Proving "theorems for free" via relational parametricity A tutorial using the syntax of Scala code

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Outline of the tutorial

- Motivation: practical applications of the parametricity theorem
- What is "fully parametric code"
- Naturality laws and their uses
 - Example: Covariant and contravariant Yoneda identities
- A complete proof of "theorems for free" in 6 steps
 - ▶ Step 1: Deriving fmap and cmap methods from types
 - Step 2: Motivation for the relational approach to naturality laws
 - Step 3: Definition and examples of relations
 - ► Step 4: Definition and properties of the relational lifting (rmap)
 - ▶ Step 5: Proof of the relational naturality law
 - ▶ Step 6: Deriving the wedge law from the relational naturality law
- Advanced applications of the parametricity theorem: beyond Yoneda
 - Church encodings of recursive types
 - Simplifying universally quantified types where Yoneda fails

Applications of parametricity. "Theorems for free"

Parametricity theorem: any fully parametric function obeys a certain law Some applications:

Naturality laws for code that works in the same way for all types

Naturality law for headOption: for all x: List[A] and f: A => B,
 x.headOption.map(f) == x.map(f).headOption

Uniqueness properties for fully parametric functions

- The map and contramap methods uniquely follow from types
- There is only one function f with type signature f[A]: A => (A, A)

Type equivalence for universally quantified types

- The type of functions pure[A]: A => F[A] is equivalent to F[Unit]
 - ► In Scala 3, this type is written as [A] => A => F[A]
- The type [A] => (A, (K, A) => A) => A is equivalent to List[K]
- The type [A] => ((A => K) => A) => A is equivalent to K

Requirements for parametricity. Fully parametric code

Parametricity theorem works only if the code is "fully parametric"

- "Fully parametric" code: use only type parameters and Unit, no run-time type reflection, no external libraries or built-in types
 - ► For instance, no IO-like monads
- "Fully parametric" is a stronger restriction than "purely functional"

Parametricity theorem applies only to a subset of a programming language

• Usually, it is a certain flavor of typed lambda calculus

Examples of code that is not fully parametric

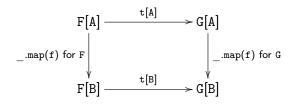
```
Explicit matching on type parameters using type reflection:
    def badHeadOpt[A]: List[A] => Option[A] = {
                                => None
      case Nil
      case (head: Int) :: tail => None // Run-time type match!
      case head :: tail => Some(head)
Using typeclasses: define a typeclass NotInt[A] with the method notInt[A]
that returns true unless A = Int
    def badHeadOpt[A: NotInt]: List[A] => Option[A] = {
      case h :: tail if notInt[A] => Some(h)
      case _ => None
Failure of naturality law:
    scala > badHeadOpt(List(10, 20, 30).map(x => s"x = $x"))
    res0: Option[String] = Some(x = 10)
    scala > badHeadOpt(List(10, 20, 30)).map(x => s"x = $x")
    res1: Option[String] = None
```

Fully parametric programs are written using the 9 code constructions:

- Use Unit value (or equivalent type), e.g. (), Nil, None
- Use bound variable (a given argument of the function)
- 3 Create a function: { x => expr(x) }
- Use a function: f(x)
- Oreate a product: (a, b)
- Use a product: p._1 (or via pattern matching)
- Create a co-product: Left[A, B](x)
- Use a co-product: { case ... => ... } (pattern matching)
- Use a recursive call: e.g., fmap(f)(tail) within the code of fmap

Naturality laws require map

Naturality law: applying $t[A]: F[A] \Rightarrow G[A]$ before $_.map(f)$ equals applying $t[B]: F[B] \Rightarrow G[B]$ after $_.map(f)$ for any function $f: A \Rightarrow B$



Example: F = List, G = Option, t = headOption
The naturality law of headOption: for all x: List[A] and f: A => B,
x.headOption.map(f) = x.map(f).headOption

Naturality laws are formulated using $_.map$ for F and G What is the code of map for a given F[_]?

• Equivalently, the code of fmap[A, B]: (A => B) => F[A] => F[B]

Using naturality laws: the Yoneda identities

```
For covariant F[A], the type F[R] is equivalent to the type of functions
p[A]: (R \Rightarrow A) \Rightarrow F[A] satisfying the naturality law:
p[A](k).map(f) == p[B](k andThen f) for all f: A => B
Isomorphism maps:
inY[A]: F[R] \Rightarrow (R \Rightarrow A) \Rightarrow F[A] = fr \Rightarrow k \Rightarrow fr.map[A](k)
outY: ([A] \Rightarrow (R \Rightarrow A) \Rightarrow F[A]) \Rightarrow F[R] = p \Rightarrow p[R](identity[R])
Proofs of isomorphism:
outY(inY(fr)) == outY(k => fr.map(k)) == fr.map(identity) == fr
The other direction:
inY(outY(p)) == k => outY(p).map(k) == k => p(identity).map(k)
Use the naturality law: p(identity).map(k) == p(identity and Then k)
So: inY(outY(p)) == k \Rightarrow p(k) == p
```

• The naturality law and the code of inY must use the same _.map For contravariant G[A], the type G[R] is equivalent to the type of functions $q[A]: (A \Rightarrow R) \Rightarrow G[A]$ satisfying the appropriate naturality law

