualize the response of state occupancy to each simulation. In addition, we computed the average range shift of state occupancy in mean annual temperature for each simulation, 414 taking the initial distribution at equilibrium with climate  $(T_0)$  as the starting point. Range shift represents the shift of state occupancy relative to the initial mean annual temperature. This approach 416 allowed us to quantify the displacement of the boreal-temperate ecotone in the grid without the need of arbritary thresholds to define the range limits of a forest type. Range shift was calculated as the 418 difference in initial mean annual temperature between the first and final step of a simulation run for all values of state occupancy ranging from 0 and 1. We removed extreme values of state occupancy 420  $(state_{occ} < 0.07; state_{occ} > 0.93)$  to avoid miscalculation of range shift as our approach was imprecise in 421 these extreme locations. This filter had little effect on the median and quantiles of range shift (Figure 422 S2). Negative values of range shift indicate a displacement of the distribution of a forest type towards 423 colder temperatures, whereas positive values indicate a displacement towards warmer temperatures. 424 Finally, as the chosen time scale (150 years) and management intensity may not be large enough 425 to detect the response of forests to temperature increases and forest management, we ran the same 426 configuration of simulations while increasing both the time scale and the management intensity. The 427

to detect the response of forests to temperature increases and forest management, we ran the same configuration of simulations while increasing both the time scale and the management intensity. The running time of each simulation was increased to 250, 500 and 1000 years, and management intensity for all practices increased to 2, 5, 10 and 20%. We replicated the simulations 15 times, while varying the initial landscape for each simulation. Initial landscapes were randomly generated, with the prevalence of each cell determined by the MAT value across the gradient of the lattice grid.

## 3 Results

## 3.1 Effect of forest management on transient dynamics under climate warming

We characterized the transient dynamics following an increase of 1.8 °C in temperature along the boreal-temperate ecotone. Overall, all metrics peaked in two specific regions, indicating maximum resilience at the transition between boreal and mixedwood (~ -1 °C), and at the transition between

mixedwood and temperate dominant forest types (~ 3 °C; Figure 3 a for reference). Plantation and 438 enrichment planting of temperate species, which simulate the payment of colonization credit, were the only two practices affecting significantly the transient dynamics following climate warming. The effect 440 of these two practices on the transient metrics was observed only in the transitional region between boreal and mixedwood. Exposure increased with enrichment planting in the boreal region (Figure 3 442 b), meaning that forest management promoted the shift of forest states to a new equilibrium. The 443 time for the forest to reach the new equilibrium following climate warming (sensitivity) was reduced by 444 about 40 and 80% with plantation and enrichment planting, respectively (Figure 3 c). The cumulative 445 state changes (Figure 3 d) integrates the variation in both exposure, sensitivity, and resilience into 446 a single metric, ecologically interpreted as ecosystem vulnerability. In the transition between boreal and mixedwood states, where vulnerability is at its peak, plantation and enrichment planting reduced 448 vulnerability by 55 and 78%, respectively. In both transition regions between dominant forest types, 449 asymptotic resilience was close to zero, meaning a weak resilience of the system due to its slow rate of 450 change following a perturbation (Figure 3 e). In the same locations, initial resilience was at its peak, 451 meaning that the system is less reactive to a disturbance (Figure 3 f). This means that the forest 452 ecosystem has a slow reaction at the beginning and/or at the end of the transient phase (see Figure 453 1 a for a visual interpretation). Enrichment planting was the only practice to change both resilience 454 metrics, doubling asymptotic resilience, and reducing initial resilience by 13%. Reducing colonization 455 credit through plantation and enrichment planting of temperate species were effective in changing the 456 transient dynamics under temperature increases, helping forest to keep pace with climate change. 457

Given that the effect of forest management on the transient metrics was stronger in the transitional 458 region between boreal and mixedwood state dominance (Figure 3), we selected two contrasting locations 459 in this region to evaluate the effect of increasing forest management intensity on the transient metrics 460 (Figure 4). Enrichment planting and plantation remained the two practices with the greatest effect on 461 the transient metrics, increasing exposure and resilience, and decreasing the return time (sensitivity) 462in the boreal region (at -1°C; figure 4 a-c). Moreover, the effect of these two practices was non-linear, 463 thus a small increase in management intensity had a large effect on the transient metrics. For instance, 464 a 20% increase in enrichment planting will increase exposure to 90% to its maximum (Figure 4 a), 465 and reduce asymptotic resilience (Figure 4 b) and sensitivity (Figure 4 c) to 70% of their maximum. 466

