

# Graded Modalities as Linear Types

ASHER FROST

We present an approach that models graded modal bindings using only linear ones. Inspired by Granule, we present an approach in Idris 2 to model limited grades using a construction, called "mu" ( $M$ ). We present the construction of  $M$ , related ones, operations on them, and some useful properties

## Todo list

Update everything . . . . .	1
Segway into proof . . . . .	3
Finish proof . . . . .	3
Fix the alignment of these . . . . .	4
Add something on metalogical interpretation . . . . .	5
This isn't exactly correct . . . . .	6
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## 1 Introduction

One of the more interesting developments in Programming Language Theory is Quantative Type Theory, or QTT. Based off Girard's linear logic, it forms the basis of the core syntax of Idris 2's core language, and also as a starting point for that of Linear Haskell [5, 3, 8, 1]. It has many theoretical applications, including creating a more concrete interpretation of the concept of a "real world", constraining memory usage, and allowing for safer foriegn interfaces Need citation. Apart from just the theoretical intrest, QTT has the potential to serve as an underlying logic for languages like Rust, where reasoning about resources takes the forefront.

However, new developments in Graded Modal Type Theory (GrTT), in particular with Granule, serve to create a finer grained<sup>1</sup> notion of usage thann QTT. In GrTT, any natural number may serve as a usage, and in some cases even things that are first glance not natural numbers. GrTT is part of a larger trend of "types with algrbras" being used to create inferable, simple, and intuitive systems for models of various things. Of these, some of the more notable ones are Koka, Effekt, and Flix, all of which seek to model effects with algebras [11, 4, 10].

## Preliminaries

We write the morphisms in a given category  $\rightarrow_C$ , where  $C$  is the category in question. We define 1 to be the category of Idris terms where the morphisms are all linear mappings, 0 to be that where they are all erased, and  $\omega$  for those that are unrestricted. We write these arrows at  $\rightarrow_1$ ,  $\rightarrow_0$ , and  $\rightarrow_\omega$ , respectively.

We also write isomorphisms in a certain category  $C$  as  $\simeq_C$ , and in particular we have  $\simeq_1$  as the isomorphism in the linear category. We write implicit  $\Pi$  types prefixed with a  $\forall$ , so, for instance, we have  $\forall(x : t) \rightarrow_1 u$  as the equivalent of the Idris  $\{1 \ x : t\} \rightarrow u$ . We write  $\times^C$  for the product

<sup>1</sup>Hence the name

Update  
ev-  
ery-  
thing

```

50 data Mu : (n : Nat) -> (t : Type) -> (w : t) -> Type where
51   MZ :
52     Mu Z t w
53   MS :
54     (1 w : t) ->
55     (1 xs : (Mu n t w)) ->
56     Mu (S n) t w

```

Listing 1. The definition of  $M$  in Idris

construction in  $C$ , and for a given evidence of that construction we write that with the constructor  $*^C$

In addition, note that we write  $\mathbb{N}$  for the type of *linear* natural numbers Need citation.

## 2 $M$ types

The core construction here is  $M$ , or, in Idris,  $Mu$ , type. This is made to model a “source” of a given value. It is indexed by a natural number, a type, and an erased value of that type. The definitions of this in Idris are given at 1.

**Definition 2.1.**  $M$  is a polymorphic type with signature  $M : (n : \mathbb{N}) \rightarrow_0 (t : *) \rightarrow_0 (w : t) \rightarrow_0 *$

In addition,  $M$  has two constructors

**Definition 2.2.** There are two constructors of  $M$ ,  $\diamond$   $MZ$ , and  $\odot$ ,  $MS$ , Which have the signatures  $\diamond : M n t w$  and  $\odot : (w : t) \rightarrow_1 M n t w \rightarrow_1 M (Sn) t w$

Firstly, it should be noted that the names were chosen due to the fact that the indices of them are related. That is,  $\diamond$  or “mu zero” will always be indexed by 0, and  $\odot$ , “mu successor”, is always indexed by the successor of whatever is given in.

