An upper- and lowercase alphabetic similarity matrix, with derived generation similarity values

DAVID B. BOLES and JOHN E. CLIFFORD Rensselaer Polytechnic Institute, Troy, New York

A full upper- and lowercase visual similarity matrix is presented for a standard set of computer characters, implemented on the Apple-Psych system. The 2,704 (52×52) letter pairs were rated by 12 subjects each. From the ratings, generation and veridical similarity values are derived, and they are tabled for use in research on mixed-case letter matching. In addition, the results of multidimensional scaling and cluster analyses are presented, which give complementary, simplified descriptions of the data.

The impact of visual similarity on pure-case letter comparisons (e.g., between "A" and "A") is well documented. Letters that are commonly confused with one another (e.g., "GQ") produce longer reaction times (RTs) in same-different matching tasks than do letters that are rarely confused (Bagnara, Boles, Simion, & Umiltà, 1983). This effect is a source of evidence that pure-case letter pairs are matched physically (visually), and, indeed, the task of matching such pairs is known as the *physical* matching task (Posner, 1978).

Mixed-case letter comparisons (e.g., between "A" and "a") have come to be known as name matches, because introspection and early experimental results suggested that such matches are made by extracting and comparing phonetic representations of the letter names (Dainoff & Haber, 1970; Posner, Boies, Eichelman, & Taylor, 1969; Thorson, Hochhaus, & Stanners, 1976). However, more recent work indicates that phonetic or name codes do not typically underlie such matches (Besner, Coltheart, & Davelaar, 1984; Boles, 1986; Boles & Eveland, 1983). Thus, Boles and Eveland (1983) showed that phonetically similar different pairs (e.g., "Gd") produce no increment in RT, contrary to what is predicted if name codes are used. In addition, mixed-case matches fail to produce a right-visual-field superiority with lateralized presentation, again contrary to prediction if left hemisphere phonetic processes are involved. In contrast, letter pairs judged same-different on the basis of rhyme do show such a superiority (Boles, 1986). The implication is that rhyme matches draw on phonetic processes, which are lateralized to the left hemisphere, while name matches do not.

In further investigations, Boles and Eveland (1983) and Boles (1986) formulated and tested a visual generation model of mixed-case matching. According to the model,

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one or both members of a presented mixed-case pair rapidly generate a visual representation of the opposite case in memory. For example, if both members generate the opposite case, the pair "Aa" might result in the generation of "aA" in memory. The generated letter or letters are then compared to one or both of the original letters, supporting a type of visual match.

Evidence for the generation model was produced by manipulations of generation similarity. That is, different pairs were constructed in which the generated letters were visually similar to the original letters (e.g., "Gq" might generate "gQ"). RTs to such generation similar pairs proved to be substantially longer than to dissimilar pairs. The effect could not be attributed to visual similarity between the original letters themselves (called *veridical* similarity).

Unfortunately, a serious impediment to further pursuit of this work has been the absence from the literature of a visual similarity matrix using all crossings of both upperand lowercases of a standard font. This is an impediment because (1) generation similarity as used by Boles and Eveland (1983) and Boles (1986) is a derived index based on the similarities of the upper- and lowercase forms of a letter pair, and (2) in constructing generation similar and dissimilar letter sets for comparison, veridical similarity (e.g., between "G" and "q" for the pair "Gq") should be matched between the sets if this variable is to be controlled. Thus, a similarity matrix is needed that tables similarity values for both pure-case and mixed-case pairs. Existing matrices are generally based on confusions made in recognizing single letters, and so, in effect, they represent pure-case similarities (e.g., Gilmore, Hersh, Caramazza, & Griffin, 1979; Townsend, 1971; van der Heijden, Malhas, & van den Roovart, 1984).

In the absence of a matrix using both pure-case and mixed-case pairs, the experimenter must collect ratings for the particular font in use and compute the generation and veridical similarity indexes. The process is very time-consuming, and, for practical reasons, it may require limitation to a small set of candidate letter pairs. Each candidate pair requires the rating of three or four actual

pairs (for the candidate pair "Gq," the pairs "Gq," "GQ," "gq," and, if completeness is desired, "gQ"). Thus, Boles and Eveland (1983) restricted ratings to only 50 candidate pairs.

