In this lecture, we introduce  $\infty$ -operads and monoidal  $\infty$ -categories.

## 1. Symmetric monoidal ∞-category

- 1.1. Recall a **monoidal category** is an (ordinary) category C equipped with the following structure:
  - A binary functor  $\otimes -: C \times C \to C$ , called the **monoidal product**;
  - An object  $1 \in C$ , called the **monoidal unit**;
  - An invertible natural transformation of the form  $X \otimes (Y \otimes Z) \simeq (X \otimes Y) \otimes Z$ , called the **associator**;
  - Invertible natural transformations of the form  $\mathbb{1} \otimes X \simeq X$ ,  $X \otimes \mathbb{1} \simeq X$ , called the **left and right unitors**

such that certain diagrams, including the pentagon diagram, commute.

Informally, we can say a monoidal category is a category equpped with a multiplication which is unital and associative *up to coherent homotopy*. Here the coherence data are finite because ordinary categories form a 2-category. In fact, the definition of monoidal categories only invokes n-arry operators  $\mathsf{C}^{\times n} \to \mathsf{C}$  for  $0 \le n \le 4$ .

- 1.2. Also recall a **symmetric monoidal category** is a monoidal category C equipped with:
  - An invertible natural transformation of the form  $X \otimes Y \simeq Y \otimes X$ , called the swap natural transformation

such that certain diagrams, including the inverse law, commute.

1.3. We would like to generalize the above to a notion of (symmetric) monoidal  $\infty$ -categories. Now the coherence data should invoke n-arry operators for all  $n \ge 0$ .

Instead of writing down such coherence data, we would like to encode them as a functor  $F: J \to \mathsf{Cat}_{\infty}$ , a.k.a. a homotopy coherent diagram of  $\infty$ -categories, where J is a clever-designed simplicial set such that

- For  $n \ge 0$ , there is a vertex  $\langle n \rangle$  which is sent by F to the n-th power  $C^{\times n}$ ;
- There is an edge  $\langle 2 \rangle \rightarrow \langle 1 \rangle$  encoding the monoidal product;
- There is an edge  $(0) \rightarrow (1)$  encoding the monoidal unit;
- The coherence data are encoded by cells in J.

Note that the first point is actually a *structure rather than property*: we need to provide projections  $p_k: F(\langle n \rangle) \to F(\langle 1 \rangle)$  for each  $1 \le k \le n$  that exhibit  $F(\langle n \rangle)$  as the *n*-th power of  $F(\langle 1 \rangle)$ . Hence we should have:

• There is an edge  $\langle n \rangle \to \langle 1 \rangle$  encoding the projection functor  $\mathsf{pr}_i : \mathsf{C}^{\times n} \to \mathsf{C}$  for each  $i \in \{1, \cdots, n\}$ .

Also, in the *symmetric* monoidal setting, to encode the swap natural transformations, we need to be able to swap the factors in  $C^{\times n}$ . Hence we should have:

• For  $n \ge 0$ , the symmetric group  $\Sigma_n$  should act on  $\langle n \rangle$ .

Date: Dec. 6, 2024.

1

In fact, the above two requirements can be combined together as:

• For each injective map  $\phi: \{1, \dots, m\} \to \{1, \dots, n\}$ , there is an edge from  $\langle n \rangle \to \langle m \rangle$  encoding the projection functor  $\mathsf{pr}_{\phi}: \mathsf{C}^{\times n} \to \mathsf{C}^{\times m}$ .

These edges in J will be called the **inert morphisms**. In contrast, edges encoding data such as the product and the unit are called the **active morphisms**.

Our intuition says:

2

- In the symmetric monoidal setting, there is a unique **active** morphism  $\langle n \rangle \rightarrow \langle 1 \rangle$ .
- In the monoidal setting, active morphisms  $\langle n \rangle \rightarrow \langle 1 \rangle$  should be acted freely and transitively by  $\Sigma_n$ .

