

# ECG-Byte: A Tokenizer for End-to-End Generative Electrocardiogram Language Modeling

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## Abstract

Large Language Models (LLMs) have shown remarkable adaptability across domains beyond text, specifically electrocardiograms (ECGs). More specifically, there is a growing body of work exploring the task of generating text from a multi-channelled ECG and corresponding textual prompt. Current approaches typically involve pretraining an ECG-specific encoder with a self-supervised learning (SSL) objective and using the features output by the pretrained encoder to finetune a LLM for natural language generation (NLG). However, these methods are limited by 1) inefficiency from two-stage training and 2) interpretability challenges with encoder-generated features. To address these limitations, we introduce **ECG-Byte**, an adapted byte pair encoding (BPE) tokenizer pipeline for autoregressive language modeling of ECGs. This approach compresses and encodes ECG signals into tokens, enabling end-to-end LLM training by combining ECG and text tokens directly, while being much more interpretable since the ECG tokens can be directly mapped back to the original signal. Using **ECG-Byte**, we achieve competitive performance in NLG tasks in only *half* the time and  $\sim$ 48% of the data required by two-stage approaches.

**Data and Code Availability** This paper uses the ECG-Chat pretraining (Zhao et al., 2024) and ECG-QA datasets (Oh et al., 2023), which were both created from the MIMIC-IV ECG (Johnson

et al., 2023) and PTB-XL datasets (Wagner et al., 2020). More details about the datasets are provided in Section 3. The ECG-Chat pretraining, ECG-QA, MIMIC-IV ECG, and PTB-XL datasets are all freely available on <https://github.com/YubaoZhao/ECG-Chat>, <https://github.com/Jwoof5/ecg-qa>, and <https://physionet.org/> respectively. Lastly, we have released the code at the following link: <https://github.com/willxxy/ECG-Byte>.

**Institutional Review Board (IRB)** All datasets used in this study are directly taken from the publicly available, de-identified MIMIC-IV ECG (Johnson et al., 2023) and PTB-XL (Wagner et al., 2020) datasets, thus not requiring IRB approval.

## 1. Introduction

Cardiovascular diseases (CVDs) are the leading cause of global mortality, with 17.9 million lives taken each year and increasing (Organization, 2024). Due to their readily available, noninvasive and information dense nature, 12-lead ECGs are first-line diagnostic tools for screening/evaluation of potential CVDs. However, accurate ECG analysis is limited in places where ECG expertise is not accessible, exacerbated by the decline and lack of available cardiac electrophysiologists especially in rural areas (Johnson, 2024).

The aforementioned facts calls attention to the need for accessible, accurate, and efficient automation of ECG analysis through deep learning. Deep

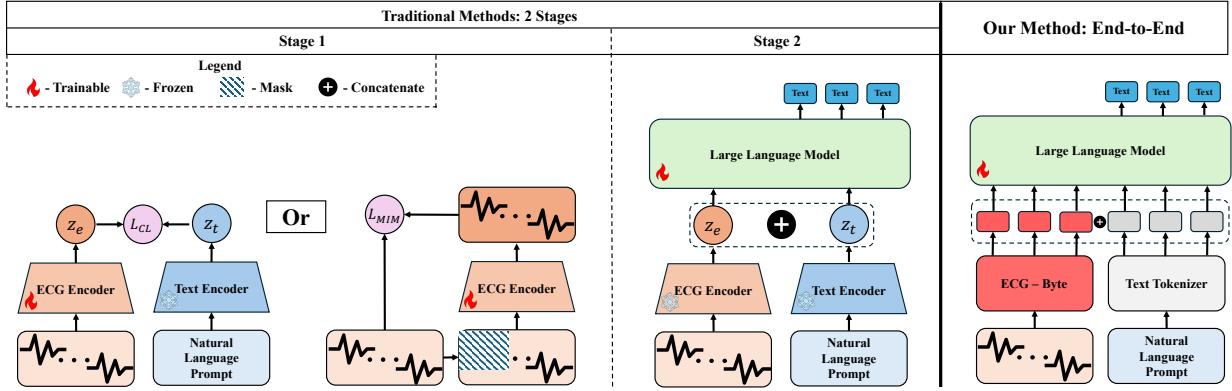


Figure 1: Comparison of traditional methods and our method on ECG language modeling. Traditional methods comprises 2 training stages. The first stage aims to learn a good representation of the 12-lead ECG by training an ECG-specific encoder with a self-supervised learning objective via a combinatorial or individual usage of contrastive learning ( $L_{CL}$ ) on the hidden states of the ECG  $z_e$  and text  $z_t$  or masked image modeling ( $L_{MIM}$ ). The second stage applies the trained encoder to an ECG, which is input alongside a text prompt to a LLM for generation. In contrast, our method is end-to-end and can directly train a LLM for generation utilizing **ECG-Byte**.

learning has reached expert level performance in certain tasks for CVD detection using ECGs (Rajpurkar et al., 2017; Hannun et al., 2019; Qiu et al., 2023b). However, most previous works in this domain have succumbed to a crude classification of hard CVD labels (Choi et al., 2023; Nonaka and Seita, 2020; Martin et al., 2021; Strothoff et al., 2021). A problem with this approach is that ECGs often do not exclusively fall into one diagnostic category, instead, there may be many soft labels annotated by expert physicians and the accumulation of these soft labels allow a more detailed, nuanced, and clinically useful interpretation of the ECG.

The recent onset of Large Language Models (LLMs) provides an opportunity to take a softer, generative, and consequently more flexible approach to ECG analysis. There are some recent works that have taken advantage of this approach to ECG analysis (Qiu et al., 2023a; Tang et al., 2024b; Wan et al., 2024; Fu et al., 2024; Zhao et al., 2024). A commonality among these works is that they treat multi-channel ECGs similarly to images; they first pre-train an encoder specifically for ECGs with some self-supervised learning (SSL) objective, then apply the learned features to finetune a LLM for natural language generation (NLG). However, we observed 2 limitations with this approach: 1) inefficiencies from two-stage training and 2) interpretability challenges

with encoder generated features. Pretraining a good ECG specific encoder can be a significant computational burden due to large datasets, model size, and long training times. Additionally, the latent feature vector output by the ECG encoder cannot be mapped back to the signal, making interpretability difficult when utilizing this feature vector for downstream tasks.

In this study, we introduce **ECG-Byte**, an adapted byte pair encoding (BPE) (Gage, 1994) tokenizer designed for end-to-end training for generative ECG language modeling. Inspired by prior works demonstrating the effectiveness of creating discrete tokens from continuous values (Chen et al., 2022; Han et al., 2024), we leverage quantization to represent amplitude ranges as discrete symbols. Using discrete symbols, we obtain string representations of the ECGs to train **ECG-Byte**. We then apply **ECG-Byte** to directly finetune a LLM for conditional autoregressive NLG, where the text output is conditioned on the text prompt and tokenized signal. We found that our end-to-end training approach is competitive in conditional NLG with only half the time and  $\sim 48\%$  of the data required by 2-stage pre-training approaches. Additionally, since the encoded ECG can be reverse transformed back to the original signal, we can interpret token-level attention-based visualizations, whereas it would be impossible to re-

verse the hidden latent feature vector outputs by a pretrained encoder.

Our contributions are summarized as the following:

1. We present **ECG-Byte**, an adapted BPE tokenizer for end-to-end training on autoregressive, conditional NLG.
2. We empirically show the efficiency of our method and present competitive performance compared to conventional 2-stage pretraining approaches, proposing a new paradigm for conditional NLG with ECGs.
3. We conduct an interpretability study on both **ECG-Byte** and the LLM by respectively observing how **ECG-Byte** is merging ECG signals and by utilizing attention visualizations to observe how the LLM is processing ECGs and text.

## 2. Related Works

### 2.1. Deep learning for ECGs

There has been a plethora of works utilizing deep learning for processing ECGs for classification (Rajpurkar et al., 2017; Hannun et al., 2019; Choi et al., 2023; Nonaka and Seita, 2020; Martin et al., 2021; Strodtboff et al., 2021). Most of these works utilize either convolutional neural networks (CNNs) (Rajpurkar et al., 2017) or transformers (Choi et al., 2023) and exhibit excellent performance at classification tasks. There have also been some efforts to frame classification as a retrieval task in order to recover cases similar to the given ECG.(Tang et al., 2024b; Qiu et al., 2023b). However, the retrieval approach may struggle with rare or unique ECG patterns that lack good matches in the existing database, potentially leading to missed or inaccurate diagnoses for unusual cases. Additionally, both classification and retrieval tasks may be crude formulations of processing ECGs, since ECGs typically exhibit many characteristics of overlapping CVDs.

### 2.2. Large Language Models for ECGs

Generative Large Language Models (LLMs) have given the opportunity to take a softer and more clinically similar approach in processing ECGs by generating physician-vetted clinical statements (Qiu et al., 2023a; Tang et al., 2024b; Wan et al., 2024; Fu et al., 2024; Zhao et al., 2024). The representation of ECG

data has been largely considered in previous works which feed the raw signal into an encoder to obtain a latent representation of the ECG data, which then serves as input into a LLM. (Zhao et al., 2024; Wan et al., 2024; Tang et al., 2024b; Choi et al., 2023). In order to get good latent representations of the ECGs, an encoder is first pretrained on a self-supervised learning (SSL) objective (e.g., contrastive learning, masked language/image modeling). Although model performance in terms of label classification has been excellent when using these approaches, we want to be able to generate soft labels akin to clinical notation since ECGs often have overlapping and non-mutually exclusive descriptors. There have been some efforts in this direction (Qiu et al., 2023a; Tang et al., 2024b; Wan et al., 2024; Fu et al., 2024; Zhao et al., 2024), however, they suffer in efficiency (i.e., requires 2 stages of training). In our work, we challenge this 2-stage pretraining approach by transforming the ECG into tokens using **ECG-Byte** and directly training a LLM for NLG.

