

## LECTURE 8

### 1. HECKE ALGEBRAS AND KAZHDAN–LUSZTIG CONJECTURE

Last time we introduced the Verma–BGG theorem, which gives a complete answer to when  $[M_\lambda : L_\mu] \neq 0$  and  $M_\mu \subset M_\lambda$ . One could be more ambitious and ask the following question:

**Question 1.** *Can we find a formula or an algorithm that calculates the multiplicities  $[M_\lambda : L_\mu]$  for any  $\lambda, \mu$ ?*

The climax of this study was when Kazhdan–Lusztig proposed their famous conjecture in 1979, soon followed by independent proofs given by Beilinson–Bernstein and Brylinski–Kashiwara using a same *geometric* method. This method received the name *localization theory*, and marked the birth of the subject called *geometric representation theory*<sup>1</sup>. The ultimate goal of this course is to introduce this localization theory.

Roughly speaking, the KL conjecture says:

**Conjecture 1.** *In the principle block  $\mathcal{O}_0$ , the multiplicities  $[M_\lambda : L_\mu]$  can be calculated using combinatorial data associated to the Hecke algebra of  $W$ .*

Let us first define the Hecke algebra of  $W$ , which plays a significant role in modern representation theory. We will only introduce the basics. There are many good references for this subject, and I would recommend [EMTW, Sect. 3].

Recall the Weyl group  $W$  can be generated by simple reflections  $s \in S$  subject to the following relations

- (Order 2) For any  $s \in S$ ,  $s^2 = 1$ ;
- (Braid relation) For any  $s \neq t \in S$ ,

$$\underbrace{sts \cdots}_{m_{st}} = \underbrace{tst \cdots}_{m_{st}},$$

where  $m_{st} \in \{2, 3, 4, 6\}$ .

It follows that the group algebra  $\mathbb{Z}W$  is generated by similar generators and relations over  $\mathbb{Z}$ . Roughly speaking, the Hecke algebra  $\mathcal{H}$  of  $W$  is a deformation of the group algebra  $\mathbb{Z}W$  using an indeterminate  $q$  as parameter, where we keep the braid relation but change the order 2 requirement. For reasons I cannot fully explain,  $q = 0$  is not allowed. For reasons I do not want to explain *now*, it is more convenient to use  $v := q^{-1/2}$  as the indeterminate. In other words, the base of this deformation is  $\text{Spec}(A)$  for  $A = \mathbb{Z}[v^\pm] = \mathbb{Z}[q^{\pm 1/2}]$ .

**Definition 2.** The **Hecke algebra**  $\mathcal{H} := \mathcal{H}(W)$  is the (unital) associative algebra over  $\mathbb{Z}[v^\pm]$  generated by the symbols  $\{\delta_s \mid s \in S\}$  subject to the following relations:

- (Quadratic relation) For any  $s \in S$ ,  $(\delta_s - v^{-1})(\delta_s + v) = 0$ .

---

*Date:* Apr 15, 2024.

<sup>1</sup>When KL made their conjecture, they were inspired by Springer’s geometric theory on representations of the Weyl group, published a few years ago. Kostant also made many pioneer works on the geometry of adjoint orbits.

- (Braid relation) For any  $s \neq t \in S$ ,

$$\underbrace{\delta_s \delta_t \delta_s \cdots}_{m_{st}} = \underbrace{\delta_t \delta_s \delta_t \cdots}_{m_{st}}.$$

**Warning 3.** There is another set of conventions, where the quadratic relation is  $(\delta_s - q)(\delta_s + 1) = 0$ . These two conventions define equivalent algebras via the change of variables  $\delta_s \mapsto q^{-1/2} \delta_s$ . Beware of this issue when comparing the literatures.

**Proposition-Definition 4.** For any  $w \in W$ , choose a reduced expression  $w = s_1 s_2 \cdots s_{\ell(w)}$  and define

$$\delta_w := \delta_{s_1} \delta_{s_2} \cdots \delta_{s_{\ell(w)}}.$$

Then  $\delta_w \in \mathcal{H}$  does not depend on the choice of the reduced expression, and  $\{\delta_w\}_{w \in W}$  is a free basis of  $\mathcal{H}$  as a  $\mathbb{Z}[v^\pm]$ -module. We call it the **standard basis** of the Hecke algebra  $\mathcal{H}$ .

*Remark 5.* Taking  $v = 1$ , i.e., taking the tensor product  $\mathcal{H} \otimes_{\mathbb{Z}[v^\pm]} (\mathbb{Z}[v^\pm]/(v - 1))$ , we recover the group algebra  $\mathbb{Z}W$ , and the image of the standard basis is the obvious basis of  $\mathbb{Z}W$ .