**Exercise 1.4.** Show that injective maps  $\phi : \{1, \dots, m\} \to \{1, \dots, n\}$  are in natural bijection with maps between marked sets

$$\alpha: \{*, 1, 2, \dots, n\} \to \{*, 1, 2, \dots, m\}$$

such that  $\alpha^{-1}(\{j\})$  is a singleton for any  $1 \le j \le m$ .

1.5. The above discussion motivates the following definition by Segal.

**Definition 1.6.** Let  $\operatorname{Fin}_*$  be the minimal model for the ordinary category of marked finite sets. For each  $n \geq 0$ , let  $\langle n \rangle \in \operatorname{Fin}_*$  be the object

$$\langle n \rangle \coloneqq \{ \star \} \sqcup \langle n \rangle^{\circ} \coloneqq \{ \star, 1, 2, \cdots, n \}.$$

Let  $\alpha: \langle n \rangle \to \langle m \rangle$  be a morphism in Fin\*, we say

- (1) The morphism  $\alpha$  is **inert** if  $\alpha^{-1}(\{j\})$  is a singleton for any non-marked element  $j \in \langle m \rangle^{\circ}$ .
- (2) The morphism  $\alpha$  is **active** if  $\alpha^{-1}(\{*\}) = \{*\}$ .

We also write

$$Comm^{\otimes} := Fin_{*}$$
.

**Exercise 1.7.** A morphism in  $Comm^{\otimes}$  is an isomorphism iff it is both inert and active.

**Exercise 1.8.** Any morphism  $\langle n \rangle \to \langle m \rangle$  can be written as  $\langle n \rangle \xrightarrow{\beta} \langle l \rangle \xrightarrow{\gamma} \langle m \rangle$  with  $\beta$  inert and  $\gamma$  active. Moreover, such expression is unique up to unique isomorphism.

**Definition 1.9.** A symmetric monoidal  $\infty$ -category is a functor

$$F: \mathsf{Comm}^{\otimes} \to \mathsf{Cat}_{\infty}$$

that sends the inert morphisms  $\rho^i:\langle n\rangle \to \langle 1\rangle$ ,  $i\in\langle n\rangle^\circ$  to functors

$$F(\rho^i): F(\langle n \rangle) \to F(\langle 1 \rangle)$$

that exhibit  $F(\langle n \rangle)$  as  $F(\langle 1 \rangle)^{\times n}$ . We call  $F(\langle 1 \rangle)$  its underlying  $\infty$ -category.

**Exercise 1.10.** If  $F(\langle 1 \rangle)$  is ordinary, then F determines a symmetric monoidal category.

LECTURE 21 3

1.11. The non-symmetric case can be encoded by the following variant of  $\mathsf{Comm}^{\otimes}$ .

**Definition 1.12.** Let  $\mathsf{Assoc}^\otimes$  be the ordinary category defined as follows:

- An object in  $\mathsf{Assoc}^\otimes$  is a marked finite set  $\langle n \rangle$  with  $n \geq 0$ .
- A morphism from  $\langle n \rangle$  to  $\langle m \rangle$  is a pair  $(\alpha, \leq_j)$  where
  - $-\alpha$  is a map  $\langle n \rangle \rightarrow \langle m \rangle$  between marked sets
  - For each  $j \in \langle m \rangle^{\circ}$ ,  $\leq_j$  is a linear ordering on  $\alpha^{-1}(j)$ .

For a morphism  $(\alpha, \leq_i)$ , we say it is inert (resp. active) if  $\alpha$  is so.

# **Definition 1.13.** A monoidal $\infty$ -category is a functor

$$F: \mathsf{Assoc}^{\otimes} \to \mathsf{Cat}_{\infty}$$

that sends the inert morphisms  $\rho^i : \langle n \rangle \to \langle 1 \rangle$ ,  $i \in \langle n \rangle^{\circ}$  to functors

$$F(\rho^i): F(\langle n \rangle) \to F(\langle 1 \rangle)$$

that exhibit  $F(\langle n \rangle)$  as  $F(\langle 1 \rangle)^{\times n}$ . We call  $F(\langle 1 \rangle)$  its underlying  $\infty$ -category.

