

## LECTURE 3

The goal of this lecture is to introduce a combinatorial approach to the homotopy theory of topological spaces, known as **Kan–Quillen model category of simplicial sets**.

### 1. SIMPLICIAL SETS

**Definition 1.1.** For  $n \in \mathbb{Z}_{\geq 0}$ , let

$$[n] := \{0 < 1 < \cdots < n\}$$

be the totally ordered set with  $(n + 1)$  objects. We view it as a category in the standard way.

**Definition 1.2.** The **simplex category**  $\Delta$  is the full subcategory of  $\mathbf{Cat}$  consisting of  $[n] \in \mathbf{Cat}$ ,  $n \in \mathbb{Z}_{\geq 0}$ , i.e.,

$$\mathrm{Hom}_{\Delta}([m], [n]) = \{\text{nondecreasing functions } [m] \rightarrow [n]\}.$$

Let  $\Delta_{\mathrm{inj}}$  and  $\Delta_{\mathrm{surj}}$  be the subcategories of  $\Delta$  consisting of injective and surjective morphisms respectively.

1.3. Let  $\mathcal{C}$  be a category. We have

$$\mathrm{Hom}_{\mathbf{Cat}}([n], \mathcal{C}) \simeq \{\text{chains in } \mathcal{C} \text{ of length } n\}.$$

The ubiquitous role of the simplex category  $\Delta$  in category theory can be explained by the following result.

**Proposition 1.4.** The functor

$$(1.1) \quad \mathbf{Cat} \rightarrow \mathbf{Fun}(\Delta^{\mathrm{op}}, \mathbf{Set}), \mathcal{C} \mapsto \mathrm{Hom}_{\mathbf{Cat}}(-, \mathcal{C})$$

is fully faithful.

**Definition 1.5.** Let  $\mathcal{D}$  be a category.

- A **simplicial object of  $\mathcal{D}$**  is a functor  $\Delta^{\mathrm{op}} \rightarrow \mathcal{D}$ .
- A **cosimplicial object of  $\mathcal{D}$**  is a functor  $\Delta \rightarrow \mathcal{D}$ .

1.6. Let  $X$  be a simplicial object, we often write  $X_n := X([n])$ , and therefore denote this simplicial object also by  $X_{\bullet}$ . Similarly, a cosimplicial object is often denoted by  $Y^{\bullet}$ .

**Definition 1.7.** Write

$$\mathbf{Set}_{\Delta} := \mathbf{Fun}(\Delta^{\mathrm{op}}, \mathbf{Set})$$

for the category of **simplicial sets**.

**Example 1.8.** The representable functor

$$\mathrm{Hom}_{\Delta}(-, [n]) : \Delta^{\mathrm{op}} \rightarrow \mathbf{Set}$$

defines a simplicial set  $\Delta^n$ , called the  **$n$ -simplex**.

1.9. Let  $X$  be a simplicial set. By the Yoneda lemma, we have

$$\mathrm{Hom}_{\mathrm{Set}_\Delta}(\Delta^n, X) \simeq X_n.$$

This motivates the following definition:

**Definition 1.10.** Let  $X_\bullet$  be a simplicial set. An element  $x \in X_n$  is called an  ***$n$ -simplex*** of  $X$ .

**Definition 1.11.** Let

$$\mathbf{N}_\bullet(-) : \mathrm{Cat} \rightarrow \mathrm{Set}_\Delta$$

be the functor (1.1). For a category  $\mathcal{C}$ , the simplicial set  $\mathbf{N}_\bullet(\mathcal{C})$  is called the ***nerve*** of  $\mathcal{C}$ .

1.12. Proposition 1.4 says the theory of categories can be embedded into the theory of simplicial sets via the construction  $\mathcal{C} \mapsto \mathbf{N}_\bullet(\mathcal{C})$ . Therefore,

**Slogan 1.13.** *Simplicial sets generalize categories.*

## 2. FACES AND DEGENERACIES

**Definition 2.1.** For  $n > 0$ , let

$$\delta_n^i : [n-1] \rightarrow [n]$$

be the unique functor such that  $i \in [n]$  is not in the image.