*Exercise 6.* This is **Homework 4, Problem 1**. Prove:

- (1) For  $w, w' \in W$  such that  $\ell(w) + \ell(w') = \ell(ww')$ , we have

$$\delta_w \delta_{w'} = \delta_{ww'}.$$

- (2) For  $w \in W$  and  $s \in S$ , we have

$$\delta_w \delta_s = \begin{cases} \delta_{ws} & \text{if } w < ws, \\ (v^{-1} - v)\delta_w + \delta_{ws} & \text{if } w > ws, \end{cases}$$

and

$$\delta_s \delta_w = \begin{cases} \delta_{sw} & \text{if } w < sw, \\ (v^{-1} - v)\delta_w + \delta_{sw} & \text{if } w > sw. \end{cases}$$

*Remark 7.* Note that the above exercise provides an algorithm to calculate the multiplication of  $\mathcal{H}$  in terms of the standard basis. In particular,  $\mathcal{H}$  can be *defined* via the standard basis and these relations.

Note that each  $\delta_s$  is invertible with inverse given by

$$\delta_s^{-1} = \delta_s + (v - v^{-1}).$$

Hence by the above exercise, we obtain:

**Lemma 8.** Each standard basis element  $\delta_w$  is invertible, and we have

$$\delta_{w^{-1}}^{-1} = \delta_w \mod \langle \delta_{w'} \rangle_{w' < w}.$$

**Definition 9.** The **Kazhdan–Lusztig involution**, or **bar involution**

$$\mathcal{H} \rightarrow \mathcal{H}, h \mapsto \bar{h}$$

is the  $\mathbb{Z}$ -linear homomorphism determined by

$$\bar{\delta_s} = \delta_s^{-1}, \quad \bar{v} = v^{-1}.$$

**Theorem-Definition 10.** There exist a unique subset  $\{b_w\}_{w \in W} \subset \mathcal{H}$  such that for any  $w \in W$ ,

- (Self-duality)  $\bar{b_w} = b_w$ ;
- (Degree bound)

$$b_w = \delta_w + \sum_{w' < w} h_{w',w} \delta_{w'}$$

for some polynomials  $h_{w',w} \in v\mathbb{Z}[v]$  with vanishing constant term.

This subset is called the **Kazhdan–Lusztig basis** of  $\mathcal{H}$ . The coefficients  $h_{w',w}$  are called the **Kazhdan–Lusztig polynomials**.

**Convention 11.** We also set  $h_{w,w} = 1$  and  $h_{w',w} = 0$  if  $w' \not\leq w$ .

**Example 12.** We have  $b_{\text{id}} = \delta_{\text{id}} = 1$ .

**Example 13.** For  $s \in S$ , an immediate calculation shows  $b_s = \delta_s + v$  and therefore  $h_{1,s} = v$ .

*Remark 14.* You are strongly encouraged to look at [EMTW, Sect. 3.3.1], where the KL polynomials for the Weyl group of  $\mathfrak{sl}_3$  are calculated.

Now comes the main course.

**Conjecture 15** (Kazhdan–Lusztig). *For any  $w, w' \in W$ , we have*

$$[M_{w' \cdot 0} : L_{w \cdot 0}] = h_{w',w}(1).$$

*Remark 16.* According to the Verma–BGG theorem,  $[M_{w' \cdot 0} : L_{w \cdot 0}] \neq 0$  iff  $w \cdot 0 \leq_c w' \cdot 0$ . By (the dominant version of) [Prop. 51, Lect. 7], this condition is equivalent to  $w \geq w'$ . Hence the conjecture would imply  $h_{w',w}(1) \neq 0$  iff  $w' \leq w$ . Note that by definition, the “only if” part is true.

*Remark 17.* Although the conjecture only uses the value of  $h_{w',w}$  at  $v = 1$ , it is not possible to define this value without knowing the deformation  $\mathcal{H}$ .

*Remark 18.* You are encouraged to view the Verma module  $M_{w \cdot 0}$  as the incarnation of the standard basis element  $\delta_w$  in  $\mathcal{O}$ , and view the irreducible module  $L_{w \cdot 0}$  as the incarnation of the KL basis element  $b_w$ . In future lectures, we will introduce their incarnations in the geometry of  $G/B$ .

KL also made the following conjecture, which was latter proved by them using geometric methods:

**Conjecture 19** (KL Positivity). *The coefficients of  $h_{w',w}(v)$  are non-negative integers.*

*Remark 20.* The pair  $(W, S)$  satisfies the axioms of a **Coxeter system**, and Hecke algebra, as well as the positivity conjecture, make sense for any Coxeter group. However, we no longer have geometric tools (like the flag variety  $G/B$ ) to tackle this conjecture, and the first proof, by Elias–Williamson, only came in 2010’s. For more details, see [EMTW].