### 2.3. Byte Pair Encoding for Domains Outside of Language

The Byte Pair Encoding (BPE) algorithm was first introduced by Gage (1994) for data compression. It was later adapted to the natural language processing (NLP) domain (Sennrich et al., 2016) and has been the favored approach to tokenization for the most popular language models (Grattafiori et al., 2024; Brown et al., 2020) due to its efficiency and robustness to rare words. Byte pair encoding has seen success in representing modalities outside of language, including molecular graphs (Shen and Póczos, 2024), electroencephalogram (EEG) (Klymenko et al., 2023), and more generally, physiological signals.(Tavabi and Lerman, 2021). However, in these cases the byte pair encoded representations are simply used for classification. Most recently, Tahery et al. (2024) leveraged quantization and BPE to compress ECG signals and pass as inputs to a BERT (Devlin et al., 2019) model for SSL. However, in their work, they only use this representation for classification. As previously mentioned, we believe classification alone may limit aspects of ECG interpretation, thus we utilize these representations for generative diagnosis. Additionally, previous works utilized a pre-existing BPE tokenizer based on SentencePiece (Kudo and Richardson, 2018), and do not conduct

further analysis of *how* the BPE algorithm is merging the ECGs.

### 3. Methods

This section provides detailed information on the datasets, preprocessing, ECG signal encoding with **ECG-Byte**, and LLM training for NLG.

#### 3.1. Dataset and Preprocessing

**Dataset** In this study, we use variants of the MIMIC-IV ECG (Gow et al., 2023) and PTB-XL datasets (Wagner et al., 2020) for NLG. We use MIMIC-IV ECG pretraining curated by Zhao et al. (2024) that contains question prompts generated by GPT-4o alongside the ECG and clinical notes. Additionally, we use the ECG-QA dataset (Oh et al., 2023), a dataset that uses the ChatGPT API to generate naturalistic, clinically relevant question and answer pairs about the ECG signals from the MIMIC-IV ECG and PTB-XL datasets. The baselines we compare our results with all utilize the *single-verify*, *single-choose*, and *single-query* categorized questions from the ECG-QA dataset. *single-verify* corresponds to yes or no questions, *single-choose* corresponds to where a selected answer is made from two given options, and *single-query* consists of open-ended questions. The ECG signals collected from both datasets (i.e., MIMIC-IV ECG and PTB-XL) are sampled at 500 Hz for 10 seconds, resulting in a 5000 length, 12 lead ECG.

**Preprocessing** We preprocess all datasets used in the study in the same manner to maintain consistency. We first convert the ordering of the leads for the MIMIC-IV ECG dataset (i.e., [‘I’, ‘II’, ‘III’, ‘aVR’, ‘aVF’, ‘aVL’, ‘V1’, ‘V2’, ‘V3’, ‘V4’, ‘V5’, ‘V6’]) to the PTB-XL dataset format (i.e., [‘I’, ‘II’, ‘III’, ‘aVL’, ‘aVR’, ‘aVF’, ‘V1’, ‘V2’, ‘V3’, ‘V4’, ‘V5’, ‘V6’]). We then use a notch filter at 50 Hz and 60 Hz to remove powerline interference. Each frequency is targeted with a quality factor of 30 to minimize distortion, and filtering is applied bidirectionally to prevent phase shifts. Next, a fourth-order Butterworth bandpass filter with a range of 0.5–100 Hz isolates relevant ECG components while attenuating high-frequency noise and low-frequency drift. To address baseline wander caused by respiratory or movement artifacts, we bidirectionally apply a fourth-order Butterworth highpass filter with a cutoff of 0.05 Hz. After filtering, we apply wavelet denoising to further reduce

noise. Using the Daubechies-6 (db6) wavelet at level 4, we decompose each ECG signal into wavelet coefficients. A soft threshold, based on the median absolute deviation of the detail coefficients, is applied to each coefficient level to suppress noise, ensuring values near zero are excluded from reconstruction. Since 250 Hz is a generally accepted sampling frequency adequate for heartbeat analysis (Kwon et al., 2018), we downsample the 500 Hz sampling frequency to 250. We then segment the 10 second signal to non-overlapping windows of 2 seconds, and use each 12 lead 2 second segment of the ECG signal as input to the model. However, for training the tokenizer, we did not want to introduce this discontinuity across the full 10 seconds. Thus, we utilize the unsegmented, 10 second ECG signal for training **ECG-Byte**. Lastly, during the unsegmented preprocessing pipeline, we record the global 1st and 99th percentiles out of 300,000 samples to utilize in our later steps of training **ECG-Byte** for normalization.

#### 3.2. ECG as Bytes

**Sampling** Following established practices in NLP (Dagan et al., 2024), we train **ECG-Byte** on a representative subset of the total dataset, selected using stratified sampling based on morphological clustering. To extract features from each unsegmented ECG, we compute statistical measures, frequency and time domain features, morphological characteristics, and wavelet coefficients. Principal Component Analysis (PCA) (Wold et al., 1987) is applied for dimensionality reduction, retaining 95% of the variance, followed by feature scaling. The optimal number of clusters is determined using the Elbow Method and Silhouette Analysis (Rousseeuw, 1987), with the smaller result chosen. K-means clustering (MacQueen, 1967) is then applied to the scaled PCA-transformed features. If K-means fails to yield distinct clusters, DBSCAN (Ester et al., 1996) is used as a fallback. Stratified sampling is performed by randomly selecting ECGs from each cluster in proportion to its size, resulting in a total sample of 200,000 ECGs.

**Quantization** To ensure consistency across ECG signals, we normalize each input by scaling it to a fixed range and encoding it into a symbolic representation. Let  $X \in \mathbb{R}^{C \times T}$  denote an ECG signal matrix, where  $C$  is the number of ECG leads and  $T$  represents the number of sampled time points per lead. In this study,  $C = 12$  and  $T = 500$  unless specified otherwise. Let  $p_1$  and  $p_{99}$  represent the 1st and 99th

percentiles of  $X$  across all leads and time points sampled earlier during preprocessing, respectively. The normalization process is defined as follows:

$$X_{\text{norm}} = \frac{X - (p_1 - \epsilon_1)}{(p_{99} + \epsilon_1) - (p_1 - \epsilon_1) + \epsilon_2} \quad (1)$$

where  $\epsilon_1 = 0.5$  is a constant to make up for the sampled percentiles and  $\epsilon_2 = 10^{-6}$  is a small constant added to prevent division by zero. This transformation shifts and scales  $X$  so that the normalized values fall within the range  $[0, 1]$ . We then apply clipping to ensure that values remain strictly within this range:

$$X_{\text{clipped}} = \text{clip}(X_{\text{norm}}, 0, 1) \quad (2)$$

Inspired by previous works (Klymenko et al., 2023; Tavabi and Lerman, 2021; Chen et al., 2022; Han et al., 2024), we quantize  $X_{\text{clipped}}$  into discrete levels for symbolic representation. Let  $\mathcal{A}$  be the set of 26 symbols, corresponding to the lowercased letters in the English alphabet,  $\mathcal{A} = \{a, b, \dots, z\}$ . The alphabet size  $|\mathcal{A}| = 26$  defines the number of discrete levels. We scale and floor  $X_{\text{clipped}}$  to integer values, then take the minimum between the floored value and the maximum number of bins as the following:

$$X_{\text{quant}} = \min(\lfloor X_{\text{clipped}} \times |\mathcal{A}| \rfloor, (|\mathcal{A}| - 1)) \quad (3)$$

Finally, each integer value in  $X_{\text{quant}}$  is mapped to a corresponding symbol in  $\mathcal{A}$  to yield the symbolic signal, which serves as a discrete representation of the ECG. After transforming each ECG signal instance into its symbolic form, we first flatten each symbolic ECG instance  $X_{\text{quant}}^{(i)}$  into a 1-dimensional sequence of symbols:

$$X_{\text{symb}}^{(i)} = \text{flatten}(X_{\text{quant}}^{(i)}), \quad X_{\text{symb}}^{(i)} \in \mathcal{A}^{CT} \quad (4)$$

where  $i$  indexes over all instances in the dataset, and  $X_{\text{symb}}^{(i)}$  is the flattened sequence of symbols of length  $C \cdot T$ . Next, we concatenate all flattened instances  $X_{\text{symb}}^{(1)}, X_{\text{symb}}^{(2)}, \dots, X_{\text{symb}}^{(N)}$  across the entire dataset to form a single, long symbolic sequence:

$$\mathbf{X}_{\text{concat}} = X_{\text{symb}}^{(1)} \| X_{\text{symb}}^{(2)} \| \dots \| X_{\text{symb}}^{(N)}, \quad \mathbf{X}_{\text{concat}} \in \mathcal{A}^{NCT} \quad (5)$$

where  $\|$  denotes the concatenation operation, and  $N$  is the total number of instances in the dataset. The concatenated symbolic sequence  $\mathbf{X}_{\text{concat}}$  of length  $N \cdot C \cdot T$  is then used to train **ECG-Byte**.

**ECG-Byte Training Process** After obtaining the string representation  $\mathbf{X}_{\text{concat}}$  of the ECG dataset, we train **ECG-Byte** to compress the discretized ECG signals by iteratively merging the most frequent byte pairs into single tokens, following the BPE algorithm. The process starts by converting  $\mathbf{X}_{\text{concat}}$  into an ID vector of 8-bit unsigned integers and initializing a vocabulary map (`vocab`) for string representations of bytes and a `vocab_tokens` map to encode bytes as singleton lists. IDs and `vocab` are initialized to cover the full byte range (0–255), mapping symbols in  $\mathcal{A}$  to ASCII values (97–122), while reserving other byte values for unknown bytes. As merging proceeds, new tokens are assigned unique integer IDs starting from 256, acting as abstract labels for progressively larger token units. For each merge iteration, **ECG-Byte** calculates adjacent byte pair frequencies using a parallelized `get_stats` function, efficiently aggregating counts via a fold-and-reduce strategy. The most frequent pair is identified as the "best pair" to merge, and the `merge` function replaces occurrences of this pair in the ID vector with a new token ID, extending the vocabulary and updating `vocab_tokens` accordingly. This process repeats until the specified number of merges is reached or no pairs remain. The output includes the encoded ID vector, the extended vocabulary map, and a history of merge operations. Existing tokenizers, such as SentencePiece (Kudo and Richardson, 2018) or HuggingFace (Wolf et al., 2020), were not used due to their complexity and integration issues, which hindered interpretability. **ECG-Byte**, implemented in Rust for speed, provides a lightweight, flexible framework for representing ECG signals as discrete tokens while drawing inspiration from HuggingFace's tokenizer (Wolf et al., 2020). Detailed pseudocode for the main training pipeline is provided in Algorithm 1, with `merge` and `get_stats` functions detailed in Appendix A.1.

