

## LECTURE 14

In this lecture, we give a brief introduction to stable homotopy theory and spectra.

From this lecture on, we use the notation

$$\mathbf{Spc} := \mathbf{Grpd}_\infty.$$

### 1. STABLE HOMOTOPY GROUPS

1.1. Let  $\mathbf{Top}_*$  be the ordinary category of pointed spaces. There is an adjunction

$$\Sigma : \mathbf{Top}_* \rightleftarrows \mathbf{Top}_* : \Omega,$$

where

- The left adjoint  $\Sigma$  is the **(based) suspension functor** given by

$$\Sigma X := \mathbb{S}^1 \wedge X := (\mathbb{S}^1 \times X) / ((\{*\} \times X) \cup (\mathbb{S}^1 \times \{*\})).$$

- The right adjoint  $\Omega$  is the **loop functor** given by

$$\Omega Y := \underline{\mathbf{Hom}}_{\mathbf{Top}_*}(\mathbb{S}^1, Y),$$

where the RHS is equipped with the *compact-open topology*.

1.2. In fact, this adjunction is compatible with Quillen's classical model structure<sup>1</sup>. Taking derived functors, we obtain an adjunction

$$(1.1) \quad \mathbb{L}\Sigma : \mathbf{hTop}_* \rightleftarrows \mathbf{hTop}_* : \mathbb{R}\Omega.$$

Since pointed CW complexes are bifibrant, we have

$$[\Sigma X, Y] \simeq [X, \Omega Y]$$

where  $[-, -]$  is the set of homotopy classes of continuous maps.

**Exercise 1.3.** Show that  $\Sigma \mathbb{S}^n \simeq \mathbb{S}^{n+1}$ .

**Exercise 1.4.** For  $Y \in \mathbf{Top}_*$ , there is a canonical isomorphism  $\pi_{n+1}(Y) \simeq \pi_n(\Omega Y)$  where the group structure on the RHS is induced by the concatenation map  $\Omega Y \times \Omega Y \rightarrow \Omega Y$ .

---

*Date:* Nov. 8, 2024.

<sup>1</sup>For this to be true, we have to replace  $\mathbf{Top}$  by the category of *compactly generated topological spaces* (to make sure it is Cartesian closed). Any CW complex is compactly generated.

1.5. For pointed CW complexes  $X$  and  $Y$ , define

$$[X, Y]_s := \operatorname{colim}_k [\Sigma^k X, \Sigma^k Y].$$

**Exercise 1.6.** Show that  $[X, Y]_s$  is naturally an abelian group. Hint:

$$[\Sigma^{k+2} X, \Sigma^{k+2} Y] \simeq [\Sigma^k X, \Omega^2 \Sigma^{k+2} Y].$$

**Definition 1.7.** Let  $Y$  be a pointed CW complex, the  $n$ -th **stable homotopy group** of  $Y$  is defined to be

$$\pi_n^s(Y) := \operatorname{colim}_k \pi_{n+k}(\Sigma^k Y).$$

**Example 1.8.** The group  $\pi_n(\mathbb{S}) := \pi_n^s(\mathbb{S}^0)$  is called the  $n$ -th stable homotopy group of the sphere (spectrum). Up to today, people have calculated them for  $n \leq 90$ .

1.9. **Stable homotopy theory** studies the stable homotopy groups of spaces, and more generally, the limit behavior of various homotopy invariants under the suspension functor  $\Sigma^k$ ,  $k \rightarrow \infty$ . In contrast, the usual homotopy theory is referred as the **unstable homotopy theory**. Our guiding philosophy is

**Slogan 1.10.** Stable homotopy theory is the linearization of unstable homotopy theory:

$$\text{stable homotopy theory} = \text{linear algebra in homotopy theory}.$$

## 2. SPECTRA

2.1. In previous lectures, we have explained the following philosophy. In order to capture all the homotopy invariant information in **Top**, we need to work with the  $\infty$ -category **Spc** of spaces rather than its homotopy 1-category  $\mathbf{hSpc} \simeq \mathbf{hTop}$ . Similarly, the homotopy invariant information of *pointed* spaces should be captured by the coslice  $\infty$ -category

$$\mathbf{Spc}_* := \mathbf{Spc}_{\{*\}}/.$$

It follows that the “correct” playground for *stable* homotopy theory should be an  $\infty$ -categorical *stabilization* or *linearization*  $\mathbf{Spc}_*$ . For instance, we hope for (good) objects  $X, Y \in \mathbf{Spc}_*$ , the corresponding mapping space in this stabilized  $\infty$ -category is given by

$$\operatorname{colim}_k \operatorname{Maps}_{\mathbf{Spc}_*}(\Sigma^k X, \Sigma^k Y).$$

Let us first define the  $\infty$ -categorical version of  $\Sigma$  and  $\Omega$ .