Example applications of the Yoneda identities

Many types can be converted to the form $[A] \Rightarrow (R \Rightarrow A) \Rightarrow F[A]$ with a covariant F or to $[A] \Rightarrow (A \Rightarrow R) \Rightarrow G[A]$ with a contravariant G Some examples (assume covariant F[] and contravariant G[]):

- [A] => A is equivalent to Nothing
- [A] => F[A] is equivalent to F[Nothing]
- [A] => G[A] is equivalent to G[Unit]
- [A] => A => A is equivalent to Unit
- [A] => A => F[A] is equivalent to F[Unit]
- [A] => (A, A) => A is equivalent to Boolean
- [A] => (A, A) => F[A] is equivalent to F[Boolean]
- [A] => (P => A) => Q => A is equivalent to Q => P
- [A] => (A => P) => A => Q is equivalent to P => Q
- [A] => F[A] => (A => P) => Q is equivalent to F[P] => Q
- flatMap is equivalent to flatten: (use Yoneda w.r.t. A)
 def flatMap[A, B]: F[A] => (A => F[B]) => F[B]
 def flatten[B]: F[F[B]] => F[B]

Step 1. Fully parametric type constructors

What is the fmap function for a given type constructor F[_]?

- If the code of t[A]: F[A] => G[A] is fully parametric, then there are only a few ways to build the type constructors F[_] and G[_]
- Such "fully parametric" type constructors F[_] are built as:

```
● F[A] = Unit or F[A] = B where B is another type parameter
```

- \mathbf{O} F[A] = A
- 3 F[A] = (G[A], H[A]) product types
- F[A] = Either[G[A], H[A]] co-product types
- **5** F[A] = G[A] => H[A] function types
- F[A] = G[F[A], A] recursive types
- F[A] = [X] => G[A, X] universally quantified types

The recursive type construction (Fix) can be defined as:

```
case class Fix[G[_, _], A](unfix: G[Fix[G[_, _], A], A])

F[A] = Fix[G, A] satisfies the type equation F[A] = G[F[A], A]
```

Step 1. Deriving fmap from types

```
    What is the fmap function for a covariant type constructor F[]?

  fmap_F[A, B]: (A \Rightarrow B) \Rightarrow F[A] \Rightarrow F[B]
    If F[A] = Unit or F[A] = B then fmap_F(f) = identity
    2 If F[A] = A then fmap_F(f) = f
    \bullet If F[A] = (G[A], H[A]) then we need fmap_G and fmap_H
       fmap_F(f) = \{ case (ga, ha) => (fmap_G(f)(ga), \}
       fmap_H(f)(ha)) }
    4 If F[A] = Either[G[A], H[A]] then fmap_F(f) = \{
         case Left(ga) => Left(fmap_G(f)(ga))
         case Right(ha) => Right(fmap_H(f)(ha))
    6 If F[A] = G[A] => H[A] then we need cmap_G and fmap_H
       cmap_G[A, B]: (A \Rightarrow B) \Rightarrow G[B] \Rightarrow G[A]
       We define fmap_F(f)(p: G[A] \Rightarrow H[A]) =
        cmap_G(f) andThen p andThen fmap_H(f)
    6 If F[A] = G[F[A], A] then we need fmap_G1 and fmap_G2
       fmap_F(f) = fmap_G1(fmap_F(f)) and Then fmap_G2(f)
    If F[A] = [X] => G[A, X] then we need fmap_G1
       fmap_F(f) = p \Rightarrow [X] \Rightarrow fmap_G1(f)(p[X])
```

Step 1. Deriving cmap from types

- When F[_] is contravariant, we need the cmap function cmap_G[A, B]: (A => B) => G[B] => G[A]
- Use structural induction on the type of F[_]:
 - If F[A] = Unit or F[A] = B then cmap_F(f) = identity
 - If F[A] = A then F is not contravariant!
 - If F[A] = (G[A], H[A]) then we need cmap_G and cmap_H
 cmap_F(f) = { case (gb, hb) => (cmap_G(f)(gb),
 cmap_H(f)(hb)) }
 - If F[A] = Either[G[A], H[A]] then cmap_F(f) = {
 case Left(gb) => Left(cmap_G(f)(gb))
 case Right(hb) => Right(cmap_H(f)(hb))
 }
 - If F[A] = G[A] => H[A] then we need fmap_G and cmap_H
 We define cmap_F(f)(k: G[B] => H[B]) =
 fmap_G(f) andThen k andThen cmap_H(f)
 - If F[A] = G[F[A], A] then we need fmap_G1 and cmap_G2
 cmap_F(f) = fmap_G1(cmap_F(f)) andThen cmap_G2(f)
 - If $F[A] = [X] \Rightarrow G[A, X]$ then we need cmap_G1 cmap_F(f) = k \Rightarrow [X] \Rightarrow cmap_G1(f)(k[X]))

Step 1. Detect covariance and contravariance from types

- The same constructions for fmap and cmap except for function types
- The function arrow (=>) swaps covariant and contravariant positions
- In any fully parametric type expression, each type parameter is either in a covariant position or in a contravariant position

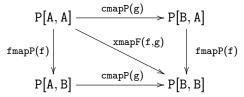
```
type F[A, B] = (A \Rightarrow Either[A, B], A \Rightarrow (B \Rightarrow A) \Rightarrow (A, B))
```