Exercise 1.14. Show that Exercise 1.7-1.10 remain valid for Assoc<sup>⊗</sup> instead of Fin<sub>\*</sub>.

**Exercise 1.15.** Show that for both  $\mathsf{Comm}^{\otimes}$  and  $\mathsf{Assoc}^{\otimes}$ , the group of automorphisms on  $\langle n \rangle$  can be identified with  $\Sigma_n$ .

1.16. There is a functor  $\mathsf{Assoc}^\otimes \to \mathsf{Comm}^\otimes$  that sends a symmetric monoidal  $\infty$ -category to a monoidal one via restriction.

Warning 1.17. Although  $\mathsf{Assoc}^\otimes \to \mathsf{Comm}^\otimes$  behaves like a quotient functor (it is surjective on both objects and morphisms), one should not think a symmetric monoidal  $\otimes$ -category as a monoidal one satisfying certain properties. Rather, even for a functor  $\mathsf{Assoc}^\otimes \to \mathsf{D}$  into a (2,1)-category  $\mathsf{D}$ , such as that of ordinary categories, a weak factorization through  $\mathsf{Comm}^\otimes$  is a structure rather than property.

Remark 1.18. In future lectures, we will encode non-symmetric monoidal  $\infty$ -categories in a more efficient way, where the role of  $\mathsf{Assoc}^\otimes$  is replaced by  $\Delta^{\mathsf{op}}$ . This is known as the simplicial model for monoids. Roughly speaking, this amounts to cancel out the  $\Sigma_n$ -action  $\mathsf{Hom}(\langle n \rangle, \langle 1 \rangle)$  from the data encoded by a functor  $\mathsf{Assoc}^\otimes \to \mathsf{Cat}_\infty$ .

Comparing with  $\mathsf{Assoc}^\otimes$ , this simplicial model has several advantages. For instance, one can define  $\mathbb{A}_n$ -algebras using the truncation  $(\Delta_{\leq n})^{\mathsf{op}}$ . However, it is hard to directly compare monoidal structures encoded by the simplicial model with the symmetric monoidal ones because the  $\Sigma_n$ -action is hidden.

## 2. ∞-OPERADS

**Exercise 2.1.** Show that for both  $Comm^{\otimes}$  and  $Assoc^{\otimes}$ , the following data are equivalent:

- An active morphism  $\alpha : \langle n \rangle \to \langle m \rangle$ ;
- A decomposition  $(n)^{\circ} \simeq \bigsqcup_{j \in (m)^{\circ}} (n_j)^{\circ}$  and active morphisms  $\alpha_j : (n_j) \to (1)$  labelled by  $j \in (m)^{\circ}$ .

Hint: for each  $\alpha$ , consider the factorization of  $\rho_j \circ \alpha$  in Exercise 1.8.

- 2.2. In fact, the categories  $\mathsf{Comm}^\otimes$  and  $\mathsf{Assoc}^\otimes$  can be recovered from the following data:
  - (i) For each  $n \ge 0$ , the set O(n) of active morphisms  $\langle n \rangle \to \langle 1 \rangle$ ;
  - (ii) The action of  $\Sigma_n$  on O(n);
  - (iii) For  $m \ge 0$  and  $n_j$  labelled by  $j \in \langle m \rangle^{\circ}$ , a map

$$O(m) \times (\prod_{j} O(n_j)) \rightarrow O(n_1 + \dots + n_m)$$

given by the above exercise.

In the classical literatures, a collection of such data satisfying certain compatibilities is called a (symmetric) operad. Here O(n) is the set of n-arry operators and (iii) are called composition maps.