To overcome this methodological limitation, we now report a full similarity matrix using the upper- and lower-case versions of a standard set of characters. The characters are those used in the Apple-Psych system of experimental software, which is a system freely available and widely distributed in the public domain for Apple II-series computers. It also has received recent description in a number of articles (Barnes & Burke, 1988; Boles, 1988; Osgood, 1988). Thus, the matrix can be used by anyone implementing the Apple-Psych system and desiring to conduct letter-confusion research. To add to the utility of the results, we also report derived generation similarity values, so that these need not be computed. I

We must emphasize that our main purpose in constructing and reporting the matrix is methodological. The comparison of such models of visual confusion as template overlap, featural, spatial frequency, or choice models (Gervais, Harvey, & Roberts, 1984; Loomis, 1982), while important, is beyond the scope of this paper. Nevertheless, we will report the outcomes of multidimensional scaling (MDS) and cluster analyses of the similarity ratings. This is to provide a description of the data that is simplified from the 2,704 (52×52) original letter pairs, and to point out structural aspects of the data that those taking a theoretical approach to letter similarity may want to consider.

METHOD

Subjects

Thirty-two undergraduate volunteers participated, receiving extra course credit. All had normal or corrected vision.

Apparatus and Stimuli

Letter pairs were generated and responses collected, using Apple-Psych software on an Apple IIe microcomputer. Letters were displayed in Apple-Psych size "1" on an Amdek 300 monitor with P31 phosphor, in highresolution mode. All 2,704 ordered pairs of upper- and lowercase letters (a 52×52 matrix) were used. The letter set is illustrated in Figure 1. Letters were constructed of illuminated pixels, generally in a 6×7 (horizontal \times vertical) matrix. Letters with descenders (e.g., "y") involved an 8th row. The letter set is standard in the Apple-Psych system and is identical to the SYSTEM.CHARSET provided with Apple Pascal. Size varied somewhat with the letter, but to take a representative pair like "Zm," the capital letter subtended 0.4° both horizontally and vertically, and the lowercase letter $0.4^{\circ} \times 0.3^{\circ}$. Letters within a pair were immediately adjacent, with the gap between them amounting to about 1.4'. The subjects used a chinrest to maintain a fixed viewing distance of 0.48 m.

Procedure

The full matrix of pairs was randomly divided into eight subsets of 338 pairs each. Each subject was given three

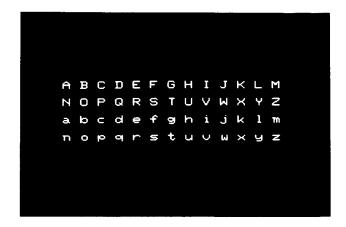


Figure 1. The Apple-Psych high-resolution letter set.

subsets to rate, with the subsets randomly selected and presented in random order, under the constraint that each subset was rated by 12 subjects. The pairs within a subset were also presented in random order.

On a given rating trial, the subject saw the heading "LOW=1 HIGH=5" at the top of the screen, and a letter pair centered toward the bottom of the screen. The subject then pressed one of five keys, labeled 1-5, on the computer console, to indicate the rated similarity. They were instructed to rate the pairs on overall visual similarity, with "1" representing low similarity and "5" high similarity. The letters remained on the screen until the subject responded.

RESULTS AND DISCUSSION

Mean similarity values were calculated for each pair over subjects, with each pair having been rated by 12 subjects. These values are presented in Appendix A. Analysis indicates a high correlation between the two possible orders of the pairs, r = +.88, indicating that the matrix is substantially, though not perfectly, symmetric.

Summary data are shown in Table 1, indicating the number of pairs falling into each of eight similarity bands, along with the mean (across pairs) of the standard devia-

Table 1 Summary Data of the Pairs Classified by Pair Type and Similarity Band

		Pair Type							
	Mixed	Upper	Lower	All Pairs					
Band	N	N	N	N	SD				
1 00-1.50	608	7	48	663	.61				
1.51-2 00	530	198	256	984	87				
2.01-2.50	128	279	188	595	99				
2.51-3 00	44	109	83	236	1.03				
3.01-3.50	16	46	51	113	99				
3 51-4.00	11	10	20	41	.88				
4 01-4.50	14	1	4	19	.75				
4.51-5.00	1	26	26	53	19				

Note—N = number of pairs in band SD = mean standard deviation

tions (across subjects) for the pairs within each band. Most pairs were rated fairly low in similarity, the mode being in the 1.51-2.00 band. Nearly all of the pairs in the highest band, of course, were physically identical pairs (e.g., "AA"). It is to be noted that not all of the identical pairs produced perfect similarity values of 5.00, undoubtedly due to occasional keying errors by the subjects. Not surprisingly, the mean standard deviation is closely related to the scalar position of the band: Standard deviations were lower for the extremes (.61 and .19) than for the middle bands (1.03 and .99).