- F[A, B] is covariant w.r.t. B since B is always in covariant positions
 - ▶ But F[A, B] is neither covariant nor contravariant w.r.t. A
 - ▶ We can recognize co(ntra)variance by counting nested function arrows
- Defined in this way, co(ntra)variance is independent of subtyping
- We can generate the code for fmap or cmap mechanically, from types
- A type expression F[A, B, ...] can be analyzed with respect to each of the type parameters separately, and found to be covariant, contravariant, or neither ("invariant")
- We can write the naturality law for any type signature F[A] => G[A]

Step 1. "Invariant" type constructors. Profunctors

For "invariant" types, we use a trick: rename contravariant positions

- Example: type F[A] = Either[A => (A, A), (A, A) => A]
- Define type $P[X, A] = Either[X \Rightarrow (A, A), (X, X) \Rightarrow A]$
- Then F[A] = P[A, A] while P[X, A] is contravariant in X and covariant in A. Such P[X, A] are called profunctors
- We can implement cmap with respect to X and fmap with respect to A def fmapP[X, A, B]: (A => B) => P[X, A] => P[X, B] def cmapP[X, Y, A]: (X => Y) => P[Y, A] => P[X, A]
- Then we can compose cmapP and fmapP to get xmapF: def xmapF[A, B]: (A => B, B => A) => P[A, A] => P[B, B] = (f, g) => cmapP[A, B, A](g) andThen fmapP[B, A, B](f)
- What if we compose in another order? A commutativity law holds:



Step 1. Verifying the functor laws

fmap and cmap need to satisfy two functor laws

• Identity law:

```
fmap(identity) = identity
cmap(identity) = identity
```

- Composition law: for any f: A => B and g: B => C,
 fmap(f) andThen fmap(g) = fmap(f andThen g)
 cmap(g) andThen cmap(f) = cmap(f andThen g)
- Go through each case and prove that the laws hold
 - Proofs by induction on the type structure

Step 1. Functor laws: composition law for tuples

• We will prove the composition law for fmap in case 3

```
fmap_F(f) = \{ case (ga, ha) \Rightarrow (fmap_G(f)(ga), fmap_H(f)(ha)) \}
For any f: A \Rightarrow B and g: B \Rightarrow C and values ga: G[A], ha: H[A]:

    Apply fmap_F(f) andThen fmap_F(g) to the tuple (ga, ha):

fmap_F(f)((ga, ha)) == (fmap_G(f)(ga), fmap_H(f)(ha))
fmap_F(g)((fmap_G(f)(ga), fmap_H(f)(ha)))
== (fmap_G(g)(fmap_G(f)(ga)), fmap_H(g)(fmap_H(f)(ha)))
== ((fmap_G(f)) and Then fmap_G(g))(ga), (fmap_H(f)) and Then
fmap_H(f))(ha) )
```

 Apply fmap_F(f andThen g) to the tuple (ga, ha): fmap_F(f andThen g)((ga, ha)) == (fmap_G(f andThen g)(ga), fmap_H(f andThen g)(ha))

• The law holds for fmap_F if it already holds for fmap_G and fmap_H

Step 1. Functor laws: composition law for function types

We will prove the composition law for cmap in case 5
 cmap_F(f)(k) == fmap_G(f) andThen k andThen cmap_H(f)

For any $f: A \Rightarrow B$ and $g: B \Rightarrow C$ and $kc: G[C] \Rightarrow H[C]:$

```
Apply cmap_F(g) andThen cmap_F(f) to kc:

cmap_F(g)(kc) == fmap_G(g) andThen kc andThen cmap_H(g)

cmap_F(f)(fmap_G(g) andThen kc andThen cmap_H(g))

== fmap_G(f) andThen fmap_G(g) andThen kc andThen cmap_H(g)
```

This is the same as cmap_F(f andThen g)(kc)by inductive assumption

== fmap_G(f andThen g) andThen kc andThen cmap_H(f andThen g)

• The law holds for cmap_F if it already holds for fmap_G and cmap_H

andThen cmap_H(f)

Step 1. Functor laws: composition law for recursive types

 \bullet We will prove the composition law for ${\tt fmap}$ in case 6

```
fmap_F(f) = fmap_G1(fmap_F(f)) and Then fmap_G2(f)
For any f: A \Rightarrow B and g: B \Rightarrow C:
```

```
LHS: fmap_F(f) and fmap_F(g) == fmap_G1(fmap_F(f)) and fmap_G2(f) and fmap_G2(g) and fmap_G2(g)
```

```
RHS: fmap_F(f andThen g) == fmap_G1(fmap_F(f andThen g)) andThen fmap_G2(f andThen g) == fmap_G1(fmap_F(f) andThen fmap_F(g)) andThen fmap_G2(f) andThen fmap_G2(g) == fmap_G1(fmap_F(f)) andThen fmap_G1(fmap_F(g)) andThen fmap_G2(g)
```

- LHS equals RHS if the commutativity law holds for G
- The law holds for fmap_F if the composition laws and the commutativity law already hold for fmap_G1 and fmap_G2

Step 1. Summary

- fmap or cmap or xmap follow from a given type expression F[A]
- The code of fmap, cmap, xmap is always fully parametric and lawful
 - ▶ That is the "standard" code used by all naturality laws
- Consistency of the definition of xmap requires a commutativity law
- Functor laws for recursive types require a commutativity law
 - ► Those commutativity laws are naturality laws and will be proved later

