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No. 19-1019

IN THE UNITED STATES COURT OF APPEALS FOR THE FOURTH CIRCUIT

JOYCE McKIVER, et al.,

Plaintiffs-Appellees,

v.

MURPHY-BROWN, LLC, d/b/a Smithfield Hog Production Division,

Defendant-Appellant.

ON APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF NORTH CAROLINA, NO. 7:14-CV-180-BR, HON. W. EARL BRITT, U.S. DISTRICT JUDGE

UNOPPOSED BRIEF OF AMICI CURIAE DR. LAWRENCE B. CAHOON, ELIZABETH CHRISTENSON, DR. BRETT DOHERTY, MIKE DOLAN FLISS, DR. JILL JOHNSTON, BOB MARTIN, DR. SARAH RHODES, DR. ANA MARÍA RULE, DR. SACOBY WILSON & DR. COURTNEY WOODS IN SUPPORT OF PLAINTIFFS-APPELLEES

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STATEMENT OF INTEREST¹

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Amici are scientists and public health practitioners with substantial expertise in environmental science, epidemiology, and community health. They include leading experts on concentrated animal feeding operations ("CAFOs") in North Carolina. Amici have a strong interest in assuring that the Court considers the substantial body of scientific and public health literature documenting the risks of living in close proximity to CAFOs.²

Amicus Dr. Lawrence B. Cahoon, Ph.D., is a Professor of Biology and Marine Biology at the University of North Carolina, Wilmington. Dr. Cahoon studies ocean and freshwater ecosystems, with particular emphasis on water quality analysis and remediation. He has published numerous articles connecting CAFOs to excessive nutrient enrichment in waterbodies.

Amicus Elizabeth Christenson, M.S., is a Ph.D. candidate in Environmental Sciences and Engineering at the University of North Carolina, Chapel Hill. Ms. Christenson's master's thesis assessed nutrient management practices at North Carolina swine CAFOs. Her doctoral research examines the

¹ All parties have consented to the filing of this brief. No party's counsel authored any part of this brief. Neither did any party, party's counsel, or any person other than *amici* and their counsel contribute money intended to fund the preparation or

submission of this brief.

² An annotated bibliography summarizing much of this evidence is attached as Exhibit 1.

connection between swine CAFOs and microbial water quality in North Carolina surface waters, including concentrations of *E. coli*, antibiotic-resistant bacteria, and pig feces-specific bacteria.

Amicus Dr. Brett Doherty, Ph.D., M.S.P.H., is a Research Associate in the Department of Epidemiology at Dartmouth College's Geisel School of Medicine. Through his research, Dr. Doherty explores the health effects of a variety of anthropogenic environmental pollutants. He became familiar with health problems associated with environmental pollutants from CAFOs while studying with Dr. Steve Wing, a leading activist for environmental justice and advocate for human rights long employed as an Associate Professor of Epidemiology at the University of North Carolina, Chapel Hill.³

Amicus Mike Dolan Fliss, M.P.S., M.S.W., is a Ph.D. candidate in Epidemiology at the University of North Carolina, Chapel Hill. His current work explores the influences of social and environmental circumstances on health, including the risk of flooding at CAFOs located in close proximity to communities. As a student of Dr. Steve Wing, Mr. Fliss studied the public health consequences

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³ Steve Wing, Beloved Teacher and Committed Activist, Dies at 64, Gillings Sch. News (Nov. 10, 2016), https://sph.unc.edu/sph-news/steve-wing-beloved-teacher-and-committed-activist-dies-at-64/.

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of swine CAFOs in North Carolina and assisted Dr. Wing in responding to threatened litigation from the pork industry.

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Amicus Dr. Jill Johnston, Ph.D., is an Assistant Professor of Preventive Medicine at the University of Southern California's Keck School of Medicine. Dr. Johnston's research focuses on addressing unequal exposures to harmful contaminants that affect the health of communities of color and the working poor. Together with Dr. Steve Wing, Dr. Johnston demonstrated that swine CAFOs in North Carolina disproportionately harm communities of color.

Amicus Bob Martin is the Director of the Food System Policy Program at the Johns Hopkins Center for a Livable Future, which works toward the development of a healthy, equitable, and resilient food system. Mr. Martin previously served as a senior officer at the Pew Charitable Trust's Environment Group and Executive Director of the Pew Commission on Industrial Farm Animal Production. In the latter role, Mr. Martin oversaw publication of the Commission's seminal report Putting Meat on the Table: Industrial Farm Production in America, which summarized scientific literature concerning the negative effects of CAFOs on public health and the environment.