From these veridical similarity values, generation similarity can be computed. First, however, there is a complexity in the process that must be considered. Notably, the generation model makes rather unspecific claims as to what is generated and compared. The presented pair "Ab," for example, might generate only "a" (which is then compared to "b"), or only "B" (compared to "A"), or both "a" and "B" (respectively compared to "b" and "A"). Obviously, which model is adopted has a bearing on how generation similarity should be calculated. However, Boles and Eveland (1983) avoided the issue altogether by using as stimuli both forms of an original source pair (e.g., "Ab" and "aB") and calculating generation similarity in a joint manner (e.g., as the mean similarity of "A" to "B" and "a" to "b"). Regardless of the form of the model that is adopted, the joint generation similarity value describes the average generation similarity of the two forms of the source pair.

In short, we have followed this practice in computing the present generation similarity values. We have also collapsed over the two letter orders (e.g., "Ab" and "bA") since, as noted above, the matrix is substantially symmetric. To take an example, the generation similarity of the pair "Ab" was calculated as the mean similarity of "AB" (2.25), "ab" (2.66), "BA" (2.42), and "ba" (2.25), or a value of 2.40. The result of the computations is Appendix B, giving the generation similarity for each pair (upper entry) and, for convenience in constructing stimulus sets, the veridical similarity as well (lower entry). The tabled veridical similarity values were calculated under the same assumptions—that is, that both forms of a pair would be presented and that order is largely irrelevant. For example, the veridical similarity of "Ab" (1.52) reflects the mean similarity of "Ab" (1.42), "aB" (1.75), "bA" (1.50), and "Ba" (1.42).

It is instructive to compare the present generation and veridical similarity values to those reported by Boles and Eveland (1983) for 50 letter pairs. In spite of the fact that somewhat different computer fonts were used in the two instances, the correlation between generation similarity values is r = +.84, and that between veridical similarity values is r = +.74. Evidently there is substantial similarity between the two fonts.

To better understand the subjects' ratings of similarity between letters, the similarity matrix in Appendix A (symmetrized by arithmetic averaging) was analyzed, using the nonmetric multidimensional scaling (MDS) program KYST-2A (Kruskal, Young, & Seery, 1977). This pro-

gram uses Torgerson's iterative procedure to fit Kruskal's (1964a, 1964b) model. An examination of the stress plot for the 2- through 5-dimensional solutions indicated the adequacy of fit of three dimensions. The decision to accept three dimensions was based on the form of the skree plot, with a pronounced elbow existing at three dimensions. In terms of a reduced number of dimensions, ours is a more conservative decision than that made by Gilmore et al. (1979), who accepted five dimensions on the basis of a low stress value (.077). Our stress value at three

Table 2
3-Dimensional Coordinates from the MDS Solution

		Dimension	
Letter	1	2	3
Α	- 89	10	- 28
В	64	77	15
C	- 33	62	33
D	- 51	82	00
E	- 78	- 32	17
F	84	- 48	.41
G	35	- 90	32
H	88	26	.07
I	- 81	.08	.74
1	42	16	71
K	85	.54	.26
L	89	.05	.39
M N	72	45 44	6l
O	74 - 12	- 78	- 37 - 34
P	- 12 - 25	- 78 - 64	- 34 .29
Q	32	0 4 86	53
R R	32 76	6 0 47	33 .08
S	76 - 32	47 53	90
T	- 72	55 - 10	30 72
Ü	- 39	09	32
v	37	.77	38
w	54	.68	56
x	71	.85	25
Ϋ́	- 46	.74	.17
ż	- 7 5	12	85
a	1.12	29	38
b	.54	66	.25
c	.58	- 22	34
d	.66	49	.48
e	.78	- 47	50
f	.29	27	1.06
g	1.08	36	.28
h	.42	.30	.34
1	.46	.56	1.05
j	.66	16	.80
k	.03	.97	.48
l	21	.19	1 08
m	.82	.63	- 48
n	.79	.31	36
О	.77	65	28
p	88	48	.17
q	.90	68	.26
r	.80	.10	.12
s	.76	10	86
t	.38	16	1.00
u	.52	.32	26
v	.39	.81	- 14 70
w	.35	.80 1.21	70
X	.41		- 07
y	.71 .49	.56 .32	.13
Z	.49	.32	92

dimensions was considerably higher (.178). Accordingly, the dimensional difference between the studies is more apparent than real, since if we had ignored the skree plot and selected a lower stress value, the solution would have included more dimensions. In any case, Table 2 gives the coordinates for the 3-dimensional solution.