## 2. MORE ON $\mathcal{O}$

We still need a lot of preparations to present the geometric proofs of these conjectures. But before that, there are some remaining representation-theoretic topics that we need to address. Let me motivate them via the KL theory<sup>2</sup>:

- We will study the *contragredient duality* in  $\mathcal{O}$ , which is the incarnation of the KL involution on  $\mathcal{H}$ .
- We will study the derived category of  $\mathcal{O}$ , or equivalently, the *Ext-groups*. It turns out the coefficients of the KL polynomials are related to the dimensions of these Ext-groups. But before that, we need to study the *projective objects* in  $\mathcal{O}$ .

---

<sup>2</sup>We are not following the standard or historical order of presenting the theory of  $\mathcal{O}$ . Usually people would first introduce topics listed below, and state the Verma–BGG theorem and KL conjecture much later. I choose this order for two reasons: (i) I think the multiplicities  $[M_\lambda : L_\mu]$  should be put on the central stage of this story; (ii) I want to highlight the KL conjecture and its geometric proof.

- We will introduce the *translation functors*, which allow us to calculate the multiplicities  $[M_\lambda : L_\mu]$  in any integral block<sup>3</sup> using the information in the principle block  $\mathcal{O}_0$ .

There are other important topics that we do not have time to cover:

- Soergel's theory and his proof of the KL conjecture.
- Jantzen's filtrations and its geometric incarnation.
- Koszul duality and Langlands duality for  $\mathcal{O}$ .
- More...

### 3. CONTRAGRADIENT DUALITY

We start with the following obvious construction.

**Construction 21.** Let  $M$  be a weight (=semisimple)  $\mathfrak{t}$ -module. We define its **dual weight module** as

$$M^{*,\text{wt}} := \bigoplus_{\lambda \in \mathfrak{t}^*} (M^{\text{wt}=\lambda})^*$$

where  $(M^{\text{wt}=\lambda})^*$  is a  $\mathfrak{t}$ -module of weight  $-\lambda$ .

The following lemma is obvious.

**Lemma 22.** Let  $M$  be a weight  $\mathfrak{t}$ -module. The embedding

$$M^{*,\text{wt}} \simeq \bigoplus_{\lambda \in \mathfrak{t}^*} (M^{\text{wt}=\lambda})^* \hookrightarrow \prod_{\lambda \in \mathfrak{t}^*} (M^{\text{wt}=\lambda})^* \simeq M^*$$

identifies  $M^{*,\text{wt}}$  as the subspace of vectors  $v$  such that  $U(\mathfrak{t}) \cdot v$  is finite-dimensional.

Using the root decomposition of  $\mathfrak{g}$ , it is easy to deduce the following.

**Corollary 23.** Let  $M$  be a weight  $\mathfrak{g}$ -module, then  $M^{*,\text{wt}}$  is a sub- $\mathfrak{g}$ -module of  $M^*$ .

For a Verma module  $M_\lambda$ , the above construction would define a *lowest weight module*, which can no longer belong to  $\mathcal{O}$ . Hence we need to find a way to correct the signs of the weights.

**Construction 24.** Consider the automorphism of the root system  $(E, \Phi)$  given by multiplication by  $-1$ . By the classification of semisimple Lie algebras, we obtain an automorphism  $\tau : \mathfrak{g} \rightarrow \mathfrak{g}$  of the Lie algebra  $\mathfrak{g}$ , which is called the **Cartan involution** on  $\mathfrak{g}$ . Note that  $\tau \circ \tau = \text{Id}$ .

We abuse notation and let  $\tau : \mathfrak{g}\text{-mod} \rightarrow \mathfrak{g}\text{-mod}$  be the automorphism induced by  $\tau$ . Note that the functor  $\tau$  is compatible with the forgetful functors to  $\text{Vect}$ , and sends weight modules to weight modules. Also,  $\tau(M)^{\text{wt}=\lambda} \simeq M^{\text{wt}=-\lambda}$ .

*Remark 25.* By construction, the restriction  $\tau|_{\mathfrak{t}}$  is multiplication by  $-1$ . Note that  $\tau(\mathfrak{b}) = \mathfrak{b}^-$  because  $\Phi^+$  is sent to  $\Phi^- = -\Phi^+$ .

**Example 26.** For  $\mathfrak{g} = \mathfrak{sl}_n$ , the Cartan involution is given by  $\tau(A) = -A^T$ .

**Construction 27.** Let  $\mathcal{C} \subset \mathfrak{g}\text{-mod}$  be the full subcategory of weight  $\mathfrak{g}$ -modules such that each weight subspace is finite-dimensional. For  $M \in \mathcal{C}$ , define

$$M^\vee := \tau(M^{*,\text{wt}}).$$

Note that

$$(M^\vee)^{\text{wt}=\lambda} \simeq (M^{\text{wt}=\lambda})^*.$$

In particular, the  $\lambda$ -weight subspaces of  $M^\vee$  and  $M$  have equal dimensions.