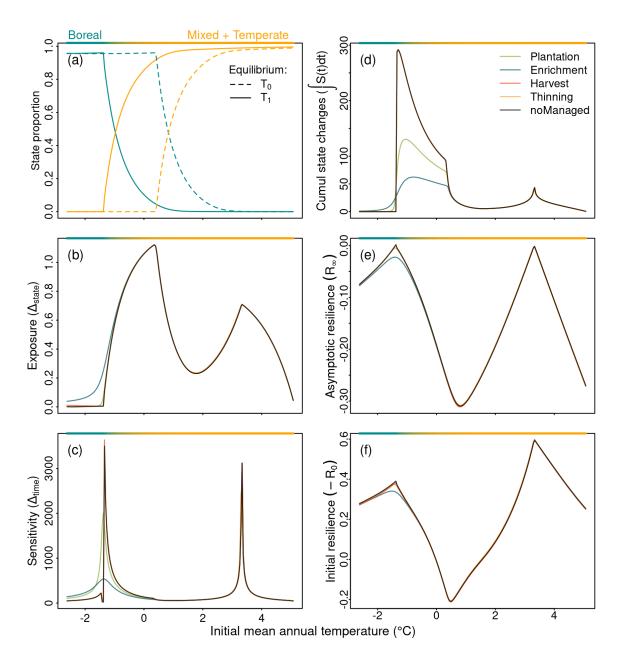


Figure 3: Expected occupancy of boreal and temperate-mixed states at equilibrium with climate before  $(T_0)$  and after  $(T_1)$  temperature increases (RCP4.5) as a climatic reference (a). (b-f) Transient dynamics following climate warming along the gradient of mean annual temperature for five different scenarios: natural dynamics without forest management, 0.25% of plantation, 0.25% of enrichment planting, 1% of harvest and 0.25% of thinning. Transient dynamics are described by (b) exposure or the shift of forest states to the new equilibrium; (c) sensitivity or the time for the state reach equilibrium after climate warming; (d) vulnerability or the cumulative amount of state changes after temperature increases; (e) asymptotic resilience or the rate in which the system recovery to equilibrium; and (f) initial resilience or the reactivity of the system after temperature increases.

The increase in harvesting intensity of boreal stands also increased the exposure and sensitivity of the system (Figure 4 c). Similarly, increasing thinning intensity in mixedwood stands increased exposure and sensitivity (Figure 4 d, f) but reduced resilience (Figure 4 e). Increasing management intensity can accelerate forest response to climate change through enrichment planting or plantation, but it can also delay this response through harvesting and thinning. Initial resilience and cumulative state changes are omitted in the Figure 4, and can be found in the supporting information (Figure S3).

# 3.2 Effect of forest management on range limit shift under climate warming

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We investigated how forest management affects the range limit shift between the the boreal trailing edge 475 and the mixed leading edge using spatially explicit simulations accounting for dispersal limitations and 476 stochastic dynamics. Given the state distribution dominance at equilibrium with current climate (light 477 shaded area in Figure 5), we expect climate warming to push the forest distribution towards colder 478 temperatures with a median range shift of -1.8 °C (which corresponds to the simulated temperature 470 increase, dark shaded area in Figure 5 and dashed line in Figure 6 b). After 150 years with no management and no climate change, the boreal and temperate+mixed forest dominance slightly shifted 481 towards warmer temperatures with a median range shift of 0.10 °C, the same rate when plantation, 482 harvest, and thinning were applied (Figure 6 a). Enrichment planting with no climate change shifted the 483 dominance of the boreal-temperate ecotone towards colder temperatures with a median range shift of 484 -0.03 °C. After 150 years with climate warming following the RCP4.5 scenario, the range of boreal and 485 temperate+mixed shifted only -0.53 °C, contrary to the expected -1.8 °C (Figure 6 b). Furthermore, we 486 can observe under RCP4.5 without forest management that the slope of the transition between boreal 487 and temperate+mixed forest dominance increased with climate warming, meaning that the smooth 488 transition observed at the initial condition (light shaded area) became a more abrupt transition between 489 these two forest types (Figure 5). In this RCP scenario, neither plantation, harvest, nor thinning 490 had a significant effect on range shift compared to the unmanaged scenario (Figure 6 b). Enrichment 491 planting was the single practice to increase range shift towards colder temperature with a median of 492 -1.31 °C. Reducing colonization credit, through enrichment planting, increased the range shift of the boreal-temperate ecotone when interacting with climate change, creating a smooth transition between