Step 2. Motivation for relational parametricity. I. Papers

Parametricity theorem: any fully parametric function satisfies a certain law "Relational parametricity" is a powerful method for proving the parametricity theorem and for using it to prove other laws

- Main papers: Reynolds (1983) and Wadler "Theorems for free" (1989)
 - ▶ Those papers are limited in scope and hard to understand
- There are few pedagogical tutorials on relational parametricity
 - ▶ "On a relation of functions" by R. Backhouse (1990)
 - ▶ "The algebra of programming" by R. Bird and O. de Moor (1997)
 - ▶ Parametricity tutorial part 1, part 2, part 3 by E. de Vries (2015)
- Here I derive the main results not following any of the above
- I will only explain the minimum necessary knowledge and notation

Step 2. Motivating relational parametricity. II. The difficulty

Naturality laws are formulated via liftings (fmap, cmap), for example: fmap(f) andThen t == t andThen fmap(f)

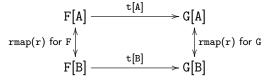
Cannot lift $f: A \Rightarrow B$ to $F[A] \Rightarrow F[B]$ when F[] is not covariant!

- For covariant F[_] we lift f: A => B to fmap(f): F[A] => F[B]
- For contravariant F[_] we lift f: A => B to cmap(f): F[B] => F[A]
 In general, F[_] will be neither covariant nor contravariant
 - Example: foldLeft with respect to type parameter A
 def foldLeft[T, A]: List[T] => (T => A => A) => A => A
 - This is *not* of the form F[A] => G[A] with F[_] and G[_] being both covariant or both contravariant
 - ▶ Because some occurrences of A are in covariant and contravariant positions together in function arguments, e.g., (T => A => A) =>...
 - What law (similar to a naturality law) does foldLeft obey with respect to the type parameter A?
 - We need to formulate a more general naturality law that applies to all type constructors F[A], not necessarily covariant nor contravariant

Step 2. Motivating relational parametricity. III. The solution

The difficulty is resolved using three nontrivial ideas:

- Generalize functions $f: A \Rightarrow B$ to binary relations $r: A \iff B$
 - ► The graph relation: (a, b) in graph(f) means f(a) == b
 - Relations are more general than functions, can be many-to-many
 - ▶ Instead of f(a) == b, we will write (a, b) in r
- It is always possible to lift r: A <=> B to rmap(r): F[A] <=> F[B]
- Reformulate the naturality law of t via relations: for any r: A <=> B,



To read the diagram: the starting values are on the left For any $r: A \iff B$, for any fa: F[A] and fb: F[B] such that (fa, fb) in rmap_F(r), we require (t(fa), t(fb)) in rmap_G(r)The relational naturality law will reduce to the ordinary naturality laws when F[], G[] are both co(ntra)variant and r = graph(f) for any $f: A \Rightarrow B$

Step 2. Formulating naturality laws via relations

Ordinary naturality law of $t[A]: F[A] \Rightarrow G[A]$

$$\begin{array}{ccc} F[A] & \xrightarrow{t[A]} & G[A] \\ \text{fmap}_F(f) & & & \text{fmap}_G(f) \\ F[B] & \xrightarrow{t[B]} & G[B] \end{array}$$

 \forall fa: F[A], fb: F[B] if fa.map(f) == fb then t(fa).map(f) == t(fb) Rewrite this via relations: For all fa: F[A], fb: F[B], when (fa, fb) in graph(fmap_F(f)) then (t(fa), t(fb)) in graph(fmap_G(f)) We expect: graph(fmap(f)) == rmap(graph(f)), replace graph(f) by r: when (fa, fb) in rmap_F(graph(f)) then (t(fa), t(fb)) in rmap_G(graph(f))

when (fa, fb) in $rmap_F(r)$ then (t(fa), t(fb)) in $rmap_G(r)$

$$\begin{array}{ccc} F[A] & \xrightarrow{t[A]} & G[A] \\ \operatorname{rmap}_F(r) & & & & \\ & f[B] & \xrightarrow{t[B]} & G[B] \end{array}$$

Step 3. Definition of relations. Examples

In the terminology of relational databases:

- A relation r: A <=> B is a table with 2 columns (A and B)
- A row (a: A, b: B) means that the value a is related to the value b

Mathematically speaking: a relation \mathbf{r} : $\mathbf{A} \iff \mathbf{B}$ is a subset $r \subset A \times B$

• We write (a, b) in r to mean $a \times b \in r$ where $a \in A$ and $b \in B$

Relations can be many-to-many while functions $A \Rightarrow B$ are many-to-one A function $f: A \Rightarrow B$ generates the **graph** relation $graph(f): A \iff B$

- Two values a: A, b: B are in graph(f) if f(a) == b
- graph(identity: A => A) gives an identity relation id: A <=> A

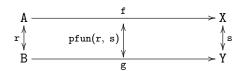
Example of a relation that can be many-to-many: given any f: A => C and
g: B => C, define the pullback relation: pull(f, g): A <=> B;
 (a: A, b: B) in pull(f, g) means f(a) == g(b)

• The pullback relation is *not* the graph of a function A => B or B => A

Step 3. Relational combinators: pprod, psum, pfun, rev

Given two relations $r: A \iff B \text{ and } s: X \iff Y$, we define new relations:

- Pair product: pprod(r, s) of type (A, X) <=> (B, Y)
- ((a, x), (b, y)) in pprod(r, s) means (a, b) in r and (x, y) in s
- Pair co-product: psum(r, s) of type Either[A, X] <=> Either[B, Y]
- (Left(a), Left(b)) in psum(r, s) if (a, b) in r (Right(x), Right(y)) in psum(r, s) if (x, y) in s
- Pair function mapper: pfun(r, s) of type (A => X) <=> (B => Y)
 (f, g) in pfun(r, s) means when (a, b) in r then (f(a), g(b)) in s



- Reverse: rev(r) has type B <=> A
- (b, a) in rev(r) means the same as (a, b) in r

Step 4. The relational lifting (rmap)

For a type constructor F and r: A <=> B, need rmap_F(r): F[A] <=> F[B]

Define rmap_F for F[A] by induction on the type expression of F[A]

A fully parametric type F[A] must be built up via these seven cases:

- F[A] = Unit or F[A] = K (a fixed type): rmap_F(r) = id
- $2 F[A] = A: define rmap_F(r) = r$
- \bullet F[A] = (G[A], H[A]): rmap_F(r) = pprod(rmap_G(r), rmap_H(r))
- F[A] = Either[G[A], H[A]]:
 rmap_F(r) = psum(rmap_G(r), rmap_H(r))
- F[A] = G[A] => H[A]: rmap_F(r) = pfun(rmap_G(r), rmap_H(r))
- Recursive type: F[A] = G[A, F[A]]:
 - $rmap_F(r) = rmap_G(r, rmap_F(r)) recursive definition of rmap_F$
- Universally quantified type: $F[A] = [X] \Rightarrow G[A, X]$: $rmap_F(r) = \forall (X, Y) . \forall (s: X \iff Y) . rmap2_G(r, s)$
- \bullet The inductive assumption is that liftings to G and H are already defined
- rmap_F translates the type expression F[A] into relational combinators

We will define rmap2 in a similar way

Step 4. Simultaneous relational lifting (rmap2)

```
For a type constructor F[\_, \_] and r: A \iff B, s: P \iff Q, we define
rmap2_F(r, s): F[A, P] <=> F[B, Q] by induction on the type F[A, P]
 • F[A, P] = K (a fixed type): rmap2_F(r, s) = id
 2 If F[A, P] = A then rmap2_F(r, s) = r
    If F[A, P] = P then rmap2_F(r, s) = s
 3 F[A, P] = (G[A, P], H[A, P]):
    rmap2_F(r, s) = pprod(rmap2_G(r, s), rmap2_H(r, s))
 \P F[A, P] = Either[G[A, P], H[A, P]]:
    rmap2_F(r, s) = psum(rmap2_G(r, s), rmap2_H(r, s))
 5 F[A, P] = G[A, P] \Rightarrow H[A, P]
    rmap2_F(r, s) = pfun(rmap2_G(r, s), rmap2_H(r, s))
 6 Recursive type: F[A, P] = G[A, P, F[A, P]]:
    rmap2_F(r, s) = rmap3_G(r, s, rmap2_F(r, s))
 Universally quantified type: F[A, P] = [X] => G[A, P, X]:
    rmap2_F(r, s) = \forall (X, Y). \forall (t: X \iff Y). rmap3_G(r, s, t)
  • The inductive assumption is that liftings to G and H are already defined
Actually, we need to define rmap, rmap2, rmap3, rmap4, ..., all at once
```

Step 4. Example: rmap for a covariant type constructor

```
Consider P[A] = R \Rightarrow (A, A) where R is a fixed type
Compare fmap_P and rmap_P defined via the inductive definitions
Case 5: P[A] = G[A] \Rightarrow H[A] with G[A] = R (case 1), H[A] = (A, A)
Case 3: H[A] = (K[A], L[A]) with K[A] = A, L[A] = A (case 2)
For fmap_P:
fmap_P(f)(p) = cmap_G(f) and Then p and Then fmap_H(f)
fmap_H(f) = \{ case (k, 1) \Rightarrow (fmap_K(f)(k), fmap_L(f)(1)) \}
cmap_G(f) = identity, fmap_K(f) = f, fmap_L(f) = f
fmap_P(f)(p) = p and fmap_P(f)(p) = p an
For rmap_P:
rmap_P(r) = pmap(rmap_G(r), rmap_H(r)) = pmap(id, rmap_H(r))
   = pmap(id, pprod(rmap_K(r), rmap_L(r))) = pmap(id, pprod(r, r))
Two values (p: P[A], q: P[B]) are in rmapP_{(r)} if for \forall x: R, y: R, when
(x, y) in id then (p(x), q(x)) in pprod(r, r) or equivalently:
for any x: R, (p(x)._1, q(x)._1) in r and (p(x)._2, q(x)._2) in r
Choose r = graph(f) and get for any x: R: f(p(x)._1) == q(x)._1 and
f(p(x)._2) == q(x)._2
This is the same as q == fmap_P(f)(p) or (p, q) in graph(fmap_P(f))
```

