



University of Houston Downtown

DEPARTMENT OF COMPUTER AND MATHEMATICAL SCIENCES

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# Recursive Evaluation Algorithms for 2-D $h$ -Bézier Surfaces

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A Senior Project

by

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# h-BERNSTEIN POLYNOMIALS

An  $h$ -Bernstein basis polynomial of degree  $n$  has the following form<sup>[1][5]</sup>:

$$B_k^n(t; h) = \binom{n}{k} \frac{\prod_{i=0}^{k-1} (t + ih) \prod_{i=0}^{n-k-1} (1 - t + ih)}{\prod_{i=0}^{n-1} (1 + ih)}, \quad (1)$$

where  $k = 0, 1, \dots, n$ , and  $h \in [0, 1]$  is a fixed parameter, which is called a shape parameter. In equation (1),

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

are the familiar binomial coefficients. The  $h$ -Bernstein polynomials defined by equation (1) can be generated by the following recursive relation<sup>[1][5]</sup>:

$$B_k^n(t; h) = \frac{t + (k-1)h}{1 + (n-1)h} B_{k-1}^{n-1}(t; h) + \frac{1 + t + (n-k-1)h}{1 + (n-1)h} B_k^{n-1}(t; h), \quad (2)$$

where  $k = 0, 1, \dots, n$ , and  $B_0^0(t; h) = 1$ .  $B_k^n(t; h)$  is defined to be equal to zero whenever  $k < 0$  or  $k > n$ .

A linear combination of  $h$ -Bernstein basis polynomials

$$B_n(t) = \sum_{k=0}^n \beta_k B_k^n(t; h) \quad (3)$$

is called an  $h$ -Bernstein polynomial of degree  $n$ , and the coefficients  $\beta_n$  are called  $h$ -Bernstein coefficients or  $h$ -Bézier coefficients.

If we choose in equation (3),  $\beta_k = f(k/n)$ ,  $k = 0, \dots, n$ , where  $f(t)$  is a continuous function of  $t$  on  $[0, 1]$ , then  $B_n(t) \rightarrow f(t)$  as  $n \rightarrow \infty$  uniformly on  $[0, 1]$ . This is a well-known result due to Stancu<sup>[7]</sup>.

The set of  $n+1$   $h$ -Bernstein basis polynomials  $\{B_k^n(t; h)\}_{k=0}^n$  defines a discrete probability distribution on the interval  $[0, 1]$  because  $B_k^n(t; h) \geq 0$  for  $t \in [0, 1]$ , and  $k = 0, 1, \dots, n$ . Hence, the partition of unity property holds<sup>[5]</sup>, that is,

$$\sum_{k=0}^n B_k^n(t; h) = 1. \quad (4)$$

## h-BÉZIER CURVES

For every polynomial  $P(t)$  of degree  $n$ , there is a unique set of  $n+1$  coefficients  $\{P_k\}_{k=0}^n$  called control points, such that

$$P(t) = \sum_{k=0}^n P_k B_k^n(t; h). \quad (5)$$

A polynomial  $P(t)$  written in the form of equation (5) is called an  $h$ -Bézier curve of degree  $n$ . Furthermore, observe that equation (5) is equivalent to equation (3) with  $\beta_k = P_k$ . Thus, an  $h$ -Bézier curve is a special case of an  $h$ -Bernstein polynomial.

## THE $h$ -DE CASTELJAU RECURSIVE EVALUATION ALGORITHM

The  $h$ -de Casteljau recursive evaluation algorithm for  $h$ -Bézier curves is described as follows:

We let

$$P(t) = \sum_{k=0}^n P_k B_k^n(t; h)$$

be an  $h$ -Bézier curve. Set  $P_k^0 = P_k$ , for  $k = 0, 1, \dots, n$ . Then, define recursively:

$$P_k^r(t) = \frac{1 - t + (n - r - k)h}{1 + (n - r)h} P_k^{r-1}(t) + \frac{t + kh}{1 + (n - r)h} P_{k+1}^{r-1}(t), \quad (6)$$

where  $k = 0, 1, \dots, n - r$  and  $r = 1, 2, \dots, n$ . At level  $n$ , we get a single function  $P_0^n(t) = P(t)$ .

This result follows from equation (2) and induction in  $r$ <sup>[1][5]</sup>.