Amicus Dr. Sarah Rhodes, Ph.D., is a Research Affiliate in the Department of Environmental Science and Engineering at the University of North Carolina,

Chapel Hill. Her doctoral research examined the connections between swine CAFOs and the occurrence of antibiotic-resistant *Staphylococcus aureus* in hogs, swine CAFO workers, community residents, and the environment in North Carolina. Dr. Rhodes' current research interests include partnering with communities to address persistent health inequities.

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Amicus Dr. Ana María Rule, Ph.D., M.H.S., is an Assistant Professor of Environmental Health and Engineering and Director of the Exposure Assessment Lab at Johns Hopkins University. Through her research, Dr. Rule seeks to develop and evaluate novel strategies for assessing exposure to aerosols and particulate matter. Dr. Rule's field work has demonstrated that CAFOs expose neighbors and surrounding communities to aerosols that can act as vectors of antibiotic resistance.

Amicus Dr. Sacoby Wilson, Ph.D., M.S., is an Associate Professor with the University of Maryland's Department of Epidemiology and Biostatics and the Maryland Institute for Applied Environmental Health. An expert in exposure science, Dr. Wilson conducts environmental justice and community-based participatory research throughout the southeastern United States. Dr. Wilson has coauthored multiple articles pertaining to the emission of dangerous air pollutants from CAFOs.

Amicus Dr. Courtney Woods, Ph.D., M.S., is an Assistant Professor of Environmental Sciences and Engineering and MPH Program Director at the University of North Carolina, Chapel Hill. Dr. Woods's work focuses on aspects of environmental justice and community health. She has published research examining these concepts in the context of swine CAFOs in North Carolina.

INTRODUCTION

This brief is submitted by scientists and public health practitioners in diverse fields who have investigated the relationship between CAFOs and harm to human health and welfare, air and water quality, and other environmental systems. We write for the narrow purpose of bringing to the Court's attention the robust and widely available literature documenting the negative effects of industrial swine production and, particularly, of Murphy-Brown's reliance on open waste pits, also called "lagoons," as well as "sprayfields" for waste management. This literature undermines any claim that Murphy-Brown was not aware of the harms of swine CAFOs cause to neighbors, communities, and the environment in North Carolina.

As the U.S. Court of Appeals for the District of Columbia has recognized, "[a]nyone with a pet knows firsthand that raising animals means dealing with animal waste." *Waterkeepeer All. v. EPA*, 853 F.3d 527, 529 (D.C. Cir. 2017) (concluding that the U.S. Environmental Protection Agency lacked authority to

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exempt CAFOs from reporting dangerous emissions and hazardous air pollutants). Nonetheless, many people without scientific training are not aware that animal waste emits dangerous pollutants as it decomposes. *Id.*; *see also Waterkeeper All. v. EPA*, 399 F.3d 486, 494 (2d Cir. 2005) (explaining that "[a]nimal waste includes a number of potentially harmful pollutants," not limited to disease-causing bacteria and viruses, odorous and volatile compounds like hydrogen sulfide and ammonia, antibiotics, pesticides, and hormones). "While those emissions are miniscule for pet owners, they can be quite substantial for farms that have hundreds or thousands of animals." *Waterkeepeer All.*, 853 F.3d at 529.

Murphy-Brown developed the industrial model of swine production in North Carolina and powered its rapid expansion over several decades.⁴ Swine CAFOs in North Carolina now house more than 9.7 million pigs.⁵ Together, these animals produce over 9.5 billion gallons of urine and feces each year—that is, *more than* 500 times as much waste as is generated by the entire human population of Washington, D.C.⁶ Unlike cities, however, CAFOs rely on crude methods of

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⁴ See Owen J. Furuseth, Restructuring of Hog Farming in North Carolina: Explosion and Imposion, 49 Prof'l Geographer 391, 395 (1997).

⁵ See N.C. Dep't. Envtl. Quality, List of Permitted Animal Facilities (2019), https://files.nc.gov/ncdeq/List O fPermitted Animal Facilities%201-26-2018.xls?SdODtHdc46AxmsgSZ0z o0dLzRFbNgZs (recording the number and type of swine allowed at CAFOs in North Carolina).

⁶ See Envtl. Working Grp., Exposing Fields of Filth: Data and Methodology

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waste management and do not employ advanced, multi-stage procedures to disinfect waste prior to disposal. Instead, most swine CAFOs in North Carolina store urine and feces in vast uncovered pits before applying this waste to sprayfields from the backs of trucks or by spraying waste high into the air using mechanized sprinkler systems.