*Remark 2.3.*  $M 0 t w$  can only be constructed by  $\diamond$ .

Intuitively,  $M$  represents  $n$  copies of  $t$ , all with the value  $w$ , very much inspired by the paper “How to Take the Inverse of a Type”. For instance, if we want to construct  $x : M 2 \text{String} \text{ "value"}$ , we can only construct this through  $\odot$ , an we know, bu nothing but the first argument of the value, that we must take as a initial argument value, and as a second value of the form  $M 1 \text{String} \text{ "value"}$ , which we then repeat one more time and get another “value” an finally we match  $M 0 \text{String} \text{ "value"}$  and get that we must have  $\diamond$ . We can then say that we know that the only constructors of  $M 2 \text{String} \text{ "String"}$  is “value” $\odot$ “value” $\odot$ . Note the similarity between the natural number and the constructors. Just as we get 2 by applying  $Stwice$  to  $Z$ , we get  $M 2 \text{String} \text{ "value"}$  by applying  $\odot$  twice to  $\diamond$ . This relationship between  $M$  types and numbers is far more extensive, as we will cover later.

The windex or “witness” is the value being copied. Notably, if we remove the windex, we would have  $\diamond : Mnt$ , and  $\odot : t \rightarrow_1 Mnt \rightarrow_1 M(Sn)t$ , which is simply  $LVect$ . The reason that this is undesirable is that we don’t want this as it allows for  $M$  to have heterogeneous elements. However, if we are talking about “copies” of something, we know that should all be the exact same.

There are two very basic functions that bear mention with respect to  $M$ . The first of these is witness, which is of the form  $witness :_0 \forall (w : t) \rightarrow_0 M n t w \rightarrow t$ . Note that this is an *erased* function. Its implementation is quite simple, just being  $witness\{w\}_- := w$ , which we can create, as erased functions can return erased values Need citation. In addition, we also have  $drop : M 0 t w \rightarrow_1 \top$ , which allows us to drop a value. Its signature is simple  $drop \diamond := ()$ .

```

99 0 unique :
100   {n : Nat} -> {t : Type} -> {w : t} ->
101   {a : Mu n t w} -> {b : Mu n t w} ->
102   (a == b)
103 unique {n=Z} {w=w} {a=MZ,b=MZ} = Refl
104 unique {n=(S n')} {w=w} {a=MS w xs, b=MS w ys} = rewrite__impl
105   (\zs => MS w xs == MS w zs)
106   (unique {a=ys} {b=xs})
107   Refl

```

Listing 2. Proof of 2.5 in Idris

Finally, we have  $\text{once}$ , which takes a value of form  $M1$  and extracts it into the value itself. It has a signature  $\text{once} : M\ 1\ t\ w \rightarrow_1 t$ , where we have  $\text{once}(x \odot \diamond) := x$

## 2.1 Uniqueness

While  $\text{wserves}$  a great purpose in the interpretation of  $M$  it perhaps serves an even greater purpose in terms of values of  $M$ . We can, using  $w$ , prove that there exists exactly one inhabitant of the type  $M\ n\ t\ w$ , so long as that type is well formed.

**Lemma 2.4.**  $x : M\ n\ t\ w$  only if the concrete value of  $x$  contains  $n$  applications of  $\odot$ .

PROOF. Induct on  $n$ , the first case,  $M\ 0\ t\ w$ , contains zero applications of  $\odot$ , as it is  $\diamond$ . The second one splits has  $x : M(Sn')tw$ , and we can destruct on the only possible constructor of  $M$  for  $S$ ,  $\odot$ , and get  $y :_1 t$  and  $z :_1 Mntw$  where  $x =_? (y \odot z)$ . We know by the induction hypothesis that  $z$  contains exactly  $n'$  uses of  $\odot$ , and we therefore know that the constructor occurs one more times than that, or  $Sn'$   $\square$

This codifies the relationship between  $M$  types and natural numbers. Next we prove that we can establish equality between any two elements of a given  $M$  instance. This is equivalent to the statement “there exists at most one  $M$ ” Need citation.