Step 4. Example: rmap for function types

```
Compare fmap and rmap for function types: (F[A] = G[A] \Rightarrow H[A])
To rewrite fmap_F via relations, introduce intermediate arguments
Choose any values p: G[A] \Rightarrow H[A] and f: A \Rightarrow B
Define q = fmap_F(f)(p) = (gb: G[B]) \Rightarrow fmap_H(f)(p(cmap_G(f)(gb))
Rewrite this via relations: (p, q) in graph(fmap_F(f)) means:
for all gb: G[B] we must have q(gb) = fmap_H(f)(p(cmap_G(f)(gb)))
Define ga: G[A] = cmap_G(f)(gb), then: q(gb) = fmap_H(f)(p(ga))
But ga = cmap_G(f)(gb) means (ga, gb) in rev(graph(cmap_G(f)))
So, the relational formulation of fmap_F is:
(p, q) in graph(fmap_F(f)) means for all ga: G[A], gb: G[B] when
(ga, gb) in rev(graph(cmap_G(f))) then:
(p(ga), q(gb)) in graph(fmap_H(f))
Replace graph(f) by an arbitrary relation r: A \iff B; replace
graph(fmap_F(f)) by rmap_F(r); rev(graph(cmap_G(f))) by rmap_G(r)
Then we get: (p, q) in rmap(r) means for all ga: G[A], gb: G[B] when
(ga, gb) in rmap_G(r) then (p(ga), q(gb)) in rmap_H(r)
This is the same as (p, q) in pfun(rmap_G(r), rmap_H(r))
```

Step 4. Example: rmap for non-covariant type constructors

Consider some type constructors of different complexity:

- If F[A] is covariant: rmap(graph(f)) == graph(fmap(f))
- If F[A] is contravariant: rmap(graph(f)) == rev(graph(cmap(f)))
- If G[A] = A => A then (ga, gb) in rmap(graph(f)) means:

```
when (a, b) in graph(f) then (ga(a), gb(b)) in graph(f) or: f(ga(a)) == gb(f(a)) or: ga andThen f == f andThen gb This relation between ga and gb has the form of a pullback
```

- If H[A] = (A => A) => A then (ha, hb) in rmap_H(graph(f)) is: when (p, q) in rmap_G(graph(f)) then (ha(p), hb(q)) in graph(f) equivalently: if p andThen f == f andThen q then f(ha(p)) == hb(q) This is not in the form of a pullback relation: cannot express p through q
 - This happens for sufficiently complicated type constructors
 - It is hard to use relations that are neither a graph nor a pullback

Example: applying relational naturality to [A] => A => A

Example: def $t[A]: A \Rightarrow A = \dots$ // Fully parametric.

- The value t has type [A] => A => A
- Denote P[A] = A => A

The relational naturality law says:

• For any types A and B, and for any relation $r: A \iff B$, we have:

```
(t[A], t[B]) in rmap_P(r)
For the type P[A] = A => A we have:
rmap_P(r): (A => A) <=> (B => B)
rmap_P(r) = pfun(r, r)
```

o (t[A], t[B]) in pfun(r, r) means:
for any a: A, b: B, if (a, b) in r then (t(a), t(b)) in r

Trick: choose r: A <=> A such that (a, b) in r only if a == b == a0

- Whenever a == b == a0 then t(a) == t(b) == a0
- So, t(a0) == a0 for any fixed a0: A
 - ▶ It means that t must be an identity function

Step 5. Preparing to prove the relational naturality law

Instead of proving relational properties for $t[A]: P[A] \Rightarrow Q[A]$, use the function type and the quantified type constructions and get:

Any fully parametric t[A]: F[A] satisfies for any r: A <=> B the relation (t[A], t[B]) in rmap_F(r)

It is convenient to prove the relational law when t has a free variable:

- Any fully parametric expression t[A](z): Q[A] with z: P[A] satisfies, for any relation r: A <=> B and for any z1: P[A], z2: P[B], the law: if (z1, z2) in rmap_P(r) then (t[A](z1), t[B](z2)) in rmap_Q(r)
- Equivalently: (t[A], t[B]) in pfun(rmap_P(r), rmap_Q(r))

This applies to expressions containing one free variable (z)

Any number of free variables can be grouped into a tuple

Step 5. Outline of the proof of the relational naturality law

The theorem says that t[A](z) satisfies its relational naturality law Proof goes by induction on the structure of the code of t[A](z) At the top level, t[A](z) must have one of the 9 code constructions Each construction decomposes the code of t[A](z) into sub-expressions The inductive assumption is that the theorem holds for all sub-expressions (including the free variable z) In each inductive case, we choose arbitrary z1: P[A], z2: P[B] such that (z1, z2) in $rmap_P(r)$

Step 5. First four inductive cases of the proof

```
Constant type: t[A](z) = c where c: C has a fixed type C:
    We have rmap_P(r) == id and (c, c) in id holds
Use argument: t[A](z) = z where z and t[A] have type P[A]:
    If (z1, z2) in rmap_P(r) then (t(z1), t(z2)) in rmap_P(r)
Create function: t(z) = h => s(z, h) where h: H[A] and s(z, h): S[A]
    If (z1, z2) in rmap_P(r) and (h1, h2) in rmap_H(r) then (s(z1, h1), s(z2, h2)) in rmap_S(r)
```

► This is the same as the inductive assumption for s(z, h)

Use function: t(z) = g(z)(h(z)) where g(z): $H[A] \Rightarrow Q[A]$ and h(z): H[A] are sub-expressions:

- If (z1, z2) in rmap_P(r) then the inductive assumption says: (h(z1), h(z2)) in rmap_H(r)
- If (h1, h2) in rmap_H(r) then the inductive assumption says: (g(h1), g(h2)) in rmap_Q(r)
- Therefore (t[A](z1), t[B](z2)) in rmap_Q(r)