As described below, a longstanding and consistently growing body of widely available scientific and public health literature—including *amici*'s own work—makes clear that swine CAFOs harm the interests of neighbors and communities by, among other things, emitting odorous air pollutants, contaminating surface- and groundwater, and increasing the risk of infectious disease. In addition, published evidence shows that Murphy-Brown's allies in North Carolina's pork industry had actual knowledge of this evidence and sought to conceal it. For Murphy-Brown to deny knowledge of the harms caused by CAFOs is disingenuous, given the industry's longstanding efforts to discredit and stifle research detailing the negative effects of CAFOs.

^{(2016) &}lt;a href="https://www.ewg.org/research/exposing-fields-filth/data-and-methodology#.W5kdTaZKiUl">https://www.ewg.org/research/exposing-fields-filth/data-and-methodology#.W5kdTaZKiUl; EPA, Risk Assessment Evaluation for Concentrated Animal Feeding Operations (2004)
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U.S. Census Bureau, *QuickFacts: District of Columbia* (last accessed Sept. 12, 2018), https://www.census.gov/quickfacts/fact/table/dc/PST045217 (In 2017, the population of Washington, D.C. was 693,972 people).

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For these reasons, amici respectfully submit this brief in support of Plaintiff-Appellees.

ARGUMENT

Under North Carolina law, liability for private nuisance reflects an assessment of the interests of the defendant, balanced against the interests of the plaintiffs and the interests of the community. See Watts v. Pama Mfg. Co., 124 S.E.2d 809, 814 (N.C. 1962). Analysis of liability for nuisance should consider the decades of scientific study demonstrating that CAFOs adversely affect the interests of surrounding communities.

The scientific record is also relevant to the basis for punitive damages. Under North Carolina law, punitive damages are appropriate to punish a defendant liable for private nuisance who has engaged in "willful or wanton conduct"—that is, "the conscious and intentional disregard of and indifference to the rights and safety of others, which the defendant knows or should know is reasonably likely to result in injury, damage, or other harm." N.C. Gen. Stat. § 1D-5(7); id. § 1D-15(a)(3). Among other factors, punitive damage awards may reflect "[t]he degree of the defendant's awareness of the probable consequences of its conduct," id. § 1D-35(2)(c), and "[a]ny concealment by the defendant of the facts or consequences of its conduct." Id. § 1D-35(2)(f). Punitive damages are appropriate where, as here, defendants were—or should have been—aware of an extensive

body of widely available literature linking their conduct to serious harm to plaintiffs, surrounding communities, and the environment.

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- I. IN LIGHT OF WELL-ESTABLISHED AND WIDELY AVAILABLE SCIENTIFIC EVIDENCE, MURPHY-BROWN KNEW OR SHOULD HAVE KNOWN THAT SWINE CAFOS IN NORTH CAROLINA HARM NEIGHBORS AND SURROUNDING COMMUNITIES.
 - A. Well-Established and Widely Available Scientific Evidence Demonstrates that Odors and Associated Air Pollutants from CAFOs Harm Neighbors.

Even before CAFOs came to dominate swine production in North Carolina, scientific evidence indicated that odors and associated air pollutants from swine CAFOs threaten human health. Beginning in the 1970s, a series of studies revealed that CAFO workers experience a common pattern of health problems, including symptoms such as headaches, stuffy nose, sore throat, nagging cough, chest tightness, wheezing, and fatigue. Other early reports demonstrated that exposure to environmental odors can harm people's physical health and psychological well-being, while exposure to livestock odors in particular can

⁷ See Kendall M. Thu, Public Health Concerns for Neighbors of Large-Scale Swine Production, 8 J. Agric. Safety & Health 175, 176 (2002).

⁸ See Susan S. Schiffman et al., The Effect of Environmental Odors Emanating from Commercial Swine Operations on the Mood of Nearby Residents, 37 Brain Research Bull. 369 (1995).

induce respiratory problems and exacerbate pre-existing conditions, such as asthma.⁹

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In 1995, Dr. Susan Schiffman and colleagues connected this early research to the experience of North Carolina residents living near CAFOs, finding that CAFO neighbors regularly subjected to livestock odors experience significantly higher rates of tension, depression, anger, confusion, and fatigue, as compared with otherwise similar people who do not live near CAFOs. 10 As Dr. Schiffman explained, these negative moods are concerning not only because they reflect CAFO neighbors' diminished quality of life, but also because "mood has been found to play a role in immunity . . . and can potentially affect subsequent disease." 11 Dr. Schiffman concluded her study with a warning: unless swine CAFOs employed methods to reduce odors, they would continue to take a toll on their neighbors' emotional lives. 12

Less than two years later, Dr. Kendall Thu and colleagues determined that residents living within two miles of a 4,000-sow CAFO experience significantly elevated rates of symptoms consistent with the pattern of health problems already

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⁹ See Thu, supra note 7 at 178.