## 2-D $h$ -BERNSTEIN POLYNOMIALS

We define a two-dimensional (or two-variable) version of the  $h$ -Bernstein basis polynomials by setting

$$B_{k,l}^n(t, s; h) = B_k^n(t; h) B_l^n(s; h), \quad (7)$$

where  $k, l = 0, \dots, n$ . Hence, by equations (2) and (7), a 2-D version of the recursive relation (2) can be derived as follows:

$$\begin{aligned} B_{k,l}^n(t, s; h) &= \left( \frac{t + (k - 1)h}{1 + (n - 1)h} B_{k-1}^{n-1}(t; h) + \frac{1 - t + (n - k - 1)h}{1 + (n - 1)h} B_k^{n-1}(t; h) \right) \\ &\quad \times \left( \frac{s + (l - 1)h}{1 + (n - 1)h} B_{l-1}^{n-1}(s; h) + \frac{1 - s + (n - l - 1)h}{1 + (n - 1)h} B_l^{n-1}(s; h) \right), \end{aligned} \quad (8)$$

where  $k, l = 0, 1, \dots, n$ . To simplify this expression, we set

$$\alpha_{n,k}(t; h) = \frac{t + (k - 1)h}{1 + (n - 1)h}, \quad k = 1, \dots, n. \quad (9)$$

Then equation (8) becomes:

$$\begin{aligned}
B_{k,l}^n(t, s; h) = & \alpha_{n,k}(t; h) \alpha_{n,l}(s; h) B_{k-1,l-1}^{n-1}(t, s; h) \\
& + \alpha_{n,k}(t; h) \alpha_{n,n-l}(1-s; h) B_{k-1,l}^{n-1}(t, s; h) \\
& + \alpha_{n,n-k}(1-t; h) \alpha_{n,l}(s; h) B_{k,l-1}^{n-1}(t, s; h) \\
& + \alpha_{n,n-k}(1-t; h) \alpha_{n,n-l}(1-s; h) B_{k,l}^{n-1}(t, s; h).
\end{aligned} \tag{10}$$

## 2-D $h$ -BÉZIER SURFACES

Similar to their 1-D counterparts, for every polynomial  $P(t, s)$  of degree at most  $n$  in each variable, there is a unique set of  $(n+1)^2$  coefficients  $\{P_{k,l}\}_{k,l=0}^n$ , also called control points, such that:

$$P(t, s) = \sum_{k,l=0}^n P_{k,l} B_{k,l}^n(t, s; h). \tag{11}$$

A polynomial  $P(t, s)$  written in the form of equation (11) is called a 2-D  $h$ -Bézier surface.

It is easy to see that the partition of unity property described in equation (4) also holds for the 2-D  $h$ -Bernstein basis polynomials:

$$\sum_{k,l=0}^n B_{k,l}^n(t, s; h) = \sum_{k=0}^n B_k^n(t; h) \sum_{l=0}^n B_l^n(s; h) = 1.$$

Therefore, for each  $n$ , the  $(n+1)^2$  2-D  $h$ -Bernstein polynomials  $\{B_{k,l}^n(t, s; h)\}_{k,l=0}^n$  form a discrete probability distribution on  $[0, 1] \times [0, 1]$ . Moreover, property (11) implies the affine invariance property of 2-D  $h$ -Bézier surfaces. That is,

$$\sum_{k,l=0}^n (P_{k,l} + v) B_{k,l}^n(t, s; h) = P(t, s) + v. \tag{12}$$

This means that if all control point  $P_{k,l}$  are shifted by a constant vector  $v$ , then the entire 2-D  $h$ -Bézier surface is also shifted by  $v$ .

