

# COMPACT REPRESENTATIONS OF SEPARABLE GRAPHS

by

Xiang Zhang

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## Abstract

Much work has been conducted to represent graphs succinctly, which means encoding the graphs in a compact representation whose space cost is close to the information-theoretic lower bound, and this representation still supports efficient query operations. A previous approach by Blandford *et al.* [18] based on adjacency table representation makes use of small separators of a separable graph to represent the graph compactly. This representation uses  $O(n)$  bits if the input graph has a vertex separator of size  $O(n^c)$ , where  $n$  is the number of vertexes in this graph and  $c$  is a constant in  $(0,1)$ , meanwhile using constant time to support degree or adjacency queries as well as constant time per neighbour for neighbour listing operations.

We implemented a practical variant of this data structure based on edge separators. The data structure was built by recursively partitioning a graph. We stored a compressed adjacency list for each vertex, and then concatenated the adjacency lists in the order of the number assigned to each vertex based on the recursive partition to form an adjacency table. Then we implemented several indexing structures to support general queries on graphs. We conducted some experiments to measure the performance of this representation as well as the performance of standard array-based adjacency list representation. The experiment shows our implementation reduces space usage by almost an order of magnitude, while supporting Bread-first-search in acceptable running time when compared to the array-based representation.

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# Chapter 1

## Introduction

Nowadays, many applications use graphs to show the relationship and represent connectivity between multiple objects. The usage of such graphs is so popular in representing various data types including link structures of the web, 3d mesh models, geographic maps, and surface meshes in computer graphics. As the graphs inevitably grow very huge, the space issue becomes ever more important. Hence, the problem of designing space-efficient data structures to represent graphs while supporting efficient queries operations has drawn a great deal of attention.

Much works has been conducted to represent graphs succinctly, which means encoding the graphs in a compact representation whose space cost is close to the information-theoretic lower bound, and this representation still supports efficient query operations. The problem of succinctly representation of a graph can be formalized as: Given a graph  $G = (V, E)$  of type  $\chi$ , where  $n$  and  $m$  are numbers of vertexes and edges, respectively, represent  $G$  using  $\lg |\chi| + o(\lg |\chi|)$  bits of space, where  $|\chi|$  is the number of different graphs of type  $\chi$ , and support a set of query operations on the graph in constant time (assuming we can access  $\Theta(\lg n)$  consecutive bits in one operation). Because the space bound may not be satisfied, therefore, the trade-off



between space cost and query time can be further investigated.

A considerable strategy to save the space when representing graphs is taking advantage of structural properties of graphs. In practice, the most common structural property of graphs is that they have small separators. A graph has separators if it can be partitioned into two subgraphs of approximately equal size by removing a few vertexes. For example, planar graphs, have  $O(n^{1/2})$  sized separators, and even graphs that are not strictly planar because of crossings, such power networks, have small separators too. More generally, nearly all graphs that represent connections relationship in low dimensional spaces have small separators. We say a graph is separable if it is taken from a class of graphs that satisfies an  $n^c$ -separator theorem [24] for some constant  $c < 1$ .

In this project, we are interested in improving a compact representation mechanism for separable graphs presented by Blandford *et al.* [18], in which the authors proposed an approach to represent separable graphs compactly based on an adjacency list representation. Their representation used  $O(n)$  bits if the input graph has a vertex separator of size  $O(n^c)$ , where  $n$  is the number of vertexes in this graph and  $c$  is a constant in  $(0,1)$ , meanwhile using constant time to support degree or adjacency query, as well as constant time per neighbour for neighbour listing queries (They took advantage of  $O(\lg n)$ -bit parallelism computation to access  $\Theta(\lg n)$  consecutive bits in one operation). For graphs with small separators, their representation achieved good compression ratios, and even for graphs that were not strictly separable, their representation still worked well because the separable components in those graphs can be

compressed. In their paper, they provided a detailed description for compressing the graph by building two structures: the adjacency table and the root-find structure, which used vertex separators to encode the graph into a shadow adjacency table, and to support query operations in constant time. But in their experimental studies, they implemented the data structure using edge separators instead of vertex separators, which means the shadow label and root-find structure were not needed. This simplification is needed because these two data structures are not practical. In this project, we implemented the data structure by using edge separators, and conducted experiments on it.

We firstly followed their idea to implement the data structure by using edge separators. The data structure was built by recursively partitioning a graph into two subgraphs until each subgraph has only one vertex. During the partition process, an edge separator tree was built. Then we made use of the edge separator tree to renumber the vertexes. We stored an adjacency list for each vertex, and then concatenated the adjacency lists in the order of the number assigned to each vertex in the renumbering process to form an adjacency table. Each pair of vertexes in the adjacency was stored by encoding the difference  $d$  between the two vertexes, and all the differences were stored contiguously as a sequence of bits in memory. We proved, using this approach,  $O(n)$  bits were sufficient to encode the separable graph.

Next, we implemented several indexing structures to support degree and adjacency queries in constant time, and neighbour listing in constant time per Neighbour. These

indexing structures include all the structures used in their experiment, as well as two indexing structures based on succinct bit vectors. In their paper, they encoded the degree of each vertex at the start of each adjacency list. However, the space of degree could be saved in some cases, which yielded a trade-off between the time to support degree queries and the space to encode the graph in our studies.

Finally, We compared the performance of various indexing structures by conducting a Bread-first search in the graphs. We also compared our space and time cost to those of an array-based adjacency list representation. The result showed that our implementation save a magnitude of space when compared to standard array-based adjacency list, while supporting efficient queries.

### 1.1 Related Work

There has been considerable work dedicate to compressing graphs such as planar graphs,  $k$ -page graphs and separable graphs. The first attempt of succinct representation of graphs was conducted by Jacobson [11], who first showed how to represent a planar graph on  $n$  vertexes using  $O(n)$  bits while supporting adjacency queries in  $O(\log n)$  time. His approach decomposed a planar graph into at most four one-page graphs which are represented as a sequence of balanced parentheses. His representation extended naturally to a  $k$ -page graph, where  $k \geq 1$ . A  $k$ -page graph is a graph which consists of  $k$  outerplanar graphs sharing the same spine.  $k$ -page graphs can be considered as undirected graphs or as directed graphs where an edge always goes from a smaller-numbered to a larger-numbered vertex, and a planar graph has at most 4

pages [12].

Munro and Raman [16] improved the time for adjacency queries to  $O(1)$  time while using  $8n + 2m$  bits to represent a planar graph, where  $n$  and  $m$  are numbers of vertexes and edges, respectively. Their work greatly reduced the number of bits regarding Jacobson's representation. Geary *et al.* [14] gave a conceptually simpler representation compared to Jacobson's data structure which used  $2n + o(n)$  bits. The space constants on the high order term had been improved by Chuang *et al.* [23], and further by Chiang *et al.* [26], which used  $\frac{3}{5}m + (5 + \epsilon)n + o(n)$  bits and  $2m + 2n + O(m + n)$  bits, respectively. The former proposed another encoding mechanism based on *canonical orderings* of a planar graph, and then used a *multiple parentheses sequences* to represent the graph, while the latter generalized the notion of canonical orderings to orderly spanning trees and improved constant factors in terms of numbers of vertexes and edges.