**Lemma 2.5.** If both  $x$  and  $y$  are of type  $M\ n\ t\ w$ , then  $x =_? y$ .

PROOF. Induct on  $n$ .

- The first case, where  $x$  and  $y$  are both  $M\ 0\ t\ w$ , is trivial because, as per 2.3 they both must be  $\diamond$
- The inductive case, where, from the fact that for any  $a$  and  $b$  (both of  $M\ n'\ tw$ ) we have  $a =_? b$ , we prove that we have, for  $x$  and  $y$  of  $M(Sn')tw$  that  $x =_? y$ . We note that we can destruct both of these, with  $x_1 : M\ n'\ tw$  and  $y_1 : M\ n'\ tw$ , into  $x = x_0 \odot x_1$  and  $y = y_0 \odot y_1$ , where we note that both  $x_0$  and  $y_0$  must be equal to  $w$ , and then we just have the induction hypothesis,  $x_1 =_? y_1$

We thereby can simply this to the fact that  $M\ n'\ tw$  has the above property, which is the induction hypothesis.  $\square$

However, we can make a even more specific statement, given the fact we know that  $w$  is an inhabitant of  $t$ .

**Theorem 2.6 (Uniqueness).** If  $M\ n\ t\ w$  is well formed, then it must have exactly one inhabitant.

 Segway  
into  
proof

 Finish  
proof

$$\frac{\Gamma \vdash \alpha}{\Gamma, [w] : [t]_0 \vdash \alpha} \text{Weak}$$

```

weak : (ctx -@ a) -@ ((LPair ctx (Mu 0 t w)) -@ a)
weak f (x # MZ) = f x

```

Fig. 1. The meta-logical drop rule and its Idris 2 equivalent

PROOF. We know by 2.5 that there is *at most* one inhabitant of  $M\ n\ t\ w$ . We then induct on  $n$  to show that there must also exist *at least* one inhabitant of the type.

- The first case is that  $M\ 0\ t\ w$  is always constructible, which is trivial, as this is just  $\diamond$ .
- The second case, that  $M\ n'\ tw$  provides  $M\ (Sn')\ tw$  being constructible is also trivial, namely, if we have the construction on  $M\ n'\ tw$  as  $x$ , we *know* that the provided value must be  $w$ .

Given that we can prove that there must be at least and at most one inhabitant, we can prove that there is exactly one inhabitant.  $\square$

This is very important for proofs on  $M$ . It corresponds to the fact that there is only one way to copy something, to provide another value that is the exact same as the first.

## 2.2 Graded Modalities With $M$

A claim was made earlier that  $M$  types can be used to model graded modalities within QTT. The way it does this, however, is not by directly equating  $M$  types with  $[]$  types [13]. It instead does this in a similar way to how others have embedded QTT in Agda [7, 6].

Namely, rather than viewing  $M\ n\ t\ w$  as the *type*  $[t]_n$ , we instead view it as the *judgment*  $[w] : [t]_r$ . Fortunately, due to the fact that this is Idris 2, we don't need a separate  $\models$ , as  $M$  is a type, which, like any other, can be bound linearly, so, the equivalent to the GrTT statement  $\Gamma \vdash [x] : [a]_r$  is  $\Gamma \vdash \phi : M\ r\ a\ x$ , which can be manipulated like any other type.

This is incredibly powerful. Not only can we reason about graded modalities in Idris, we can reason about them in the language itself, rather than as part of the syntax, which allows us to employ regular proofs on them. This is very apparent in the way constructions are devised. For instance, while Granule requires separate rules for dereliction, we do not, and per as a matter of fact we just define it as once; a similar relationship exists between weakening and dropwhere the exact relationship is shown in ??.

*Remark 2.7.* We assume that  $Mntw$  is equivalent to  $[w] : [t]_n$ .

Unfortunately, there is no way to prove this in either language, as  $M$  can't be constructed in Granule, and graded modal types don't exist in Idris 2.