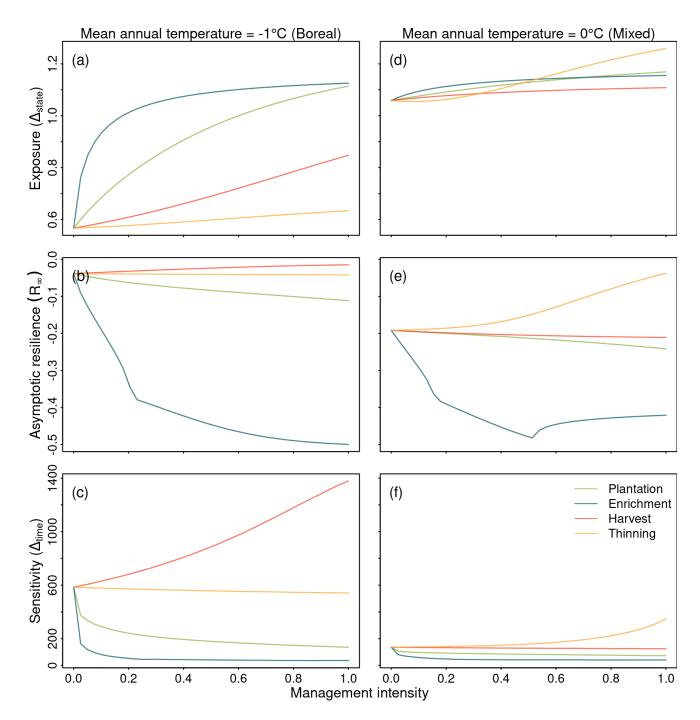


Figure 4: Effect of increasing management intensity on the transient metrics characterizes how the model responds to climate warming (RCP4.5). The effect of increasing management intensity is observed on two specific climate conditions represented by the initial mean annual temperature: -1 (dominated by boreal; left panels) and 0 (boreal/mixed state ecotone; right panels) regions. Transient dynamics are described by (i) exposure or the shift of forest states to the new equilibrium; (ii) asymptotic resilience or the rate at which the system recovers to equilibrium; and (iii) sensitivity or the time for the state to reach equilibrium after climate warming. Details on each metric are described in Figure 3

the dominance of these two forest types.

Simulation time and management intensity of figure 5 and 6 were kept small for the sake of realism, but 496 we further tested how increasing these two parameters will affect range shift of the boreal-temperate ecotone. Overall, increasing the simulation time increases range shift towards colder temperatures, 498 approaching the expected equilibrium under the RCP4.5 scenario (Figure 7 a-c; Figure S4). After 250 499 years of simulation, enrichment planting shifted the distribution of the boreal-temperate ecotone with a 500 median of -1.71 °C, nearly reaching the expected equilibrium of -1.8 °C (Figure 7 a). The remaining 501 management practices did not have a strong effect on range shift, with a shared median between 502 plantation, harvest, and thinning around -0.85 °C, compared with -0.79 °C when no management was 503 applied. After 500 years of simulation, both enrichment planting and plantation differed from the other 504 practices, with a median range shift of -1.85 °C and -1.43 °C, respectively (Figure 7 b). After a thousand years, enrichment planting remained stable for 500 years, and all the other practices almost reached the 506 expected equilibrium, with a median range shift around -1.59 °C (Figure 7 b). 507