2.3. One can also consider operads with enriched structures. For instance, we have the notions of simplicial operads, topological operads, k-linear operads, dg-operads, using enrichment over the ordinary symmetric monoidal categories  $\mathsf{Set}_{\Delta}$ ,  $\mathsf{Top}$ ,  $\mathsf{Mod}_k^{\Diamond}$ ,  $\mathsf{Ch}(\mathsf{Ab})$ ...

We would like to have a notion of operads weakly enriched over the  $\infty$ -category Spc. In other words, each O(n) should be a space, and the composition maps are associative up to coherent homotopies.

Following the general philosephy in this course, it is better to define an  $\infty$ -operad as an  $\infty$ -category satisfying certain conditions, such as  $\mathsf{Comm}^\otimes$  and  $\mathsf{Assoc}^\otimes$ , rather than listing all these coherence data.

2.4. Before giving the definition of  $\infty$ -operads, let us conduct one more generalization.

Let A be a symmetric monoidal  $\infty$ -category and M be an  $\infty$ -category. We want to define an A-action on M via a functor  $F:\mathsf{CMod}^{\otimes} \to \mathsf{Cat}_{\infty}$ . The index category  $\mathsf{CMod}^{\otimes}$  should contain  $\mathsf{Comm}^{\otimes}$  as a full subcategory, and the remaining part should encode the A-module structure on M. Hence we should at least have:

- objects  $(\mathfrak{a}, \dots, \mathfrak{a})$  which comes from  $\langle n \rangle \in \mathsf{Assoc}^{\otimes}$  and should be sent to  $\mathsf{A}^{\times n}$  by F;
- objects  $(\mathfrak{a}, \dots, \mathfrak{a}, \mathfrak{m})$  which should be sent to  $A^{\times n} \times M$  by F;
- a unique active morphism  $(\mathfrak{a},\cdots,\mathfrak{a},\mathfrak{m})\to\mathfrak{m}$  encoding the action functor  $\mathsf{A}^{\times n}\times\mathsf{M}\to\mathsf{M}.$

Note that we should also have objects of the form  $(\mathfrak{m},\mathfrak{a},\cdots,\mathfrak{a})$  and more generally  $(\mathfrak{a},\cdots,\mathfrak{a},\mathfrak{m},\mathfrak{a},\cdots,\mathfrak{a})$ . For technical reasons, it is more convenient to even allow sequences with multiple  $\mathfrak{m}$ -terms. Of course, we will not allow any *active* morphism from  $(\mathfrak{m},\mathfrak{m})$  to  $\mathfrak{m}$ .

**Definition 2.5.** Let  $\mathsf{CMod}^{\otimes}$  be the category defined as follows:

- An object is a pair  $(\langle n \rangle, c)$ , where  $\langle n \rangle \in \text{Fin}_*$  and  $c : \langle n \rangle^\circ \to \{\mathfrak{a}, \mathfrak{m}\}$  is a map.
- A morphism from  $(\langle n \rangle, c)$  to  $(\langle m \rangle, d)$  is a morphism  $\alpha : \langle n \rangle \to \langle m \rangle$  in  $Comm^{\otimes}$  such that
  - If  $c(i) = \mathfrak{m}$ , then either  $\alpha(i) = *$  or  $d(\alpha(i)) = \mathfrak{m}$ .
  - If  $d(j) = \mathfrak{m}$ , then there is a **unique**  $i \in \alpha^{-1}(\{j\})$  with  $c(i) = \mathfrak{m}$ .

For such a morphism, we say it is inert (resp. active) if the underlying morphism in  $\mathsf{Comm}^\otimes$  is so.