## 2.3 Operations on $M$

There are number of operations that are very important on  $M$ . The first of these that we will discuss is combination. We define this as  $\otimes : M\ m\ t\ w \rightarrow_1 M\ n\ t\ w \rightarrow_1 M\ (m + n)\ t\ w$  Need code, and we define it inductively as  $\odot \otimes x := x$ , and  $(a \odot b) \otimes x := a \odot (b \otimes x)$ . Note the similarity between the natural number indicies and the values, where  $0 + x := x$  and  $(Sn) + x := S(n + x)$

In addition, using the assumption of 2.7, we can liken the function  $\otimes$  to context concatenation [13]. However, unlike Granule, we define this in the language itself. Per as a matter of fact, it isn't actually possible to construct  $\otimes$  in Granule, as it would require a way to reason about type level equality, which isn't possible.

Fix  
the  
align-  
ment  
of  
these

$$\diamond \otimes x \quad := x \quad (1)$$

$$Z + x \quad := x \quad (2)$$

$$(a \odot b) \otimes \quad x := a \odot (b \quad \otimes x) \quad (3)$$

$$(Sn) + \quad x := S(n \quad + x) \quad (4)$$

```
map : (f : t -@ u) -> Mu n t w -@ Mu n u (f w)
map f MZ = MZ
map f (MS x xs) = MS (f x) (map {w=x} f xs)
```

Listing 3. Definition of map in Idris

**Lemma 2.8.**  $\otimes$  is commutative<sup>2</sup>, that is,  $x \otimes y =_? y \otimes x$ .

PROOF. These types are the same, and by 2.6, they are equal.  $\square$

Given that we can “add” (Or, as we will see later, multiply) two  $M$ , it would seem natural that we could also subtract them. We can this function, which is the inverse of combine, split, which has the signature  $\text{split} : (n : \mathbb{N}) \rightarrow_1 (- : M (m + n) t w) \rightarrow_1 M m t w \times^1 M n t w$ . We actually use this a fair bit more than we use combine, as split doesn’t impose any restrictions on the witness, which is very useful for when we discuss  $\wedge$  types.

In a similar manner to how we proved (in 2.8) the commutativity of  $\otimes$ , we can prove that these are inverses.

**Lemma 2.9.** Given that we have  $f := \text{split}$ , and  $g := \text{uncurry}^1(\otimes)^3$ , then  $f$  and  $g$  are inverses.

PROOF. The type of  $f$  is  $M (m + n) t w \rightarrow_1 M m t w \times^1 M n t w$ , and that of  $g$  is  $M m t w \times^1 M n t w \rightarrow_1 M (m + n) t w$ , so there composition  $f \circ g : (- : M (m + n) t w) \rightarrow_1 M (m + n) t w$  (the same for  $g \circ f$ ). Any function from a unique object and that same unique object is an identity, thereby these are inverses

Need citation

Add something on  $M$  (met-logical interpretation)

Another relevant construction is multiplicity “joining” and its inverse, multiplicity “expanding”.

**Definition 2.10.** We define  $\text{join} : M m (M n t w) ?^4$ . Its definition is like that of natural number multiplication, with it defined as follows:

$\text{join} \diamond := \diamond$

$\text{join}(x_0 \odot x_1) := x_0 \otimes (\text{join} x_1)$

## 2.4 Applications over $M$

There is still one crucial operation that we have not yet mentioned, and that is application over  $M$ . That is, we want a way to be able to lift a linear function into  $M$ . We can do this, and we call it map, due to its similarity to functorial lifting and its definition may be found 3.

However, there is something unsatisfying about map. Namely, the first argument is unrestricted. However, we know exactly how many of the function we need. It will simply be the same as the number of arguments.

