## Abstract

- 1. Introduction
- 2. Background
- 3. Model Architecture
  - 3.1 Eucoder & Decoder Stacks
  - 3.2 Attention
    - 3. 2.1 Scaled Dot-Product Attention
    - 3.2.2 Multi-Head Attention
    - 3.2.3 Applications of Attention in our Model
  - 3.3 Position wise Feed-Forward Networks
  - 3.4 Embeddings and Softmax
  - 3.5 Positional Encoding
- 4. Why Self-Attention
- 5. Training
  - 5.1 Training Data and Batching
  - 5.2 Hardware and Schedule
  - 5.3 Optimizer
  - 5.4 Regularization
- 6 Results
  - 6.1 Machine Translation
  - 6.2 Model Variations
  - 6.3 English Contituency Parsing
- 7. Conclusion

# Abstract · Simple network architecture · · · Transformer · based solely on attention mechanisms! · Experiments (machine translation):

- superior in quality
- move parallelizable
- require significantly less time to train
- · WMT 2014, best BLEU
- · generalizes well!
  - Constituency parsing

## 1. Introduction

# (Recurrent models)

- typically factor Computation along the symbol position of the input and output sequences.
- Aligning the positions to steps in computation time, they generate a sequence of hidden states ht, as
  - · a function of previous hidden state ht-1
  - · input for position t.

preclude parallelization within training examples, Critical at longer sequence lengths!

(improvements: factorization tricks & conditional computation)

- Attention mechanism
  - : allow modeling of dependencies without regard to their distance in the input or output sentences.

# (Transformer

- rely entirely on an attention mechanism to draw global dependencies between input & output
- more parallelization
- SOTA in translation quality
- 12 hrs training with 8 P100 GPUs.

#### 2. Background

- to reduce sequential computation; CNN
  - · Extented Neural GPU
  - · ByteNet
  - ConvS2S

the number of operations required to relate signals

from two arbitrary input or output positions grows in the distance
between positions.

- linearly for Conus25
- logarithmically for ByteNet

# \* Transformer

- reduced to a constant number of operations,
- reduced effective resolution due to averaging attention—weighted positions
- counteract with Multi-Head Attention
- Serf Attention ( = intra attention)
  - : attention mechanism relating different positions of a single sequence in order to compute a representation of the sequence.
- 1st transduction model relying entirely on self-attention
  to Compute representations of its input & output without using
  sequence aligned RNN or convolution.