**ECG-Byte Encoding Process** After training **ECG-Byte**, we encode any quantized ECG signal  $X_{\text{symb}}$  by first converting each byte in the ECG signal to a 32-bit unsigned integer and building a trie structure, where each node represents a byte or a merged token sequence from prior encoding steps. The trie is initialized with single-byte tokens (0–255) and is extended with custom token sequences from the learned merge history. For each byte sequence in the input, the encoding function traverses the trie to find the longest match, replacing matched sequences with their assigned token IDs. If no match is found,

the byte is added to the output as-is. The final encoded sequence is returned as `output_ids`, where we will denote as  $X_{ID}$ . We provide the detailed pseudocode of the encoding process in Algorithm 2.

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**Algorithm 1:** Training Process for ECG-Byte

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**Input:** Input  $X_{concat}$ , Number of merges `num_merges`

**Output:** Tuple containing final encoded IDs, vocabulary map, and merge history

**Function** `byte_pair_encoding( $X_{concat}$ , num_merges)`:

- | Convert  $X_{concat}$  to ID vector `ids` by casting each byte to `u32`;
- | Initialize `vocab` with mappings from IDs 0 to 255 to their string representations;
- | Initialize `vocab_tokens` with mappings from IDs 0 to 255 to singleton lists;
- | Initialize empty list `merges`;
- | **for**  $i \leftarrow 0$  to `num_merges exclusive` **do**
- | | `pairs`  $\leftarrow$  `get_stats(ids)` using parallel processing;
- | | **if** `pairs` is empty **then**
- | | | **break**
- | | **end**
- | | `best_pair`  $\leftarrow$  Pair in `pairs` with highest frequency;
- | | **if** `best_pair` is not found **then**
- | | | **break**
- | | **end**
- | | `new_id`  $\leftarrow 256 + i$ ;
- | | `ids`  $\leftarrow$  `merge(ids, best_pair, new_id)`;
- | | `vocab[new_id]`  $\leftarrow$  concat(`vocab[best_pair.0]`, `vocab[best_pair.1]`);
- | | `new_token`  $\leftarrow$  concat(`vocab_tokens[best_pair.0]`, `vocab_tokens[best_pair.1]`);
- | | `vocab_tokens[new_id]`  $\leftarrow$  `new_token`;
- | | `merges.append(new_token, new_id)`;
- | **end**
- | **return** (`ids, vocab, merges`);

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### 3.3. Large Language Model

In this study, we utilize the Llama-3.2-1B (Grattafiori et al., 2024) checkpoint through the HuggingFace API (Wolf et al., 2020) unless specified otherwise. The Llama 3.2 series model is a variant of the Llama 3 models (Grattafiori et al., 2024) and support context

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**Algorithm 2:** Encoding Process for ECG-Byte

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**Input:** Input  $X_{symb}$ , Merge history `merges` containing pairs of token sequences and their token IDs

**Output:** Vector of encoded IDs

**Function** `encode( $X_{symb}$ , merges)`:

- | `ids`  $\leftarrow$  Convert  $X_{symb}$  to vector of `u32` by casting each byte;
- | Initialize root `TrieNode trie_root` using `TrieNode::new()`;
- | **for**  $b \leftarrow 0$  to 255 **do**
- | | `insert(trie_root, [b], b)`;
- | **end**
- | **foreach** (`token_sequence, token_id`) in `merges` **do**
- | | `insert(trie_root, token_sequence, token_id)`;
- | **end**
- | Initialize empty list `output_ids`;
- |  $i \leftarrow 0$ ;
- | **while**  $i < length of ids$  **do**
- | | `node`  $\leftarrow$  `trie_root`;
- | | `match_len`  $\leftarrow 0$ ;
- | | `match_id`  $\leftarrow$  `None`;
- | | **for**  $j \leftarrow i$  to `length of ids` **do**
- | | | `id`  $\leftarrow$  `ids[j]`;
- | | | **if** `id` exists in `node.children` **then**
- | | | | `node`  $\leftarrow$  `node.children[id]`;
- | | | | **if** `node.token_id` is not `None` **then**
- | | | | | `match_len`  $\leftarrow j - i + 1$ ;
- | | | | | `match_id`  $\leftarrow$  `node.token_id`;
- | | | | **end**
- | | | **end**
- | | | **else**
- | | | | **break**
- | | | **end**
- | | **end**
- | | **if** `match_id` is not `None` **then**
- | | | `output_ids.append(match_id)`;
- | | |  $i \leftarrow i + match\_len$ ;
- | | **end**
- | | **else**
- | | | `output_ids.append(ids[i])`;
- | | |  $i \leftarrow i + 1$ ;
- | | **end**
- | **end**
- | **return** `output_ids`;

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lengths of up to 128k tokens. They are notable for their superior performance despite having 1 billion parameters, making them highly efficient and capable models to test our methodology upon. We also provide an ablation study in subsection 5.4 where we utilize other popular LLMs, such as GPT2 XL 1.5B (Radford et al., 2019), Gemma 2B (Team et al., 2024), and OPT 1.3B (Zhang et al., 2022).

### 3.4. Learning Objective

The learning objective for training the LLM considers a sequence composed of three parts,  $\{X_{\text{ID}}, Q, \mathcal{S}\}$ , where  $X_{\text{ID}} \in \mathcal{V}^M$  represents the encoded ECG sequence of length  $l_{X_{\text{ID}}} = |X_{\text{ID}}|$ , with each token drawn from the extended vocabulary  $\mathcal{V}$  of size  $M$ ,  $Q$  represents the tokenized question, and  $\mathcal{S}$  denotes the tokenized answer sequence. The input sequence includes special tokens: - [BOS] as the beginning-of-sequence token, - [SIG\_START] and [SIG\_END] to indicate the start and end of the encoded ECG sequence, and - [EOS] as the end-of-sequence token for the generated answer. The motivation for adding [SIG\_START] and [SIG\_END] special tokens is inspired by Liu et al. (2023), where they utilize special tokens indicating the start and end of the image. Thus, the full input sequence is structured as:

$$[\text{BOS}] \parallel [\text{SIG\_START}] \parallel X_{\text{ID}} \parallel [\text{SIG\_END}] \parallel Q \parallel \mathcal{S} \parallel [\text{EOS}],$$

where  $\parallel$  denotes concatenation. Let  $l_Q = |Q|$ ,  $l_{\mathcal{S}} = |\mathcal{S}|$ , and  $L$  be the total sequence length, given by:

$$L = 1 + 1 + l_{X_{\text{ID}}} + 1 + l_Q + l_{\mathcal{S}} + 1,$$

accounting for the [BOS], [SIG\_START], [SIG\_END], and [EOS] tokens. The autoregressive objective maximizes the likelihood of each token in  $\mathcal{S} \parallel [\text{EOS}]$  conditioned on the preceding context  $\text{Context} = \{[\text{BOS}], [\text{SIG\_START}], X_{\text{ID}}, [\text{SIG\_END}], Q\}$  and the previous tokens in  $\mathcal{S}$ . The objective is formulated as follows:

$$\mathcal{L}_{\text{NLL}} = - \sum_{l'=l_{X_{\text{ID}}}+l_Q+4}^L \log P(s_{l'} \mid \text{Context}, s_{<l'}; \theta), \quad (6)$$

where  $s_{l'} = \mathcal{S}_{l'-(l_{X_{\text{ID}}}+l_Q+4)}$  is the  $(l' - (l_{X_{\text{ID}}} + l_Q + 4))$ -th token in  $\mathcal{S} \parallel [\text{EOS}]$ , and  $s_{<l'} = \{s_1, s_2, \dots, s_{l'-(l_{X_{\text{ID}}}+l_Q+4)-1}\}$  denotes all tokens in  $\mathcal{S} \parallel [\text{EOS}]$  preceding  $s_{l'}$ .

## 4. Experiments

### 4.1. Experimental Settings

We fine-tuned the LLM using the AdamW optimizer (Kingma and Ba, 2017) with a learning rate of  $1e-4$ , weight decay of  $1e-2$ , and a custom learning rate scheduler. This scheduler applies an initial learning rate  $\text{init\_lr}$  scaled by the model’s hidden dimension ( $d_{\text{model}}^{-0.5}$ ) and dynamically adjusts it based on training steps, with a warm-up phase of 500 steps. The learning rate at step  $n_{\text{steps}}$  is updated as  $\text{lr} = \text{init\_lr} \times \min(n_{\text{steps}}^{-0.5}, n_{\text{warmup}}^{-1.5} \times n_{\text{steps}})$ . We set  $\beta_1 = 0.9$ ,  $\beta_2 = 0.99$ ,  $\epsilon = 1e-8$ , batch size 2, and trained for 1 epoch. Additionally, we only train on a randomly sampled subset of 400,000 ECG instances for each respective dataset due to computational resources, unless specified otherwise. We also utilize LoRA (Hu et al., 2021) to finetune the LLM with rank = 16,  $\alpha_{\text{LoRA}} = 32$ , and dropout = 0.05. We conduct our experiments on 4 NVIDIA RTX A6000 48 GB GPUS.

During inference, we evaluate our model with number of merges  $\text{num\_merges} = 3500$ , sequence length  $L = 1024$ , and ECG length  $T = 500$  unless specified otherwise. We use popular metrics for NLG namely the BLEU-4 (Papineni et al., 2002), Rouge-L (Lin, 2004), Meteor (Banerjee and Lavie, 2005), and BertScore F1 (Zhang et al., 2020) metrics.

## 5. Results

### 5.1. Natural Language Generation

We present our main results in Table 1, comparing **ECG-Byte** with prior works and self-implemented two-stage pretraining methods. Notably, Zhao et al. (2024) is not directly comparable due to differing data splits and pretraining datasets, though reported metrics use the same datasets. Zhao et al. (2024) train on the *full* MIMIC-IV ECG Pretrain dataset, finetune on an instruction-tuning dataset for ECG-related conversations, and evaluate on PTB-XL (Wagner et al., 2020) using a unified question: “Could you please help me explain my ECG?” To establish baselines, we implement generic two-stage pretraining methods:  $L_{\text{CL}}$ ,  $L_{\text{MIM}}$ , and  $L_{\text{CL}} + L_{\text{MIM}}$ . Here,  $L_{\text{CL}}$  employs contrastive learning (Liu et al., 2024; Gopal et al., 2021; Pham et al., 2024; Kiyasseh et al., 2021),  $L_{\text{MIM}}$  uses Masked Image Modeling (MIM) (Choi et al., 2023; Na et al., 2024; Yang et al., 2022), and  $L_{\text{CL}} + L_{\text{MIM}}$  combines both (Oh et al., 2022;

Table 1: NLG mean results with standard deviations over 5 random seeds comparing against different baselines.