LECTURE 21 5

2.6. Similarly, we can define a category  $\mathsf{LMod}^\otimes$  encoding monoids and their  $\mathit{left}$  modules.

**Definition 2.7.** Let  $\mathsf{LMod}^{\otimes}$  be the category defined as follows:

- An object is a pair  $(\langle n \rangle, c)$ , where  $\langle n \rangle \in \mathsf{Fin}_*$  and  $c : \langle n \rangle^\circ \to \{\mathfrak{a}, \mathfrak{m}\}$  is a map.
- A morphism from  $(\langle n \rangle, c)$  to  $(\langle m \rangle, d)$  is a morphism  $(\alpha, \leq_j) : \langle n \rangle \to \langle m \rangle$  in  $\mathsf{Assoc}^\otimes$  such that
  - If  $c(i) = \mathfrak{m}$ , then either  $\alpha(i) = *$  or  $d(\alpha(i)) = \mathfrak{m}$ .
  - If  $d(j) = \mathfrak{m}$ , then there is a **unique**  $i \in \alpha^{-1}(\{j\})$  with  $c(i) = \mathfrak{m}$ , which is also the maximal element for the ordering  $\leq_j$ .

For such a morphism, we say it is inert (resp. active) if the underlying morphism in  $\mathsf{Assoc}^\otimes$  is so.

Dually, we define  $RMod^{\otimes}$  by replacing maximal by minimal.

2.8. Categories such as  $\mathsf{CMod}^\otimes$ ,  $\mathsf{LMod}^\otimes$  or  $\mathsf{RMod}^\otimes$  are *colored operads*, and the symbols  $\mathfrak{a},\mathfrak{m}$  are the *colors*.

**Exercise 2.9.** Construct an ordinary colored operad  $\mathsf{BMod}^\otimes$  with three colors  $(\mathfrak{a}_l,\mathfrak{m},\mathfrak{a}_r)$  encoding two monoids and a bimodule of them.

**Exercise 2.10.** Let  $O^{\otimes}$  be either  $Comm^{\otimes}$ ,  $Assoc^{\otimes}$ ,  $CMod^{\otimes}$ ,  $LMod^{\otimes}$ ,  $RMod^{\otimes}$  or  $BMod^{\otimes}$ . Consider the natural functor  $p: O^{\otimes} \to Fin_{*}$ . Show that

- (1) For any inert morphism in Fin\*, there are enough p-coCartesian liftings of it. Moreover, a morphism in O<sup>⊗</sup> is inert iff it is a p-coCartesian over an inert morphism in Fin\*.
- (2) Let  $C \in \hat{O}_{\langle n \rangle}^{\otimes}$  be an object lying over  $\langle n \rangle$ , and  $C \to C_i$  be p-coCartesian morphisms lying over  $\rho^i : \langle n \rangle \to \langle 1 \rangle$ ,  $i \in \langle n \rangle^{\circ}$ . Then these morphisms exhibit C as a p-limit<sup>1</sup>. In other words, for any testing object  $D \in O^{\otimes}$ , the following square is Cartesian

$$\begin{split} \mathsf{Maps}_{\mathsf{O}^{\otimes}}(D,C) & \longrightarrow \prod_{i} \mathsf{Maps}_{\mathsf{O}^{\otimes}}(D,C_{i}) \\ \downarrow & \downarrow \\ \mathsf{Maps}_{\mathsf{Fin}_{*}}(p(D),\langle n \rangle) & \longrightarrow \prod_{i} \mathsf{Maps}_{\mathsf{Fin}_{*}}(p(D),\langle 1 \rangle). \end{split}$$

(3) The covaraint transport functors  $\rho_t^i$  exhibit  $O_{(n)}^{\otimes}$  as  $(O_{(1)}^{\otimes})^{\times n}$ .

**Definition 2.11.** An  $\infty$ -operad<sup>2</sup> is an  $\infty$ -category  $O^{\otimes}$  over  $Fin_*$  satisfying the conclusions in the above exercise<sup>3</sup>. We call

$$\mathsf{O} \coloneqq \mathsf{O}_{\langle 1 \rangle}^{\otimes}$$

the  $\infty$ -category of colors in  $O^{\otimes}$ .