¹⁰ See Schiffman, supra note 8 at 371.

¹¹ *Id.* at 370.

¹² See id. at 372.

well-established among CAFO workers, including nausea, headaches, dizziness, runny nose, scratchy throat, burning eyes, coughing, wheezing, and shortness of breath. 13 By 1998, a group of nearly fifty scientists participating in an expert workshop convened in part by the U.S. Environmental Protection Agency agreed that "odorous emissions from animal operations . . . have an impact on physical health."¹⁴ That same year, air quality experts at a workshop organized by the Centers for Disease Control concluded that "adequate evidence currently exists to indicate airborne emissions from large-scale swine facilities constitute a public health problem."15

More recent research, much of which has been conducted near swine CAFOs in North Carolina, has consistently reinforced the conclusion that odors and air pollutants from swine CAFOs threaten neighbors' health and well-being. For instance, in 2000, Drs. Steve Wing and Susanne Wolf determined that people living near swine CAFOs in North Carolina experience a higher prevalence of health problems, including those common among CAFO workers, even when compared with rural residents living near industrial cattle operations. ¹⁶ Subsequent

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¹³ See Thu, supra note 7 at 179.

¹⁴ *Id*.

¹⁵ *Id.* at 180.

¹⁶ See Steve Wing & Susanne Wolf, Intensive Livestock Operations, Health, and Quality of Life Among Eastern North Carolina Residents, 108 Envtl. Health Persp.

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studies repeatedly confirmed that neighbors' complaints are consistent with objective scientific evidence. For example, researchers have concluded that neighbors' assessments of odor accord with measurements of CAFO-related odorous air pollutants.¹⁷ Similarly, neighbors' reports of stress and negative mood correspond to increases in CAFO-related air pollutants and increases in blood pressure, a quantifiable physical indicator of stress.¹⁸

Air pollutants from swine CAFOs pose a special threat to vulnerable individuals. In 2009, Dr. Stacy Sneeringer found that infant mortality rates increase along with local concentrations of industrial livestock facilities, including

^{233 (2000).}

¹⁷ See, e.g., Sacoby M. Wilson & Marc L. Serre, Examination of Atmospheric Ammonia Levels Near Hog CAFOs, Homes, and Schools in Eastern North Carolina, 41 Atmospheric Env't 4977 (2007) (finding that the concentration of atmospheric ammonia in North Carolina communities increases as distances to swine CAFOs decrease); Sacoby M. Wilson & Marc L. Serre, Use of Passive Samplers to Measure Atmospheric Ammonia Levels in a High-Density Industrial Hog Farm Area of Eastern North Carolina, 41 Atmospheric Env't 6074 (2007) (concluding that neighbors who live approximately 2 kilometers from swine CAFOs risk exposure to atmospheric ammonia); Steve Wing et al., Air Pollution and Odor in Communities Near Industrial Swine Operations, 116 Envtl. Health Persp.1362 (2008) (determining that CAFO neighbors' subjective assessments of odor severity accord with objective measurements of hydrogen sulfide and other CAFO-associated air pollutants).

¹⁸ See Rachel Avery Horton et al., Malodor as a Trigger of Stress and Negative Mood in Neighbors of Industrial Hog Operations, 99 Am. J. of Pub. Health Suppl. S610, S614 (2009); see also Steve Wing et al., Air Pollution from Industrial Swine Operations and Blood Pressure of Neighboring Residents, 121 Envtl. Health Persp. 92, 94 (2013).

swine CAFOs.¹⁹ Based on a review of causes of death associated with industrial livestock production, Dr. Sneeringer concluded that this increase in infant mortality can be attributed to "air-based pollution in the proximity of livestock farming operations."²⁰ Other studies have shown that air pollution related to swine CAFOs reaches rural North Carolina schools,²¹ and children attending rural schools within three miles of swine CAFOs in North Carolina suffer significantly increased rates of asthma symptoms, comparable to those associated with well-established risk factors for asthma, such as exposure to second-hand smoke.²²

In addition to confirming neighbors' reports of negative effects on health and well-being attributable to air pollutants and odors from swine CAFOs, scientific evidence shows that these air pollutants and odors significantly diminish neighbors' quality of life. For instance, children who suffer from asthma—including those whose symptoms coincide with exposure to CAFO-related air

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¹⁹ Stacy Sneeringer, *Does Animal Feeding Operation Pollution Hurt Public Health? A National Longitudinal Study of Health Externalities Identified by Geographic Shifts in Livestock Production*, 91 Am. J. Agric. Econ. 124 (2009). ²⁰ *Id.* at 125.