Method	Trained Dataset	Inferenced Dataset	BLEU-4	Rouge-L	Meteor	BertScore F1
ECG-Chat (Zhao et al., 2024)			<b>11.19</b>	29.93	<b>35.10</b>	-
$L_{CL}$			8.10 $\pm$ 0.25	31.36 $\pm$ 0.31	27.55 $\pm$ 0.36	89.35 $\pm$ 0.04
$L_{MIM}$			6.21 $\pm$ 0.22	30.63 $\pm$ 0.13	24.91 $\pm$ 0.14	<b>90.44</b> $\pm$ 0.04
$L_{MERL}$ (Liu et al., 2024)	MIMIC-IV ECG Pretrain	PTB-XL	10.22 $\pm$ 0.25	32.95 $\pm$ 0.12	25.60 $\pm$ 0.17	89.94 $\pm$ 0.01
$L_{CL} + L_{MIM}$			9.33 $\pm$ 0.22	30.45 $\pm$ 0.21	24.37 $\pm$ 0.36	90.29 $\pm$ 0.02
<b>ECG-Byte</b>			11.00 $\pm$ 0.19	<b>33.41</b> $\pm$ 0.05	24.95 $\pm$ 0.09	90.02 $\pm$ 0.01
$L_{CL}$			10.22 $\pm$ 0.06	38.41 $\pm$ 0.48	24.66 $\pm$ 0.23	90.42 $\pm$ 0.09
$L_{MIM}$			7.90 $\pm$ 0.23	29.28 $\pm$ 0.38	19.03 $\pm$ 0.11	67.91 $\pm$ 0.17
$L_{MERL}$ (Liu et al., 2024)	ECG-QA MIMIC-IV	ECG-QA MIMIC-IV	10.95 $\pm$ 0.24	38.18 $\pm$ 0.58	26.24 $\pm$ 0.36	90.80 $\pm$ 0.06
$L_{CL} + L_{MIM}$			8.57 $\pm$ 0.14	34.00 $\pm$ 0.25	25.22 $\pm$ 0.30	87.72 $\pm$ 0.04
<b>ECG-Byte</b>			<b>11.23</b> $\pm$ 0.12	<b>42.49</b> $\pm$ 0.53	<b>27.08</b> $\pm$ 0.15	<b>91.30</b> $\pm$ 0.04
$L_{CL}$			8.89 $\pm$ 0.25	28.63 $\pm$ 0.47	18.45 $\pm$ 0.31	72.63 $\pm$ 0.40
$L_{MIM}$			<b>15.14</b> $\pm$ 0.28	46.71 $\pm$ 0.41	<b>29.64</b> $\pm$ 0.30	92.12 $\pm$ 0.10
$L_{MERL}$ (Liu et al., 2024)	ECG-QA PTB-XL	ECG-QA PTB-XL	13.84 $\pm$ 0.19	40.14 $\pm$ 0.39	26.24 $\pm$ 0.35	91.88 $\pm$ 0.09
$L_{CL} + L_{MIM}$			14.72 $\pm$ 0.27	42.88 $\pm$ 0.13	28.25 $\pm$ 0.27	89.40 $\pm$ 0.01
<b>ECG-Byte</b>			13.93 $\pm$ 0.21	<b>47.08</b> $\pm$ 0.56	29.17 $\pm$ 0.31	<b>92.53</b> $\pm$ 0.07

McKeen et al., 2024). These approaches utilize pre-trained CLIP (Radford et al., 2021) and ViT (Dosovitskiy et al., 2021), where ECG signals are transformed into three-channel images for finetuning. We adapt Liu et al. (2024)'s state-of-the-art contrastive method ( $L_{MERL}$ ) for fair comparison. Their most effective model uses a 1D ResNet backbone (He et al., 2015); hence, we employ ResNet101 for direct ECG signal processing. For  $L_{CL}$ ,  $L_{MIM}$ ,  $L_{CL} + L_{MIM}$ , and  $L_{MERL}$ , training is conducted on the *full*, pre-processed MIMIC-IV ECG dataset with a batch size of 64 during the first stage. Implementation details for both training stages are in Appendix B. Table 1 demonstrates **ECG-Byte**'s effectiveness, showing competitive or superior performance across all metrics and datasets compared to other methods. Qualitative examples are provided in Appendix C.2.

## 5.2. Cross Dataset Transferability

We present the results of cross-dataset transferability in Table 2, comparing our approach, **ECG-Byte**, with two-stage pretraining methods. **ECG-Byte** achieves the best zero-shot transfer performance from the ECG-QA PTB-XL dataset to the ECG-QA MIMIC-IV dataset. When transferring from the ECG-QA MIMIC-IV dataset to the ECG-QA PTB-XL dataset, although other 2-stage pre-training methods demonstrate higher performance, **ECG-Byte** maintains competitive results across all metrics.

## 5.3. Efficiency of ECG-Byte

We compare the efficiency of our end-to-end approach using **ECG-Byte** with 2-stage pretraining methods in Table 3. First, we examine the amount of data required for each method. As previously noted, the first stage of the two-stage pretraining methods is trained on the full MIMIC-IV ECG dataset (Johnson et al., 2023) using segmented ECGs, which are also used as input during the second stage. While **ECG-Byte** is trained on unsegmented ECGs, we convert the number of unsegmented ECGs to an equivalent count of segmented ECGs. Additionally, the reduced data requirement for **ECG-Byte** is due to our sampling approach, where only a subset of ECGs is used to train the tokenizer. Under these settings, our proposed method achieves competitive results using approximately  $\sim$ 48% of the data required for 2-stage pretraining methods. In terms of training time, our approach requires less than *half* the time needed for two-stage pretraining. The training time for the two-stage methods is averaged across our self-implemented approaches ( $L_{CL}$ ,  $L_{MIM}$ , and  $L_{CL} + L_{MIM}$ ) and the  $L_{MERL}$  method proposed by Liu et al. (2024).

## 5.4. Ablation Study

We conduct several ablation studies to show the variability of performance with **ECG-Byte** when we alter the LLM used for finetuning, use different sequence lengths  $L$  when inputting to the LLM, training **ECG-Byte** with various number of merges

Table 2: Mean results with standard deviations over 5 random seeds on zero shot cross-dataset transferability.

Method	Trained Dataset	Inferenced Dataset	BLEU-4	Rouge-L	Meteor	BertScore F1
$L_{CL}$			$11.64 \pm 0.45$	$41.48 \pm 0.11$	$25.74 \pm 0.13$	$91.24 \pm 0.05$
$L_{MIM}$			$\mathbf{11.70} \pm 0.29$	$\mathbf{42.22} \pm 0.28$	$\mathbf{26.41} \pm 0.10$	$91.51 \pm 0.03$
$L_{MERL}$ (Liu et al., 2024)	ECG-QA MIMIC-IV	ECG-QA PTB-XL	$11.53 \pm 0.19$	$39.23 \pm 0.40$	$25.58 \pm 0.28$	$\mathbf{91.59} \pm 0.03$
$L_{CL} + L_{MIM}$			$9.71 \pm 0.10$	$35.10 \pm 0.28$	$24.91 \pm 0.19$	$87.88 \pm 0.08$
<b>ECG-Byte</b>			$8.70 \pm 0.04$	$40.39 \pm 0.40$	$23.29 \pm 0.18$	$91.51 \pm 0.03$
$L_{CL}$			$5.10 \pm 0.04$	$22.77 \pm 0.28$	$14.63 \pm 0.32$	$77.89 \pm 0.13$
$L_{MIM}$			$7.68 \pm 0.46$	$\mathbf{35.77} \pm 0.13$	$\mathbf{22.32} \pm 0.33$	$90.28 \pm 0.07$
$L_{MERL}$ (Liu et al., 2024)	ECG-QA PTB-XL	ECG-QA MIMIC-IV	$7.39 \pm 0.15$	$28.33 \pm 0.58$	$18.59 \pm 0.35$	$89.30 \pm 0.05$
$L_{CL} + L_{MIM}$			$7.49 \pm 0.21$	$30.53 \pm 0.59$	$20.25 \pm 0.27$	$86.53 \pm 0.11$
<b>ECG-Byte</b>			$\mathbf{7.86} \pm 0.13$	$35.01 \pm 0.41$	$21.49 \pm 0.24$	$\mathbf{90.29} \pm 0.07$

Table 3: Efficiency of our method compared against 2-stage pretraining methods.

	1st Stage	2nd Stage	ECG-Byte	end-to-end
# of Data	2,513,435	400,000	1,000,000	400,000
Total # of Data		2,913,435		<b>1,400,000</b>
Time (minutes)	~1258.50	~469.25	~385.12	~420.32
Total Time (minutes)		~1727.75		<b>~805.44</b>

`num_merges`, and varying ECG lengths  $T$ . With the exception of the ablating parameter, we fix all other parameters to `num_merges` = 3500,  $L$  = 1024, and  $T$  = 500. We report results on the test set of the PTB-XL variant of ECG-QA (Oh et al., 2023) unless specified otherwise.

Table 4: Ablation study on using different LLMs.

LLM	BLEU-4	Rouge-L	Meteor	BertScore F1
GPT2 XL 1.5B (Radford et al., 2019)	$12.30 \pm 0.19$	$41.33 \pm 0.57$	$26.48 \pm 0.33$	$92.00 \pm 0.06$
Gemma 2B (Team et al., 2024)	$13.78 \pm 0.18$	$45.48 \pm 0.55$	$28.32 \pm 0.23$	$92.01 \pm 0.02$
OPT 1.3B (Zhang et al., 2022)	$12.26 \pm 0.20$	$41.84 \pm 0.52$	$26.21 \pm 0.29$	$91.78 \pm 0.04$
Llama 3.2 1B (Grattafiori et al., 2024)	$13.93 \pm 0.21$	$\mathbf{47.08} \pm 0.56$	$29.17 \pm 0.31$	$\mathbf{92.53} \pm 0.07$

**Different LLMs** We show the variability in performance of **ECG-Byte** when using different LLMs with similar numbers of parameters in Table 4. While Llama 3.2 1B (Grattafiori et al., 2024) achieves the best results, GPT2 XL 1.5B (Radford et al., 2019), Gemma 2B (Team et al., 2024), and OPT 1.3B (Zhang et al., 2022) also deliver comparable performances. These findings demonstrate that our method is not limited to Llama 3.2 1B but can achieve similar results across a variety of LLMs.