A succinct representation of a  $k$ -page graph for large  $k$  that supports various navigational operations more efficiently, was proposed by Barbay *et al.* [3], whose representation used  $n + 2m \lg n + m \cdot o(\lg k) + o(m)$  bits to support adjacency queries in  $O(\lg k \lg \lg k)$  time, degree queries in constant time and neighbourhood in  $O(d(x) \lg \lg k)$  time, where  $d(x)$  is the degree of vertex  $x$ , or used  $n + (2 + \epsilon)m \lg k + m \cdot o(\lg k) + O(m)$  bits to support adjacency, degree and neighbourhood queries in  $O(\lg k)$ ,  $O(1)$  and  $O(d(x))$  time, respectively, where  $\epsilon$  is any constant that satisfies  $0 < \epsilon < 1$ .

Table 1.1: A summary of performance of various of succinct planar graph representations. Notation: "Pla\*" indicates the planar graphs, "k-p\*" indicates k-page graphs, "Tri\*" indicates planar triangulations, "Sep\*" indicates separable graphs,  $n$  and  $m$  denote the numbers of vertexes and edges in Graph  $G$ , respectively,  $i$  is the number of isolated vertexes in  $G$ ,  $\epsilon$  is an arbitrary positive constant,  $\tau = \min\{\lg k / \lg \lg m, \lg \lg k\}$

Type	Ref.	Space	Adjacency	Neighborhood	Degree
Pla*	[11]	$O(n)$	$O(\lg n)$	$O(\deg(x) \lg n)$	$O(\lg n)$
	[23]	$\frac{3}{5}m + (5 + \epsilon)n + o(n)$	$O(1)$	$O(\deg(x))$	$O(1)$
	[26]	$2m + 2n + o(m + n)$	$O(1)$	$O(\deg(x))$	$O(1)$
	[16]	$2m + 8n + o(n)$	$O(1)$	$O(\deg(x))$	$O(1)$
k-p*	[11]	$O(kn)$	$O(\lg n + k)$	$O(\deg(x) \lg n)$	$O(\lg n)$
	[5]	$(2(m + i) + o(m + i)) \lg k$	$O(\tau \lg k)$	$O(\deg(x) \tau)$	$O(1)$
	[3]	$2m \lg k + n + o(m \lg k)$	$O(\lg k \lg \lg k)$	$O(\deg(x) \lg \lg k)$	$O(1)$
	[3]	$(2 + \epsilon)m \lg k + n + o(m \lg k)$	$O(\lg k)$	$O(\deg(x))$	$O(1)$
	[16]	$2m + 2kn + o(kn)$	$O(k)$	$O(\deg(x) + k)$	$O(1)$
Tri*	[23]	$2m + n + o(n)$	$O(1)$	$O(\deg(x))$	$O(1)$
Sep*	[18]	$O(n)$	$O(1)$	$O(\deg(x))$	$O(1)$
	[13]	$o(n) + \lg  \chi $	$O(1)$	$O(\deg(x))$	$O(1)$

Blandford *et al.* [18] showed how to use  $O(n)$  bits to represent graphs that satisfies an  $n^c$ -separator theorem, while supporting degree and adjacency queries in  $O(1)$  time, and neighbourhood queries in constant time per neighbour. Subsequently, Blelloch *et al.* [13] proposed a succinct representation of unlabelled separable graphs, which used  $\lg |\chi| + o(n)$  bits to represent a graph of type  $\chi$ , while holding the same time bounds as the performance of Blandford's representation. A summary of the performance of various of succinct planar graph representations is shown in Table 1.1.

## 1.2 Outline

The rest of this report is organized as follows. Chapter 2 provides some background information for this project. Chapter 3 describes the compressed graph representation by Blandford *et al.* [18], including the construction and usage of the edge separator

tree as well as those of several indexing structures. Chapter 4 describes the experimental setup and examines the performance of our implementation. Chapter 5 shows the conclusion as well as the future work of our project.

## Chapter 2

### Preliminaries

This chapter introduces some concepts in information theory and some data structures which are used in our work, including the concept of the graph separator, basic query types in graphs, bit vectors used in our implementation and the adjacency tables which can represent a graph.

#### 2.1 Graph Separators

A family of graphs  $G$  satisfies the  $f(\cdot)$ -separator theorem [24] if there are constants  $\alpha < 1$  and  $\beta > 0$  such that each member graph in  $G$  with  $n$  vertexes has a separator set  $S$  of at most  $\beta f(n)$  vertexes whose removal partitions the graph into two parts A and B, with at most  $\alpha n$  vertexes in each.

In this project we focus on the graphs that satisfy the  $n^c$ -separator theorem for some constant  $c < 1$ , which means the graphs have a small separator of size  $O(n^c)$ , and we will say a graph is separable if it is in a class of graph which satisfies the  $n^c$ -separator theorem. One class of graphs that satisfies this condition the planar graphs, which satisfies the theorem with  $c = \frac{1}{2}$ . Another example can be well-shaped meshes in  $\mathbb{R}^d$ , with separators of size  $O(n^{1-1/d})$  [20]. Lipton *et al.* [19] proved that

all classes of graph which satisfy the  $n/(\log n)^{1+\epsilon}$ -separator theorem have bounded density, a graph on  $n$  vertexes has bounded density if it has  $O(n)$  edges. So we can assert that separable graphs have bounded density.

By making use of the definitions above, we say that a class of graphs  $G$  satisfies the  $f(n)$ -edge separator theorem if there are constant variables  $\alpha < 1$  and  $\beta > 0$  such that each member graph in  $G$  with  $n$  vertexes has an edge separator with at most  $\beta f(n)$  edges whose removal partitions the graph into two subgraphs with at most  $\alpha n$  vertexes in each. The edge separator is not as common as the vertex separator, because a graph with an edge separator of size  $s$  also has a vertex separator of size  $s$  at most, but no similar bound holds when it is conversed [18].

In this project, we only considered the undirected connected graph, which meant all vertexes in the graph have nonzero degrees, and we assumed each step of partitioning always returns a edge separator set of size  $O(n^c)$ .

## 2.2 Queries in Graphs

Our data structure supports three kinds of queries on separable graphs: adjacency queries, degree queries and neighbour listing. The adjacency query checks whether there is an edge between two vertices, the neighbour listing returns the neighbours of a given vertex, and the degree query reports the number of edges connected to a given vertex.



### 2.3 Bit Vectors

The compact representation stores a separable graph as a difference-encoded bit sequence in memory. To support the three kinds queries (adjacency queries, degree queries and neighbour listing) in constant time, knowing both the number of encoded adjacency lists in the sequence up to an index position, and the starting position of a particular adjacency list is necessary. More formally, the compact representation requires data structures that support rank and select operations in constant time: Given a bit vector  $B[0...n)$  represents a subset  $S$  of a universe  $U = [0...n) = \{0, 1, \dots, n-1\}$ , where  $B[i] = 1$  *iff*  $i \in S$ , we define the following two operations,

- $rank_q(x) = \{k \in [0...x] : B[k] = q\}$
- $select_q(x) = \min\{k \in [0...n) : rank_q(k) = x\}$

In this project, we used two different succinct representations of bit vectors: RRR [22] and SD vector [21] in order to build two indexing structures that supports queries in a separable graph. Both structures match the information-theoretic lower bound on representing a bit vector, and still support efficient rank and select query operations. More detail about these two structures will be given in section 3.3, where we use them to build indexing structures.