Let  $X$  be a simplicial object in a category  $\mathcal{D}$ . The  ***$i$ -th face operator*** on  $X_n$  is the morphism

$$d_i^n \stackrel{\mathrm{def}}{=} X(\delta_n^i) : X_n \rightarrow X_{n-1}.$$

**Definition 2.2.** Let  $X$  be a simplicial set and  $x \in X_n$  be an  $n$ -simplex. The  $(n-1)$ -simplex  $d_i^n(x)$  is called the  ***$i$ -th face*** of  $x$ .

More generally, for any injective functor  $\iota : [m] \rightarrow [n]$ , the  $m$ -simplex  $X(\iota)(x)$  is called the  ***$\iota$ -face*** of  $x$ .

**Definition 2.3.** For  $n \geq 0$ , let

$$\sigma_n^i : [n+1] \rightarrow [n]$$

be the unique surjective functor that is constant on  $\{i, i+1\}$ .

Let  $X$  be a simplicial object in a category  $\mathcal{D}$ . The  ***$i$ -th degeneracy operator*** on  $X_n$  is the morphism

$$s_i^n \stackrel{\mathrm{def}}{=} X(\sigma_n^i) : X_n \rightarrow X_{n+1}.$$

**Definition 2.4.** Let  $X$  be a simplicial set and  $x \in X_n$  be an  $n$ -simplex. The  $(n+1)$ -simplex  $s_i^n(x)$  is called the  ***$i$ -th degeneracy*** of  $x$ .

More generally, for any surjective functor  $\pi : [m] \rightarrow [n]$ , the  $m$ -simplex  $X(\pi)(x)$  is called the  ***$\pi$ -degeneracy*** of  $x$ .

**Definition 2.5.** Let  $X$  be a simplicial set and  $x \in X_n$  be an  $n$ -simplex. We say  $x$  is ***non-degenerate*** if it is not a degeneracy of any  $m$ -simplex with  $m < n$ .

2.6. The proof of the following result is elementary and left to the readers.

**Lemma 2.7.** Any degenerate simplex is a  $\pi$ -degeneracy of some non-degenerate simplex  $x$ , and the pair  $(\pi, x)$  is unique.

**Exercise 2.8.** Any morphism in  $\Delta$  is equal to a composition of  $\delta$ 's and  $\sigma$ 's.

2.9. It follows that knowing a simplicial object  $X_\bullet$  is equivalent to knowing objects  $X_n$  and morphisms  $d_i^n, s_i^n$  satisfying certain relations. These relations, known as **simplicial identities**, can be written down explicitly:

$$\begin{aligned} d_i \circ d_j &= d_{j-1} \circ d_i, \text{ if } i < j; \\ s_i \circ s_j &= s_j \circ s_{i-1}, \text{ if } i > j; \\ d_i \circ s_j &= \begin{cases} s_{j-1} \circ d_i, & \text{if } i < j \\ \text{id}, & \text{if } i = j, j+1 \\ s_j \circ d_{i-1}, & \text{if } i > j+1. \end{cases} \end{aligned}$$

Here we omit the superscripts from the notations.

2.10. We can depict the face and degeneracy morphisms as a diagram

$$X_0 \rightrightarrows X_1 \rightrightarrows X_2 \cdots$$

Sometimes people omit the degeneracy morphisms, and use

$$X_0 \rightrightarrows X_1 \rightrightarrows X_2 \cdots$$

to indicate a simplicial object, especially when they study the *colimit* of this diagram<sup>1</sup>.

### 3. DIMENSION AND SKELETONS

**Definition 3.1.** Let  $X$  be a simplicial set and  $k \in \mathbb{Z}$ , we say  $X$  has **dimension**  $\leq k$ , or  $\dim(X) \leq k$ , if every  $n$ -simplex of  $X$  is degenerate for  $n > k$ .