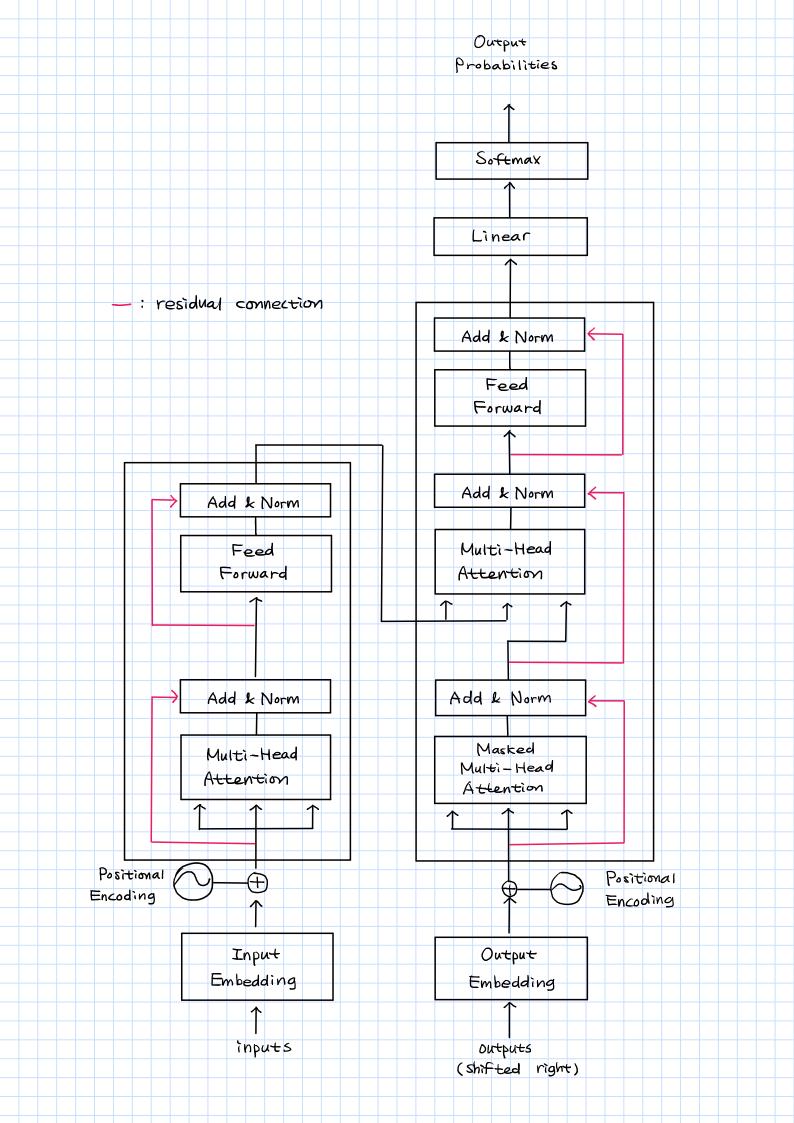
# 3. Model Architecture

- Encoder decoder structure
- Encoder: maps an input sequence of symbol representations  $(X_1, ..., X_n)$ to a sequence of continuous representations  $Z = (Z_1, ..., Z_n)$

- Decoder: generates an output sequence (y,,..., ym) of symbols

one element at a time

- auto regressive at each step.
  - (consuming previously generated symbols as additional input when generating the next)
- stacked self-attention
- point-wise, fully connected layers for both encoder & decoder



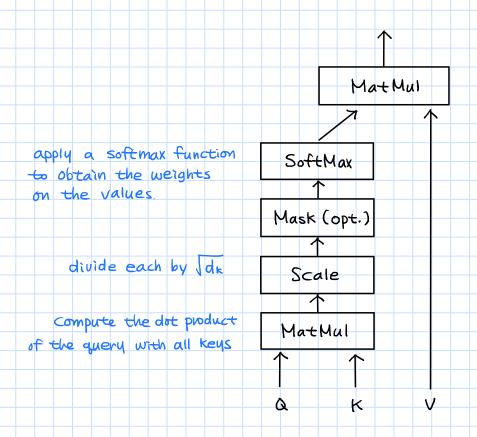
3.1 Encoder & Decoder Stacks - Encoder stack of N=6 identical layers · 1st: multi-head self-attention mechanism 2nd: position-wise fully connected feed-forward network · residual connection around each of the two sub-layers, followed by layer normalization. the output of each sub-layer is LayerNorm (x + Sublayer(x)) function implemented by · d model = 512 the sub-layer itself - Decoder stack of N=6 identical layers · masking: prevent positions from attending to subsequent positions.

- mapping a query & a set of key-value pairs to an output

  (Q, k.V, output are all vectors)
- output: weighted sum of the values
- the weight assigned to each value is computed by

  a compatibility function of the query with the corresponding key.

# 3.2.1 Scaled Dot-Product Attention

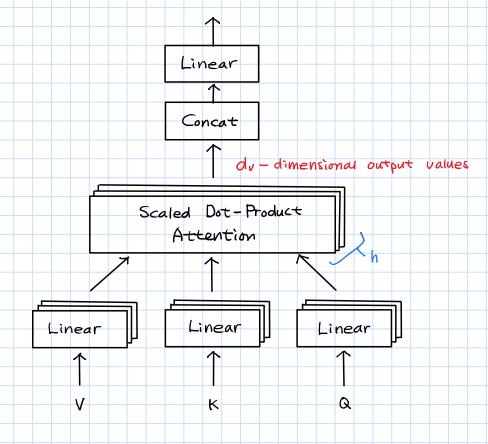


- input: queries, keys of dimension dk, values of dimension dv
- In practice, compute attention function simultaneously, packed together into a matrix Q. K(keys), V(Values)
- Attention  $(Q, K, V) = softmax \left(\frac{QK^T}{Jd_K}\right) V$

- 2 most commonly used attention functions:
  - 1 additive attention
  - @ dot-product (multiplicative) attention
    - 1) additive attention
      - Computes Compatibility function using a feed-forward network with a single hidden layer.
    - @ dot-product attention
      - much faster, space-efficient in practice
      - for large values of dk, the dot products grow large in magnitude,
        pushing the softmax function into regions where it has extremely
        small gradients.

scale the dot product by 1

#### 3.2.2 Multi-Head Attention



- linearly project the queries, keys, and values h times
  - with different, learned linear projections to dk, dk, dv dimensions, bespectively.
- allows models to jointly attend to information
  - from different representation subspace at different positions.
- MultiHead (Q, K, V) = Concat (head,, ..., headn) W°

where head: = Attention (QWia, KWik, VWi)

- · Wia E Ramodei X dk
- · Wi ∈ Ramodel × dk
- . Wi ← Rd model X dv
- · W<sup>o</sup> ∈ Rhdv X d model
- h = 8 parallel attention layers, or heads.
- dk = dv = dmoder / h = 64
- Due to the reduced olimension of each head, the total computational

  cost is similar to that of single-head attention with full dimensionality.