## 2.4 Adjacency Tables

An adjacency list representation for a graph associates each vertex in the graph with the collection of its neighbouring vertices. When used as a data structure, the main alternative to the adjacency list is the adjacency matrix. For a sparse graph (most pairs of vertices are not connected by edges) and especially a graph with *bounded density*, an adjacency list representation is significantly more space-efficient than an adjacency matrix representation: the space cost of the adjacency list representation depends on the number of edges and vertices in the graph, while the adjacency matrix representation is stored as the square of the number of vertices. Generally, if we encode the neighbours of each vertex in an array of 32-bit integers, an adjacency list representation for an undirected graph requires  $8|E|$  bytes ( $2 \cdot 32 \cdot |E|$  bits) of space, where  $|E|$  is the number of edges in the graph. However, it is not space-efficient enough yet. In chapter 3, we show how Blandford *et al* [18] further improved the space efficiency.

## Chapter 3

### Compressed Representation of Separable Graphs

This chapter shows how Blandford *et al.* [18] compactly represents separable graphs based on adjacency tables. The content of this chapter includes the process of generating a separator tree from the input graph to renumber the vertexes, a postprocessing stage algorithm to further reduce the space needed to encode the adjacency table, as well as several indexing structures to support efficient graph queries meanwhile using less space.

#### 3.1 Building an Edge Separator Tree

**Edge Separators.** To compactly represent a graph, the first thing we need to do is building an edge separator tree from the input graph. The tree-building process is based on recursively partitioning the graph into two subgraphs using the edge separator. One example that illustrates the function of edge separators is shown in Figure 2.1: Assuming we have an 8-vertex graph  $G_1$  with 9 edges, by removing the two edges  $(v_1, v_8)$  and  $(v_1, v_5)$ , we can partition  $G_1$  into two subgraphs with 4 vertexes in each. Hence the edge separator in this partitioning step is  $\{(v_1, v_8), (v_1, v_5)\}$ .

We can see that each time we partition a graph, it splits its vertex set and part

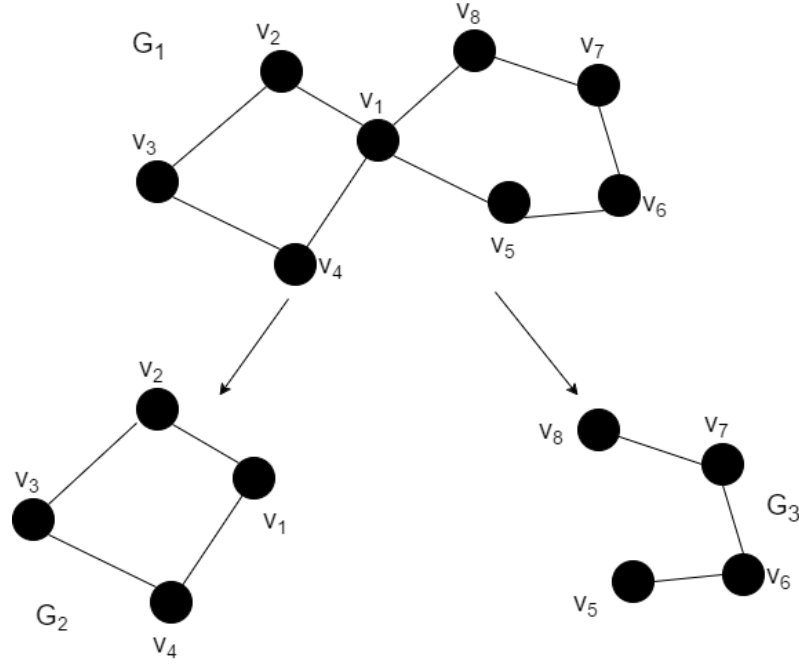


Figure 3.1: An example of a partition process of using edge separators

of its edge set, and removes some edges. if we perform this partitioning recursively until each subgraph has only one vertex, then we have an edge separator tree from the graph. One edge separator tree for the graph  $G_1$  in Figure 2.1 is shown in Figure 2.2. Each vertex in a graph will appear once in a leaf of the edge separator tree build from that graph. Each internal node is the set of edges used as the edge separator to partition the corresponding subgraph.

Note that we arbitrarily decided which side of the partition will be the left child or the right child at this stage. We will take advantages of this "freedom" to further reduce the space needed to encode the graph in section 3.2. The tree is also not perfectly balanced in some cases (e.g. it is possible that one child is a leaf and the other is an internal node). We then make use of the edge separator tree to renumber

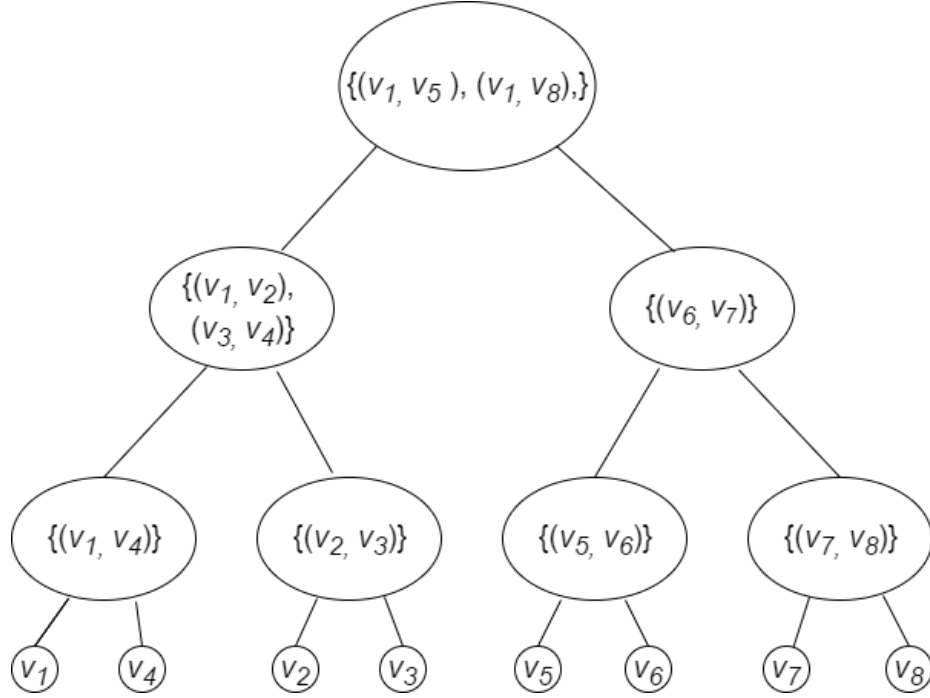


Figure 3.2: An example of edge separator tree

the vertexes of the input graph as follows: perform an in-order traversal of this tree. Each time we encounter a leaf node, we retrieve the single vertex of the subgraph that corresponds to this leaf. We then list the vertexes of the graphs in the order in which they are retrieved during this traversal, renumber the first vertex in this ordering as vertex 1, and renumber other vertexes incrementally. For example, in Figure 2.2 the vertexes  $v_1, v_4, v_2, v_3, v_5, v_6, v_7, v_8$  are renumbered as  $v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8$ , respectively.