²¹ See Virginia T. Guidry et al., Hydrogen Sulfide Concentrations at Three Middle Schools Near Industrial Livestock Facilities, 27 J. Exposure Sci. & Envtl. Epidemiology 167 (2017).

²² See Maria C. Mirabelli et al., Asthma Symptoms Among Adolescents who Attend Public Schools that are Located Near Confined Swine Feeding Operations, 118 Pediatrics e66, e72 (2006).

pollutants and odors—miss opportunities to engage in social, recreational, and physical activities.²³ Similarly, studies show that odor from swine CAFOs prevents neighbors from participating in activities like "barbequing, . . . socializing with neighbors [and family], gardening, working outside, playing, drying laundry outside, opening doors and windows for fresh air and to conserve energy, . . . growing vegetables," and even sleeping through the night.²⁴

These effects are particularly concerning because many neighbors of CAFOs "cannot afford air conditioning, clothes dryers, membership at a gym, [or] entertaining in restaurants."²⁵ As a result, they rely "on opening their windows for ventilation, drying their clothes outside, exercising in their yards, and entertaining family and friends in and around their homes."²⁶ When odor from swine CAFOs interrupts these activities, neighbors forgo opportunities to "positively affect health, improve overall well-being, reduce stress, and strengthen social networks,"

 23 *Id.* at e71.

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²⁴ M. Tajik et al., *Impact of Odor from Industrial Hog Operations on Daily Living Activities*, 18 New Solutions 193, 201 (2008); *see also* Wing & Wolf, *supra* note 16 at 237 (finding that CAFO neighbors reported that odor from swine CAFOs prevented them from opening windows and going outside during nice weather). ²⁵ Horton et al., *supra* note 18 at S614.

²⁶ *Id*.

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thus compounding the negative effects on their health and well-being that result directly from exposure to odors and air pollution.²⁷

B. Well-Established and Widely Available Scientific Evidence Demonstrates that Swine CAFOs in North Carolina Adversely Affect the Interests of Communities.

1. CAFOs Impair Water Quality.

As early as 1979, scientists documented seepage of contaminants from CAFO waste storage pits into nearby surface- and groundwater.²⁸ In the 1990s, Drs. Rodney Huffman and Phillip Westerman found that the majority of lagoons studied in North Carolina leached moderate to significant amounts of pollutants, including fecal bacteria and nutrients, into the soil, groundwater, and superficial aquifer.²⁹ Seepage was especially severe in the state's sandy Coastal Plain.

During the 1980s and 1990s, scientists demonstrated links between swine CAFOs, degraded surface water quality, and excessive nutrient levels in waterbodies. Excessive nutrient levels, in turn, lead to toxic algal blooms and low-

²⁷ Tajik et al, *supra* note 24 at 201.

²⁸ See T.G. Ciravolo et al., *Pollutant Movement to Shallow Ground Water Tables from Anaerobic Swine Waste Lagoon*, 8 J. Envtl. Quality 126 (1979).

²⁹ See R.L. Huffman & Phillip W. Westerman, Seepage and Electromagnetic Terrain Conductivity Around New Swine Lagoons, 47 Transactions Am. Soc'y Agric. Eng'rs 1507 (1991); see also R.L. Huffman & Phillip W. Westerman, Estimated Seepage Losses from Established Swine Waste Lagoons in the Lower Coastal Plain of North Carolina, 38 Transactions Am. Soc'y Agric. Eng'rs 449 (1995).

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oxygen conditions that imperil wildlife and degrade or destroy habitat. Numerous studies show that CAFOs apply more waste to fields than crops can absorb, leading to toxic levels of nutrients in hay and excessive nitrogen and phosphorus in soils, groundwater, and surface water.³⁰ Indeed, contamination from swine CAFOs has been a chronic problem resulting in degraded water quality over the past four decades,³¹ even in streams not historically at risk of nutrient over-enrichment.³²

Elevated nutrient levels from seepage and spills from waste pits and excessive spraying can fuel harmful algal blooms³³ and contribute to the spread of *Pfiesteria* and other potentially toxic organisms.³⁴ For example, in 1997,

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³⁰ See J. C. Burns et al., Swine Lagoon Effluent Applied to 'Coastal' Bermudagrass: I. Forage Yield, Quality, and Element Removal, 14 J. Envtl. Quality 9 (1985); see also Philip Wayne Westerman et al., Swine Manure and Lagoon Effluent Applied to a Temperate Forage Mixture: II. Rainfall Runoff and Soil Chemical Properties, 16 J. Envtl. Quality 106 (1987).