**Example 3.2.**  $\dim(\Delta^n) = n$ .

**Definition 3.3.** Let  $X$  be a simplicial set and  $k \leq \mathbb{Z}$ . The  **$k$ -skeleton**  $\text{sk}_k(X)$  of  $X$  is the largest simplicial subset of  $X$  with dimension  $\leq k$ .

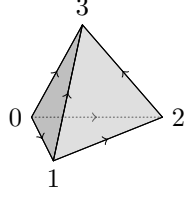
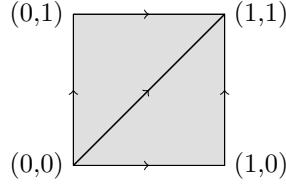
### 4. EXAMPLES

4.1. We first introduce a standard way to draw a simplicial set  $X_\bullet$ , which is also how people actually think about them.

- Only non-degenerate simplexes are drawn. Degenerate simplexes “collapse” onto the non-degenerate ones that correspond to them in the sense of Lemma 2.7.
- (0) For each  $v \in X_0$ , draw a vertex labelled by  $v$ .
- (1) For each non-degenerate  $e \in X_1$ , draw an arrow labelled by  $e$  from  $d_0^1(e)$  to  $d_1^1(e)$ .
- (n) For each non-degenerate  $\sigma \in X_n$ , draw a *filled*  $n$ -simplex labelled by  $\sigma$ , with boundary given by  $d_0^n(\sigma), d_1^n(\sigma), \dots, d_n^n(\sigma)$ <sup>2</sup>.
  - When necessary, put symbols inside the simplexes to indicate the order of its vertices.

**Exercise 4.2.** Find all the non-degenerate simplexes in  $\Delta^n$ .

**Exercise 4.3.** Let  $\Delta^1 \times \Delta^1$  be the product taken in  $\text{Set}_\Delta$ . Find all the non-degenerate simplexes in  $\Delta^1 \times \Delta^1$ .

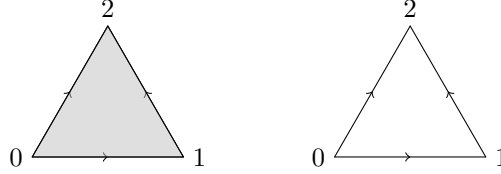
FIGURE 1. The 3-simplex  $\Delta^3$ FIGURE 2. The product  $\Delta^1 \times \Delta^1$ 

**Exercise 4.4.** In the above example, what would happen if we disallow degeneracies in the definition of simplicial sets, i.e., replacing  $\Delta$  with  $\Delta_{\text{inj}}$ ?

**Example 4.5.** Let

$$\partial\Delta^n \stackrel{\text{def}}{=} \text{sk}_{n-1}(\Delta^n)$$

be the  $(n-1)$ -skeleton of  $\Delta^n$ . We call it the **boundary** of  $\Delta^n$ .

FIGURE 3. The 2-simplex  $\Delta^2$  and its boundary  $\partial\Delta^2$ 

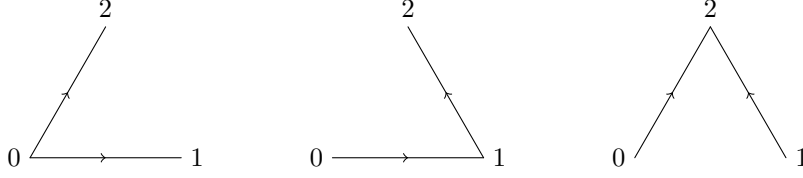
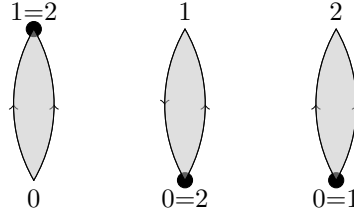
**Example 4.6.** Let  $\Lambda_i^n$  be the largest simplicial subset of  $\Delta^n$  that does not contain the  $i$ -th face of the unique non-degenerate  $n$ -simplex. We call it the  **$i$ -th horn** of  $\Delta^n$ .