**METIS Partition Library.** To recursively partition a given graph, we used METIS's API [17] in our project. METIS is an open source library developed by Karypis lab at the University of Minnesota. It provides a of serial programs for partitioning graphs such as finite element meshes. The algorithms implemented in

METIS are based on the multilevel recursive-bisection, multilevel k-way, and multi-constraint partitioning schemes [2]. The METIS partitioning API returns one integer list with vertex index as the key, and a flag 0 or 1 to indicate which of the two subgraphs that the original graph is partitioned into contains this vertex. The returned value of METIS API does not provide information about edges, and the API takes two integer arrays (the adjacency list of current graph and the indices of vertexes in the adjacency list) as input parameters, and hence, before each of the METIS API, we need to generate an adjacency list and a vertex index list for the current graph to be partitioned. In order to determine the set of edges that forms the edge separators, we need both the adjacency list and the returned values after calling the API.

The algorithm used to build the separator tree is given in Algorithm 1. Here we use  $(V, E)$  to represent a graph for simplicity, but in fact, the METIS API takes a formalized adjacency list and the a vertex index list as input parameters. Therefore, each time we call the METIS API, the current vertex set needs to be renumbered temporally by contiguous ascending numbers, and the adjacency list needs to be initialized accordingly. The METIS API also does partition a graph with fewer than 3 vertexes, and therefore, we write additional code for such graphs.

**Building the Adjacency Table.** After getting the edge separator tree, we needed to renumber the vertexes based on an in-order traversal along the tree. A mapping table is also generated after completing the traversal, which will be used to

---

**Algorithm 1:** Building the edge separator tree. The variables  $V_a$ ,  $V_b$  represent the vertex set of two subgraphs, respectively. The *findEdgeSeparator* function exams the original graph and the partitioning result to determine the edge separator. The *separatorTree* function builds the tree-like structure based on the partitioning result.

---

```

1 buildTree ( $V, E$ );
2 if  $|V| = 1$  then
3   | return  $V$ ;
4 else
5   |  $(V_a, V_b) \leftarrow \text{METIS\_API} (V, E)$  ;
6   |  $E_{sep} \leftarrow \text{findEdgeSeparator} (V, E, V_a, V_b)$  ;
7   |  $E' = E - E_{sep}$  ;
8   |  $E_a \leftarrow \{(u, v) \in E' \mid u \in V_a \vee v \in V_b\}$  ;
9   |  $E_b = E' - E_a$  ;
10  |  $T_a \leftarrow \text{buildTree} (V_a, E_a)$  ;
11  |  $T_b \leftarrow \text{buildTree} (V_b, E_b)$  ;
12  | return separatorTree ( $T_a, E_{sep}, T_b$ ) ;
13 end
```

---

build an adjacency list for each renumbered vertex using the new vertex labels. For each vertex, we stored an adjacency list which contains its all neighbouring vertexes. If a vertex with label  $v$  has neighbours  $v_1, v_2, v_3, \dots, v_n$  in ascending sorted order, then we encoded the difference between each adjacent pair in the adjacency list as  $|v_1 - v|, |v_2 - v_1|, |v_3 - v_2|, \dots, |v_n - v_{n-1}|$  contiguously, which forms a sequence of bits stored in memory. We used the Elias gamma code [9] to encode the differences, which uses  $2\lfloor \log n \rfloor + 1$  bits to encode a difference. The difference  $v_1 - v$  may be negative in some cases, so we here stored a one-bit flag to indicate whether the value is positive. To facilitate the degree queries, the degree of each vertex needs to be encoded at the starting position of the corresponding adjacency. By concatenating all the adjacency lists in the order of the vertex labels, we formed an adjacency table. To access the adjacency list of a vertex, knowing the starting position of the adjacency

is necessary. The easiest and fastest approach is to store an array of offset pointers of size  $O(\log(n))$  for each. But if we do so, we would use  $O(n \log(n))$  bits of space, which exceeds our space bound. Alternatively, we implemented other two indexing structures proposed by Blandford *et al* [18], as well as two indexing structures based on succinct representation of bit vectors, which brings less space cost down to  $O(n)$  bits.

**Lemma 3.1.1.** *The adjacency table constructed in this section can support degree queries in  $O(1)$  time, and neighbour listing in  $O(d)$  time, where  $d$  is the degree of the vertex.*

*Proof.* To access an adjacency list of a particular vertex, we use our indexing structures to locate its starting position in constant time. Each adjacency list consists of an encoded degree number and a sequence of encoded differences, which use  $O(\log n)$  bits and  $O(d \log n)$  bits respectively, where  $d$  is the degree of the vertex. By taking advantages of the  $O(\log n)$ -bits parallelism computation, we can decode any  $O(\log n)$ -bits value in constant time using a lookup table. Hence we can decode the degree at the starting of each adjacency list in constant time. For neighbour listing, we decode each difference pair in constant time. So it takes constant time to answer degree queries, and  $O(d)$  time to answer neighbourhood queries.  $\square$

**Lemma 3.1.2.** *Any  $n$ -vertex member in a class of graphs which satisfies an  $n^c$  – edge separator theorem can be encoded in  $O(n)$  bits using the approach described in this*



section.

*Proof.* For each edge  $(u, v)$  in an edge separator in a graph with  $s$  vertexes, the difference between vertex  $u$  and vertex  $v$  is  $O(s)$ . We use gamma codes to encode the difference which would use  $O(\log(s))$  bits, so that edge will contribute  $O(\log(s))$  bits to the adjacency lists of both vertex  $u$  and vertex  $v$ . Hence, we charge  $O(\log(s))$  to every edge in a separator of a graph with  $s$  vertices. Recall that by using the  $n^c$ -edge separator theorem, each member with  $n$  vertexes in the class of the graph has a  $\beta n^c$  edge separator whose removal partitions the member into two parts with at most  $\beta n$  vertexes in each. Let us define  $S(n)$  to be an upper bound of required bits to encode a graph with  $n$  vertexes, and let  $\alpha < a < 1 - \alpha$ , then  $S(n)$  satisfies the recurrence:

$$S(n) \leq S(an) + S(n - an) + O(n^c \log n)$$

This recurrence can be solved to  $S(n) = O(n)$ , and the bits to encode the degree of all the vertexes is bounded by  $O(n)$ , because there are  $O(n)$  edges in total. Therefore, the total space is  $O(n)$  bits.  $\square$

### 3.2 Post Processing

**Child-flipping Algorithm.** When encoding the graph, We contiguously encode the difference between each adjacency pair in the list by using gamma codes. The gamma codes use  $2\lfloor \log d \rfloor + 1$  bits to encode a difference  $d$ . If we can make each difference

”smaller”, in other words, if we can make the labels of vertexes in an adjacency list closer to each other, and then we can reduce the space to encode the adjacency list. We arbitrarily decide the side of partitioning during the construction of the separator tree (in our project, we decided the side of partitioning according to the output value of METIS API: '0' to the left child and '1' to right child), so there is a degree of freedom in the way to build the tree. To take advantage of this freedom, we can apply a heuristic optimization method called ”child-flipping” [18] to our edge separator tree.