Increasing management intensity of up to 20% per year, while keeping the simulations running for 150 years, had different effects according to the four management practices (Figure 7; Figure S5). At 509 an intensity of 5%, enrichment planting nearly approached the maximum range shift allowed by the landscape size, with a median range shift of -3.22  $^{\circ}\mathrm{C}$ , increased to -3.26 and -3.30  $^{\circ}\mathrm{C}$  for the 10 and 511 20% intensity, respectively. Plantation also exceeded the expected equilibrium at the intensity of 10 512and 20%, with a median range shift of -2.05 and -3.05 °C, respectively. Harvest was the only practice 513 to not increase both the boreal and the temperate-mixed range shift at the same rate. While harvest 514 increased boreal range shift up to -3.33 °C with 20% management intensity, temperate-mixed increased 515 from -0.55 °C (2%) to -0.64 °C (20%). Increasing thinning intensity did not increase the range shift of the boreal-temperate ecotone towards colder temperatures, with a stable range shift around -0.53 °C. 517

## 18 4 Discussion

It is pressing to investigate how forest biomes will respond to climate warming, and how forest management can mitigate the negative impacts of this perturbation. We extended a simple and informative modelling framework based on metapopulation theory that let us to (i) establish a link

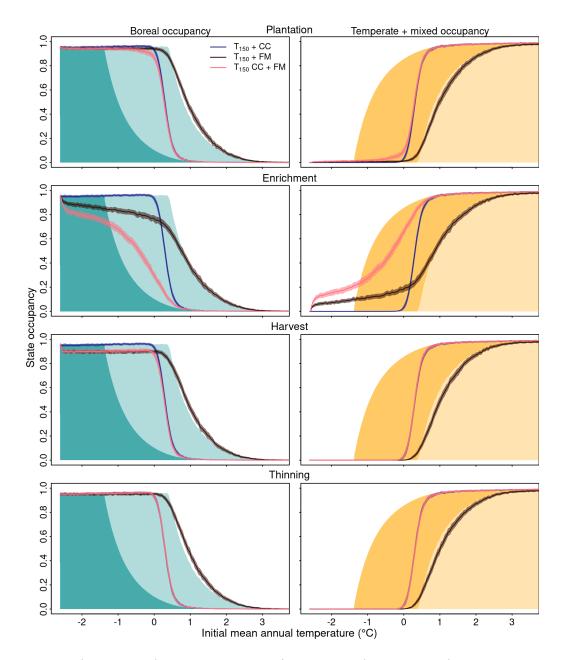


Figure 5: Boreal (left panels) and mixedwood/temperate (right panels) occupancy across the landscape grid covering the boreal-temperate ecotone. State occupancy is the proportion of that state for a given location of initial mean annual temperature in the landscape grid. Note that because we are more interested in the boreal/mixed range limit, we chose to simplify the figure by considering the mixed and temperate states together. Light and dark shaded areas are a reference of the state occupancy in the landscape at equilibrium before and after temperature increases, respectively. We ran our model for 150 years (T150) under three alternative scenarios: only climate change (CC), only forest management (FM), and climate change with forest management (CC + FM) to assess their interactions. The results are the mean and 99% confidence intervals of 15 replicates. Management intensity was set to 0.25% for plantation, thinning, and enrichment planting, and 1% for harvest. The climate change scenario was RCP 4.5.