<sup>2</sup>You can also prove associativity and related properties, the proof is the same

<sup>3</sup>Given that we have  $\text{uncurry}^1 : (a \rightarrow_1 b \rightarrow_1 c) \rightarrow (a \times^1 b) \rightarrow_1 c$

<sup>4</sup>For simplicity, we infer the second witness

```

246 app : Mu n (t -@ u) wf -> Mu n t wx -@ Mu n u (wf wx)
247 app MZ MZ = MZ
248 app (MS f fs) (MS x xs) = MS (f x) (app fs xs)

```

Listing 4. Definition of app

So, we define another function, app, which has the type  $\text{app} : (f : M n (t \rightarrow_1 u) w_f) \rightarrow_1 (x : M n t w_x) \rightarrow_1 M n t w_x$  and a definition given at 4

Notably, if we define a function  $\text{genMu} : (x : !_* t) \rightarrow_1 \forall (n : \mathbb{N}) \rightarrow_1 M n t x.\text{unrestricted}$ , which is simply defined as  $\text{genMu}(\text{MkBang\_})0 := \diamond$  and  $\text{genMu}(\text{MkBang}x)(Sn) := (x \odot (\text{genMu}(\text{MkBang}x)))$ , we can define  $\text{mapas } \text{map}\{n\}fx := \text{app}(\text{genMu}f)n x$ .

## 2.5 Applicative M

We can use app to derive equivalents of the push and pull methods of Granule, in a similar way to how we can use  $< * >$  to define mappings over pairs. In Idris, we define  $\text{push} : M n (t \times_1 u) (w_0 * w_1) \rightarrow_1 M n t w_0 \times_1 M n u w_1$  and  $\text{pull} : M n t w_0 \times_1 M n u w_1 \rightarrow_1 M n (t \times_1 u) (w_0 * w_1)$ . Their construction is simple, and may be found in `idris - mult6`.

One of the more important constructions, particularly for 3, is that of `react`. `react`, which borrows its name from the notion of “statements as chemicals”

## 3 $\omega$ and $\Omega$ Types

The  $\omega$  type and their generalization  $\Omega$  types, serve to model unrestricted multiplicity. An unrestricted multiplicity as one that can create any number of linearly bound terms, which is written by Girard as  $!$  (“of course”),  $\omega$  in Idris, and  $t[]$  in Granule[8, 5, 13]. Further, in other works of embedding a linear logic system with unrestricted multiplicity into a more restrictive system, the system of natural number was extended to conatural numbers, which contain  $\infty$ .

Here, we propose  $\Omega$  types, and a more specific form of them,  $\omega$  types, which are written in `idris - mult6` as `omega`. We will first look at  $\omega$  types, as they are a fair bit simpler than  $\Omega$  types.

**Definition 3.1.**  $\omega$  is a type alias which takes a type and a witness of that type as arguments, we define it as  $\omega t w := (n : \mathbb{N}) \rightarrow_1 M n t w$

We can thence, if we have  $x : \omega t w$ , get any number of bindings of the value  $w$  of  $t$ . This can then be used to model multiplicities. Firstly, let us note how we might construct these.

**Definition 3.2.** `gen` goes from a value in a linear unrestricted multiplicity,  $!_*$ , and a value of  $\omega$ . Specifically, `gen` has the type signature  $\text{gen} : (x : (!_* t)) \rightarrow_1 \omega t (\text{unrestricted} x)$ . It has the definition of `Need code`.

This also us to unpack a value of unrestricted multiplicity into some number of linear bindings.

### 3.1 $\Omega$ types

Whilst  $\omega$  types allow us to model general unrestricted multiplicities, they don't allow for restricted multiplicity polymorphic functions. For instance, consider the function in `Granule` `mapMaybe`, `type`

We define this with  $\omega$  and  $M$  types as `def` where we define the erased value `MapMaybeW`.

However, this would be overly specific. Considering the Granule equivalent, it is clear what the problem is. Namely, we will use `f` at most once, so we don't need any number of usages of `f`. We

```

295 record LExists {ty : Type} (f : (ty -> Type)) where
296   constructor LEvidence
297   0 fst' : ty
298   1 snd' : f fst'

```

Listing 5. The definition of LExists

need at most one instance of  $f$ . This has real world consequences. For instance, if we have  $x : \mathbb{N}$ , we might construct  $f : \mathbb{N} \rightarrow_1 \mathbb{N}$ ,  $fy := x + y$ .