The child-flipping algorithm works when making traversal along a separator tree, it tracks the nodes containing vertex labels which appear before and after the vertex labels in the current node. Let define  $N_L$  to be the left children of current node’s left ancestors and  $N_R$  to be the right children of the current node’s right ancestors,  $N_1$  and  $N_2$  represents the current node’s left child and right child, respectively, and  $E_{AB}$  indicates the number of edges between the vertexes in node A and node B. For each node, the vertexes in  $N_1$  of the current node will have labels less than the vertexes’ under the node, the vertexes in  $N_2$  will have larger labels than all the vertexes under the node, and there is no intersection between the vertexes in  $N_L$  and  $N_R$  of the node. The child-flipping exams  $E_{N_L N_1}$ ,  $E_{N_2 N_R}$ ,  $E_{N_L N_2}$  and  $E_{N_1 N_R}$  of each node to ensure that  $E_{N_L N_1} + E_{N_L N_1} \leq E_{N_L N_1} + E_{N_L N_1}$ . If not, the child-flipping algorithm swaps the two children of current node. The meaning of making this swap is : after renumbering the vertexes based on an in-order traversal, if  $E_{N_L N_1} + E_{N_L N_1} < E_{N_L N_1} + E_{N_L N_1}$ , then the space to encode the edges  $N_L N_2$  and  $N_1 N_R$  is greater than that to encode  $N_L N_2$

and  $N_1N_R$ , because the difference  $v_{N_2} - v_{N_L}$  is obviously greater than  $v_{N_1} - v_{N_L}$ , similar fact works on the difference of  $v_{N_R} - v_{N_1}$  and  $v_{N_R} - v_{N_2}$ .

**Speed Up the Child-flipping Algorithm.** This heuristic algorithm can be applied to any edge separator tree as a postprocessing step. However, this process is quite time-consuming, the time cost of applying both METIS and child-flipping in Blandford *etal.* [18]'s experiment shows a demonstration on this. To get the four numbers of edges( $E_{N_LN_1}$ ,  $E_{N_2N_R}$ ,  $E_{N_LN_2}$  and  $E_{N_1N_R}$ ), we need to have the four vertex sets:  $N_L$ ,  $N_R$ ,  $N_1$  and  $N_2$  for each tree node. The  $N_L$  and  $N_R$  of each node in separator tree can be pre-collected, but the  $N_1$  and  $N_2$  need to be calculate locally when reach the node. In our project, we make some efforts to reduce the time to conduct this child-flipping processing: Let assume we have a node  $N_a$  in a separator tree with left child as  $N_b$  and right child as  $N_c$ , if we knew the  $N_1$  and  $N_2$  of  $N_a$  (denote as  $N_{1a}$  and  $N_{2a}$ ), and then

- for node b :  $N_{1b} = N_{1a}$ ,  $N_{2b} = N_{2a} \cup N_{Ra}$
- for node c :  $N_{1c} = N_{1a} \cup N_{La}$ ,  $N_{2c} = N_{2a}$

Having this general case, we can generate the  $N_1$  and  $N_2$  for each node during the child-flipping traversal via DFS. The  $N_L$  and  $N_R$  of each node in separator tree can be pre-collected via a post-order traversal along the edge separator tree, the vertex set of a tree node is formed by merging the two vertex sets of its children in sorted order. When needing to expand the current  $N_1$  or  $N_2$ , we include the new coming  $N_L$  or  $N_R$  as distinct sorted set instead of merging  $N_L$  into  $N_1$  or  $N_R$  into  $N_2$ . Note

that in term of a node, the size of  $N_L$  or  $N_R$  is always smaller than the size of  $N_1$  or  $N_2$ , respectively. We check an existing of an edge by performing binary on larger sorted set, all of above approaches improve the speed of child-flipping processing significantly.

### 3.3 Indexing Structures

We have already introduced how to encode a graph with good edge separator into difference adjacency table bounded by  $O(n)$  bits, but to access to the particular adjacency list for a particular vertex, we need to know the exact starting position in the bit sequence. Hence our representation requires a data structure that supports efficient select operations on the bit sequence. Note that, the space cost of the data structure should not exceed our space bound which is  $O(n)$ . Blandford *etal.* had proposed three kinds of indexing structure: *direct*, *semi-direct*, and *indirect* [18] to support efficient select queries. In addition to these three structures, we also implement other two indexing structures based on RRR and SD Vector, respectively, we will henceforth call these two RRR-based and SDV-based indexing structure. We will introduce all the five indexing structures in this section.

**Direct indexing structure.** The easiest way to access to the starting position of any adjacency list is to store an offset pointer for each vertex. Because our representation uses  $O(n)$  bits to store a separable graph, one offset pointer will use  $\Theta(\log(n))$  bits. For all vertexes, it will use  $n\Theta(\log(n))$  in total. If we want to locate the starting position of any vertex, only one memory access is needed, so this approach is fastest

among the five indexing structures. In our implementation, we allocate one word (32 bits) space for each vertex, hence the total space for this direct indexing structure is  $4n$  bytes.

**Semi-direct indexing structure.** This *semi-direct* indexing structure is very similar to the *direct* structure, but instead of allocating one-word space for each vertex, we use two words to store four offset values. Let say we have four vertexes  $v_n, v_{n+1}, v_{n+2}, v_{n+3}$ , The first word space will store the pointer value of  $v_n$ , and then use the second word to store three offset value  $v_{n+1}-v_n, v_{n+2}-v_n, v_{n+3}-v_n$ . If the space of the three offset values exceeds one-word bound, then store the offsets somewhere else, and the second word store a pointer pointed to them. Compared to the *direct* structure, if the vertex satisfies  $v_{id} \bmod 4 = 0$ , then it works exactly as same as *direct* structure, if not, it firstly gets the real offset by adding the corresponding offset to the first pointer value, then access the memory directly. If every four vertexes fit into two-word space, then this *semi-direct* structure would use half of the space used by the *direct* structure.

**Indirect indexing structure.** Note that the above two indexing structures use  $n\theta(\lg n)$ , which exceeds  $O(n)$  space bound. To limit the space cost within the bound, Blandford *etal.* implement the third structure: *indirect*, which use  $O(n)$  space meanwhile supports constant time on locating vertex. The component of the structure is shown in Figure 3.1: we first divide all vertexes into blocks with  $\log(n)$  vertexes in each, then we further divide each block into subblocks. Each subblock contains

a minimal number of vertexes whose indexing offset length reaches at least  $k \log(n)$  bits for some constant number  $k$ . We store a bit vector with  $\log(n)$  bits length for each block, if  $v_i$  is the first vertex in its subblock, then the  $(i \bmod \log(n))_{th}$  bit in the vector of its block is set to 1, otherwise 0. We only store the offset pointers of vertexes if their corresponding bits in bit vector are 1. This will require  $O(n)$  bits in total.