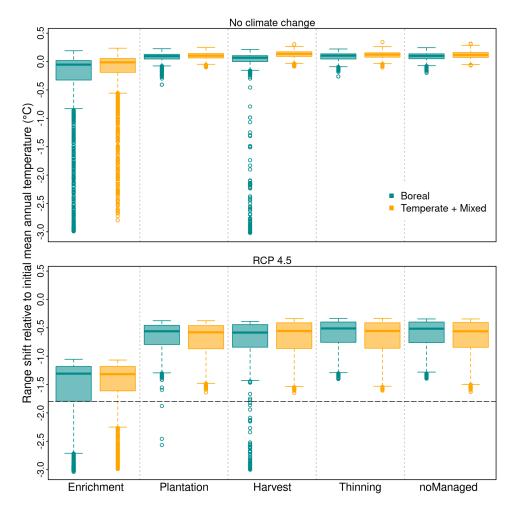


Figure 6: Summary of range shift relative to initial mean annual temperature for (a) no climate change and (b) climate change under RCP4.5 scenario. Range shift is the difference between the initial ( $T_0$  at equilibrium) and final state distribution after 150 years of simulation. Negative values of range shift indicate a change in forest distribution towards colder temperature whereas positive values indicate a change towards warmer temperature. The horizontal dashed line represents the median expected range shift when model reaches the equilibrium. Management intensity was set to 0.25% for plantation, thinning, and enrichment planting, and 1% for harvest.

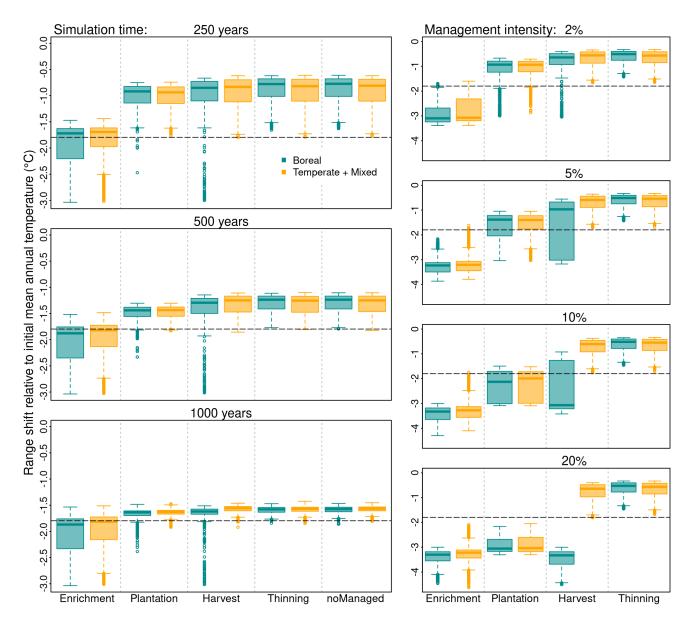


Figure 7: Summary of range shift relative to initial mean annual temperature for different simulation times (a-c) and management intensities (d-g). Range shift is the difference between the initial ( $T_0$  at equilibrium) and final state distribution after (i) 150 years of simulation for the panels d-g and (ii) 250, 500, and 1000 years for the panels a-c. Negative values of range shift indicate a change towards colder temperature whereas positive values indicate a change towards warmer temperature. The horizontal dashed lines represent the median expected range shift when model reaches the equilibrium for the particular simulation set. Management intensity was set to 0.25% for plantation, thinning, and enrichment planting, and 1% for harvest for the panels a-c. For the panels d-g, management intensity for all the four practices was set to 2, 5, 10, or 20%, respectively.

between forest management and the ecological processes setting range limits, and (ii) investigate the effect of forest management on the response of the boreal-temperate ecotone to climate change. Our 523 study suggests, based on two complementary simulation techniques, that forest management could help 524 the boreal-temperate ecotone keep pace with climate change. Paying colonization credit by enrichment 525 planting of temperate tree species in boreal forest stands, and the plantation of temperate species in 526 regenerating stands, are likely to increase forest resilience, reduce the time to reach a new equilibrium, 527 and increase range limit shifts towards colder temperatures. This theoretical investigation provides 528 new opportunities to design future experiments testing the potential of forest management to adapt to 529 climate change. It should guide forest managers to take into account both natural and anthropogenic 530 disturbances on forest dynamics. 531