## THE 2-D $h$ -DE CASTELJAU RECURSIVE EVALUATION ALGORITHM

The  $h$ -de Casteljau recursive evaluation algorithm for 2-D  $h$ -Bézier surfaces is defined as follows:

We let

$$P(t, s) = \sum_{k,l=0}^n P_{k,l} B_{k,l}^n(t, s; h)$$

be a 2-D  $h$ -Bézier surface. Set  $P_{k,l}^0 = P_{k,l}$ , for  $k, l = 0, 1, \dots, n$ . Assume that for some  $0 \leq r < n$ , all the points at the  $r$ -th level  $P_{k,l}^r$ , for  $k, l = 0, 1, \dots, n-r$ , have been defined so that

$$P(t, s) = \sum_{k,l=0}^{n-r} P_{k,l}^r B_{k,l}^{n-r}(t, s; h). \quad (13)$$

To compute the points at the next level  $r+1$ , substitute for  $B_{k,l}^{n-r}(t, s; h)$  in (13) by the expression from equation (10). After this substitution, equation (13) becomes

$$\begin{aligned} P(t, s) &= \sum_{k,l=0}^{n-r} P_{k,l}^r [\alpha_{n-r,k}(t; h) \alpha_{n-r,l}(s; h) B_{k-1,l-1}^{n-r-1}(t, s; h) \\ &\quad + \alpha_{n-r,k}(t; h) \alpha_{n-r,n-r-l}(1-s; h) B_{k-1,l}^{n-r-1}(t, s; h) \\ &\quad + \alpha_{n-r,n-r-k}(1-t; h) \alpha_{n-r,l}(s; h) B_{k,l-1}^{n-r-1}(t, s; h) \\ &\quad + \alpha_{n-r,n-r-k}(1-t; h) \alpha_{n-r,n-r-l}(1-s; h) B_{k,l}^{n-r-1}(t, s; h)] \\ &= \sum_{k,l=0}^{n-r-1} P_{k,l}^{r+1} B_{k,l}^{n-r-1}(t, s; h). \end{aligned} \quad (14)$$

Therefore, by collecting the coefficients of  $B_{k,l}^{n-r-1}(t, s; h)$  in equation (13), we see that the control points at level  $r+1$  are given by

$$\begin{aligned} P_{k,l}^{r+1} &= P_{k+1,l+1}^r \alpha_{n-r,k+1}(t; h) \alpha_{n-r,l+1}(s; h) \\ &\quad + P_{k+1,l}^r \alpha_{n-r,k+1}(t; h) \alpha_{n-r,n-r+1-l}(1-s; h) \\ &\quad + P_{k,l+1}^r \alpha_{n-r,n-r-k}(1-t; h) \alpha_{n-r,l+1}(s; h) \\ &\quad + P_{k,l}^r \alpha_{n-r,n-r-k}(1-t; h) \alpha_{n-r,n-r-l}(1-s; h), \end{aligned} \quad (15)$$

for  $k, l = 0, 1, \dots, n-r-1$  and  $r = 0, 1, \dots, n-1$ .

Clearly, each point at the  $r$ -th level  $P_{k,l}^r = P_{k,l}^r(t, s)$  is a polynomial of  $t$  and  $s$  of degree  $r$  in each variable. At the top level, that is,  $r = n$ , we get a single point which is  $P_{0,0}^n(t, s) = P(t, s)$ . So this last point gives the value of the point  $z = P(t, s)$  on the 2-D  $h$ -Bézier surface. To run the  $n$  levels of the recursive evaluation algorithm defined by equation (14), we have to perform a total of  $(1/6)n(n+1)(2n+1)$  linear operations of this type. This is so because

$$\sum_{r=1}^n (n-r+1)^2 = \frac{1}{6}n(n+1)(2n+1),$$

where  $(n-r+1)^2$  is the number of operations of type (15) used to compute the  $(n-r+1)^2$  control points at level  $r$ .