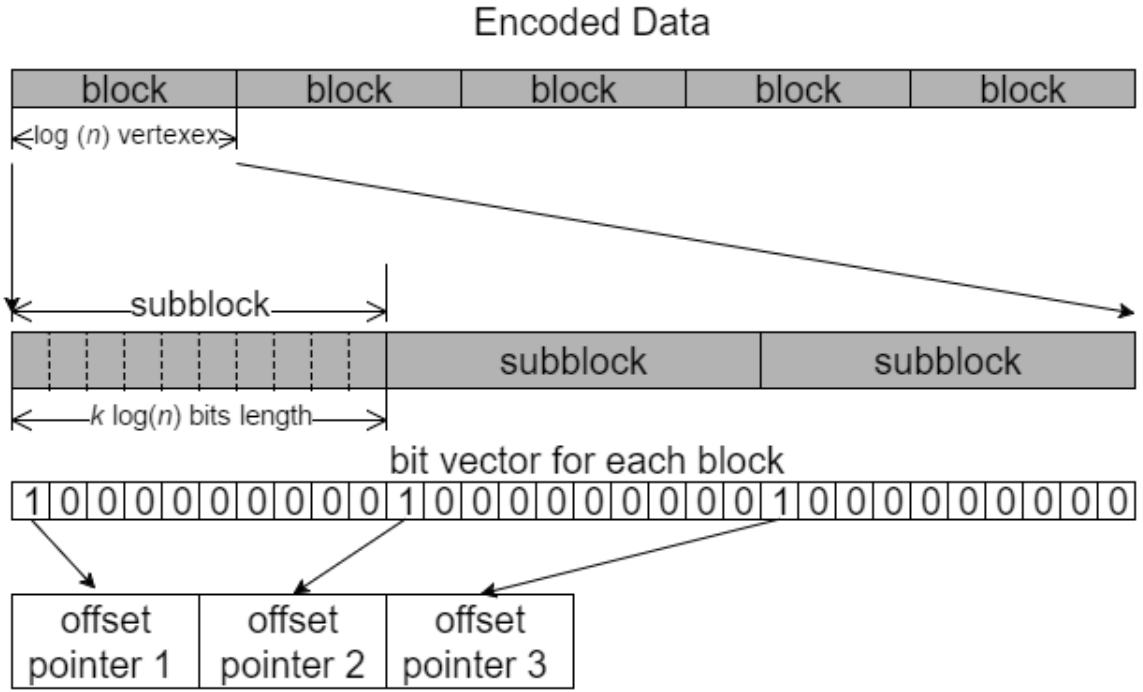


Figure 3.3: The architecture of the *indirect* indexing structure

To locate the starting position of a particular vertex, we first find which block the vertex is in by dividing the vertex label with  $\log(n)$  (number of vertexes in each block). Next, we check the bit vector of that block to find which subblock contains the vertex. Since we store the offset pointer for the vertexes which are the first one in their subblock, we can know the starting position of the target subblock, and then we

decode the subblock. Although we will get all the adjacency lists within the subblock if do so, the degree information of each vertex is also encoded, hence we can have the adjacency list of the target vertex easily. The bit vector of each block has  $\log(n)$  bits, each subblock is  $k \log(n)$  bits long, determining and decoding the subblock can be completed by using a lookup table, which uses a constant time to check  $\Theta(\log(n))$  bits.

**RRR-based indexing structure.** RRR is a succinct data structure which was first proposed by Raman *etal.* [22]. This data structure works on a bit sequence  $S[0, \dots, n)$  in such a way that provides rank and select operations in  $O(1)$  time at the price of  $nH_0(S) + o(n)$  space cost, where  $H_0(S)$  is the empirical zero-order entropy of the sequence. The RRR data structure divides the original bit sequence into several superblocks, then divide the superblocks further. For each block, it stores a number to indicate the number of ones or zeros in the block. The number would be used as a lookup key in a table. Besides that, it also stores an offset which indicates which of the blocks the number is in. The offset is also used as an index in the table. By grouping blocks into superblock, RRR can avoid iterating over each block to conduct a rank or select query.

RRR efficiently compresses a bit set if the set is very sparse populated, we take advantage of this feature of RRR and implement an indexing structure based on it. The original graph is encoded into a bit sequence by using gamma codes, and we know the length of this sequence. Hence we can maintain another bit set with the same

length as the encoded bit sequence, in which we set a bit to '1' if it is the starting point of an adjacency list, otherwise we set the bit to 0. By doing so, we get a bit set which is very sparse at value '1', and then we can build RRR bit vector on this bit set. To locate a particular vertex, we only need to conduct a *select* query on the RRR bit vector, and we will know its starting position in the encoded bit sequence in constant time. Since the encoded bit sequence is  $O(n)$  bits long, and for a  $n$ -bit sequence, RRR needs  $nH_0(S) + o(n)$  bits to support constant *rank* and *select* queries. We do not need to store additional information besides RRR structure, so the space cost will not exceed our bound.

**SD Vector-based indexing structure.** SD Vector is a bit vector that can compress very sparse populated bit vectors by representing the positions of 1 by the Elias [8] - Fano [10] representation for non-decreasing sequences. Given a bit set  $B[0, \dots, n-1]$  with  $m$  ones ( $m \ll n$ ), the SD Vector uses  $m \log n/m + 2m + o(m)$  [7] bits to store the bit set, meanwhile support constant time *rank* and *select* queries. The idea of SD Vector-based indexing structure is very similar to RRR-based indexing structure. We firstly maintain a bit sequence which has the same length as the encoded graph bit sequence, next we mark the bits which indicate the starting position of an adjacency list, then we build an SD Vector on this bit sequence. In order to locate the starting position of a particular vertex, we use the SD Vector to perform a *select* operation which will return the expected position.



## Chapter 4

### Experiments and Evaluation

After implementing our data structure by encoding a graph into an adjacency table as well as building an auxiliary indexing structure for supporting queries on the graph, we'd like to evaluate the performance of our implementation. In this chapter we will first describe the experimental setup which includes some our implementation detail in terms of succinct representation of bit vector, as well as our decoding approach. Then show and discuss our experiment result.

#### 4.1 Experiment Setup

**RRR and SD Vector.** As we introduced in Chapter 3, we take advantage of the features of succinct representation of bit vector to build two indexing structures: RRR-based and SD Vector-based. We implemented both RRR and SD Vector structures by using the succinct data structure API provided by Simon Gog [15], which presents a various of succinct representations including bit vector, Integer Vectors, Wavelet Trees, Compressed Suffix Arrays (CSA), etc. The API for RRR and SD Vector works when a bit set is provided, then it builds *select\_support\_type* or *rank\_support\_type* data structure on it. A *select* or *rank* operation then can be called by using member

functions within the *select\_support\_type* or *rank\_support\_type* objects.

**Decoding Mechanism.** Taking advantage of the feature of Random-Access-Machine that costs constant-time to perform operations on  $O(\lg n)$  bits, we can decode any  $O(\lg n)$ -bit words in constant time. One practical approach here is using a lookup table. In our project, the graph is Elias gamma encoded, to ensure a decoding operation on  $O(\lg n)$ -bits requires constant time, we implement a table to decode multiple gamma codes at once. For a fixed number of  $k_g$ , we create a table of size  $2^{k_g}$ , and use the numbers range from 0 to  $2^{k_g} - 1$  as indexes. Each entry in the table indicates a decoding result which is represented by a 32-bit word.