**Sequence Length** Input lengths for LLMs are an important parameter to consider for efficient training

since the calculation of attention is quadratic with respect to the input length (Vaswani et al., 2023). We present results on different sequence lengths  $L$  in Table 5. Although the difference is not substantial, we can see that when  $L$  = 1024 and  $L$  = 2048 the model yields higher performance than  $L$  = 512. We attribute this to the rate of truncation and padding for the encoded ECG. Observing Figure 2, most ECGs were being encoded to token sequence lengths of around 500 to 1500. Therefore, we hypothesize that when  $L$  = 512 a large portion of the ECG token sequence gets truncated, resulting in lower performance.

Table 5: Ablation study on varying sequence lengths  $L$ .

$L$	BLEU-4	Rouge-L	Meteor	BertScore F1
512	$13.61 \pm 0.15$	$\mathbf{48.15} \pm 0.57$	$29.10 \pm 0.28$	$92.41 \pm 0.05$
1024	$\mathbf{13.93} \pm 0.21$	$47.08 \pm 0.56$	$\mathbf{29.17} \pm 0.31$	$\mathbf{92.53} \pm 0.07$
2048	$13.88 \pm 0.22$	$45.21 \pm 0.48$	$28.31 \pm 0.27$	$90.88 \pm 0.02$

**Number of Merges** The number of merges `num_merges` performed during training **ECG-Byte** corresponds to how much the algorithm compresses the concatenated sequence of quantized ECGs  $\mathbf{X}_{\text{concat}}$ . More `num_merges` means more compression, which can affect the expressiveness of the encoded sequence. In Table 6, we show the performance of our method with different `num_merges`. The results indicate that while performance varies slightly with the number of merges, values of `num_merges` greater than 500 generally yield similar outcomes.

**ECG length** Lastly, we show the effect of the length  $T$  being considered when encoding the ECG

Table 6: Ablation study on varying number of merges `num_merges`.

<code>num_merges</code>	<b>BLEU-4</b>	<b>Rouge-L</b>	<b>Meteor</b>	<b>BertScore F1</b>
500	13.61 ± 0.53	46.50 ± 0.28	28.49 ± 0.49	92.33 ± 0.02
1750	14.50 ± 0.25	46.74 ± 0.48	30.03 ± 0.25	<b>92.55 ± 0.01</b>
2500	<b>15.10 ± 0.39</b>	46.37 ± 0.28	<b>30.12 ± 0.23</b>	92.53 ± 0.05
3500	13.93 ± 0.21	<b>47.08 ± 0.56</b>	29.17 ± 0.31	92.53 ± 0.07

with **ECG-Byte** in Table 7. We want to note that for the results of  $T = 2000$ , the full unsegmented ECG is utilized. Consequently, the number of instances available is less than the targeted dataset size of 400,000 (i.e., 97,244). Thus, when  $T = 2000$ , we use the full dataset to train the model. For shorter segment lengths, such as  $T = 250$  and  $T = 500$ , the model demonstrates strong performances indicating that shorter segments can effectively preserve relevant information for NLG. Interestingly, for  $T = 2000$ , the model achieves the highest performance across all metrics. This suggests that when the model is trained with the full 10 second encoded ECG, it benefits from richer contextual information present in the complete ECG waveform.

Table 7: Ablation study on varying lengths  $T$ .

$T$	<b>BLEU-4</b>	<b>Rouge-L</b>	<b>Meteor</b>	<b>BertScore F1</b>
250	12.64 ± 0.20	47.31 ± 0.26	27.97 ± 0.21	92.32 ± 0.06
500	13.93 ± 0.21	47.08 ± 0.56	29.17 ± 0.31	92.53 ± 0.07
1250	11.01 ± 0.19	43.84 ± 0.28	25.49 ± 0.20	<b>93.07 ± 0.03</b>
2000	<b>14.54 ± 0.17</b>	<b>48.03 ± 0.27</b>	<b>32.11 ± 0.22</b>	92.91 ± 0.04

## 5.5. ECG-Byte Analysis

We analyze **ECG-Byte** by visualizing the usage of merged tokens, length of the encoded ECG, and mapping between the encoded tokens and original ECG. Unless specified otherwise, we analyze **ECG-Byte** when `num_merges` = 3500,  $L$  = 1024, and  $T$  = 500.

**Token Usage and Length Distribution** We examine the token usage and length distributions for **ECG-Byte** with `num_merges` = 3500 on a subsample of 277,840 ECGs from the PTB-XL dataset. The left panel of Figure 2 displays the token usage distribution, showing token frequency (y-axis) ranked in descending order (x-axis). A small subset of tokens dominates the occurrences, while the rest are infrequently used—a typical characteristic of BPE-based

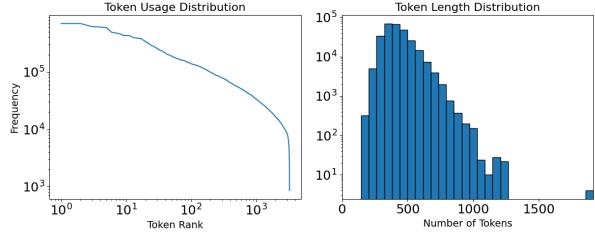


Figure 2: Plots of the token usage and length distributions for **ECG-Byte** where `num_merges` = 3500. More examples with varying `num_merges` are provided in Appendix A.3.

tokenization, where common patterns are compressed into frequent tokens and rare patterns into infrequent ones. The right panel of Figure 2 illustrates the token length distribution of the encoded ECGs, with most falling between 500 and 1000 tokens, demonstrating **ECG-Byte**'s effective compression of the original signal. Additional examples of these distributions are provided in Appendix A.3.

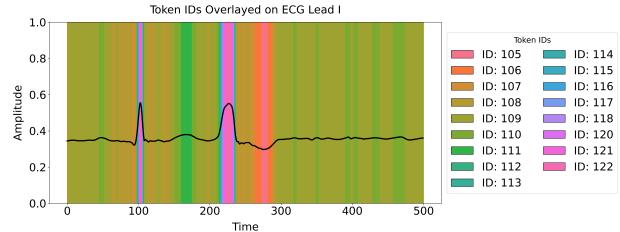


Figure 3: A mapping between tokens used for a given ECG Lead I. More examples are provided in Appendix A.2.

**Token to ECG Mapping** To illustrate how **ECG-Byte** encodes ECG signals, we analyze the mapping between tokens and signal features. Figure 3 shows an example Lead I ECG signal with unique token IDs (represented by different colors) overlaid. The P wave, QRS complex, and T wave are distinctly captured by different tokens, though this precision varies across instances. As demonstrated, **ECG-Byte** effectively merges key regions of the signal. Additional examples are provided in Appendix A.2 due to page limitations.

**Attention Visualizations** Figure 4 visualizes attention weights across a selected ECG lead and text portions of the input after training. We focus on one lead due to the uniformity of attention across encoded signal tokens. For interpretability, the reversed ECG signal is overlayed on the encoded ECG. The model primarily attends to the textual portion of the input sequence, as shown in Figure 4. Previous studies have debated whether attention visualizations are inherently explainable (Jain and Wallace, 2019; Wiegrefe and Pinter, 2019) and explored their role in vision-language models (Aflalo et al., 2022; Woo et al., 2024; Arif et al., 2024; Cui et al., 2024). These works often observe minimal attention to visual input, with models relying primarily on text. We hypothesize that a similar phenomenon occurs in Figure 4, as the ECG tokens, though represented like text, are 1) newly introduced and 2) perceived as a different modality (e.g., vision). Additional examples are provided in Appendix A.4.

## 6. Discussion and Conclusion

In this study, we introduce **ECG-Byte**, a custom BPE algorithm to encode ECGs into a discrete sequence of tokens for conditional autoregressive NLG. **ECG-Byte** introduces a paradigm shift in generative ECG language modeling by enabling efficient end-to-end training, compared to traditional two-stage pretraining approaches. Our pipeline demonstrates strong performances, achieving results comparable to two-stage methods while requiring only *half* the training time and approximately 48% of the data. In addition to its efficiency, **ECG-Byte** enhances interpretability. By analyzing its underlying mechanism, we observe that critical ECG regions, such as the P wave, the QRS complex, and the T wave, are effectively grouped during tokenization, as illustrated in Figure 3. Furthermore, the reversibility of the compressed token sequence allows us to trace each token back to its original ECG signal segment, providing insight into the specific portions of the signal attended to by the model. However, as shown in Figure 4, the model’s attention weight distribution resembles that of vision language models, focusing primarily on the textual components of the input sequence during generation.

This work is in its early stages and needs further exploration. Future directions include: (1) refining BPE merging rules to better capture ECG-specific features, (2) adopting more advanced quan-



Figure 4: The attention weight overlaid on top of both the text (top) and ECG (bottom). More examples are provided in Appendix A.4.

tization techniques that preserve time-series characteristics (Carson et al., 2024b; Elsworth and Güttel, 2020; Carson et al., 2024a), (3) introducing stronger modality-specific distinctions, such as embeddings beyond [SIG\_START] and [SIG\_END] (Gui et al., 2023), and (4) extending **ECG-Byte** for conversational tasks through instruction tuning.

**Limitations** One of the main limitations of this work is the scale in terms of computing and data. Since we only used a subset of the data to train and test our method, we were unable to train the model to its full potential. However, even with only using a small subset of the data, we are able to see extremely promising results compared to other 2-stage SSL pretraining methods. Therefore, we do not view this limitation as a major bottleneck.

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## Appendix A. ECG-Byte

### A.1. Additional Pseudocode for ECG-Byte

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#### Algorithm 3: Merging a pair in an ID array

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**Input:** Array of IDs *ids*, Pair to merge *pair* as  $(u_1, u_2)$ , New ID *new\_id*

**Output:** Merged vector of IDs

**Function** *merge(ids, pair, new\_id):*

```
Initialize empty vector new_ids with capacity
of ids;
i  $\leftarrow 0$ ;
while i < length of ids do
    if i < length of ids - 1 and
        (ids[i], ids[i+1]) = pair then
            new_ids.append(new_id);
            i  $\leftarrow i + 2$ ;
    end
    else
        new_ids.append(ids[i]);
        i  $\leftarrow i + 1$ ;
    end
end
return new_ids;
```

---



---

#### Algorithm 4: Calculating Frequency of Byte Pairs in an Array

---

**Input:** Array of IDs *ids*

**Output:** HashMap of pairs and their frequencies

**Function** *get\_stats(ids):*

```
pair_counts  $\leftarrow$  Parallel fold operation;;
foreach window of size 2 in ids do
    Let  $(u_1, u_2) \leftarrow$  elements of the
    window;
    Increment the count of  $(u_1, u_2)$  in
    local pair_count;
end
pair_counts  $\leftarrow$  Parallel reduce operation to
    combine local pair_count HashMaps;
return pair_counts;
```

---

## A.2. Mapping between Token and ECG

We add more examples of the mapping between the ECG signal and the encoded tokens for **ECG-Byte** in Figures 5 and 6.