³¹ See Michael A. Mallin et al., Industrial Swine and Poultry Production Causes Chronic Nutrient and Fecal Microbial Stream Pollution, 226 Water, Air, Soil & Pollution 407 (2015); see also Christopher D. Heaney et al., Source Tracking Swine Fecal Waste in Surface Water Proximal to Swine Concentrated Animal Feeding Operations, 511 Sci. Total Env't 676 (2015).

³² See Michael A. Mallin et al., Water Res. Research Inst., Univ. of N.C., Effect of Organic and Inorganic Nutrient Loading on Photosynthetic and Heterotrophic Plankton Communities in Blackwater Rivers (1998).

³³ See Michael A. Mallin, *Impacts of Industrial-Scale Swine and Poultry Production on Rivers and Estuaries*, 88 Am. Scientist 26 (2000).

³⁴ See JoAnn M. Burkholder & Howard B. Glasgow, *History of Toxic Pfiesteria in North Carolina Estuaries from 1991 to the Present*, 51 Bioscience 827, 833 (2001).

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> Dr. JoAnn Burkholder and colleagues found extremely high levels of potentially toxic microbes, including toxic *Pfiesteria*, in an estuary about twenty miles downstream from a CAFO waste pit.³⁵ Dr. Burkholder and other researchers also documented abundant potentially toxic cyanobacteria in surface waters and wetlands contaminated by waste pit spills.³⁶

In addition to impairing surface- and groundwater quality, swine waste from CAFOs poses a significant threat to well water and human health.³⁷ Data indicate

³⁵ See JoAnn M. Burkholder et al., Impacts to a Coastal River and Estuary from Rupture of a Large Swine Waste Holding Lagoon, 26 J. Envtl. Quality 1451 (1997).

³⁶ See id., see also Matthew S. Schwarz et al., U.S. Fish & Wildlife Serv., Environmental Contaminants Associated with a Swine Concentrated Animal Feeding Operation and Implications for McMurtrey National Wildlife Refuge (2004); see also Giorgos Markou & Dimitris Georgakakis, Cultivation of Filamentous Cyanobacteria (Blue-Green Algae) in Agro-Industrial Wastes and Wastewaters: A Review, 88 Applied Energy 3389 (2011) (Swine wastes are rich in nutrients that fuel cyanobacteria growth.).

³⁷ See JoAnn Burkholder et al., Impacts of Waste from Concentrated Animal Feeding Operations on Water Quality, 115 Envtl. Health Persp. 308 (2007); see also Mallin, supra note 33; Wendee Nicole, CAFOs and Environmental Justice: The Case of North Carolina, 121 Envtl. Health Persp. A182, A186 (2013) (Contaminants from CAFOs include chloride, NH₄+N, NO₃-N, phosphorus, fecal coliform, and antibiotic-resistant bacteria.); I.G. Krapac et al., Impacts of Swine Manure Pits on Groundwater Quality, 120 Envtl. Pollution 475 (2002); Amy R. Sapkota et al., Antibiotic-Resistant Enterococci and Fecal Indicators in Surface Water and Groundwater Impacted by a Concentrated Swine Feeding Operation, 115 Envtl. Health Persp. 1040 (2007); R.L. Huffman, Seepage Evaluation of Older Swine Lagoons in North Carolina, 47 Transactions Am. Soc'y Agric. Eng'rs 1507 (2004); Kenneth C. Stone et al., Impact of Swine Waste Application on Ground and Stream Water Quality in an Eastern Coastal Plain Watershed, 41 Transactions

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that many of North Carolina's swine waste pits cause nitrate pollution to infiltrate nearby wells at levels that exceed the drinking water standard, 38 posing an elevated health risk to infants, pregnant women, children, the elderly, and others with weakened immunological states living close to hog farms.³⁹ Even much lower concentrations of nitrate pollution can decrease immune response and cause disease and death of beneficial aquatic life. 40

Extreme weather events exacerbate the risk of significant water contamination from CAFOs.⁴¹ Over the past two decades, hurricanes in North Carolina have caused severe flooding of swine CAFOs, leading to waste pit failure and the overflow of waste into creeks, rivers, and streams.⁴² These events have

Am. Soc'y Agric. Eng'rs 1665 (1998).

³⁸ See Huffman, supra note 37.

³⁹ See Burkholder et al., supra note 37.