#### How can plantation and enrichment planting reduce colonization credit?

Although climate change is expected to drive a shift in forest composition by favoring temperate over boreal trees, the boreal-temperate ecotone is lagging behind climate change (Boisvert-Marsh et al. 2014, 534 2019, Vissault et al. 2020, Talluto et al. 2017). Similar results are found on altitudinal gradients, where 535 the slow dieback of *Picea abies* prevents the expansion of other species (Scherrer et al. 2020). Our 536 results suggest that plantation and enrichment planting of temperate species on the boreal region can increase the response of the boreal-temperate ecotone to climate warming by reducing the transient 538 period and increasing the range shift towards colder temperatures. To date, few studies have tested how 539 assisted migration can shift trees' range limits. For instance, modelling the plantation of tree species 540 more suitable to future climate is predicted to increase resilience indicators such as carbon stocks and 541 tree species diversity (Hof et al. 2017), and therefore plantation is assumed to increase tree range shift 542 under climate change. Using the same rationale, simulating the plantation of tree species in future 543 suitable environments was demonstrated to increase both biomass productivity and species diversity in 544 multiple scenarios of climate change (Duveneck and Scheller 2015). We found that enrichment planting 545 slightly increased asymptotic resilience, which indicates a faster recovery to equilibrium after climate 546 change (Figure 3). This is similar to a modelling study that suggests forest management had limited ability to increase resistance and resilience under climate change (Duveneck and Scheller 2016). 548

#### Why is enrichment planting practice more efficient than planting?

Enrichment planting of temperate trees into boreal areas had a stronger effect on both reducing the 550 transient period and increasing range shift when compared with planting temperate in disturbed (empty) areas. This is due to three different mechanisms. First, the intensity of forest management in the model 552 is relative to the abundance of a particular forest type in the lanscape (Figure S8); hence 0.25\% of boreal stands being enriched is much higher than 0.25\% of regeneration stands being planted since 554 the number of boreal stands is proportionally larger than the number of regeneration stands. That 555 explains the need to increase planting intensity beyond 0.25% to increase the boreal range shift towards 556 colder temperatures (Figure S5). Second, management practices are not spatially organized. While 557 enrichment planting is necessarily applied on boreal stands (and thus in the colonization credit area), 558 planting is applied in regeneration stands that are evenly distributed across the landscape, including 559 the mixedwood and temperate regions. Finally, while enrichment planting implies both an increase of 560 temperate trees and a reduction of boreal stands, plantation involves only an increase of temperate 561 stands. These results suggest that enrichment planting in local gaps has the best potential compared 562 to plantation to assist forests keep pace with climate change. For northern temperate forests with 563 different levels of shade tolerance, tree recruitment was more effective in the presence of local canopy 564 gaps compared to recruitment in open areas after clearcut (LePage et al. 2000). 565

## Why does reducing colonization credit increase range shift but reducing extinction debt does not?

Reducing extinction debt by increasing the frequency of disturbance (natural or anthropogenic) is 568 expected to drive range shift by eliminating maladapted species that would persist for a long period, 569 and then create colonization opportunities for advancing species (Kuparinen et al. 2010, Renwick and 570 Rocca 2015). Here intensifying disturbance by increasing harvest of boreal stands did not affect the rate 571 of range shift after temperature increases. This result corroborates with those of Vanderwel and Purves 572 (2014) who found that harvesting boreal species amplifies transitions to early-successional forest type, 573 but has no effect on the range shift of boreal conifers. Similarly expect for the disturbance intensity, 574 Brice et al. (2020) also found that moderate disturbances increased the probability of transition from 575 mixedwood to temperate stands but had a small effect on the transition from boreal to mixedwood. 576 Such a lack of effect on range shift may be explained by the fact that most harvested boreal stands 577 regenerate to boreal again due to source-sink dynamics and the ecosystem internal memory such as seed

bank. In a field experiment, Reich et al. (2015) showed that the growth rate of juvenile trees increased in their colder range and decreased in their warmer range when exposed to above and belowground temperature increases. In other words, temperate trees will perform better than boreal trees in the transition between their ranges. Therefore, limited dispersal rather than competition may be the primary factor contributing for a lack of temperate colonization in harvested patches.