- 3.2.3 Application of Attention in our Model
  - Transformer uses multi-head attention in 3 ways:
  - 1 In encoder -decoder attention layers
    - Queries: come from the previous decoder layer.
    - Memory keys & values: come from the output of the encoder.
    - allows every position in the decoder to attend over all positions in the input sequence.
  - 2 Self-attention layers in encoder
    - In a self-attention layer, all of the keys, values, and queries

      come from the output of the previous layer in the encoder.
    - Each position in the encoder can attend to all positions in the previous layer of the encoder.
  - 3 Self attention layers in decoder
    - allow each position in the decoder to attend to all positions in the decoder up to & including that position
    - need to prevent leftward information flow in the decoder to preserve the auto-regressive property.
    - inside of scaled dot product attention by masking out
      all values in the input of the softmax which correspond to
      illegal connections.

- 3.3 Position wise Feed Forward Networks
- Each of the layers in the encoder & decoder
  - contains a fully connected feed-forward network
- Consists of 2 linear transformations with a ReLU activation in between
- $-FFN(x) = max(0,xW_1+b_1)W_2+b_2$
- Linear transformations: same across different positions

use different parameters from layer to layer.

- Dimensionality:
  - · input & output : d moder = 512
  - · inner layer : dff = 2048
- 3.4 Embeddings & Softmax
- Embedding to convert the input tokens & output tokens
  - to vectors of dimension dimodel
- learned linear transformation & Softmax function
  - : to convert the decoder output to predict next-token probabilities.
- Share the same weight matrix between 2 embedding layers &
  - the pre-softmax linear transformation
- In the embedding layers, those weights are multiplied by dimade

3.5 Positional Encoding

PE (pos, 2i) = Sin (pos / 
$$10000^{2\lambda/d \text{ model}}$$
)

position dimension

- each dimension of the positional encoding corresponds to a sinusoid.
- The wavelengths form a geometric progress from  $2\pi$  to  $10000 \cdot 2\pi$

- 4. Why Self Attention
- 3 desiderata of self-attention
  - @ Amount of computation that can be parallelized

1) Total computational complexity per layer

- 1 Path length between long-range dependencies in the network.
  - key factor affecting the ability to learn such dependencies
    - : the length of the paths forward & backward signals have to traverse!
  - the shorter these paths between any combination of positions in the input & output sequences, the easier it is to learn long-range dependencies.
- self-attention layer: connects all positions with a constant number of sequentially executed operations
- recurrent layer: requires O(n) sequential operations.
- In terms of computational complexity, self-attention layers are faster than recurrent layers when the sequence length n is smaller than the representation dimensionality d
- single convolutional layer with kernel width k < n: does not connect all pairs of input & output positions (too expensive!)
- Saparable convolutions: decreases the complexity considerably.  $O(k \cdot n \cdot d + n \cdot d^2)$

- 5.1 Training Data & Batching
  - Standard WMT 2014 English German dataset
    - 4.5M sentence pairs
    - · byte pair encoding
    - · shared source target vocabulary of 37,000 tokens.
  - larger WMT 2014 English French dataset
    - · 36 M sentences
    - · 32,000 word-piece vocabulary
  - Sentence pairs : batched together
    - · Each training batch: sentence pairs containing
      - 25,000 source tokens & 25,000 target tokens.
- 5.2 Hardware and Schedule
  - 8 NVDIA P100 GPUs
    - basic model: 100.000 steps (0.4 seconds per step), 12hrs
  - big model: 300.000 steps (1.0 seconds per step), 3.5 days
- 5.3. Optimizer
  - Adam optimizer

$$-B_1 = 0.9$$
,  $B_2 = 0.98$ ,  $\epsilon = 10^{-9}$ 

२ लाम् १ १ १ १ RMSproper