⁴⁰ See Julio A. Camargo et al., Nitrate Toxicity to Aquatic Animals: A review with New Data for Freshwater Invertebrates, 58 Chemosphere 1255 (2005); see also Julio A. Camargo & Álvaro Alonso, Ecological and Toxicological Effects of Inorganic Nitrogen Pollution in Aquatic Ecosystems: A Global Assessment, 32 Envtl. Int'l 831 (2006); see also Louis J. Guillette, Jr. & Thea M. Edwards, Is Nitrate an Ecologically Relevant Endocrine Disruptor in Vertebrates?, 45 Integrative & Comp. Biology 19 (2005).

⁴¹ See Huffman, supra note 37.

⁴² See JoAnn M. Burkholder et al., supra note 35; see also R.L. Huffman & Phillip W. Westerman, supra note 29.

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disproportionately harmed communities of color, low-income communities, and

communities that depend on wells for drinking water.⁴³

The scientific literature makes clear that swine CAFOs threaten North Carolina's waterways, 44 and these threats continue today. A 2015 study, for example, confirmed that watersheds affected by CAFOs in North Carolina have significantly higher concentrations of ammonium, nitrate, and total nitrogen in surface water than those without CAFOs. 45 As recently as 2018, researchers found seasonal increases in surface water contaminants and nutrients tied to seasonal applications of waste. 46 By multiple means—including insufficient crop absorption on sprayfields and waste pit seepage and spills, for example—methods of waste management at CAFOs fail to protect nearby and downstream water quality, directly harming communities.

⁴³ See Steve Wing et al., The Potential Impact of Flooding on Confined Animal Feeding Operations in Eastern North Carolina, 110 Envtl. Health Persp. 387 (2002).

⁴⁴ See F. Liu et al., Phosphorus Recovery in Surface Runoff from Swine Lagoon Effluent by Overland Flow, 26 J. Envtl. Quality 995 (1997) (nutrient loading at rates associated with accelerated nutrient over-enrichment); see also Mallin et al., supra note 32 (potential nutrient over-enrichment in blackwater stream systems). ⁴⁵ See Stephen L. Harden, Surface-Water Quality in Agricultural Watersheds of the

North Carolina Coastal Plain Associated with Concentrated Animal Feeding Operations (2015).

⁴⁶ See Michael A. Mallin & Matthew R. McIver, Season Matters When Sampling Streams for Swine CAFO Waste Pollution Impacts, 16 J. Water & Health 78 (2018).

2. CAFOs are Associated with Other Harms to the Interest of **Communities, Including Increased Exposure to Pathogens** and Antibiotic Resistance.

In addition to degrading water quality, CAFOs adversely affect other community interests—reducing property values, ⁴⁷ for example, and increasing exposure to antibiotic-resistant, potentially pathogenic microorganisms. CAFOs can put surrounding communities at risk of infectious disease by releasing harmful pathogens into the air and water.⁴⁸ A growing body of scientific evidence connects swine CAFOs to the spread of antibiotic-resistant bacteria.⁴⁹ As early as 1956,

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⁴⁷ See Raymond B. Palmquist et al., Hog Operations, Environmental Effects, and Residential Property Values, 73 Land Econ. 114 (1997); see also Joseph A. Herriges et al., Living with Hogs in Iowa: The Impact of Livestock Facilities on Rural Residential Property Values, 81 Land Econ. 530 (2005) (finding statistically significant relationships between proximity to hog CAFOs and lower property values and finding worse effects on property value for residences downwind of operations).

⁴⁸ See, e.g., Dana Cole et al., Concentrated Swine Feeding Operations and Public Health: A Review of Occupational and Community Health Effects, 108 Envtl. Health Persp. 685 (2000) (environmental dispersion of pathogens by air and water); see also Michael Greger & Gowri Koneswaran, The Public Health Impacts of Concentrated Animal Feeding Operations on Local Communities, 33 Family & Community Health 11, 13 (2010) (links between overflowing lagoons, runoff from waste application to fields, and the spread of pathogens in the environment); Jennifer Gentry-Shields et al., Hepatitis E Virus and Coliphages in Waters Proximal to Swine Concentrated Animal Feeding Operations, 505 Sci. Total Env't 487 (2015) (risks of dissemination of Hepatitis E virus).