## A.3. Token usage and length distribution for varying num\_merges

We add more examples of the token usage and length distributions for varying `num_merges` in Figure 7.

## A.4. Attention Visualizations

We add more visualizations of the attention weights in Figures 8, 9, 10, 11, 12, 13, 14, 15.

## Appendix B. 2-stage Pretraining Approaches

To be consistent, we normalize each ECG in the same manner as described in subsection 3.2. Consider a dataset of  $N$  ECG-image and clinical note pairs, denoted as  $\{(I_i, O_i)\}_{i=1}^N$ , where:  $I_i \in \mathbb{R}^{3 \times C \times T}$  is the  $i$ -th normalized and replicated ECG image, obtained by stacking the clipped ECG signal  $X_{\text{clipped}}$  along the channel dimension:  $I_i = \text{stack}(X_{\text{clipped}}, X_{\text{clipped}}, X_{\text{clipped}})$ . The reason we do this is because we need to create RGB images to use pretrained image models like ViT (Dosovitskiy et al., 2021) and CLIP (Radford et al., 2021).

$O_i$  is the corresponding clinical note for the  $i$ -th ECG, serving as the textual description. Note that  $O_i$  differs from  $S$  in the autoregressive setup, where  $S$  represents the tokenized answer sequence provided by either ECG-QA (Oh et al., 2023) or MIMIC-IV ECG pretraining (Zhao et al., 2024).

Given these two features  $I$  and  $O$  we then describe the contrastive, masked, and dual approaches implemented for our baselines that are derived from commonly used techniques used throughout previous works (Oh et al., 2022; Choi et al., 2023; McKeen et al., 2024; Pham et al., 2024; Tang et al., 2024a,b; Vaid et al., 2022).

### B.1. Contrastive learning approaches

We utilize a pretrained CLIP Radford et al. (2021) checkpoint, namely ‘openai/clip-vit-base-patch32’, provided by HuggingFace (Wolf et al., 2020) to encode ECG signals  $I$  and text labels  $O$  into a shared embedding space. Let  $f_{\text{img}} : \mathbb{R}^{3 \times C \times T} \rightarrow \mathbb{R}^d$  and

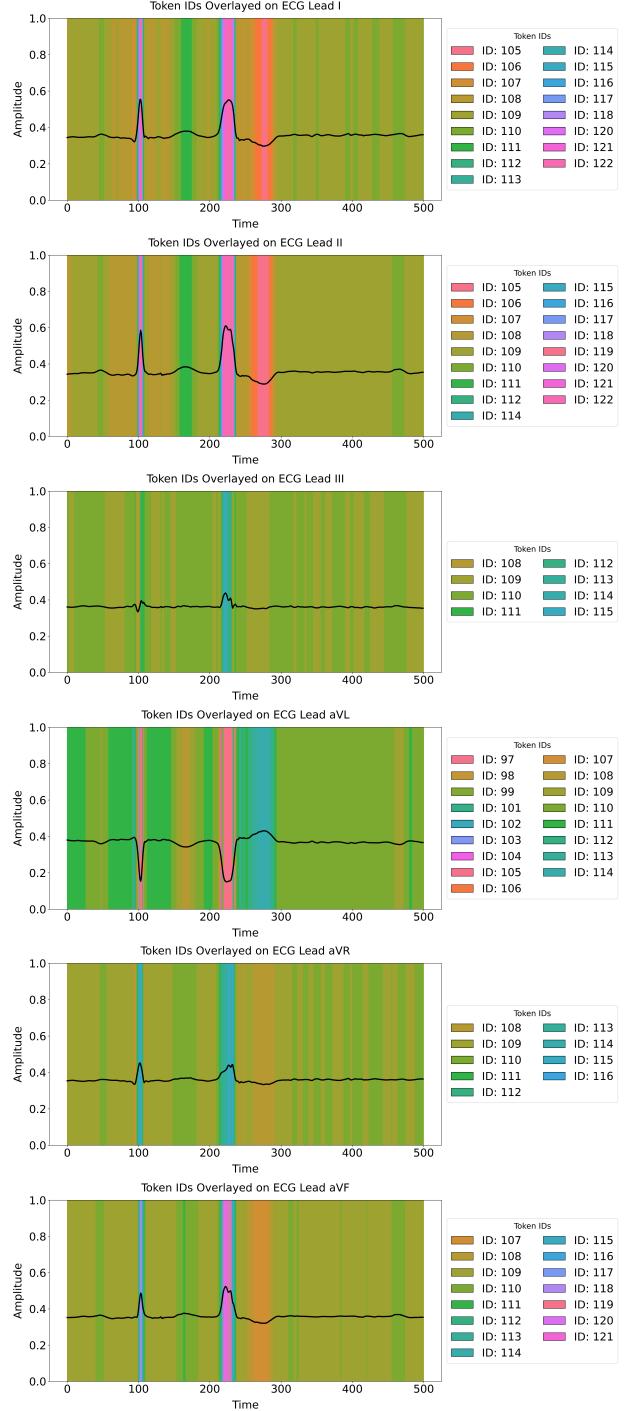


Figure 5: A mapping between tokens used for a given ECG Leads I, II, III, aVL, aVR, aVF.

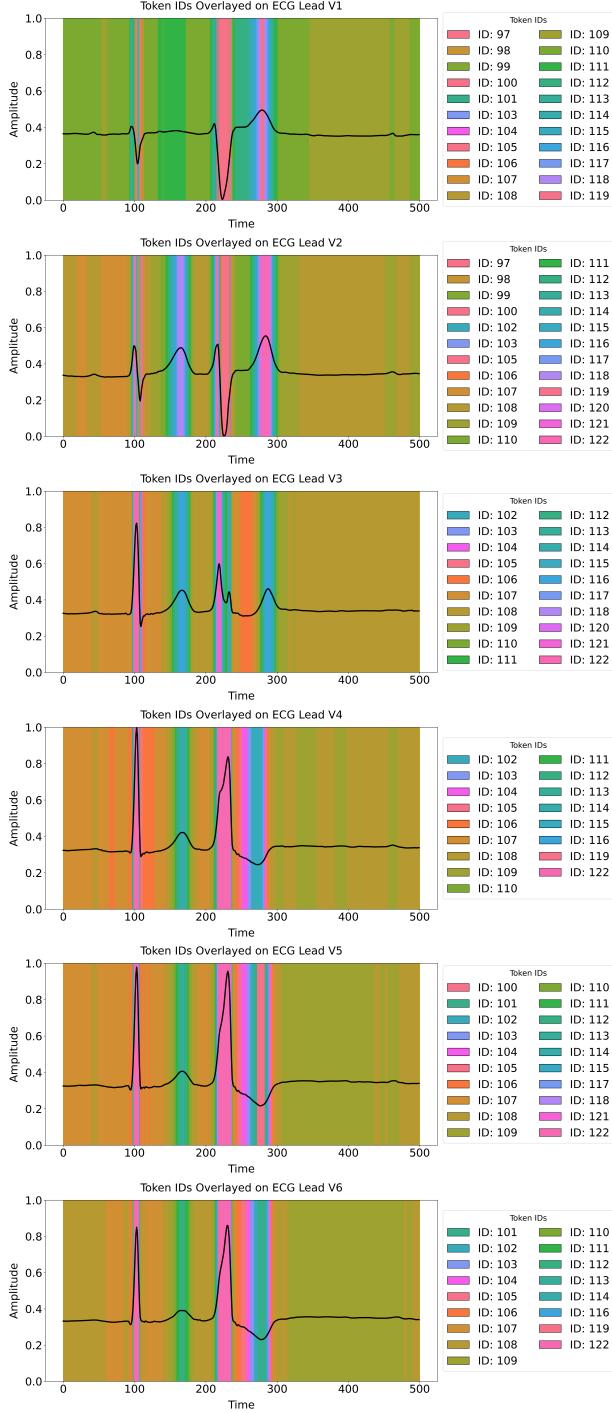


Figure 6: A mapping between tokens used for a given ECG Leads V1, V2, V3, V4, V5, V6.

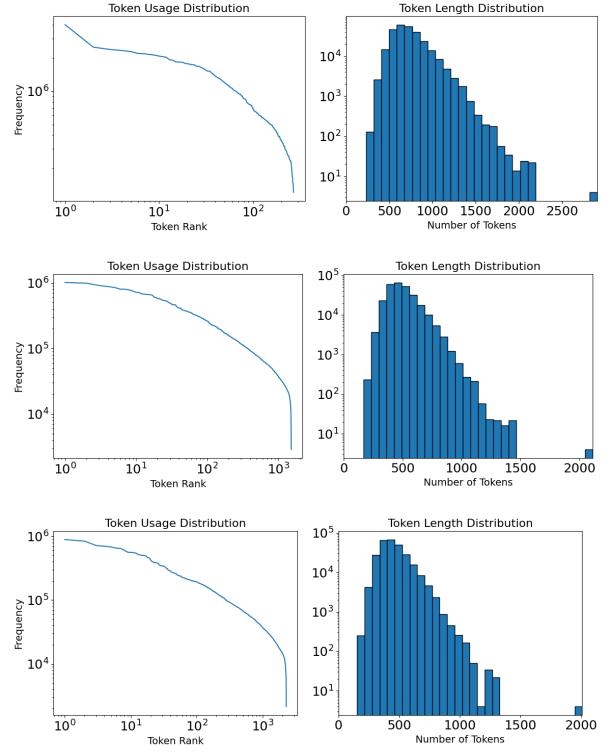


Figure 7: Plots of the token usage and length distributions for **ECG-BYTE** where `num_merges` is 500, 1750, and 2500 from top to bottom.