4.7. The readers might entertain themselves with the following exercise to check their understanding about the definitions.

**Exercise 4.8.** Classify all simplicial quotient sets of  $\Delta^2$ , i.e., simplicial sets  $X$  equipped with an epimorphism  $\Delta^2 \rightarrow X$  in  $\text{Set}_\Delta$ . Hint: there are 31 of them.

<sup>1</sup>In future lectures, we will show that the degeneracy morphisms do not affect the  $\infty$ -colimit/homotopy colimit of a simplicial object.

<sup>2</sup>Note that these faces can be degenerate.

FIGURE 4. The horns  $\Lambda_0^2$ ,  $\Lambda_1^2$  and  $\Lambda_2^2$ FIGURE 5. Some quotients of  $\Delta^2$ 

## 5. SIMPLICIAL SETS AND TOPOLOGICAL SPACES

5.1. The simplex category  $\Delta$  can be realized as a subcategory of **Top** as follows

**Construction 5.2.** *Let*

$$|\Delta^n| \stackrel{\text{def}}{=} \{(x_0, \dots, x_n) \in \mathbb{R}_{\geq 0}^{n+1} \mid x_0 + \dots + x_n = 1\}$$

be the **standard (topological)  $n$ -simplex**. We have a functor

$$(5.1) \quad \Delta \rightarrow \mathbf{Top}, [n] \mapsto |\Delta^n|$$

sending a functor  $f : [m] \rightarrow [n]$  to the continuous map

$$|\Delta^m| \rightarrow |\Delta^n|, (y_0, \dots, y_m) \mapsto \left( \sum_{j \in f^{-1}(0)} y_j, \dots, \sum_{j \in f^{-1}(n)} y_j \right).$$

**Proposition 5.3.** *We view  $\Delta$  as a full subcategory of  $\mathbf{Set}_\Delta$  via the Yoneda embedding. Then the functor (5.1) can be extended to a colimit-preserving functor*

$$|-| : \mathbf{Set}_\Delta \rightarrow \mathbf{Top},$$

which is unique up to a unique equivalence. We call it the **geometric realization functor**.

This functor admits a right adjoint given by

$$\text{Sing} : \mathbf{Top} \rightarrow \mathbf{Set}_\Delta, X \mapsto \text{Hom}_{\mathbf{Top}}(|\Delta^\bullet|, X).$$

We call it the **singular simplicial complex<sup>3</sup> functor**.

<sup>3</sup>A better name would be singular simplicial *set* functor because we allow degeneracies.

5.4. The adjoint pair

$$|-| : \mathbf{Set}_\Delta \rightleftarrows \mathbf{Top} : \mathbf{Sing}$$

belongs to the following paradigm in category theory.

**Exercise 5.5.** Let  $\mathcal{C}_0$  be a small category. Define the *category of presheaves on  $\mathcal{C}_0$*  to be

$$\mathbf{PShv}(\mathcal{C}_0) \stackrel{\text{def}}{=} \mathbf{Fun}(\mathcal{C}_0^{\text{op}}, \mathbf{Set}).$$

- (1) Prove that  $\mathbf{PShv}(\mathcal{C}_0)$  is the category **freely generated under small colimits** by  $\mathcal{C}_0$ . In other words, for any category  $\mathcal{D}$  containing all small colimits, the Yoneda embedding  $\mathcal{C}_0 \rightarrow \mathbf{PShv}(\mathcal{C}_0)$  induces an equivalence

$$\mathbf{LFun}(\mathbf{PShv}(\mathcal{C}_0), \mathcal{D}) \simeq \mathbf{Fun}(\mathcal{C}_0, \mathcal{D}),$$

where  $\mathbf{LFun}(-, -) \subset \mathbf{Fun}(-, -)$  consists of colimit-preserving functors.