<sup>3</sup>For non-integral blocks, inspired by early works of Jantzen, Soergel ([S]) reduced the problem to the study of an integral block for another semisimple Lie algebra whose Weyl group is  $W_{[\lambda]}$ . See [H, Sect. 13.13] and the references there for more information.

The following lemma is obvious:

**Lemma 28.** *The functor*

$$\mathcal{C}^{\text{op}} \rightarrow \mathcal{C}, M \mapsto M^\vee.$$

*is a contravariant involution, i.e.,  $(M^\vee)^\vee \simeq M$ .*

**Theorem 29.** *If  $M$  belongs to  $\mathcal{O}$ , so does  $M^\vee$ . In particular, the functor*

$$\mathcal{O}^{\text{op}} \rightarrow \mathcal{O}, M \mapsto M^\vee.$$

*is a contravariant involution. We call it the **contragradient duality** on  $\mathcal{O}$ .*

*Proof.* It is easy to see  $\mathcal{O} \subset \mathcal{C}$  is closed under extensions. Hence the theorem follows from the following proposition. □

**Proposition 30.** *For any  $\lambda \in \mathfrak{t}^*$ , we have  $L_\lambda^\vee \simeq L_\lambda$ .*

*Proof.* Since  $L_\lambda$  is an irreducible  $\mathfrak{g}$ -module, so is  $L_\lambda^\vee$ . By construction,  $L_\lambda^\vee$  has highest weight  $\lambda$ , and  $(L_\lambda^\vee)^{\text{wt}=\lambda}$  is 1-dimensional. For any  $\lambda$ -weight vector  $v \in L_\lambda^\vee$ , we have  $\mathfrak{n} \cdot v = 0$  by considering the weights. This induces a nonzero  $\mathfrak{g}$ -linear map  $M_\lambda \rightarrow L_\lambda^\vee$  sending  $v_\lambda$  to  $v$ . Since  $L_\lambda^\vee$  is irreducible, this map is surjective. Therefore it must identify  $L_\lambda^\vee$  with the unique irreducible quotient of  $M_\lambda$ . □

**Corollary 31.** *Each block of  $\mathcal{O}$  is stable under the contragradient duality.*

**Definition 32.** For any  $\lambda \in \mathfrak{t}^*$ , we call  $M_\lambda^\vee$  the **dual Verma module** corresponding to  $M_\lambda$ .

**Corollary 33.** *For any  $\lambda \in \mathfrak{t}^*$ , the dual Verma module  $M_\lambda^\vee$  has a unique irreducible submodule isomorphic to  $L_\lambda^\vee \simeq L_\lambda$ .*

**Lemma 34.** *For  $\lambda, \mu \in \mathfrak{t}^*$ , we have  $\dim \text{Hom}_{\mathcal{O}}(M_\lambda, M_\mu^\vee) = \delta_{\lambda, \mu}$ . In particular, any composition  $M_\lambda \twoheadrightarrow L_\lambda \hookrightarrow M_\lambda^\vee$  is a generator of the 1-dimensional vector space  $\text{Hom}_{\mathcal{O}}(M_\lambda, M_\lambda^\vee)$ .*

*Proof.* Knowing a  $\mathfrak{g}$ -linear map  $M_\lambda \rightarrow M_\mu^\vee$  is equivalent to knowing a  $\lambda$ -weight vector  $v$  in  $M_\mu^\vee$  such that  $\mathfrak{n} \cdot v = 0$ . By definition, this is equivalent to knowing a functional  $f : M_\mu \rightarrow k$  such that

- It factors as  $M_\mu \twoheadrightarrow M_\mu^{\text{wt}=\lambda} \rightarrow k$ ;
- It annihilates  $\mathfrak{n}^- \cdot M_\mu$ .

Here the second condition is due to  $\tau(\mathfrak{n}) = \mathfrak{n}^-$ . Since  $M_\mu$  is free over  $U(\mathfrak{n}^-)$ , we have  $M_\mu \simeq (\mathfrak{n}^- \cdot M_\mu) \oplus M_\mu^{\text{wt}=\mu}$ . Hence  $\lambda \neq \mu$  implies  $f = 0$ . In the case  $\lambda = \mu$ ,  $f$  is determined by a functional  $M_\mu^{\text{wt}=\lambda} \rightarrow k$ , and the space of it is 1-dimensional. □

*Remark 35.* The content of the lemma can be summarized as: the objects  $\{M_\lambda^\vee\}_{\lambda \in \mathfrak{t}^*}$  is right orthogonal to the objects  $\{M_\lambda\}_{\lambda \in \mathfrak{t}^*}$  in  $\mathcal{O}$ . Next time, we will prove this claim remains true even in the derived category. In other words,  $\text{Ext}_{\mathcal{O}}^i(M_\lambda, M_\mu^\vee) = 0$  for  $i > 0$ . For now, let us prove the case  $i = 1$ .