**Definition 2.12.** Let  $p: O^{\otimes} \to \operatorname{Fin}_*$  be an  $\infty$ -operad and  $f: C \to C'$  be a morphism in  $O^{\otimes}$ , we say

- The morphism f is **inert** if it is p-coCartesian over an inert morphism in Fin.
- The morphism f is active if p(f) is active.

<sup>&</sup>lt;sup>1</sup>See HTT.4.3.2 for a discussion about relative limits in general.

<sup>&</sup>lt;sup>2</sup>A better terminology might be *colored* ∞-operad.

<sup>&</sup>lt;sup>3</sup>More precisely, we should say a functor  $p: O^{\otimes} \to \mathsf{Fin}_*$  exhibits  $O^{\otimes}$  as an essential ∞-operad, if any/all realization  $O^{\otimes} \to \mathsf{N}(\mathsf{Fin}_*)$  of p as an inner fibration satisfies the above conditions.

2.13. We often denote an object  $C \in O_{\langle n \rangle}^{\otimes}$  by  $(C_1, \dots, C_n)^4$  with  $C_i \in O$  and treat the inert morphisms  $C \to C_i$  as implicit.

**Exercise 2.14.** A morphism in  $O^{\otimes}$  is an isomorphism iff it is both inert and active.

**Exercise 2.15.** Show that any morphism in  $O^{\otimes}$  can be essentially uniquely written as a composition of an inert morphism followed by an active one.

2.16. Let  $O^{\otimes}$  be an  $\infty$ -operad. We can define an O-monoidal  $\infty$ -category as a functor  $O^{\otimes} \to \mathsf{Cat}_{\infty}$  satisfying certain conditions. In practice, it is better to describe such conditions via the corresponding coCartesian fibration over  $O^{\otimes}$ .

**Definition 2.17.** Let  $O^{\otimes}$  be an  $\infty$ -operad. An O-monoidal  $\infty$ -category is an (essential) coCartesian fibration  $C^{\otimes} \to O^{\otimes}$  such that the composition  $C^{\otimes} \to O^{\otimes} \to Fin_*$  exhibits  $C^{\otimes}$  as an  $\infty$ -operad. We call  $C := C^{\otimes}_{(1)}$  the underlying  $\infty$ -category of  $C^{\otimes}$ .

**Definition 2.18.** Let  $C^{\otimes}$  and  $C'^{\otimes}$  be O-monoidal  $\infty$ -categories. An O-monoidal functor is a functor  $C^{\otimes} \to C'^{\otimes}$  defined over  $O^{\otimes}$  that preserves all coCartesian arrows. Let

$$\mathsf{Fun}_\mathsf{O}^\otimes(\mathsf{C},\mathsf{C}')\subseteq\mathsf{Fun}_\mathsf{O^\otimes}(\mathsf{C}^\otimes,\mathsf{C}'^\otimes)$$

be the full sub- $\infty$ -category of O-monoidal functors.

**Exercise 2.19.** Show that for  $O^{\otimes} = \mathsf{Comm}^{\otimes}$  or  $\mathsf{Assoc}^{\otimes}$ , the above definition recovers the notions of (symmetric) monoidal  $\infty$ -categories and monoidal functors via straightening.

## 3. Algebras

3.1. One advantage of defining O-monoidal  $\infty$ -categories via coCartesian fibrations is that the definition of algebras inside them is extremely simple.

**Definition 3.2.** Let  $O^{\otimes}$  and  $C^{\otimes}$  be  $\infty$ -operads. An  $\infty$ -operad map between them is a functor  $p: O^{\otimes} \to C^{\otimes}$  defined over  $Fin_*$  that preserves inert morphisms. Write

$$\mathsf{Alg}_\mathsf{O}(\mathsf{C}) \subseteq \mathsf{Fun}_{\mathsf{Fin}_*}(\mathsf{O}^\otimes,\mathsf{C}^\otimes)$$

be the full sub- $\infty$ -category of  $\infty$ -operad maps  $O^{\otimes} \to C^{\otimes}$ .