Clearly, Dimension 1 is closely related to the upperversus lowercase status of the letters. All of the 26 uppercase letters show negative values on the dimension, while 25 of the 26 lowercase letters show positive values. The exception was "l," which some subjects may in fact have interpreted as "I."

Dimension 2 also appears interpretable. It yields strongly negative values for a number of curved letters (e.g., B, D, G, O, Q) and strongly positive values for a number of letters containing straight slanted lines (e.g., V, X, Y, k, v, w, x). While some slanted letters produced negative values (e.g., A, Z), their magnitudes were small. Letters composed only of horizontal and vertical lines, neither curved nor slanted, produced intermediate values. Thus, it seems appropriate to label Dimension 2 as curved versus slanted.

Dimension 3 appears to capture acute angular versus vertical letters. The former are composed of acute angles, and they tend to have negative values on the dimension (e.g., S, Z, s, w, z). Vertical letters have a predominantly vertical component and show positive values (e.g., I, J, T, f, i, l, t).

The symmetrized ratings were also subjected to three hierarchical clustering methods: complete linkage (Johnson, 1967), and the weighted (WPGMA) and unweighted (UPGMA) pair-group methods using arithmetic averages (Sokal & Michener, 1958). Of these, WPGMA gave the

most satisfactory results in terms of interpretability. The dendogram for the results of this analysis is given in Figure 2.

One can differentiate the clusters at either a proximal or a distal level, but general groupings can be described by examining those clusters emerging at the "20" level or higher. From left to right on Figure 2, the first such grouping (Z, z, N, S, s) captures a number of the letters composed of acute angles, while the second (E, F, L) contains letters showing both strong horizontal and vertical components. The third group (B, D, C, c, O, o, Q, G) contains mostly uppercase curved letters, along with their lowercase visual analogues, and the fourth (K, k, X, x, H) contains vertically bisected letters that are symmetrical or nearly so about a horizontal axis. The fifth group contains largely diagonal letters (W, w, M, V, v, U, u, y, Y, A). The sixth has letters with a strong vertical component (f, t, J, j, I, l, i, T), and the seventh has small humped letters (n, h, r). The eighth and last group contains mostly curved lowercase letters (a, e, g, q, d, b, P, p, R). The difference between the third and eighth groups may be that in the third, lowercase letters have clustered with their look-alike uppercase analogues (C, c, O, o), whereas in the eighth, the lowercase letters are mostly nonanalogues. The exceptions, "p" and the physically similar letters "P" and "R," may have clustered with the rest of the eighth group because of the similarity of "p" with "q," "b," and "d."

Comparing the outcomes of the MDS and cluster analyses, it is apparent that they were sensitive to somewhat differing aspects of letter similarity. The MDS analysis was considerably more sensitive to the case (upper vs. lower) of the letters. The cluster analysis was more sensitive.

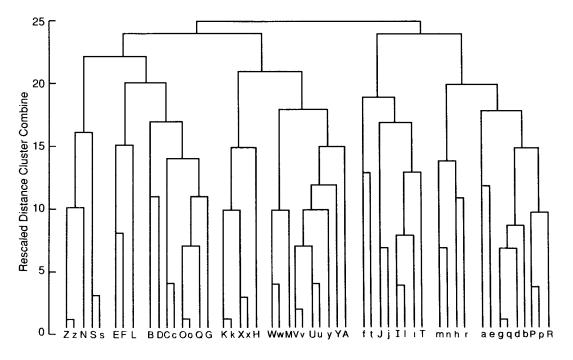


Figure 2. The WPGMA cluster analysis.

sitive to featural similarities. Specifically, clustering revealed eight groupings based on various combinations of acute angles, horizontal, diagonal and vertical lines, curves, and bisections, with some influence of case. The MDS analysis produced case as a nearly pure dimension, but other dimensions were sensitive to a reduced number of features, including acute angles, diagonal and vertical lines, and curves.

The differential outcome is interesting, because instead of considering dimensional and clustering analyses as complementary in nature, attention has often been directed toward considering one type of analysis as more appropriate than the other. Indeed, Pruzansky, Tversky, and Carroll (1982) provided statistical procedures for classifying a data set as favoring "spatial" (e.g., MDS) or "tree" (e.g., clustering) analyses. In contrast, our results suggest that useful information can be gained from combining approaches rather than selecting one over the other. In this, our conclusion differs from those of Gilmore et al. (1979) and Townsend (1971), both of whom concluded that a MDS solution yielded dimensions that were not readily interpretable. Yet even a cursory glance at Dimension 1 in Table 2 demonstrates ready interpretability. It is of course precisely on the methodological variable of upper- versus lowercase that our study differs from the previous ones.