**Lemma 36.** *For  $\lambda, \mu \in \mathfrak{t}^*$ ,  $\text{Ext}_{\mathcal{O}}^1(M_\lambda, M_\mu^\vee) = 0$ .*

*Proof.* We need to show any following short exact sequence in  $\mathcal{O}$  splits:

$$(3.1) \quad 0 \rightarrow M_\mu^\vee \rightarrow N \rightarrow M_\lambda \rightarrow 0.$$

Consider the  $\mathfrak{b}$ -linear map  $k_\lambda \rightarrow M_\lambda$ . By pullback along this map, we obtain a short exact sequence of  $\mathfrak{b}$ -modules:

$$0 \rightarrow M_\mu^\vee \rightarrow N' \rightarrow k_\lambda \rightarrow 0,$$

where  $N' = N \times_{M_\lambda} k_\lambda$ . By the universal property of  $M_\lambda$ , we only need to show this sequence splits.

Note that  $\text{wt}(N') = \text{wt}(M_\mu^\vee) \cup \{\lambda\} = \{\mu' \mid \mu' \leq \mu\} \cup \{\lambda\}$ . If  $\lambda \neq \mu$ , then  $\text{wt}(N')$  contains no weight strictly greater than  $\lambda$ . Hence any  $\lambda$ -weight vector would give a desired splitting. If  $\lambda < \mu$ , we can pass to duality of (3.1) and obtain a short exact sequence

$$0 \rightarrow M_\lambda^\vee \rightarrow N^\vee \rightarrow M_\mu \rightarrow 0.$$

By the previous case, this sequence splits. Hence so is the original one.  $\square$

**Example 37.** For  $\mathfrak{g} = \mathfrak{sl}_2$  and the coordinate  $l = \langle \lambda, \check{\alpha} \rangle$ , we have:

- If  $l \notin \mathbb{Z}^{\geq 0}$ , then  $M_l \simeq L_l \simeq M_l^\vee$ .
- If  $l \in \mathbb{Z}^{\geq 0}$ , then we have a nonsplit short exact sequence  $0 \rightarrow L_l \rightarrow M_l^\vee \rightarrow L_{-l-2} \rightarrow 0$ .

*Remark 38.* In future lectures, we will see the contragradient duality corresponds to the Verdier duality in geometry, and the latter can be related to the KL involution.

#### 4. PROJECTIVE MODULES

Recall the following definitions.

**Definition 39.** Let  $\mathcal{A}$  be an abelian category. We say an object  $P \in \mathcal{A}$  is **projective** if the functor  $\text{Hom}_{\mathcal{A}}(P, -)$  is exact.

We say  $\mathcal{A}$  **has enough projectives** if every object  $M \in \mathcal{A}$  admits a surjection  $P \twoheadrightarrow M$  such that  $P$  is projective.

For an object  $M \in \mathcal{A}$ , we say a surjection  $P \twoheadrightarrow M$  exhibits  $P$  as a **projective cover** of  $M$  if  $P$  is projective and the map  $P \twoheadrightarrow M$  is an **essential surjection**, i.e., for any proper subobject  $Q \subset P$ , the composition  $Q \rightarrow P \rightarrow M$  is not surjective.

Dually, we have:

**Definition 40.** Let  $\mathcal{A}$  be an abelian category. We say an object  $I \in \mathcal{A}$  is **injective** if the corresponding object in  $\mathcal{A}^{\text{op}}$  is projective.

We say  $\mathcal{A}$  **has enough injectives** if  $\mathcal{A}^{\text{op}}$  has enough projectives.

For an object  $M \in \mathcal{A}$ , we say an injection  $M \hookrightarrow I$  exhibits  $I$  as an **injective hull** of  $M$  if the corresponding morphism in  $\mathcal{A}^{\text{op}}$  gives a projective cover.

We will prove the following two theorems. The second one can be viewed as a blackbox<sup>4</sup>.

**Theorem 41.** *The category  $\mathcal{O}$  has enough projectives and injectives.*

**Theorem 42.** *Let  $\mathcal{A}$  be an abelian category that has enough projectives and every object of  $\mathcal{A}$  has finite length. Then:*

- (1) *Any object  $M \in \mathcal{A}$  admits a projective cover, and any two projective covers  $P_1 \twoheadrightarrow M$  and  $P_2 \twoheadrightarrow M$  are isomorphic<sup>5</sup>.*
- (2) *Any indecomposable projective object  $P \in \mathcal{A}$  admits a unique irreducible quotient.*

<sup>4</sup>I fail to find a good reference for this well-known result, hence I provide a proof in the appendix.