**Definition 2.2.** We say an  $\infty$ -category  $\mathcal{C}$  is **pointed** if it admits an object  $0 \in \mathcal{C}$  which is both initial and final. We call it the **zero object** of  $\mathcal{C}$ .

**Exercise 2.3.** Let  $\mathcal{C}$  be an  $\infty$ -category that admits a final object  $*$ , show that  $\mathcal{C}_*/$  is pointed. In particular,  $\mathbf{Spc}_*$  is pointed.

**Definition 2.4.** Let  $\mathcal{C}$  be a pointed  $\infty$ -category that admits finite colimits. The **suspension functor** on  $\mathcal{C}$  is defined as

$$\Sigma : \mathcal{C} \rightarrow \mathcal{C}, X \mapsto 0 \sqcup_X 0.$$

**Definition 2.5.** Let  $\mathcal{C}$  be a pointed  $\infty$ -category that admits finite limits. The **loop functor** on  $\mathcal{C}$  is defined as

$$\Omega : \mathcal{C} \rightarrow \mathcal{C}, Y \mapsto 0 \times_Y 0.$$

**Exercise 2.6.** Let  $\mathcal{C}$  be a pointed  $\infty$ -category that admits both finite limits and colimits. Construct an adjunction:

$$\Sigma : \mathcal{C} \rightleftarrows \mathcal{C} : \Omega.$$

**Exercise 2.7.** For  $\mathcal{C} := \mathbf{Spc}_*$ , the above adjunction induces an adjunction for homotopy categories:

$$\mathbf{h}\Sigma : \mathbf{hSpc}_* \rightleftarrows \mathbf{hSpc}_* : \mathbf{h}\Omega.$$

Show that this adjunction can be identified with (1.1) via the equivalence  $\mathbf{hSpc}_* \simeq \mathbf{hTop}_*$ .

2.8. The construction

$$\mathbf{Maps}(-, -) \mapsto \operatorname{colim}_k \mathbf{Maps}(\Sigma^k(-), \Sigma^k(-)).$$

can be viewed as formally inverting the functor  $\Sigma$ .

**Exercise 2.9.** Let  $A$  be a commutative ring and  $f \in A$  be an element. Show that

$$A_f \simeq \operatorname{colim} [A \xrightarrow{f} A \xrightarrow{f} \dots]$$

2.10. Let  $\mathcal{C}$  be a pointed  $\infty$ -category that admits both finite limits and colimits. Motivated by the above construction, we would like to define the stabilization of  $\mathcal{C}$  to be

$$\operatorname{colim} [\mathcal{C} \xrightarrow{\Sigma} \mathcal{C} \xrightarrow{\Sigma} \dots].$$

However, we need to be careful about where this colimit is taken inside. For instance, when  $\mathcal{C}$  is presentable, such as  $\mathbf{Spc}_*$ , we would like to obtain a presentable  $\infty$ -category.

**Exercise 2.11.** Let  $\mathcal{C}$  be a pointed presentable  $\infty$ -category. Show that the colimit

$$\operatorname{colim} [\mathcal{C} \xrightarrow{\Sigma} \mathcal{C} \xrightarrow{\Sigma} \dots] \in \mathbf{Pr}^{\mathbf{L}}$$

corresponds to the limit

$$\lim [\mathcal{C} \xleftarrow{\Omega} \mathcal{C} \xleftarrow{\Omega} \dots] \in \mathbf{Pr}^{\mathbf{R}}$$

via  $\mathbf{Pr}^{\mathbf{L}} \simeq (\mathbf{Pr}^{\mathbf{R}})^{\operatorname{op}}$ .

2.12. Recall limits in  $\mathbf{Pr}^{\mathbf{R}}$  can be calculated as limits in  $\widehat{\mathbf{Cat}}_{\infty}$ . This motivates the following definition.