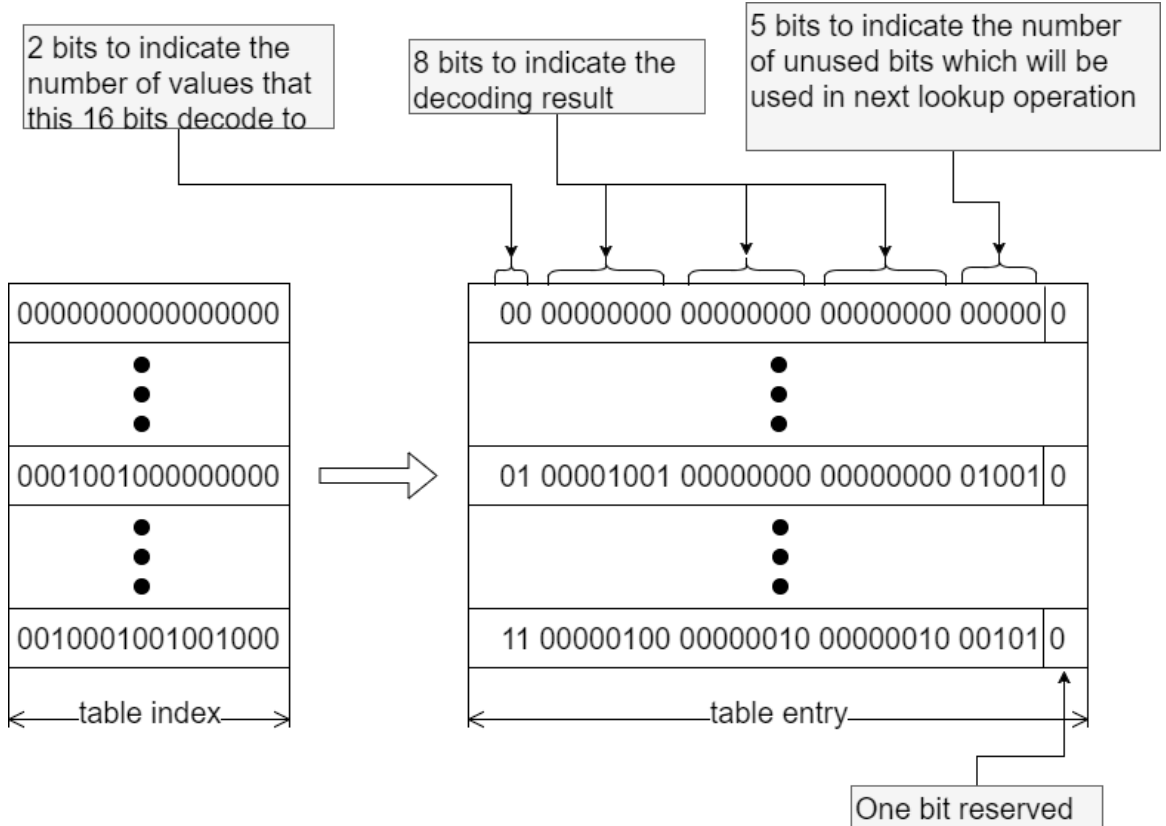


Figure 4.1: The working mechanism of the lookup table

The working mechanism of this lookup table is shown in Figure 4.1 (note that we set the  $k_g$  to 16, and limit the number of words decoded at once to 3 in our implementation): the first two bits indicate the number of values that this 16 bits decode to. If a bit block with size of 16 can not be directly decoded (e.g. the first case in the Figure 4.1, which is all zero), then the firstly two bits in the corresponding entry is set to 0, which means none of number can be decoded in this block. The next 24 bits ( $3_{rd} - 26_{th}$  bits) indicate the three potential decoding result (if the first two bits show that only one number can be decoded, then we only look into the  $3_{rd} - 11_{rd}$  bits). The next 5 bits ( $27_{th} - 31_{th}$  bits) show the number of unused bits which will be used in next lookup operation, and the last bit is unused. This makes each table entry fit into 32 bits. If the first two bits indicate that none value can be directed decoded by checking this table entry, then the sequence will be decoded explicitly (counting zeros then get the value).

**Test Graphs.** The test graph used in our experiment come from several source: two 3D Mesh graphs from an online Graph Partitioning Archive maintained by C. Walshaw [6], one graph from Scotch Graph Archive maintained by Dave Beckett [4], one graph from RIACS Graph Archive [2], two Gpu Layout Plugin graphs from Cytoscape online resource [25], and two BCS Structural Engineering Matrix graphs from Matrix Market [1]. All the test graphs are undirected graphs, a summary of general attributes of the graphs is shown in Table 4.1, giving the information about the numbers of vertexes and edges, max degree and graph source.

Table 4.1: Test graphs used in our experiment

Graph	Vertexes	Edges	Max Degree	Source
feocean	143437	409593	6	3D mesh [6]
m14b	214765	1679018	40	3D mesh [6]
febody	45087	163734	28	Scotch Graphs [4]
wave	156317	1059331	44	RIACS Grids [2]
598a	110971	741934	26	Cytoscape [25]
144	144649	1074393	26	Cytoscape [25]
bcsstk30	28924	1007284	218	BCS [1]
bcsstk31	35588	572914	188	BCS [1]

## 4.2 Experiment Result

The experiments were conducted on a Linux server containing 32 Intel Xeon E5-2650 @ 2.00GHz cores and 256 Gigabytes main memory. We present each edge in undirected graphs in both directions, which means the space cost measured by bits per edge is reported for each direction. Our experiment focus on three parameters of concern: the time to construct our data structure, the time to perform queries on graph and the space needed to encode the graph into adjacency table. The space cost of our data structure consists of the space for adjacency table, and the space for the indexing structure. There are a trade-off between the time to complete the construction and the space for encoding the adjacency table caused by whether conduct the child-flipping process, as well as a trade-off between the space for the indexing data structure and the time to perform queries on graphs resulted by applying different indexing structures. Our experiments present demonstration on these trade-offs.

Table 4.2 shows the time cost of constructing the compact data structure and the space needed to encoding the adjacency table. We can see that the child-flipping

Table 4.2: The performance of compact mechanisms. Time is in seconds and space is in bits per edge for encoding the edges

Graph	METIS		METIS-CF		Degree
	Time	Space	Time	Space	
feocean	55.69	8.68	59.51	8.27	0.86
m14b	129.3	5.21	155.37	5.00	0.51
febody	6.33	4.64	7.2	4.25	0.82
wave	77.4	5.76	86.52	5.57	0.55
598a	39.06	5.45	52.33	5.21	0.54
144	67.09	5.32	84.33	5.13	0.52
bcsstk30	7.89	2.29	12.3	2.24	0.17
bcsstk31	6.61	2.98	9.02	2.89	0.30

causes additional time cost as a heuristic postprocessing phase, but do reduce the space cost of the adjacency table (save at most 8% space on the febody graph, and at least 2.5% space on the bcsstk30 graph). The degree space for each graph is listed separately, because the child-flipping process will not change the degree of each vertex, and the number of bits to encode a number is fixed (use  $2\lfloor \log d \rfloor + 1$  bits to encode a degree  $d$  by using Elias gamma coding).