In conclusion, the structural aspects of Table 2 and Figure 2 may be of interest to theorists wanting to model letter-similarity judgments. On the other hand, researchers interested in the effects of visual similarity on same-different judgments should find the data in Appendixes A and B to be of greatest interest.

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NOTE

1. A diskette formatted in Apple Pascal is available on request at no cost to anyone desiring facilitated access to the similarity ratings for modeling or other purposes. Send the request to David B. Boles, Department of Psychology, Rensselaer Polytechnic Institute, Troy, NY 12180.

(Continued on following page)

APPENDIX A
Mean Similarity Values, Each of Two Decimal Places

	vican Sinniarity values, Each of									1 TWO Decimal Flaces																
	Α	В	C	D	E	F	G	Н	I	J			M	N	О	P	Q	R	S	T	U	V	W	X	Y	Z
_						275																				167
В	242					283																				158
D						167 225																				
E						342																				
F						500																				
Ġ						233																				
Н						250																				
I						292																				
J						200																				
K						200																				
L	208	225	266	275	300	275	208	258	275	292	225	500	216	242	183	216	192	216	167	258	325	225	216	192	225	225
M	250	192	175	200	225	200	183	242	250	167	216	242	475	350	200	208	183	225	208	216	216	216	366	250	167	216
N	216	216	200	225	200	192	208	233	216	233	275	216	342	500	216	183	216	233	192	242	242	242	258	308	233	333
О	242	275	366	300	242	208	350	208	192	250	216	183	158	208	500	225	383	233	208	183	375	208	192	167	175	233
P						333																				
Q						216																				
R						266																				
S						200																				
T						292																				
U						216																				
V						175																				
w						192 183																				
Y						200																				
7						275																				
a						125																				
b						133																				
c						158																				
d						167																				
e	150	158	167	158	266	158	258	133	117	150	125	133	125	117	208	183	167	150	216	125	167	192	150	167	125	167
f	233	167	158	158	167	350	158	158	183	208	150	150	142	183	133	283	125	150	150	208	167	133	108	150	142	133
g	125	150	167	150	142	150	225	133	125	158	133	142	142	117	192	192	175	175	175	125	150	117	125	150	150	125
h						158																				
1	125	125	125	125	167	158	125	150	333	158	150	200	133	150	133	133	158	142	150	233	167	117	133	133	158	133
J						158																				
k						167																				
ì						183																				
m						183																				
n						158																				
0						133																				
р						183 125																				
q						183																				
						175																				
t						175																				
ι 11						133																				
v						133																				
w						150																				
X						100																				
y						125																				
						208																				

Note—left column = first letter in pair. Top row = second letter.

Appendix A (Continued)

APPENDIX B

Mean Generation and Veridical Similarity Values with Order Collapsed

		Mean Generation and V									Veridical Similarity			Values with Order Collapsed									_			
	a	b	c	d	e	f	g	h	i	1	k	1	m	n	0	р	q	Г	s	t	u	v	w	x	у	Z
Α	484	240	248	232	272	232		227	179	187	191	179	233	240	253	259	222	259	227	194	252	212	195	214	210	210
	237	152	154	148	152	166	133	171	123	148	126	141	152	172	163	159	152	163	159	144	150	159	142	123	140	145
В																					221					
		263																			165					
С																					323					
_			413																		244				181	
D																					240 193					
Е				242	488																255				175	
L																					152					
F					237																190					
-																					145				142	160
G							500	207	194	240	188	184	206	191	303	284	388	216	233	195	225	188	188	173	271	200
							221	154	129	160											165					131
Н								500	228	208	286	242	233	298	191	257	205	286	177	227	282	233	216	218	249	195
								321													207					
I																					218					192
									313	175											140					
J										500 379				_							273 202					143
K										319											195					197
17																					171					
L																					229					
												255	156								190					
Μ													488								232					
													334								165					
N																					294					
_														304							210					
О															434						336 230					
Р															434						212					
•																					154					
Q																					250					188
_																	225	162	143	150	169	137	127	125	161	133
R																		496	233	227	244	198	198	218	206	225
																		233			152					
S																					208					
T																			417		139					
T																				484	198			183		
U																				309				214		
U																										155
v																					,0,					205
																										147
W																										232
																							409			154
X																										233
																								417		167
Y																										194
7																									309	137 500
Z																										442
																										-7-2

Note-upper entry = generation similarity. Lower entry = veridical similarity.