In addition, we can discard this by merely doing  $fZero$ , which then has the type  $\mathbb{N}$ , which we can freely discard. So, we have a way to get either 0 or 1 instances of  $f$ , but not, in general, to get any number of these.

This isn't a  $\mu$  type, as there are two choices, 0 and 1, for the multiplicity. However, it also isn't an  $\omega$  type, as we need not be able to produce any number of values. To solve this dilemma, we introduce  $\Omega$  types, a generalization of  $\omega$  types to require only a subset of  $\mathbb{N}$  bindings.

Firstly, we note that `Idris`, `Type` and `Prop` are synonymous. We also note that then a predicate on a type `Pred a`, is just equivalent to  $a \rightarrow *$  [9]. We can likewise define a number of relevant operations on `Pred a`, which are given in more detail in `idris - mult6Data.Mu.Maps`.

One of the more interesting facts about predicates is that they are contravariant functors. We also define in a slightly less interesting fashion a number of other general predicate functions.

We in particular focus our attention on `Pred  $\mathbb{N}$` , which we can use to define  $\Omega$  as follows:

**Definition 3.3.**  $\Omega$  is a polymorphic type over a predicate on  $\mathbb{N}$ ,  $p$ , a given type  $t$ , and a witness of that type  $w$ ,  $\Omega : (p : \text{Pred } \mathbb{N}) \rightarrow (t : *) \rightarrow (w : t) \rightarrow *$ . We define  $\Omega$  as  $\Omega p t w := (n : \mathbb{N}) \rightarrow_1 \forall (prf : (pn)) \Rightarrow_0 M n t$

So, to get a certain number of bindings of  $w$ , we must provide a proof that the given number of bindings satisfies some arbitrary predicate  $p$ .

Finish,  
among  
things,  
work  
on  
3A

## 4 Exponential and Existential Types

While the  $M$  and  $\Omega$  types bear much interest theoretically, they, by themselves, have little practical use. This is because as demonstrated in 2.2, these types model the *judgements* about graded modalities, not the graded modalities themselves.

Fortunately, however, we can define a simple abstraction over them that allows them to behave more like true graded modalities at the term level. To do this, we must first introduce a linear existential type. A regular existential type is a dependent pair type that "doesn't care" about its first argument. In `Idris 2`, we can make the that fact part of the programming by giving the first argument a multiplicity of zero.

`Idris 2` actually defines an existential type, however, it has the second argument have a multiplicity of  $\omega$ , while we want it to have a multiplicity one. Fortunately, the modification of `Exists` to our type, `LExists`, is quite trivial<sup>5</sup>, and we define it in 5

We can also define mapping like how `Idris` defines the mapping, with the signature described in 6 [2].

### 4.1 Existential Crisis (Solution)

This principle issue with using  $M$  and  $\Omega$  in practice is that

<sup>5</sup>`Idris`, however, seems to have trouble correctly linearizing constructor accessors, so we define the actual `fst` and `snd` accessors separately



```

map :
  {0 p : a -> Type} ->
  {0 q : b -> Type} ->
  {0 m : (a -> b)} ->
  (1 f : forall x. p x -@ q (m x)) ->
  (LEexists p -@ LEexists q)
map f (LEvidence x y) = LEvidence (m x) (f y)

```

Listing 6. Definition of map for LEexists

## 5 Using $M$ and Friends

## 6 Conclusion

### Related Work

### Acknowledgements

Thank you to the Idris team for helping provide guidance and review for this. In particular, I would like to thank Constantine for his help in the creation of  $M$  types

### Artifacts

All Idris code mentioned here is either directly from or derived from the code in the Idris library `idris-mult`, which may be found at its repository<sup>6</sup>

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<sup>6</sup>Some listings may be modified for readability



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