<sup>5</sup>However, the isomorphism  $P_1 \rightarrow P_2$  is *not* unique. Therefore, we can not say *the* projective cover.

(3) *There is a bijection:*

$$\begin{aligned} & \{\text{Isomorphism classes of irreducible objects in } \mathcal{A}\} \simeq \\ & \{\text{Isomorphism classes of indecomposable projective objects in } \mathcal{A}\} \\ & L \longleftrightarrow P \end{aligned}$$

*such that (i)  $P$  is isomorphic to a projective cover of  $L$ ; (ii)  $L$  is isomorphic to the irreducible quotient of  $P$ .*

(4) *If  $P$  and  $L$  correspond to each other in (3), then for any  $M \in \mathcal{A}$ , we have*

$$\dim \operatorname{Hom}_{\mathcal{A}}(P, M) = [M : L].$$

*Remark 43.* We leave the dual version of the above theorem (for injective objects) to the readers.

*Remark 44.* In general, if an abelian category  $\mathcal{A}$  has enough projectives (resp. injectives), then we can define the bounded above<sup>6</sup> (resp. bounded below) derived category  $\mathcal{D}^-(\mathcal{A})$  (resp.  $\mathcal{D}^+(\mathcal{A})$ ). However, it is much subtler to define the *unbounded* derived category.

But this subtlety does not occur for  $\mathcal{O}$ . We will see  $\mathcal{O}$  has finite projective (resp. injective) dimension and thereby only the *bounded* derived category  $\mathcal{D}^b(\mathcal{A})$  is needed.

Let us first assume the above theorems and study the projective objects in  $\mathcal{O}$ . The story for injective objects can be obtained using the contragredient duality.

**Notation 45.** *For any  $\lambda \in \mathfrak{t}^*$ , we denote a projective cover of  $L_\lambda$  by  $P_\lambda$  (which is well-defined up to non-unique isomorphisms).*

*Similarly, we denote an injective hull of  $L_\lambda$  by  $I_\lambda$ .*

*Exercise 46.* This is **Homework 4, Problem 2**. For any  $\lambda \in \mathfrak{t}^*$ , prove:

- (1) The surjection  $P_\lambda \rightarrow L_\lambda$  factors as  $P_\lambda \rightarrow M_\lambda \rightarrow L_\lambda$ .
- (2) The obtained map  $P_\lambda \rightarrow M_\lambda$  is surjective and exhibits  $P_\lambda$  as a projective cover of  $M_\lambda$ .

**Corollary 47.** *For any  $M \in \mathcal{O}$ , we have*

$$\dim \operatorname{Hom}_{\mathcal{O}}(P_\lambda, M) = [M : L_\lambda].$$

To prove Theorem 41, we need the following lemma

**Lemma 48.** *For  $\chi = \varpi(\lambda)$ , the functor*

$$\mathcal{O}_\chi \rightarrow \mathbf{Vect}, \quad M \mapsto M^{\mathbf{wt}=\lambda}.$$

*is representable.*

*Remark 49.* If  $\lambda$  is dot-dominant, then the above functor is represented by the Verma module  $M_\lambda$ . See [Lem. 22, Lect. 7]. The proof below is a slight modification of that proof.

*Proof.* For any  $n \in \mathbb{Z}^{>0}$ , let  $I_{\lambda,n} \subset U(\mathfrak{g})$  be the left ideal generated by the following elements:

- The element  $t - \lambda(t)$  for any  $t \in \mathfrak{h}$ ;
- The element  $x_1 x_2 \cdots x_n$  for  $x_i \in \mathfrak{n}^+$ .

Let  $M_{\lambda,n} := U(\mathfrak{g})/I_{\lambda,n}$  be the quotient  $U(\mathfrak{g})$ -module. Note that  $M_{\lambda,1}$  is just the Verma module. It is easy to see  $M_{\lambda,n} \in \mathcal{O}$ . Let  $M_{\lambda,n,\chi} \in \mathcal{O}_\chi$  be the corresponding direct summand in the block  $\mathcal{O}_\chi$ .

---

<sup>6</sup>We always use cohomological convention when talking about chain complexes.

We claim for  $n$  large enough, the module  $M_{\lambda,n,\chi}$  represents the desired functor<sup>7</sup>.

Indeed, for any  $N \in \mathcal{O}_\chi$ ,  $\text{Hom}_{\mathcal{O}}(M_{\lambda,n}, N) \simeq \text{Hom}_{\mathcal{O}_\chi}(M_{\lambda,n,\chi}, N)$  is the set of  $\lambda$ -weight vector  $v$  in  $N$  such that  $(\mathfrak{n}^+)^n \cdot v = 0$ . Then we win because weights occurring in  $\mathcal{O}_\chi$  have upper bounded with respect to the partial order  $\leq$ .