- (2) Let  $F : \mathbf{PShv}(\mathcal{C}_0) \rightarrow \mathcal{D}$  be a colimit-preserving functor extending  $F_0 : \mathcal{C}_0 \rightarrow \mathcal{D}$ . Prove that  $F$  admits a right adjoint given by

$$G : \mathcal{D} \rightarrow \mathbf{PShv}(\mathcal{C}_0), d \mapsto \text{Hom}_{\mathcal{D}}(F_0(-), d).$$

**Exercise 5.6.** Challenge: construct an adjoint pair  $L : \mathbf{Set}_\Delta \rightleftarrows \mathbf{Cat} : R$  by applying the above paradigm to the functor  $\Delta \rightarrow \mathbf{Cat}$ . Describe the images of the simplicial sets in §4 under the functor.

5.7. Unlike the nerve functor  $\mathbf{N}_\bullet : \mathbf{Cat} \rightarrow \mathbf{Set}_\Delta$ , the functor  $\mathbf{Sing} : \mathbf{Top} \rightarrow \mathbf{Set}_\Delta$  is not fully faithful. Hence we cannot embed the theory of topological spaces into the theory of simplicial sets. Nevertheless, the following result, established by Quillen in the 1960s (see [Qui06]), says the *homotopy theories* of them are the same.

**Theorem 5.8** (Quillen). The adjoint pair

$$|-| : \mathbf{Set}_\Delta \rightleftarrows \mathbf{Top} : \mathbf{Sing}$$

induces an equivalence between the homotopy theories of topological spaces and simplicial sets.

## 6. CLASSICAL MODEL STRUCTURE ON $\mathbf{Set}_\Delta$

6.1. To explain Quillen's result, we need first define a model structure on  $\mathbf{Set}_\Delta$ .

**Theorem-Definition 6.2.** There exists a model structure on  $\mathbf{Set}_\Delta$  given by

- (W) A **weak homotopy equivalence** is a morphism  $f : X \rightarrow Y$  such that  $|f| : |X_\bullet| \rightarrow |Y_\bullet|$  is a weak homotopy equivalence.
- (C) A **cofibration** is a monomorphism.
- (F) A **Kan fibration** is a morphism  $f : X \rightarrow Y$  that has the right lifting property against all horn inclusions  $\Lambda_i^n \rightarrow \Delta^n$  for  $0 \leq i \leq n$ :

$$\begin{array}{ccc} \Lambda_i^n & \longrightarrow & X \\ \downarrow & \nearrow & \downarrow f \\ \Delta^n & \longrightarrow & Y. \end{array}$$

We call it the **classical**, or **Kan-Quillen**, model structure on  $\mathbf{Set}_\Delta$ . Fibrant objects in this model category are called **Kan complexes**.

**Exercise 6.3.** Find a fibrant replacement of  $\Delta^1$ , i.e., a weak homotopy equivalence  $\Delta^1 \rightarrow X$  such that  $X$  is a Kan complex.

**Exercise 6.4.** Find a fibrant replacement of  $\partial\Delta^2$ .

## 7. EQUIVALENCE BETWEEN HOMOTOPY THEORIES

7.1. In this section we explain the meaning of an equivalence between two homotopy theories. Let  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  be an adjoint pair between model categories<sup>4</sup>. We need to answer the following question:

*When does this adjoint pair induce an equivalence between the homotopy theories underlying  $\mathcal{C}$  and  $\mathcal{D}$ ?*

The answer would be

- The adjoint pair  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  should induce an adjoint pair

$$F' : \mathcal{C}[W^{-1}] \rightleftarrows \mathcal{D}[W^{-1}] : G'$$

such that  $F'$  and  $G'$  are inverse<sup>5</sup> to each other.

However, to make sense of this, we need to articulate the definition of the functors  $F'$  and  $G'$ . A touchstone for such a definition is the following example from homological algebra.