## C++ IMPLEMENTATION

```
// Client File: main.cpp

// This program creates a 2-D h-Bezier surface. It
// prompts the user for a perfect-square number of points
// and creates a Maple 15 file ready for compilation.

// Wilfredo Molina, 11/30/12.

#include <iostream>
#include <fstream>
#include <cmath>
#include "Point.h"
#include "HelperFunctions.h"
#include "MainFunctions.h"

using namespace std;

int main()
{
    int n, N, cnt = 0;
    char c;
    double h;
    Point **set, p;
    ifstream init;
    ofstream file;

    cout << "*****" << endl;
    cout << "* 2-D h-Bezier Surface Plotter *" << endl;
    cout << "*****" << endl << endl;
    cout << "Enter h: ";
    cin >> h;
    cout << "Enter the size of the input: ";
    cin >> n;

    // Create and define a 2-D dynamic array of points.
    N = (int)sqrt((double)n);

    set = new Point*[N];

    for (int i = 0; i < N; i++)
```

```

        set[i] = new Point[N];

for (int i = 0; i < N; i++)
    for (int j = 0; j < N; j++) {
        cout << "Enter point " << ++cnt << " of " << n << ": ";
        cin >> set[i][j].x >> set[i][j].y >> set[i][j].z;
    }

file.open("output.mw");

// Initialize the Maple 15 file.
init.open("init.txt");
init.get(c);
while (init) {
    file << c;
    init.get(c);
}
init.close();

// Plot the surface.
file << "with(plots):</Text-field><Text-field prompt=\"&gt; \" ";
file << "style=\"Maple Input\" layout=\"Normal\">pointplot3d({";
for (double t = 0; LessThanOrEqualTo(t, 1); t += 0.025)
    for (double s = 0; LessThanOrEqualTo(s, 1); s += 0.025) {
        p = f(set, 0, 0, N - 1, N - 1, t, s, h);
        file << '[' << p.x << ', ' << p.y << ', ' << p.z << ']' ;
        if (LessThanOrEqualTo(t + 0.025, 1) ||
            LessThanOrEqualTo(s + 0.025, 1))
            file << ', ' ;
    }
file << "},axes=boxed);</Text-field></Group></Worksheet>";
file.close();
cout << endl << "The process has finished.";

// Delete the dynamic array.
for (int i = 0; i < N; i++)
    delete [] set[i];
delete [] set;

cin.get();
return 0;
}

```

```

// Specification File: Point.h

// This structure defines the points used by the algorithms.

// Wilfredo Molina, 11/30/12.

#ifndef POINT_H
#define POINT_H

struct Point {
    double x, y, z;                // Coordinates

    Point();                       // Default Constructor
    Point(double, double, double); // Constructor
    Point operator*(double);       // Operator this * other Overload
    Point operator+(Point);       // Operator this + other Overload
};

#endif // POINT_H

```



```

// Implementation File: Point.cpp

//      Wilfredo Molina, 11/30/12.

#include "Point.h"

Point::Point()
{
    x = y = z = 0;
}

Point::Point(double x, double y, double z)
{
    this->x = x;
    this->y = y;
    this->z = z;
}

Point Point::operator*(double rhs)
{
    return Point(this->x * rhs, this->y * rhs, this->z * rhs);
}

Point Point::operator+(Point rhs)
{
    return Point(this->x + rhs.x, this->y + rhs.y, this->z + rhs.z);
}

```

```

// Specification File: HelperFunctions.h

// This file contains the very low-level helper
// functions used by the main functions.

// Wilfredo Molina, 11/30/12.

#ifndef HELPERFUNCTIONS_H
#define HELPERFUNCTIONS_H

#define EPSILON 0.0001

bool LessThanOrEqualTo(double, double); // Compares Doubles
double alpha(int, int, double, double); // Theoretical Function
double prod(int, int, double, double); // Particular Product Notation
int fact(int); // Factorial
int choo(int, int); // n Choose k

#endif // HELPERFUNCTIONS_H

```

```

// Implementation File: HelperFunctions.cpp

//      Wilfredo Molina, 11/30/12.

#include "HelperFunctions.h"

bool LessThanOrEqualTo(double a, double b)
{
    double diff = a - b;
    return diff <= EPSILON;
}

double alpha(int k, int n, double t, double h)
{
    return (t + (k - 1) * h) / (1 + (n - 1) * h);
}

double prod(int i, int n, double t, double h)
{
    if (i > n)
        return 1;
    double q = 1;
    for (int j = i; j <= n; j++)
        q *= t + j * h;
    return q;
}

int fact(int n)
{
    if (n == 0)
        return 1;
    if (n <= 2)
        return n;
    return n * fact(n - 1);
}

int choo(int n, int k)
{
    return fact(n) / (fact(k) * fact(n - k));
}

```

```

// Specification File: MainFunctions.h

// This file contains the main functions
// used by the program to compute surfaces.

// Wilfredo Molina, 11/30/12.

#ifndef MAINFUNCTIONS_H
#define MAINFUNCTIONS_H

#include "Point.h"

// Non-Recursive h-Bernstein Polynomial
double B(int, int, double, double);

// Recursive h-Bernstein Polynomial
double BR(int, int, double, double);

// 1-D h-de Casteljau Recursive Evaluation Algorithm
double PR(int, int, int, double, double, double*);

// 2-D h-de Casteljau Recursive Evaluation Algorithm
Point f(Point**, int, int, int, int, double, double, double);

#endif // MAINFUNCTIONS_H