**Definition 2.13.** Let  $\mathcal{C}$  be a pointed  $\infty$ -category that admits finite limits. Define

$$\mathbf{Sptr}(\mathcal{C}) := \lim [\mathcal{C} \xleftarrow{\Omega} \mathcal{C} \xleftarrow{\Omega} \dots]$$

and call it the  $\infty$ -category of **spectrum objects** of  $\mathcal{C}$ . We denote the evaluating morphism for the  $(k+1)$ -term by

$$\Omega^{\infty-k} : \mathbf{Sptr}(\mathcal{C}) \rightarrow \mathcal{C}.$$

**Example 2.14.** For  $\mathcal{C} := \mathbf{Spc}_*$ , write

$$\mathbf{Sptr} := \mathbf{Sptr}(\mathbf{Spc}_*)$$

and call it the  $\infty$ -category of **spectra**.

**Exercise 2.15.** Show that  $\Omega : \mathcal{C} \rightarrow \mathcal{C}$  preserves finite limits. Deduce that  $\mathbf{Sptr}(\mathcal{C})$  admits finite limits and the functors  $\Omega^{\infty-k}$  preserve and detect them.

**Exercise 2.16.** Show that  $\mathbf{Sptr}(\mathcal{C})$  is pointed.

**Exercise 2.17.** Let  $\Omega_{\mathrm{Sptr}(\mathcal{C})}$  be the loop functor on  $\mathrm{Sptr}(\mathcal{C})$ . Show that

$$\Omega^{\infty-k} \circ \Omega_{\mathrm{Sptr}(\mathcal{C})}(E) \simeq \Omega^{\infty-k+1}(E).$$

Deduce that  $\Omega_{\mathrm{Sptr}(\mathcal{C})}$  is an equivalence. *Hint:*

$$\begin{array}{ccccc} \mathcal{C} & \xleftarrow{\Omega} & \mathcal{C} & \xleftarrow{\Omega} & \dots \\ \downarrow \Omega & & \downarrow \Omega & & \\ \mathcal{C} & \xleftarrow{\Omega} & \mathcal{C} & \xleftarrow{\Omega} & \dots \end{array}$$

**Exercise 2.18.** Show that

$$\Omega^{\infty-k} : \mathrm{Sptr}(\mathrm{Sptr}(\mathcal{C})) \rightarrow \mathrm{Sptr}(\mathcal{C}).$$

is an equivalence.

**Remark 2.19.** In the next lecture, we will define and study **stable  $\infty$ -categories**, which are exactly those pointed  $\infty$ -category admitting finite limits such that  $\Omega$  is an equivalence.

**Exercise 2.20.** Show that  $\mathrm{hSptr}(\mathcal{C})$  is an additive category. *Hint:*

$$\mathrm{Maps}_{\mathrm{Sptr}(\mathcal{C})}(E, E') \simeq \Omega^2 \mathrm{Maps}_{\mathrm{Sptr}(\mathcal{C})}(E, \Sigma^2 E').$$

### 3. SPECTRA AND INFINITE LOOP SPACES

3.1. Informally speaking, knowing an object  $X \in \mathrm{Sptr}(\mathcal{C})$  is equivalent to knowing the following datum

- For any  $n \geq 0$ , an object  $X_n \in \mathcal{C}$ ;
- For any  $n \geq 0$ , an equivalence  $X_n \simeq \Omega X_{n+1}$ .

Here we take  $X_n$  to be  $\Omega^{\infty-k} X$ .

Note that  $X_{n+1}$ , equipped with the equivalence  $X_n \simeq \Omega X_{n+1}$ , gives a **delooping** of  $X_n$ . As a consequence, we obtain the following slogan.

**Slogan 3.2.** A spectrum is a space **equipped** with infinite deloopings.

**Warning 3.3.** For a space  $Y \in \mathrm{Spc}_*$ , its delooping is not unique even up to homotopy. Hence in above, it is crucial to remember all the deloopings.

3.4. Note that a loop space  $\Omega Z$  is equipped with a homotopy coherent multiplicative structure, which makes  $\pi_0(\Omega Z)$  an abstract group. In future lectures, we will rigorously define such a structure, and call it a *grouplike  $\mathbb{E}_1$ -structure*. Moreover, given a grouplike  $\mathbb{E}_1$ -space  $Y$ , there is an essentially unique connected delooping of  $Y$ , denoted by  $\mathbb{B}Y$ , such that  $Y \simeq \Omega \mathbb{B}Y$  is compatible with the grouplike  $\mathbb{E}_1$ -structures.