The indexing structures were built based on the ordering result after the child-flipping process. Table 4.3 illustrates the space cost of each indexing structure, while Table 4.4 illustrates the time cost to perform a Bread-first search(BFS) in the graph by applying those indexing structures on the encoded adjacency table. The reason why we use BFS to measure the performance is because it requires visiting all the edges, which makes it a reasonable measure for our data structure. The BFS algorithm starts at some arbitrary vertex as the root (we use the  $1_{st}$  vertex after renumbering in our project) and explores the neighbour nodes first, before moving to the next level neighbours, with a cost of time  $\Theta(|V| + |E|)$ .

We also compared the performance of our representation to that of an array-based adjacency list graph representation. The array-based adjacency list representation store all the neighbours of each vertex contiguously in a large array with the neighbour lists place one after the other. It also require an array to store the index of each vertex. Therefore, it use one 32-bit word to present an edge and one 32-bit word as the index of one vertex, taking  $8|E|$  bytes ( $2 \times 32 \times |E|$  bits) space for list and  $4|V|$  bytes for indexes in total. For the BFS algorithm on any types of indexing structures, we use one bit flag to indicate whether a vertex has been visited.

Table 4.3: The space cost of different indexing structures. Space is in bits per edge.

Graph	Direct	Semi	Indirect	RRR	SD Vector
feocean	5.63	2.81	0.84	2.03	1.59
m14b	2.05	1.02	0.42	1.01	0.64
febody	4.46	2.23	0.54	1.33	1.31
wave	2.36	1.18	0.46	1.14	0.72
598a	2.39	1.19	0.47	1.10	0.77
144	2.15	1.07	0.43	1.05	0.67
bcsstk30	0.46	0.23	0.12	0.35	0.16
bcsstk31	0.93	0.46	0.21	0.54	0.35

The experimental result shows that the *direct* and *semidirect* indexing structures give the fastest query time, but still slower than the array-based adjacency list representation. It's not surprising because the array-based representation has good spacial locality, which means the edges of a vertex are adjacent in memory and be loaded into cache as an array. In addition to that, the array-based representation does not need to decode the list, hence the array-based representation is faster than others. The *semi – direct* indexing structure uses half of the space when compared to *direct* indexing structure while requiring little extra time on query. *Indirect* indexing

structure shows good space usage but it is not very efficient in query, because it needs to decode a "subblock" rather than an adjacency list. The SD Vector-based structure outperforms the RRR-based structure in both space usage and time cost in all the test graphs, but since we are using the API provided by a third-party to construct RRR and SD Vector on bit set, we can not simply say the RRR structure show worse performance than SD Vector when applied on our indexing structures.

Table 4.4: The time performances of different indexing structures to conduct a BFS, the time cost is measured by millisecond

Graph	Array	Direct	Semi	Indirect	RRR	SD Vector
feocean	13.6	31.6	34.9	97.2	103.3	60.8
m14b	36.2	88.5	93.1	216.8	251.6	130.7
febody	2.5	7.3	7.9	27.7	33.7	13.8
wave	19.9	60.8	66.1	144.8	176.2	95.1
598a	14.5	42.1	45.9	102.8	115.0	65.6
144	19.2	60.0	64.7	111.2	130.4	87.9
bcsstk30	4.2	24.9	26.8	37.0	40.9	29.3
bcsstk31	3.7	20.2	20.7	35.4	39.2	27.9

Table 4.5 illustrates a summary of performance of different indexing structures associated with the encoded adjacency table built after child-flipping process. The space for each mechanism contains the space for the encoded adjacency list, the space for degree and the space for the indexing structure. We can see that the *direct* indexing structure gives the fastest query speed while requiring the biggest space, *indirect* indexing structure use least space while needing more time on query, the SD Vector-based indexing structure presents a good trade-off between the space usage and query efficiency.

Table 4.5: Summary of space and time performance. Space is in bits per edge and time is in millisecond

	Array		Metis/Di		Metis/Se		Metis/Ind		Metis/RRR		Metis/SD_V	
	$T$	$S$	$T$	$S$	$T$	$S$	$T$	$S$	$T$	$S$	$T$	$S$
feo*	13.6	37.6	31.6	14.8	34.9	11.9	97.2	9.8	103.3	11.0	60.8	10.6
m1*	36.2	34.1	88.5	7.6	93.1	6.53	216.8	5.9	251.6	6.52	130.7	6.1
feb*	2.5	36.4	7.3	9.5	7.9	7.3	27.7	5.6	33.7	6.4	13.8	6.4
wa*	19.9	34.3	60.8	8.5	66.1	7.3	144.8	6.5	176.2	7.2	95.1	6.8
59*	14.5	34.4	42.1	8.1	45.9	6.9	102.8	6.2	115.0	6.8	65.6	6.5
144	19.2	34.1	60.0	7.8	64.7	6.7	111.2	6.1	130.4	6.7	87.9	6.3
b0*	4.2	32.4	24.9	2.8	26.8	2.6	37.0	2.5	40.9	2.7	29.3	2.5
b1*	3.7	33.0	20.7	4.2	20.7	3.6	35.4	3.4	39.2	3.7	27.9	3.5



## Chapter 5

### Conclusion and Future Work

In this project, we focused on how to represent a separable graph compactly while supporting efficient query. Our theoretical basis came from a previous work conducted by Blandford *et al.* [18], which provided the theory of using vertex separators to compactly represent the graph. The representation takes  $O(n)$  bits, meanwhile using constant time on degree or adjacency query, and neighbour listing for one vertex in constant time per neighbour.

We first made a survey on the researches related to succinct data structure on planar graph, as well as some succinct representation on bit vector like RRR. Then focused on the representation of separable graph.

Next, we used the METIS\_API to partition the graph by removing some amount of edges recursively, and construct the edge separator tree for the graph. To reduce the space of difference encoding, we conducted a heuristic postprocessing phase called "child-flipping" on the edge separator tree. We used Elias gamma codes to encode the adjacency list of each vertex, and concatenated all the adjacency lists to an adjacency table.

Then we implemented several indexing structures to support adjacency queries, degree queries and neighbourhood queries in constant time. Besides the three indexing structure mentioned in Blandford's work, we implemented other two structure based on succinct representation on bit vector.

Finally we conducted some experiments to measure the performance of our representation. The experiments demonstrated the trade-off between the space cost to encode the adjacency table and the time to construct the data structure, as well as the trade-off between the space cost and time of queries by different indexing structures. Compared to the array-based adjacency list representation, our implementation reduces space usage by almost an order of magnitude, while supporting Bread-first-search in acceptable running time.

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For the future work, we intend to implement the separator tree by using the vertex separator, and apply different coding schemes like delta [8], Huffman coding, etc. Besides that, we can try to design and implement more indexing structures to investigate more about the time/space trade-off.

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