□

*Proof of Theorem 41.* By the contragradient duality, we only need to prove  $\mathcal{O}$  has enough projectives. By the block decomposition, we only need to prove  $\mathcal{O}_\chi$  has enough projectives. Using dévissage, we only need to show any irreducible  $L_\lambda \in \mathcal{O}_\chi$  admits a surjection  $P \twoheadrightarrow L_\lambda$  with  $P$  being projective. We need the following lemma:

Let  $P \in \mathcal{O}_\chi$  represents the functor in Lemma 48, i.e.,  $\text{Hom}_{\mathcal{O}_\chi}(P, M) \simeq M^{\text{wt}=\lambda}$ . This functor is exact and therefore  $P$  is projective. Taking  $M := L_\lambda$ , any nonzero highest weight vector of  $L_\lambda$  gives a nonzero morphism  $P \rightarrow L_\lambda$ . Since  $L_\lambda$  is irreducible, this morphism is surjective as desired.

□[Theorem 41]

*Exercise 50.* This is **Homework 4, Problem 3**. For  $\lambda \in \mathfrak{t}^*$ , let  $P \twoheadrightarrow L_\lambda$  be the surjection constructed in the above proof, i.e.,  $P$  represents the functor

$$\mathcal{O}_\chi \rightarrow \text{Vect}, M \mapsto M^{\text{wt}=\lambda}.$$

Prove:

- (1) This map factors as  $P \rightarrow P_\lambda \twoheadrightarrow L_\lambda$ . Moreover,  $P \rightarrow P_\lambda$  is surjective.
- (2) For  $\mathfrak{g} = \mathfrak{sl}_2$ , the obtained map  $P \rightarrow P_\lambda$  happens to be an isomorphism<sup>8</sup>.
- (3) In general,  $P \rightarrow P_\lambda$  is not an isomorphism<sup>9</sup>.

#### APPENDIX A. PROJECTIVE COVERS IN ARTINIAN AND NOETHERIAN CATEGORY

*Proof of Theorem 42(1).* We first prove projective covers are isomorphic if they exist. This is true for any abelian category. Let  $P_1 \xrightarrow{p_1} M$  and  $P_2 \xrightarrow{p_2} M$  be projective covers of  $M \in \mathcal{A}$ . By the lifting property of  $P_1$ , the morphism  $P_1 \xrightarrow{p_1} M$  factors as  $P_1 \xrightarrow{\phi} P_2 \xrightarrow{p_2} M$ . The morphism  $P_1 \xrightarrow{\phi} P_2$  must be surjective because otherwise  $P_2 \xrightarrow{p_2} M$  is not essential. By the lifting property of  $P_2$ , the identity morphism  $P_2 = P_2$  factors as  $P_2 \xrightarrow{\varphi} P_1 \xrightarrow{p_1} M$ . The morphism  $P_2 \xrightarrow{\varphi} P_1$  must be surjective because otherwise  $P_1 \xrightarrow{p_1} M$  is not essential. But  $P_2 \xrightarrow{\varphi} P_1$  is also injective because  $\phi$  is a left inverse of it. It follows that both  $\varphi$  and  $\phi$  are isomorphisms.

Now we prove any  $M \in \mathcal{A}$  admits a projective cover. Let  $P \xrightarrow{p} M$  be a surjection such that  $P$  is projective and  $\text{length}(P)$  is minimal among all such surjections. We claim this is a projective cover. We only need to show  $p$  is an essential surjection. Suppose  $Q \xrightarrow{i} P$  is a subobject such that  $q := p \circ i$  is surjective. We only need to show  $i$  is an isomorphism. We can assume  $\text{length}(Q)$

<sup>7</sup>By Yoneda lemma, this claim implies  $M_{\lambda,n,\chi}$  and  $M_{\lambda,n+1,\chi}$  are isomorphic for  $n \gg 0$ . In fact, one can directly prove the obvious map  $M_{\lambda,n+1,\chi} \rightarrow M_{\lambda,n,\chi}$  is an isomorphism for  $n \gg 0$ . Sketch: let  $I'_{\lambda,n} \subset U(\mathfrak{b})$  be the left ideal generated by the same set of elements. Then  $M_\lambda \simeq \text{ind}_{\mathfrak{b}}^{\mathfrak{g}}(U(\mathfrak{b})/I'_{\lambda,n})$ . We have

$$I'_{\lambda,n}/I'_{\lambda,n+1} \simeq \bigoplus_{\alpha_1, \dots, \alpha_n \in \Phi^+} k_{\lambda + \sum_{i=1}^n \alpha_i}$$

as  $U(\mathfrak{b})$ -modules. Hence we have a short exact sequence in  $\mathcal{O}$ :

$$0 \rightarrow \bigoplus_{\alpha_1, \dots, \alpha_n \in \Phi^+} M_{\lambda + \sum_{i=1}^n \alpha_i} \rightarrow M_{\lambda,n+1} \rightarrow M_{\lambda,n} \rightarrow 0.$$

Now for  $n \gg 0$ , the kernel will not be contained in the block  $\mathcal{O}_\chi$  by considering the weights.