Moreover, we will generalize the above to iterated loop spaces  $\Omega^n Z$  and *grouplike  $\mathbb{E}_n$ -spaces*. In fact, this even works for  $n = \infty$ , and we will explain the following slogan.

**Slogan 3.5.** A connective spectrum<sup>2</sup> is a grouplike  $\mathbb{E}_\infty$ -space.

<sup>2</sup>We say a spectrum  $E \in \mathrm{Sptr}$  is **connective** if  $\pi_n E \simeq 0$  for  $n < 0$ . See Definition 4.7 below.

## 4. SPACES VS. SPECTRA

4.1. In this section, we focus on the case when  $\mathbf{C}$  is pointed and presentable, such as  $\mathbf{C} := \mathbf{Spc}_*$ . By definition, we have a colimit diagram

$$[\mathbf{C} \xrightarrow{\Sigma} \mathbf{C} \xrightarrow{\Sigma} \dots] \rightarrow \mathbf{Sptr}(\mathbf{C}) \in \mathbf{Pr}^{\mathbf{L}}$$

and a limit diagram

$$[\mathbf{C} \xleftarrow{\Omega} \mathbf{C} \xleftarrow{\Omega} \dots] \leftarrow \mathbf{Sptr}(\mathbf{C}) \in \mathbf{Pr}^{\mathbf{R}}.$$

It follows that we have an adjunction

$$\Sigma^{\infty-k} : \mathbf{C} \rightleftarrows \mathbf{Sptr}(\mathbf{C}) : \Omega^{\infty-k}$$

with  $\Sigma^{\infty-k}$  given by the evaluating morphism for the  $(k+1)$ -term.

**Example 4.2.** *The object*

$$\mathbb{S} := \Sigma^{\infty} \mathbb{S}^0 \in \mathbf{Sptr}$$

*is called the **sphere spectrum**. It plays the role of  $\mathbb{Z}$  in homotopical algebra.*

**Example 4.3.** *Let  $A$  be an abstract abelian group. For each  $n$ , choose an Eilenberg–MacLane space  $K(A, n)$ , which is characterized up to homotopy by  $\pi_n K(A, n) \simeq A$  and  $\pi_m K(A, n) \simeq 0$  for  $m \neq n$ . We can also choose weak homotopy equivalences*

$$K(A, n) \xrightarrow{\sim} \Omega K(A, n+1).$$

*These choices give an object  $\mathbb{H}A \in \mathbf{Sptr}$ , which is well-defined up to homotopy. We call it an **Eilenberg–MacLane spectrum** for  $A$ .*

**Remark 4.4.** *In future lectures, we will characterize  $\mathbb{H}A$  up to a contractible space of choices.*

**Exercise 4.5.** *Let  $E \in \mathbf{Sptr}(\mathbf{C})$ , show that*

$$\operatorname{colim}_k \Sigma^{\infty-k} \Omega^{\infty-k} E \xrightarrow{\sim} E.$$

**Exercise 4.6.** *Suppose  $\mathbf{C}$  is compactly generated, show that for any  $X \in \mathbf{C}$  and  $j \geq 0$ ,*

$$\operatorname{colim}_{k \geq j} \Omega^{k-j} \Sigma^k X \xrightarrow{\sim} \Omega^{\infty-j} \Sigma^{\infty} X.$$

*Deduce that if  $X \in \mathbf{C}$  is compact, then for any  $Y \in \mathbf{C}$ , we have*

$$\operatorname{Maps}_{\mathbf{Sptr}(\mathbf{C})}(\Sigma^{\infty} X, \Sigma^{\infty} Y) \simeq \operatorname{colim}_k \operatorname{Maps}_{\mathbf{C}}(\Sigma^k X, \Sigma^k Y).$$

**Definition 4.7.** *Let  $E \in \mathbf{Sptr}$  be a spectrum. For any  $n \in \mathbb{Z}$ , we define the  **$n$ -th homotopy group** of  $E$  to be*

$$\pi_n(E) := \pi_0 \operatorname{Maps}(\mathbb{S}, \Omega^n E),$$

*where  $\Omega^n := \Sigma^{-n}$  for  $n < 0$ .*

**Remark 4.8.**  $\pi_n(E)$  *is an abelian group because  $\mathbf{hSptr}$  is additive.*

**Exercise 4.9.** *For  $Y \in \mathbf{Spc}_*$ , show that*

$$\pi_n(\Sigma^{\infty} Y) \simeq \pi_n^{\mathbb{S}}(Y).$$

*In particular, it vanishes for  $n < 0$ .*

**Remark 4.10.** The above exercise implies all the stable homotopy groups of the spheres are encoded as the usual homotopy groups of the space  $\text{Maps}_{\text{Sptr}}(\mathbb{S}, \mathbb{S})$ . Note that this space admits a homotopy coherent multiplication structure<sup>3</sup>.