```

```

// Implementation File: MainFunctions.cpp

// Wilfredo Molina, 11/30/12.

#include "MainFunctions.h"
#include "HelperFunctions.h"

double B(int k, int n, double t, double h)
{
    return choo(n, k) * (prod(0, k - 1, t, h)
        * prod(0, n - k - 1, 1 - t, h))
        / prod(0, n - 1, 1, h);
}

double BR(int k, int n, double t, double h)
{
    if (k < 0 || k > n)
        return 0;
    if (k == 0 && n == 0)
        return 1;
    return (t + (k - 1) * h) / (1 + (n - 1) * h)
        * BR(k - 1, n - 1, t, h) + (1 - t + (n - k - 1) * h)
        / (1 + (n - 1) * h) * BR(k, n - 1, t, h);
}

double PR(int k, int r, int n, double t, double h, double *I)
{
    if (r == 0)
        return I[k];
    else
        return (1 - t + (n - r - k) * h) / (1 + (n - r) * h)
            * PR(k, r - 1, n, t, h, I) + (t + k * h)
            / (1 + (n - r) * h) * PR(k + 1, r - 1, n, t, h, I);
}

Point f(Point **set, int k, int l, int r,
    int n, double t, double s, double h) {
    if (r == 0)
        return set[k][l];
    else
        return f(set, k + 1, l + 1, r - 1, n, t, s, h)
            * alpha(k + 1, n - r + 1, t, h)

```

```

* alpha(l + 1, n - r + 1, s, h)
+ f(set, k + 1, l, r - 1, n, t, s, h)
* alpha(k + 1, n - r + 1, t, h)
* alpha(n - r + 1 - l, n - r + 1, 1 - s, h)
+ f(set, k, l + 1, r - 1, n, t, s, h)
* alpha(n - r + 1 - k, n - r + 1, 1 - t, h)
* alpha(l + 1, n - r + 1, s, h)
+ f(set, k, l, r - 1, n, t, s, h)
* alpha(n - r + 1 - k, n - r + 1, 1 - t, h)
* alpha(n - r + 1 - l, n - r + 1, 1 - s, h);
}

```

## MAPLE 15 IMPLEMENTATION

```
restart;                                # Clears the system.

with(VectorCalculus): # Used to create 3-D points.
with(plots):          # Used to animate surface.

P := [[<0, 2, -1>, <1, 2, 1>, <2, 2, -1>], # User-Defined Points
      [<0, 1, 1>, <1, 1, -1>, <2, 1, 1>],
      [<0, 0, -1>, <1, 0, 1>, <2, 0, -1>]]:

alpha :=                                # Alpha Function
proc (k, n, t, h)
    options operator, arrow; (t + (k - 1) * h) / (1 + (n - 1) * h)
end proc:

# h-de Casteljau Recursive Evaluation Algorithm
f :=
proc (k, l, r, n, t, s, h)
    if r = 1 then
        return P[k, l]
    else
        return f(k + 1, l + 1, r - 1, n, t, s, h)
            * alpha(k, n - r + 1, t, h)
            * alpha(l, n - r + 1, s, h)
            + f(k + 1, l, r - 1, n, t, s, h)
            * alpha(k, n - r + 1, t, h)
            * alpha(n - r + 2 - l, n - r + 1, 1 - s, h)
            + f(k, l + 1, r - 1, n, t, s, h)
            * alpha(n - r - k + 2, n - r + 1, 1 - t, h)
            * alpha(l, n - r + 1, s, h)
            + f(k, l, r - 1, n, t, s, h)
            * alpha(n - r - k + 2, n - r + 1, 1 - t, h)
            * alpha(n - r + 2 - l, n - r + 1, 1 - s, h)
    end if
end proc:

g := simplify(f(1, 1, 3, 3, t, s, h)); # Evaluate the surface.

# Plot and animate the surface.
animate(plot3d, [g, t = 0 .. 1, s = 0 .. 1], h = 0 .. 1, axes = boxed);
```

## LIST OF REFERENCES

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