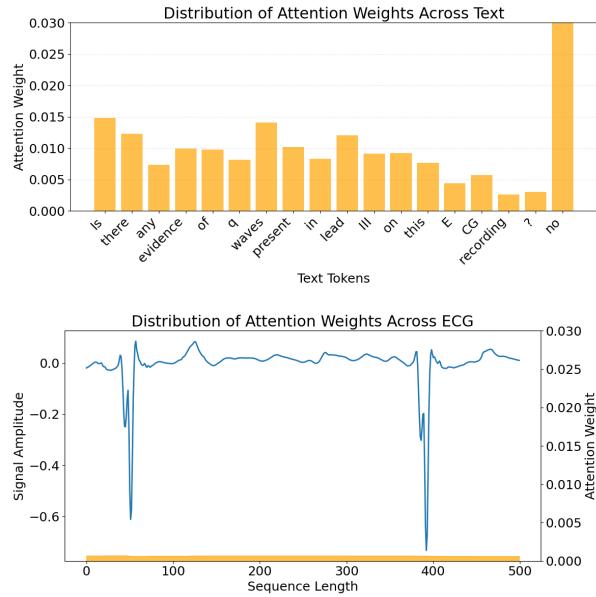


Figure 8: The attention weight overlayed on both text (top) and ECG (bottom).

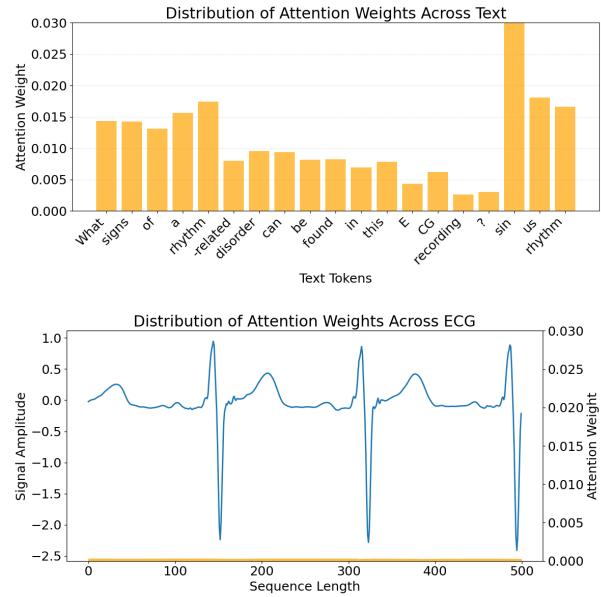


Figure 10: The attention weight overlayed on both text (top) and ECG (bottom).

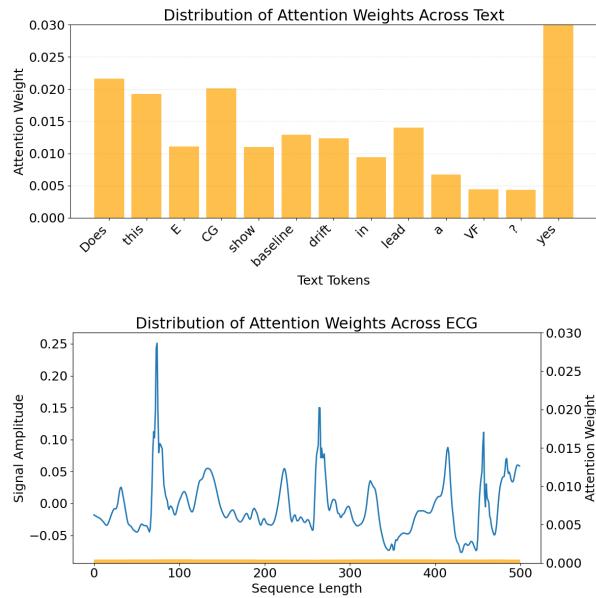


Figure 9: The attention weight overlayed on both text (top) and ECG (bottom).

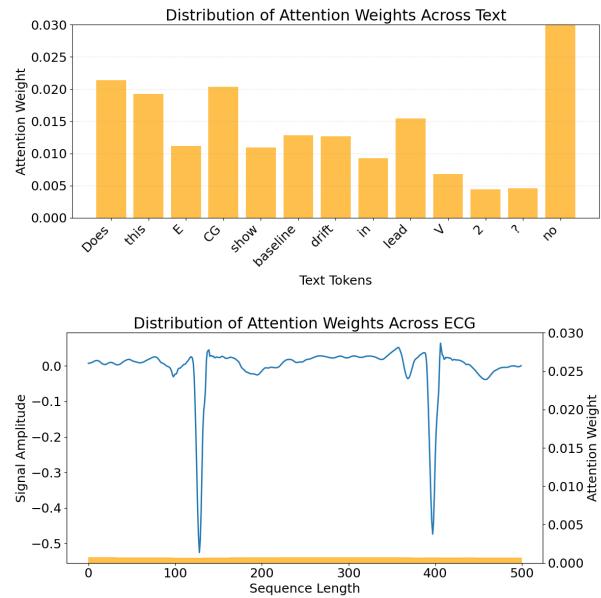


Figure 11: The attention weight overlayed on both text (top) and ECG (bottom).

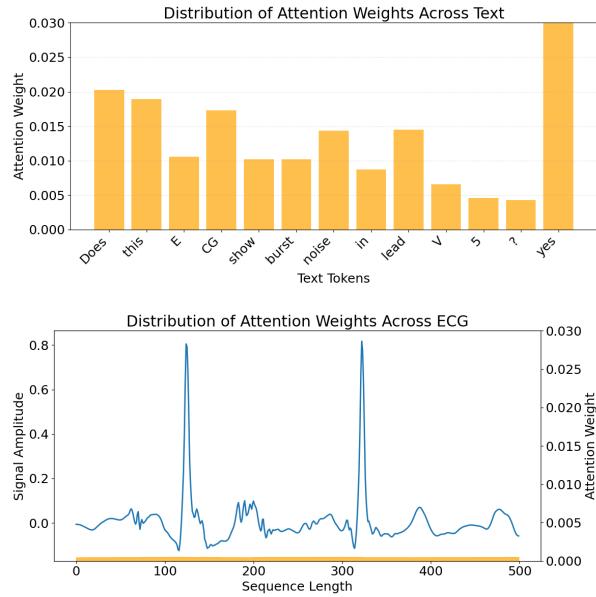


Figure 12: The attention weight overlayed on both text (top) and ECG (bottom).

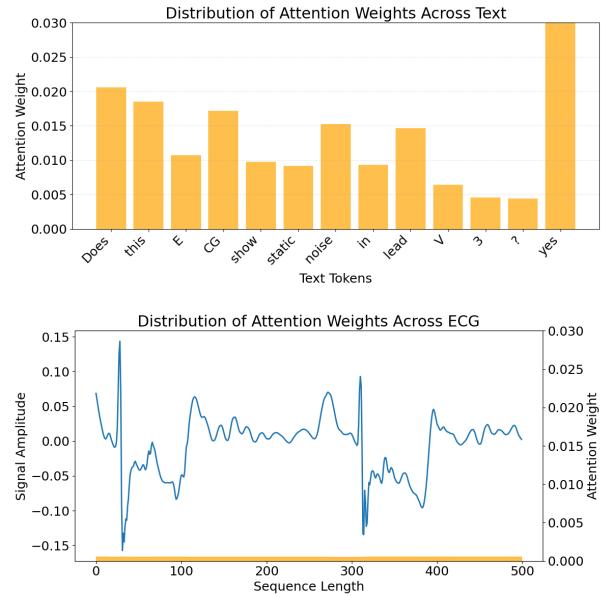


Figure 14: The attention weight overlayed on both text (top) and ECG (bottom).

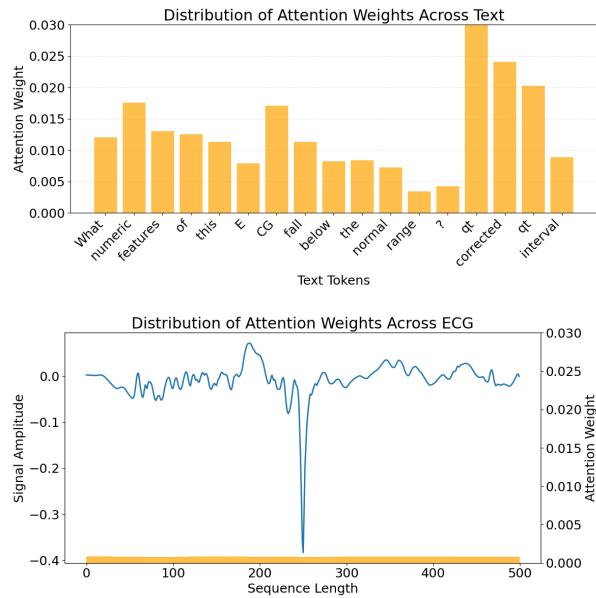


Figure 13: The attention weight overlayed on both text (top) and ECG (bottom).

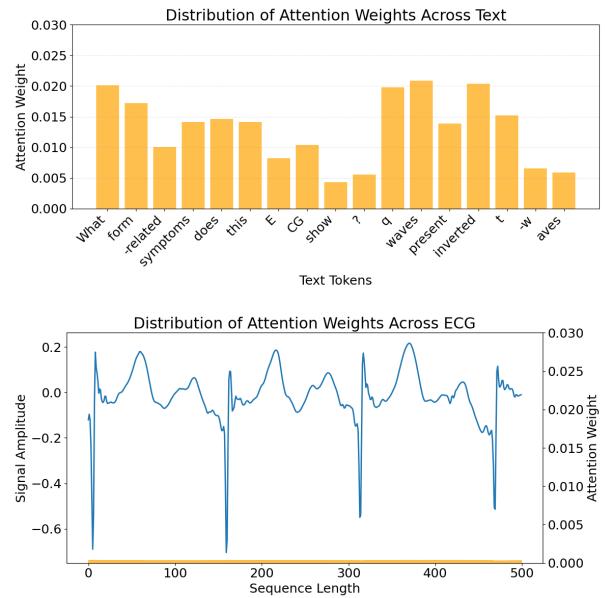


Figure 15: The attention weight overlayed on both text (top) and ECG (bottom).