Step 5. Next four inductive cases of the proof

```
Create tuple: t[A](z) = (p(z), q(z)) and***:
  • We have rmap_P(r) = pprod(***)
Use tuple: t[A](z) = g[A](z)._1 where g[A] has type (Q[A],L[A]):
  • If (z1, z2) in ***
Create disjunction: t[A](z) = Left[K[A], L[A]](g[A](z)):
  • If (z1, z2) ***
Use disjunction: t(z) = match {
    case Left(x) \Rightarrow p(z)(x)
    case Right(y) => q(z)(y)
}
  • If (z1, z2) in rmap_Q(r) then (***
```

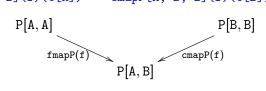
Step 6. From relational naturality to the wedge law

Based on Bartosz Milewski's blog post: The Free Theorem for Ends (2017) Given:

- a function f: A => B
- a fully parametric profunctor P[X, Y] with methods cmapP and fmapP:
 - ▶ $cmapP[X, Y, B]: (X \Rightarrow Y) \Rightarrow P[Y, B] \Rightarrow P[X, B]$
 - ► fmapP[X, A, B]: (A => B) => P[X, A] => P[X, B]
- a fully parametric value (without free variables) t: [A] => P[A, A]

Then we will prove that the wedge law holds:

• fmapP[A, A, B](f)(t[A]) == cmapP[A, B, B](f)(t[B])



- Expressed via xmapP(f, g) = cmapP(g) andThen fmapP(f): xmapP(f, id)(t[A]) == xmapP(id, f)(t[B])
 - ▶ We do not need to assume the commutativity law for xmapP

Step 6. From relational naturality to the wedge law

```
The relational naturality law holds for xmapP:
xmapP[A, B, X, Y]: (A \Rightarrow B, X \Rightarrow Y) \Rightarrow P[Y, A] \Rightarrow P[X, B]
For any types A, A', B, B', X, X', Y, Y', and for any relations p: A <=> A',
q: B \iff B', r: X \iff X', s: Y \iff Y' and for any values f: A \implies B,
f': A' \Rightarrow B', g: X \Rightarrow Y, g': X' \Rightarrow Y', v: P[Y, A], v': P[Y', A']
such that (f, f') in pfun(p, q) and (g, g') in pfun(r, s) and
(v, v') in rmap2_P(s, p) we will have:
(xmapP(f, g)(v), xmapP(f', g')(v')) in rmap2_P(r, q)
We need to get the equation xmapP(f, id)(t[A]) == xmapP(id, f)(t[B])
This means we need rmap2_P(r, q) to be an identity relation
Choose r = id: X <=> X and q = id: B <=> B (here X' = X, B' = B) and
obtain rmap2_P(r, q) = id (of type P[X, B] \iff P[X, B])
```

- This is a version of the "identity extension lemma" of Reynolds
 - ▶ Prove it by induction over the cases in the definition of rmap2
 - ► Also need to prove that rmap2_F(r, id) = rmap_G(r) etc.
- For the case P[X, A] = [Y] => Q[X, A, Y] we need to assume full parametricity for values in the relation rmap2_P(id, id)

Step 6. From relational naturality to the wedge law

The relational naturality law of xmapP also requires us to have:

- (f, f') in pfun(p, q) this is (f, id) in pfun(p, id) for any
 (x: A, y: B) in p we need f(x) == y this holds if p = graph(f)
- (g, g') in pfun(r, s) this is (id, f) in pfun(id, s) for any
 x: A we need (x, f(x)) in s this holds if s = graph(f)
- (v, v') in rmap2_P(s, p) this is (t[A], t[B]) in rmap2_P(s, p)
 when s = p, this is the relational naturality law of t if formulated for the type signature t[A]: P[A, A]
- Need to prove: rmap2_P(p, p) = rmap_F(p) where F[A] = P[A, A]
 - Prove it by induction over the cases in the definition of rmap2
 - ▶ Also need to prove that rmap3(p, p, r) = rmap2(p, r) etc.

With these choices, the relational naturality law reduces to the wedge law

Step 6. From the wedge law to naturality laws

• For type signatures G[A] => H[A] where both G and H are covariant:

```
Define P[X, Y] = G[X] \Rightarrow H[Y], take any fully parametric t[A] : P[A, A]
The wedge law of t is: fmapP(f)(t[A]) == cmapP(f)(t[B])
For any f: A \Rightarrow B, we have: fmapP(f)(t[A]) = t[A] and fmapH(f) and fmapP(f)(t[B]) = fmapG(f) and fmapH(f) == fmapG(f)
```

This is exactly the naturality law of t

Similarly, the naturality law follows when G and H are both contravariant

Advanced applications. I. Church encodings

- Recursive types defined by induction: $T \cong S[T]$ with covariant S[]
- Isomorphism is given by fix: S[T] => T and unfix: T => S[T]
- fix andThen unfix == identity; unfix andThen fix == identity
- Church encoding: CT = [A] => (S[A] => A) => A (fully parametric)
- Using Scala 2 traits: trait CT { def run[A](fix: S[A] => A): A }
- The Church encoding (CT) is equivalent to the inductive definition (T)
 - ► This and related results are proved in "Recursive types for free"
 - ★ ... by using a relational naturality law that is not derived there