**Example 7.2.** Let  $\mathcal{A}_i$  be abelian categories such that the projective model structures on  $\text{Ch}^{\leq 0}(\mathcal{A}_i)$  is well-defined (see [Lecture 2, Example 2.7]). We have

$$\text{Ch}^{\leq 0}(\mathcal{A}_i)[W^{-1}] \simeq \text{D}^{\leq 0}(\mathcal{A}_i),$$

where  $\text{D}^{\leq 0}(\mathcal{A}_i)$  is the connective (= non-positive) part of the derived category of  $\mathcal{A}_i$ . Let  $F_0 : \mathcal{A}_1 \rightarrow \mathcal{A}_2$  be an additive functor and consider the functor

$$F : \text{Ch}^{\leq 0}(\mathcal{A}_1) \rightarrow \text{Ch}^{\leq 0}(\mathcal{A}_2)$$

induced by  $F_0$ . Then we want  $F'$  to be the **left derived functor**  $\mathbb{L}F$ , which can be calculated by

$$\mathbb{L}F(M^\bullet) \simeq F(P^\bullet),$$

where  $P^\bullet \rightarrow M^\bullet$  is a cofibrant replacement, a.k.a. a projective resolution, of  $M^\bullet$ .

One needs additional assumptions on the functor  $F_0$  to guarantee that  $F(P^\bullet) \in \text{D}^{\leq 0}(\mathcal{A}_2)$  does not depend on the choice of  $P^\bullet$ .

**Example 7.3.** Dually, for the injective model categories  $\text{Ch}^{\geq 0}(\mathcal{A}_i)$ , we want to recover the definition of **right derived functors**.

7.4. Note that in the above example, the functor  $F$  does not preserve quasi-isomorphisms (because  $F_0$  is not exact). Hence in the general setting of §7.1, we should not ask  $F$  or  $G$  to preserve weak equivalences between *all* objects. In particular, we *cannot* expect the following diagram to commute:

$$\begin{array}{ccc} \mathcal{C} & \longrightarrow & \mathcal{C}[W^{-1}] \\ F \downarrow & & \downarrow F' \\ \mathcal{D} & \longrightarrow & \mathcal{D}[W^{-1}] \end{array}$$

<sup>4</sup>We do not require  $F$  or  $G$  to preserve (W) or (C) or (F).

<sup>5</sup>The classical terminology would be *quasi-inverse*, i.e., we require  $\text{Id} \rightarrow G' \circ F'$  and  $F' \circ G' \rightarrow \text{Id}$  to be equivalences rather than equalities. The latter requirement violates the principle of equivalence hence does not make sense in higher category theory. Therefore we omit the prefix *quasi*.

Nevertheless, in homological algebra, derived functors provide best approximations to such a commutative square. To explain what this means, we need some definitions.

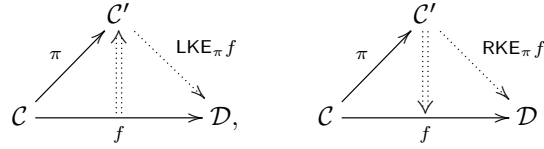
**Definition 7.5.** Let  $\pi : \mathcal{C} \rightarrow \mathcal{C}'$  be a functor between categories. For any category  $\mathcal{E}$ , we have a functor

$$\pi^* : \text{Fun}(\mathcal{C}', \mathcal{E}) \rightarrow \text{Fun}(\mathcal{C}, \mathcal{E})$$

given by precomposing with  $\pi$ . The left (resp. right) adjoint of this functor, when exists, is called the **left** (resp. **right**) **Kan extension** along  $\pi$ , and is denoted by

$$\begin{aligned} \text{LKE}_\pi &: \text{Fun}(\mathcal{C}, \mathcal{E}) \rightarrow \text{Fun}(\mathcal{C}', \mathcal{E}), \\ \text{RKE}_\pi &: \text{Fun}(\mathcal{C}, \mathcal{E}) \rightarrow \text{Fun}(\mathcal{C}', \mathcal{E}). \end{aligned}$$

**Exercise 7.6.** For a functor  $f : \mathcal{C} \rightarrow \mathcal{D}$ , find the universal properties that characterize the functors  $\text{LKE}_\pi f$  and  $\text{RKE}_\pi f$ . Hint:



are closest to be commutative.