### Thinning increases temperate tree range expansion, but does not affect boreal stands

We explored the hypothesis that selective harvesting of boreal tree species (thinning) on stands in 585 state M would increase the proportion of stands in state T in the landscape, and therefore increase the regional pool to favor the colonization of temperate trees into the boreal region. Thinning indeed 587 increased the proportion of temperate stands in the mixedwood region by an increase in competitive exclusion ( $\theta_m$  and  $\theta_{T,m}$ ). Similar results have been shown that harvest increased temperate species 589 in the mixedwood region of Quebec (Boulanger et al. 2019, Brice et al. 2020). However, our model 590 also show that thinning did not have any effect on the range limit of boreal stands. In other words, 591 temperate trees did not colonize boreal stands, even with a increasing source pools. Such a lack of 592 temperate progression onto the boreal region may be explained by the difficulty of temperate trees to 593 settle in boreal stands due to priority effects and unfavourable substrates (Solarik et al. 2018, 2020). 594 This effect is included in the model indirectly through the invasion (mean  $\beta_T = 0.62$ ) and colonization 595 (mean  $\alpha_T = 0.99$ ) parameters associated with the temperate stand. This may be the result of plant-soil 596 feedbacks or the importance of gaps for temperate tree regeneration. For instance, regeneration of 597 temperate species such as red maple and red oak has been shown to be facilitated in forest gaps, while 598 most boreal species showed no difference (Leithead et al. 2010). 599

#### 600 Limitations and future perspectives

We have found that plantation and enrichment planting have the potential to reduce colonization credit
to help forests to keep pace with climate change. However, further experiments are necessary as the
four simulated practices in our study are an approximation of real management practices. For instance,
we simulated thinning as selective logging boreal species in favor of temperate species, while in practice,
thinning generally focuses on reducing stand density and maintaining commercial species. Such density
reduction is tricky to address with our model because local abundances are not accounted for. There is

generally a mismatch between our simulations at the community stand resolution with the management 607 practices that occur from the individual to the population level. Being aware of that caveat, we urge 608 future modelling studies to concomitantly represent forest dynamics at several organizational levels, 609 while including detailed management practices. Individual-level models accounting for demographic 610 rates are useful to predict how local mechanisms such as species interaction can scale up to determining 611 species range limits (Araújo and Rozenfeld 2014, Normand et al. 2014, Snell et al. 2014). Moreover, 612 forest-landscape models and dynamic vegetation models can more accurately simulate the migration 613 process (Lehsten et al. 2019). In our context, individual-level models can test the effect of forest management on growth, mortality, and regeneration, while a community-level model such as ours helps 615 better understand how the effect of management practices scales up. We should also cautiously interpret 616 the effect of climate change as simulated here. Although it is predicted that drought intensity will 617 increase in the future and may drive how the forest will respond to climate change (Greenwood et al. 618 2017), we have simulated only temperature warming, while precipitation remained constant. Some 619 studies have shown tree species to be more sensitive to an increase in drought rather than temperature 620 (e.q. white spruce Andalo et al. 2005). Drought is, however, more a pulse disturbance (or shock), having 621 potential cumulative effects on trees, and involving thresholds. Moreover, it should be investigated with 622various frequencies and intensities. The present study rather shows how forest management could help 623 communities adapt to a continuous change in the environment, mainly driven by changes in temperature. 624 We have provided evidence that management practices could help forest communities cope with the rate 625 at which climate change is occurring across the southern half of Quebec. However, we can expect the 626 final outcome to be sensitive to the spatial distribution of different practices. For instance, harvesting 627 boreal stands nearby the leading edge of the mixedwood distribution may create a synergy. On the 628 other hand, a 20% harvest intensity had a strong effect on the range shift of boreal forest, while the 629 temperate range did not move (Figure 7 g), showing that there are other factors more important than the 630 spatial distribution of the management practice. We have simulated here the effect of four management 631 practices alone in order to distinguish the most effective and identify the potential important mechanisms. 632 However, the interaction between management practices may have synergic or cancelling effects. Our 633 simulations show no effect of plantation and harvest on the range shift of the boreal-temperate ecotone 634 at a short time scale of 150 years (figure 5). However, planting temperate trees after harvesting boreal 635

stands may overcome the limitations of these two practices when applied individually, specially if these
practices are applied in particular locations such as in the transition zone. We propose future studies
should focus on integrating different spatial and organizational forest models (e.g. Talluto et al. 2016),
so that the link between a management practice and the ecological processes can be better adjusted and
detailed according to its specific scale.

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