$f_{\text{txt}}$  : Text  $\rightarrow \mathbb{R}^d$  be the image and text encoders of the pretrained CLIP model, respectively. The embeddings for the  $i$ -th pair are computed as:

$$z_i^{\text{img}} = f_{\text{img}}(I_i), \quad z_i^{\text{txt}} = f_{\text{txt}}(O_i),$$

where  $z_i^{\text{img}}, z_i^{\text{txt}} \in \mathbb{R}^d$ . The CLIP loss function  $\mathcal{L}_{\text{CLIP}}$  aligns the embeddings of corresponding ECG signals and text labels while contrasting them with non-matching pairs. This is formulated as:

$$\begin{aligned} \mathcal{L}_{\text{CLIP}} = & -\frac{1}{N} \sum_{i=1}^N \left[ \log \frac{\exp(\text{sim}(z_i^{\text{img}}, z_i^{\text{txt}})/\tau)}{\sum_{j=1}^N \exp(\text{sim}(z_i^{\text{img}}, z_j^{\text{txt}})/\tau)} \right. \\ & \left. + \log \frac{\exp(\text{sim}(z_i^{\text{txt}}, z_i^{\text{img}})/\tau)}{\sum_{j=1}^N \exp(\text{sim}(z_i^{\text{txt}}, z_j^{\text{img}})/\tau)} \right] \end{aligned}$$

where  $\text{sim}(\cdot, \cdot)$  denotes cosine similarity, and  $\tau$  is a learnable temperature parameter.

To integrate the pretrained CLIP model into our language model for joint reasoning over ECG signals and text, we project the frozen image embeddings  $z_i^{\text{img}}$  into the language model's hidden space. Let  $W \in \mathbb{R}^{h \times d}$  be a learnable projection matrix, where  $h$  is the hidden dimension of the language model. The projected embeddings are:

$$z_i^{\text{clip}} = W z_i^{\text{img}}.$$

These projected embeddings  $z_i^{\text{clip}}$  are then prepended to the token embeddings of the language model, where we get  $\text{Context} = \{[\text{BOS}], [\text{SIG\_START}], z_i^{\text{clip}}, [\text{SIG\_END}], Q\}$  to train the same autoregressive objective,  $L_{\text{NLL}}$ .

## B.2. Masked image modeling approaches

Consider the normalized ECG image  $I \in \mathbb{R}^{3 \times C \times T}$  obtained as previously described. We utilize a pretrained Vision Transformer (ViT) model (Dosovitskiy et al., 2021), specifically the ‘google/vit-base-patch16-224-in21k’ checkpoint provided by HuggingFace (Wolf et al., 2020).

The image  $I$  is partitioned into  $P$  non-overlapping patches. Let  $N$  be the number of images in our dataset, and  $I_i$  denote the  $i$ -th image. The ViT encoder  $f_{\text{vit}}$  projects these patches into latent embeddings:

$$z_i^{\text{patch}} = f_{\text{vit}}(I_i) \in \mathbb{R}^{P \times d},$$

where  $d$  is the embedding dimension of the ViT model.

During training, we randomly mask a subset of patches for each image  $I_i$ , creating a binary mask  $M_i \in \{0, 1\}^P$ , where  $M_{i,j} = 1$  if patch  $j$  is masked and  $M_{i,j} = 0$  otherwise. The masked embeddings  $z_i^{\text{masked}}$  are formed by replacing the embeddings of masked patches with a mask token. A reconstruction head  $f_{\text{rec}}$  is then applied to predict the pixel-level content of the masked patches:

$$\hat{I}_i = f_{\text{rec}}(z_i^{\text{masked}}) \in \mathbb{R}^{P \times d}.$$

The masked image modeling loss  $\mathcal{L}_{\text{MIM}}$  is computed as the mean squared error (MSE) between the reconstructed embeddings  $\hat{I}_i$  and the original embeddings  $z_i^{\text{patch}}$  at the masked positions:

$$\mathcal{L}_{\text{MIM}} = \frac{1}{N} \sum_{i=1}^N \frac{1}{\sum_{j=1}^P M_{i,j}} \sum_{j=1}^P M_{i,j} \left\| \hat{I}_i[j] - z_i^{\text{patch}}[j] \right\|_2^2. \quad (7)$$

To integrate the MIM representations into the language model for joint reasoning over ECG signals and textual questions, we project the frozen ViT embeddings  $z_i^{\text{img}} \in \mathbb{R}^d$  into the language model's hidden space. Let  $W \in \mathbb{R}^{h \times d}$  be a learnable projection matrix, where  $h$  is the hidden dimension of the language model. The projected embeddings are given by:

$$z_i^{\text{vit}} = W z_i^{\text{img}}.$$

These projected embeddings  $z_i^{\text{vit}}$  are then prepended to the language model's token embeddings, to get  $\text{Context} = \{[\text{BOS}], [\text{SIG\_START}], z_i^{\text{vit}}, [\text{SIG\_END}], Q\}$  to train the same autoregressive objective,  $L_{\text{NLL}}$ , mentioned previously.

## B.3. Dual approaches

The dual approach follows the previous two contrastive and masked image modeling approaches for pretraining the ECG encoder but simply just combines the losses like so:

$$\mathcal{L}_{\text{Dual}} = \lambda_1 \mathcal{L}_{\text{MIM}} + \lambda_2 \mathcal{L}_{\text{CL}}$$

where  $\lambda_1 = \lambda_2 = 1$  in our study.

However, when training the autoregressive LLM, we project both embeddings,  $z_i^{\text{vit}}$  and  $z_i^{\text{clip}}$ , outputted by their respective frozen encoders via a learnable projection matrix into the language model's hidden space of dimension  $h$ . We then concatenate the projected embeddings and pass them through a fusion network to obtain the fused visual embedding

$$z_i^{\text{fused}} \in \mathbb{R}^h:$$

$$z_i^{\text{fused}} = f_{\text{fusion}}(\text{concat}(z_i^{\text{vit}}; z_i^{\text{clip}})),$$

where  $f_{\text{fusion}}$  is a trainable feedforward network. The fused visual embedding  $z_i^{\text{fused}}$  is prepended to the token embeddings of the language model, forming  $\text{Context} = \{[\text{BOS}], [\text{SIG\_START}], z_i^{\text{fused}}, [\text{SIG\_END}], Q\}$  to train the autoregressive objective,  $L_{NLL}$ .

## Appendix C. Additional Results

### C.1. Does Larger LLMs Yield Higher Performance?

We present the results of ablating the size of the LLM in Table 8. Interestingly, the performance across the three different model sizes (1B, 3B, 8B) remains fairly similar. We believe that the limited dataset size prevents the larger models from realizing their full performance potential. We hypothesize that increasing the amount of training data would enable the larger models to leverage their greater capacity, resulting in observable performance improvements.

Table 8: Ablation study on how larger LLMs perform for NLG.

LLM	BLEU-4	Rouge-L	Meteor	BertScore F1
Llama 3.2 1B (Grattafiori et al., 2024)	13.93 ± 0.21	<b>47.08</b> ± 0.56	29.17 ± 0.31	<b>92.53</b> ± 0.07
Llama 3.2 3B (Grattafiori et al., 2024)	14.80 ± 0.17	46.55 ± 0.21	29.53 ± 0.16	92.42 ± 0.01
Llama 3.1 8B (Grattafiori et al., 2024)	13.80 ± 0.16	46.29 ± 0.25	28.56 ± 0.11	92.44 ± 0.05

### C.2. Qualitative NLG Examples

We provide qualitative NLG examples of successful (Figure 17) and unsuccessful generations (Figure 16).

<b>Ground Truth Question</b>	Which diagnostic symptom does this ECG show, incomplete left bundle branch block or incomplete right bundle branch block, excluding uncertain symptoms?	Does the qrs duration shown on this ECG fall within the normal range?	What form-related traits are exhibited by this ECG in lead I?	In lead V2, what form-related features does this ECG display?	What direction is this ECG deviated to?
<b>Ground Truth Answer</b>	incomplete right bundle branch block	yes	low amplitude t-wave	q waves present inverted t-waves	extreme axis deviation
<b>Generated Answer</b>	incomplete left bundle branch block	no	non-specific st depression	none	left axis deviation
<b>Ground Truth Question</b>	Within which numeric range does the qt interval of this ECG fall, above the normal range or within the normal range	Which diagnostic symptom does this ECG show, subendocardial injury in anterolateral leads or subendocardial injury in inferolateral leads, excluding uncertain symptoms?	Which diagnostic symptom does this ECG show, myocardial infarction in inferoposterolateral leads or myocardial infarction in anterolateral leads, excluding uncertain symptoms?	What form-related symptoms does this ECG show in lead II?	What diagnostic symptoms does this ECG show, excluding uncertain symptoms?
<b>Ground Truth Answer</b>	none	none	myocardial infarction in anterolateral leads	high qrs voltage	myocardial infarction in anteroseptal leads non-diagnostic t abnormalities
<b>Generated Answer</b>	qt interval	subendocardial injury in anterolateral leads	myocardial infarction in inferoposterolateral leads	non-specific st depression	myocardial infarction in anteroseptal leads

Figure 16: Randomly sampled NLG results of unsuccessful generations on the PTB-XL test set from ECG-QA.

<b>Ground Truth Question</b>	Is atrial fibrillation detectable from this ECG?	Which diagnostic symptom does this ECG show, left posterior fascicular block or subendocardial injury in lateral leads, including uncertain symptoms?	Which diagnostic symptom does this ECG show, subendocardial injury in lateral leads or incomplete left bundle branch block, including uncertain symptoms?	What is the diagnostic symptom that can be identified from this ECG, excluding any symptoms that are unclear, left atrial overload/enlargement or myocardial infarction in anterolateral leads?	What are the leads on the ECG that are manifesting static noise?
<b>Ground Truth Answer</b>	yes	none	subendocardial injury in lateral leads	left atrial overload/enlargement	lead I lead II lead III lead aVR lead aVL lead aVF lead V1 lead V2 lead V3 lead V4 lead V5 lead V6
<b>Generated Answer</b>	yes	none	subendocardial injury in lateral leads	left atrial overload/enlargement	lead I lead II lead III lead aVR lead aVL lead aVF lead V1 lead V2 lead V3 lead V4 lead V5 lead V6
<b>Ground Truth Question</b>	Does this ECG reveal any signs of sinus bradycardia?	Are there any noises detected in lead aVF on this ECG?	What numeric features of this ECG fall below the normal range?	What types of noises are displayed in lead aVL in this ECG waveform?	By excluding uncertain symptoms, which diagnostic symptom is apparent in this ECG, ischemic in inferior leads or left anterior fascicular block?
<b>Ground Truth Answer</b>	no	no	pr interval qt corrected qt interval	baseline drift static noise	left anterior fascicular block
<b>Generated Answer</b>	no	no	pr interval qt corrected qt interval	baseline drift static noise	left anterior fascicular block

Figure 17: Randomly sampled NLG results of successful generations on the PTB-XL test set from ECG-QA.