- Define type F[K] = [A] => ((A => Option[K]) => A) => A
 - This is an attempt to apply the Church encoding to the recursive type definition T ≅ T => Option[K]
 - ▶ That recursive type has the form $T \cong F[T]$ with a contravariant $F[_]$
- We will prove that F[K] ≅ Option[K]
- Define isomorphisms in and out:

```
def in[A](optK: Option[K]): ((A => Option[K]) => A) => A =
   (p: (A => Option[K]) => A) => p(_ => optK)
def out(h: [A] => ((A => Option[K]) => A) => A): Option[K] =
   h[Option[K]] { t: (Option[K] => Option[K]) => t(None) }
```

- We need to prove that out(in(optK)) == optK and in(out(h)) == h
 First: out(in(optK) == out(p => p(_ => optK)) ==
 (t => t(None))(_ => optK) == (_ => optK)(None) == optK
 Second: in(out(h)) == in(h[Option[K]](t => t(None))) ==
 { p => p(_ => h[Option[K]](t => t(None))) }
 - But we expected $in(out(h)) == h == \{ p => h(p) \}$ instead of that!
- Need a law for h saying that h(p) must apply p to a constant function:
 h[A](p) == p(_ => h[Option[K]](t => t(None)))

- Use the naturality law for functions h[A]: F[A] => A where F[A] is defined by F[A] = (A => Option[K]) => A and is covariant in A: for any f: X => Y and fx: F[X]: h[Y](fx.map(f)) == f(h[X](fx))
- Here $fx.map(f) == (k: Y \Rightarrow Option[K]) \Rightarrow f(fx(f andThen k))$
- Naturality law: h[Y](k => f(fx(f andThen k))) == f(h[X](fx))
- We need a law of the form: $h[A](p) == p(_ => h[Option[K]](...))$
- Choose X = Option[K]; Y = A; f = optK => p(_ => optK); and
 fx: F[Option[K] = (t: Option[K] => Option[K]) => t(None)
- Then LHS: $f(h[X](fx)) == p(_ => h[Option[K]](t => t(None)))$
- The LHS is exactly what we need (with arbitrary p: F[A])
- But the RHS is: h[Y](k => f(fx(f andThen k))) ==
 h[A](k => f((f andThen k)(None))) ==
 h[A](k => p(_ => k(p(_ => None))))
- Instead of that, we need $h[A](p) == h[A](k \Rightarrow p(k))$

We must find a more powerful law of h than the naturality law

- Intuition: a function h: ((A => Option[K]) => A) => A must apply its argument p: (A => Option[K]) => A to a constant function of type A => Option[K]. So, we expect h(p) == h(q) whenever p(k) == q(k) for all constant functions k. It will follow that h(p) = p(k) for some constant function k = _ => optK
- To express this intuition via relations, apply the relational naturality law to an "almost-identity" relation r(a): A <=> A defined for a fixed a: A by: (x: A, y: A) in r(a) means x == y or x == a
- Lift r(a) to the type constructor ((A => Option[K]) => A) => A
- This gives the relational naturality law of h: (h(p) == h(q) or h(p) == a) for all p, q such that (p(k) == q(1) or p(k) == a) for all k, 1 such that { k(x) == 1(y) for all x, y such that x == y or x == a }
 - ► Suppose k(x) == l(y) for all x, y such that x == y or x == a
 - ▶ It means that k(a) == 1(y) for all y: A, so 1 is a constant function
 - And k(y) == 1(y) for all y != a, so k and 1 are the same function
- The relational law of h is: (h(p) == h(q) or h(p) == a) for all p, q such that (p(k) == q(k) or p(k) == a) for all constant functions k

- Use the relational law of h to prove that, for any p: F[A], we have: $h[A](p) == h[A](k \Rightarrow p(_ \Rightarrow k(p(_ \Rightarrow None)))$
- The relational law says: h[A](p) == h[A](q) for all p, q such that (...)
- Choose $q = (k: A \Rightarrow Option[K]) \Rightarrow p(_ \Rightarrow k(p(_ \Rightarrow None)))$
- We find that the precondition holds for these p and q: For any constant function k: A => Option[K] we actually have p(k) == q(k)
 - ► To verify that: Suppose k = { _ => optK }, then:
 q(k) == p(_ => k(p(_ => None))) == p(_ => optK) == p(k)
- Since the precondition holds, we obtain h(p) == h(q) or h(p) == a
- This holds for any chosen a: A but the definition of q does not depend on a, so we can rewrite the law as $\forall h \forall p \forall a$ instead of $\forall h \forall a \forall p$
- When the type A has at least two different values, choose a != h(p)
- The result is h(p) == h(q) as required

This completes the proof of in(out(h)) == h and of the type isomorphism $Option[K] \cong [A] \Rightarrow ((A \Rightarrow Option[K]) \Rightarrow A) \Rightarrow A$

Summary

- "Theorems for free" are laws always satisfied by fully parametric code
- Relational parametricity is a powerful proof technique
- Relational parametricity has a steep learning curve
 - ▶ The result may be a relation that is difficult to interpret as code
 - Cannot directly write code that manipulates relations
 - ▶ All calculations need to be done symbolically or with proof assistants
- Naturality laws and the wedge law are shortcuts to "theorems for free"
 - A few proofs in FP do require the relational naturality law
- More details in the free book https://github.com/winitzki/sofp