**Exercise 4.11.** Let  $E \in \text{Sptr}$  be a spectrum. Show that  $\Omega^\infty E \simeq \{*\}$  iff  $\pi_n E \simeq 0$  for  $n \geq 0$ .

## 5. FINITE SPECTRA

**Exercise 5.1.** Let  $\mathcal{C} := \text{Ind}(\mathcal{C}_0)$  be the ind-completion of an essentially small pointed  $\infty$ -category that admits finite limits and colimits. Show that

$$\text{Sptr}(\mathcal{C}) \simeq \text{Ind}(\text{colim} [C_0 \xrightarrow{\Sigma} C_0 \xrightarrow{\Sigma} C_0 \cdots]),$$

where the colimit is taken inside  $\text{Cat}_\infty$ . Deduce that  $\text{Sptr}(\mathcal{C})$  is compactly generated.

**Example 5.2.** For  $\mathcal{C} = \text{Spc}_*$ , we can take  $\mathcal{C}_0 := \text{Spc}_*^{\text{fin}}$ , where  $\text{Spc}^{\text{fin}} \subset \text{Spc}$  is the smallest full sub- $\infty$ -category that contains  $*$  and admits all finite colimits<sup>4</sup>. Write

$$\text{Sptr}^{\text{fin}} := \text{colim} [\text{Spc}_*^{\text{fin}} \xrightarrow{\Sigma} \text{Spc}_*^{\text{fin}} \xrightarrow{\Sigma} \text{Spc}_*^{\text{fin}} \cdots]$$

and call it the  $\infty$ -category of **finite spectra**. We obtain an equivalence

$$\text{Ind}(\text{Sptr}^{\text{fin}}) \simeq \text{Sptr},$$

which allows us to identify  $\text{Sptr}^{\text{fin}}$  as a full sub- $\infty$ -category of  $\text{Sptr}$ .

**Theorem 5.3.** We have<sup>5</sup>  $\text{Sptr}^{\text{fin}} \simeq \text{Sptr}^{\text{cpt}}$ .

## APPENDIX A. SPECTRA AND COHOMOLOGY THEORIES

**Construction A.1.** Let  $E \in \text{Sptr}$  be a spectrum. For any CW pair  $(X, Y)$ , define

$$E^n(X, Y) := \pi_{-n}(\text{Maps}(\Sigma^\infty(X/Y), E)).$$

Write  $E^n(X) := E^n(X, \emptyset)$ .

**Exercise A.2.** For any CW pair  $(X, Y)$ , construct a long exact sequence

$$\cdots E^n(X, Y) \rightarrow E^n(X) \rightarrow E^n(Y) \rightarrow E^{n+1}(X, Y) \rightarrow E^{n+1}(X) \rightarrow E^{n+1}(Y) \rightarrow \cdots$$

**Exercise A.3.** Assign a (**generalized**) **cohomology theory** (on CW pairs) to a spectrum  $E$ . What do you get for  $E := \mathbb{H}A$  or  $\mathbb{S}$ ?

**Exercise A.4.** Show that any cohomology theory is represented (in the above sense) by a spectrum, which is unique up to homotopy.

**Warning A.5.** Nonzero morphisms between spectra could induce zero transformations between cohomology theories. Such maps are called **phantom maps**. See this [MathOverflow question](#).

**Remark A.6.** We also have similar story for homology theories. However, such construction uses the smash products on spectra, which we have not defined yet.

**A.7. Suggested readings.** HA.1.4.1.

<sup>3</sup>We have not yet defined what this means!

<sup>4</sup>An object is contained in  $\text{Spc}^{\text{fin}}$  iff it can be represented by a finite CW complex.

<sup>5</sup>This result is well-known but currently I am not able to find a reference written in modern language.