**Example 7.7.** Let  $F_0 : \mathcal{A}_1 \rightarrow \mathcal{A}_2$  be as in Example 7.2. Then the left derived functor

$$\mathbb{L}F : \mathcal{D}^{\leq 0}(\mathcal{A}_1) \rightarrow \mathcal{D}^{\leq 0}(\mathcal{A}_2)$$

is defined as the right Kan extension<sup>6</sup> of the functor

$$\text{Ch}^{\leq 0}(\mathcal{A}_1) \xrightarrow{F} \text{Ch}^{\leq 0}(\mathcal{A}_2) \xrightarrow{\pi_2} \mathcal{D}^{\leq 0}(\mathcal{A}_2)$$

along

$$\text{Ch}^{\leq 0}(\mathcal{A}_1) \xrightarrow{\pi_1} \mathcal{D}^{\leq 0}(\mathcal{A}_1).$$

In diagram:

$$(7.1) \quad \begin{array}{ccc} \text{Ch}^{\leq 0}(\mathcal{A}_1) & \xrightarrow{\pi_1} & \mathcal{D}^{\leq 0}(\mathcal{A}_1) \\ F \downarrow & \nearrow & \downarrow \mathbb{L}F \stackrel{\text{def}}{=} \text{RKE}_{\pi_1}(\pi_2 \circ F) \\ \text{Ch}^{\leq 0}(\mathcal{A}_2) & \xrightarrow{\pi_2} & \mathcal{D}^{\leq 0}(\mathcal{A}_2). \end{array}$$

Similarly, the right derived functor is defined as a left Kan extension.

**Exercise 7.8.** Convince yourself that left derived functor should be a right Kan extension rather than a left one by evaluating (7.1) on a complex  $M^\bullet$ .

<sup>6</sup>This reversal of handedness is unfortunate but unavoidable.



7.9. Motivated by the above, we can define derived functors in *homotopical algebra*.

**Definition 7.10.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a (plain) functor between model categories. The **left derived functor** of  $F$ :

$$\mathbb{L}F : \mathcal{C}[W^{-1}] \rightarrow \mathcal{D}[W^{-1}]$$

is defined to be the following right Kan extension

$$(7.2) \quad \begin{array}{ccc} \mathcal{C} & \longrightarrow & \mathcal{C}[W^{-1}] \\ \downarrow F & \nearrow \text{dotted} & \downarrow \mathbb{L}F \stackrel{\text{def}}{=} \text{RKE} \\ \mathcal{D} & \longrightarrow & \mathcal{D}[W^{-1}]. \end{array}$$

Similarly, the **right derived functor** is defined as a left Kan extension.

7.11. We can abuse notation and write the natural transformation (7.2) as morphisms

$$\mathbb{L}F(X) \rightarrow F(X) \text{ in } \mathcal{D}[W^{-1}]$$

that are functorial for  $X$  in  $\mathcal{C}$ .

Similarly, for a functor  $G : \mathcal{D} \rightarrow \mathcal{C}$ , we have

$$G(Y) \rightarrow \mathbb{R}G(Y) \text{ in } \mathcal{C}[W^{-1}]$$

that are functorial for  $Y$  in  $\mathcal{D}$ .

7.12. Note that the definition of derived functors does not use the classes of cofibrations and fibrations. The following result provides a convenient tool to calculate derived functors using these morphisms. See e.g. [DS95, §9].

**Theorem 7.13.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors between model categories.

- (1) Suppose  $F$  sends weak equivalences between cofibrant objects to weak equivalences. Then the left derived functor  $\mathbb{L}F$  exists. Moreover, for any cofibrant object  $X$  in  $\mathcal{C}$ , we have  $\mathbb{L}F(X) \xrightarrow{\sim} F(X)$ .
- (2) Suppose  $G$  sends weak equivalences between fibrant objects to weak equivalences. Then the right derived functor  $\mathbb{R}G$  exists. Moreover, for any fibrant object  $Y$  in  $\mathcal{D}$ , we have  $G(Y) \xrightarrow{\sim} \mathbb{R}G(Y)$ .