<sup>8</sup>Hint: using Corollary 47.

<sup>9</sup>Hint: what we have learned so far can (at least) prove this for  $\mathfrak{sl}_3$  and  $\mathfrak{sl}_4$ .



is minimal among all such subobjects. By the lifting property of  $P$ , the morphism  $P \xrightarrow{p} M$  factors as  $P \xrightarrow{r} Q \xrightarrow{q} M$ . Consider the composition  $Q \xrightarrow{i} P \xrightarrow{r} Q$ . It must be surjective because otherwise  $\text{Im}(r \circ i) \subset Q$  would contradict the minimal assumption about  $\text{length}(Q)$ . But then  $r \circ i$  must be an isomorphism because  $\text{length}(\ker(r \circ i)) = \text{length}(Q) - \text{length}(Q) = 0$ . It follows that  $Q$  is a direct summand of  $P$  and therefore is also projective. Then we must have  $Q \simeq P$  because of the minimal assumption about  $\text{length}(P)$ .

□[Theorem 42(1)]

To prove (2), recall the following well-known result:

**Lemma 51.** *Let  $\mathcal{A}$  be any abelian category and  $M \in \mathcal{A}$  be an indecomposable object of finite length. Then:*

- (i) *Any  $\phi : M \rightarrow M$  is either an isomorphism or nilpotent.*
- (ii) *If  $\phi, \varphi : M \rightarrow M$  is such that  $\phi + \varphi$  is an isomorphism, then one of them is an isomorphism.*

*Proof.* It is obvious that (ii) follows from (i). To prove (i), suppose  $\phi$  is not nilpotent. The descending chain  $M \supset \text{Im}(\phi) \supset \text{Im}(\phi^2) \supset \dots$  must stabilize at a nonzero subobject  $N \subset M$ . Then  $\phi$  stabilizes  $N$  and  $\phi|_N : N \rightarrow N$  is an isomorphism. Also, for  $n \gg 0$ ,  $\phi^n$  induces a surjection  $\varphi_n : M \rightarrow N$ . By definition  $\varphi_n|_N = (\phi|_N)^n$ . Hence  $\phi_n|_N$  is also an isomorphism. Then  $N$  is a direct summand of  $M$ . Since  $M$  is indecomposable, we must have  $N \simeq M$  and therefore  $\phi$  is surjective. By considering lengths, it is an isomorphism.

□

*Proof of Theorem 42(2).* Suppose  $P \twoheadrightarrow L_1$  and  $P \twoheadrightarrow L_2$  are two non-isomorphic irreducible quotients. Let  $K_1 \subset P$  and  $K_2 \subset P$  be the kernels. Then the morphism  $K_1 \oplus K_2 \rightarrow P$  must be surjective. By the lifting property of  $P$ , the identity morphism  $P = P$  factors as  $P \rightarrow K_1 \oplus K_2 \rightarrow P$ . Let  $\phi_i$  be the composition  $P \rightarrow K_i \rightarrow P$ . Then  $\phi_1 + \phi_2 = \text{Id}$ . By the above lemma, one of  $\phi_1, \phi_2$  is an isomorphism. But this is absurd.

□[Theorem 42(2)]

*Proof of Theorem 42(3).* Let  $L$  be an irreducible object and  $P$  be a projective cover of it. Then  $P$  is indecomposable because otherwise  $P \twoheadrightarrow L$  is not essential. Also, by (2),  $L$  is the unique irreducible quotient of  $P$ .

On the other hand, let  $P$  be an indecomposable object and  $L$  be the unique irreducible quotient of it. We only need to show  $P \twoheadrightarrow L$  is essential. But the same proof as in (1) suffices for this purpose.

□[Theorem 42(3)]

*Proof of Theorem 42(4).* Using dévissage, we can reduce to the case when  $M$  is irreducible. In this case, both sides are either 0 or 1, depending on whether  $M$  and  $L$  are isomorphic.

□[Theorem 42(4)]

## REFERENCES

- [EMTW] Elias, Ben, Shotaro Makisumi, Ulrich Thiel, and Geordie Williamson. Introduction to Soergel bimodules. Vol. 5. Springer Nature, 2020.
- [H] Humphreys, James E. Representations of Semisimple Lie Algebras in the BGG Category  $\mathcal{O}$ . Vol. 94. American Mathematical Soc., 2008.
- [S] Soergel, Wolfgang. Kategorie  $\mathcal{O}$ , perverse Garben und Moduln über den Koinvarianten zur Weylgruppe. Journal of the American Mathematical Society 3, no. 2 (1990): 421-445.