**Exercise 3.3.** Let  $C^{\otimes} \to \mathsf{Comm}^{\otimes} := \mathsf{Fin}_{*}$  be a symmetric monoidal category. Describe  $\mathsf{Alg}_{\mathsf{O}}(\mathsf{C})$  for the  $\infty$ -operads listed before.

3.4. Note that to define associative algebra in an ordinary category, we only need to equip the category with a monoidal structure rather than a symmetric monoidal one. Hence we also need the following relative version of Definition 3.2.

#### Definition 3.5. Let



<sup>&</sup>lt;sup>4</sup>Lurie's books use  $C_1 \oplus \cdots \oplus C_n$ , which might cause confusion because C is *neither* the (absolute) product nor coproduct of  $C_i$ 's.

LECTURE 21 7

be maps between  $\infty$ -operads. An O'-algebra in C (relative to O) is an object in

(3.1) 
$$\mathsf{Alg}_{\mathsf{O}'/\mathsf{O}}(\mathsf{C}) \coloneqq \mathsf{Alg}_{\mathsf{O}'}(\mathsf{C}) \underset{\mathsf{Alg}_{\mathsf{O}'}(\mathsf{O})}{\times} \{q\}.$$

When q is the identity map on  $O^{\otimes}$ , we also write

$$Alg_{O}(C) := Alg_{O/O}(C).$$

Warning 3.6. The  $\infty$ -categories  $Alg_{/O}(C)$  and  $Alg_{O}(C)$  are different. In practice, the former is mostly used when  $C^{\otimes} \to O^{\otimes}$  is a coCartesian fibration.

**Remark 3.7.** If  $C^{\otimes} \to O^{\otimes}$  is realized as a categorical fibration, then the fiber product (3.1) can be calculated as the naive fiber product.

**Definition 3.8.** Let  $C^{\otimes}$  and  $C'^{\otimes}$  be O-monoidal  $\infty$ -categories. An object in  $Alg_{C/O}(C')$  is called a *(right) lax O-monoidal functor from*  $C^{\otimes}$  to  $C'^{\otimes}$ 

**Remark 3.9.** Show that for  $O^{\otimes} = \mathsf{Comm}^{\otimes}$  or  $\mathsf{Assoc}^{\otimes}$ , the above definition generalizes the notions of lax (symmetric) monoidal functors between ordinary categories.

### APPENDIX A. MONOIDAL ENVELOPE

A.1. By definition, any symmetric monoidal  $\infty$ -category is an  $\infty$ -operad. The converse is false because an  $\infty$ -operad  $\mathsf{C}^\otimes \to \mathsf{Fin}_*$  might not have enough coCartesian arrows.

**Exercise A.2.** Let  $C^{\otimes} \to \operatorname{Fin}_*$  be any symmetric monoidal  $\infty$ -category and  $C' \subseteq C$  be a full sub- $\infty$ -category. Let  $C'^{\otimes} \subset C^{\otimes}$  be the full sub- $\infty$ -category consisting of objects  $(X_1, \dots, X_n)$  with  $X_i \in C'$ . Show that  $C'^{\otimes}$  is an  $\infty$ -operad.

**Exercise A.3.** Conversely, show that any  $\infty$ -operad  $C^{\otimes}$  can be realized as a full  $sub-\infty$ -category of a symmetric monoidal  $\infty$ -category.

A.4. In fact, there is a universal symmetric monoidal  $\infty$ -category  $\mathsf{Env}(\mathsf{C})^\otimes$  containing  $\mathsf{C}^\otimes$  such that for any test symmetric monoidal  $\infty$ -category  $\mathsf{D}^\otimes$ , we have

$$\operatorname{\mathsf{Fun}}^\otimes(\operatorname{\mathsf{Env}}(\mathsf{C}),\mathsf{D})\simeq\operatorname{\mathsf{Alg}}_\mathsf{C}(\mathsf{D}).$$

This is called the *symmetric monoidal envelope* of C.

A.5. Suggested readings. HA.2.2.4.