**Exercise 7.14.** Explain how to calculate the left/right derived functor using cofibrant/fibrant replacements. What do you get for Example 7.2?

7.15. Motivated by the above, we make the following definition.

**Proposition-Definition 7.16.** Let  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  be an adjoint pair between model categories. The following conditions are equivalent:

- (i)  $F$  preserves cofibrations and acyclic cofibrations;
- (ii)  $G$  preserves fibrations and acyclic fibrations;
- (iii)  $F$  preserves cofibrations and  $G$  preserves fibrations;
- (iv)  $F$  preserves acyclic cofibrations and  $G$  preserves acyclic fibrations.

When these conditions hold, we say  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  is a **Quillen adjunction**, and call  $F$  (resp.  $G$ ) a **left** (resp. **right**) **Quillen functor**.

**Exercise 7.17.** Let  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  be a Quillen adjunction. Show that conditions in Theorem 7.13 hold.

**Proposition 7.18.** *Let  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  be a Quillen adjunction. We have a natural adjunction*

$$\mathbb{L}F : \mathcal{C}[W^{-1}] \rightleftarrows \mathcal{D}[W^{-1}] : \mathbb{R}G.$$

7.19. We are finally ready to give a precise definition to equivalences between homotopy theories.

**Definition 7.20.** *A Quillen adjunction  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  is called a **Quillen equivalence** if the induced adjunction*

$$\mathbb{L}F : \mathcal{C}[W^{-1}] \rightleftarrows \mathcal{D}[W^{-1}] : \mathbb{R}G$$

*is an equivalence between categories.*

## 8. CONCLUSION

**Theorem 8.1** (Quillen). *The adjoint pair*

$$|-| : \mathbf{Set}_\Delta \rightleftarrows \mathbf{Top} : \mathbf{Sing}$$

*is a Quillen equivalence between the classical model structures on both sides.*

8.2. Next time, we will construct a Quillen equivalence

$$\mathfrak{C} : \mathbf{Set}_\Delta^{\mathbf{Joyal}} \rightleftarrows \mathbf{Cat}_\Delta : \mathfrak{N}$$

where

- $\mathbf{Set}_\Delta^{\mathbf{Joyal}}$  is **Joyal model structure** on the category of simplicial sets;
- $\mathbf{Cat}_\Delta$  is the category of small **simplicial categories**, i.e.,  $\mathbf{Set}_\Delta$ -enriched categories. We will equip it with the model structure induced from the *classical* model structure on  $\mathbf{Set}_\Delta$ .

This will identify the homotopy theories underlying these model categories.

On the other hand, Quillen's Theorem 8.1 implies the homotopy theories of  $\mathbf{Cat}_\Delta$  and  $\mathbf{Cat}_{\mathbf{Top}}$  are equivalent. Combining with the homotopy hypothesis ([Lecture 2, Slogan 0.1]), we will obtain strong evidences for the following:

$$\text{theory of } (\infty, 1)\text{-categories} = \text{homotopy theory underlying } \mathbf{Set}_\Delta^{\mathbf{Joyal}}.$$

## APPENDIX A. MORE ON QUILLEN ADJUNCTIONS

**Exercise A.1.** *Let  $\mathcal{C}$  and  $\mathcal{D}$  be model categories. Suppose we have an adjoint pair  $F' : \mathcal{C}[W^{-1}] \rightleftarrows \mathcal{D}[W^{-1}] : G'$ , is it always possible to lift it to a Quillen adjunction? How about Quillen equivalences?*

## APPENDIX B. QUILLEN'S COTANGENT COMPLEX

**Exercise B.1.** *People say:*

The cotangent complex functor is the left derived functor of the Kähler differentials functor.

*Make sense of this statement using derived functors for model categories.*

## REFERENCES

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