⁴⁹ See, e.g., Engeline van Duijkeren et al., Transmission of Methicillin-Resistant Staphylococcus Aureus Strains Between Different Kinds of Pig Farms, 126 Veterinary Microbiology 383 (2008); Tushar Khanna et al., Methicillin Resistant Staphylococcus Aureus Colonization in Pigs and Pig Farmers, 128 Veterinary

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scientists learned that pigs harbor strains of bacteria resistant to common antibiotics.⁵⁰ Subsequent studies have found an association between the use of antimicrobials in swine CAFOs and detection of drug-resistant bacteria.⁵¹ These bacteria and resistance genes can travel downwind of CAFOs and enter groundwater near swine facilities and sprayfields.⁵² Since 2006, multiple studies have linked swine CAFOs to the spread of Methicillin-Resistant *Staphylococcus*

Microbiology 298 (2008); Amy Chapin et al., *Airborne Multidrug-Resistant Bacteria Isolated from a Concentrated Swine Feeding Operation*, 113 Envtl. Health Persp. 137 (2005) (multi-drug resistant bacteria at levels dangerous to human health in the air within a swine CAFO).

⁵⁰ See H. Williams Smith, Antibiotic-Resistant Escherichia Coli in Market Pigs in 1956-1979: The Emergence of Organisms With Plasmid-borne Trimethoprim Resistance, 84 J. Hygiene 467 (1980).

⁵¹ See, e.g., van Duijkeren et al., supra note 49 (using antimicrobials is a risk factor for MRSA-positive pigs on a farm); Cole et al., supra note 48 at 692 (review of studies on how antimicrobial resistance increases "with increasing antimicrobial use on farms").

⁵² See Shawn G. Gibbs et al., Isolation of Antibiotic-Resistant Bacteria from the Air Plume Downwind of a Swine Confined or Concentrated Animal Feeding Operation, 114 Envtl. Health Persp. 1,032, 1,036 (2006); see also Joanne C. Chee-Sanford, Occurrence and Diversity of Tetracycline Resistance Genes in Lagoons and Groundwater Underlying Two Swine Production Facilities, 67 Applied Envtl. Microbiology 1494 (2001); see also M.E. Anderson & M.D. Sobsey, Detection and Occurrence of Antimicrobially Resistant E. coli in Groundwater on or near Swine Farms in Eastern North Carolina, 54 Water Sci. & Tech. 211, 217 (2006); see also Sapkota, supra note 37.

aureus ("MRSA"),⁵³ a major antibiotic-resistant threat in the United States.⁵⁴ MRSA originating in swine CAFOs have been able to colonize and infect humans, including people who have not direct contact with livestock.⁵⁵ Additionally, according to a 2013 study, residential "proximity to swine manure application to crop fields and livestock operations each was associated with MRSA and skin and tissue infection."56

II. BY ATTEMPTING TO INTIMIDATE RESEARCHERS AND STUDY PARTICIPANTS, MURPHY-BROWN AND ALLIES SOUGHT TO CONCEAL THE HARM THAT CAFOS CAUSE TO NEIGHBORS AND COMMUNITIES.

As explained above, a considerable body of scientific evidence demonstrates that Murphy-Brown should have known that CAFOs harm neighbors and communities. Additional evidence demonstrates that Murphy-Brown and its allies

⁵³ See Gibbs et al., supra note 52; see also van Duijkeren et al., supra note 49; see also Noah Rosenblatt-Farrell, The Landscape of Antibiotic Resistance, 117 Envtl. Health Persp. A244 (2009).

⁵⁴ See CDC Office of Infectious Diseases, Antibiotic Resistance Threats in the United States 77 (2013), https://www.cdc.gov/drugresistance/threat-report-2013/pdf/ar-threats-2013-508.pdf (MRSA infected over 80,000 people and killed 11,285 in 2011).

⁵⁵ See Jesper Larsen et al., Methicillin-Resistant Staphylococcus Aureus CC398 is an Increasing Cause of Disease in People With no Livestock Contact in Denmark, 1999 to 2011, 20 Euro Surveillance (2015).

⁵⁶ Joan A. Casey et al., *High-Density Livestock Operations, Crop Field Application* of Manure, and Risk of Community-Associated Methicillin-Resistant Staphylococcus Aureus Infection in Pennsylvania, 173 J. Am. Med. Ass'n: Internal Med. 1980 (2013).

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in North Carolina's pork industry had *actual knowledge* of studies documenting harm from CAFOs, and that they sought to conceal that harm by attempting to intimidate scientists and derail future research. Given the industry's economic and political power, these attempts at concealment have met with some success.

Pork industry representatives have sought to intimidate scientists directly by publicly impugning their motives, threatening aggressive legal action, and attempting to undermine employment and research funding. For example, in response to a study by Dr. Steve Wing demonstrating that CAFOs are disproportionately located in low-income communities and communities of color, the North Carolina Pork Council issued a press release levelling several *ad hominem* attacks. These "sweeping and sometimes vitriolic claims," presented without meaningful explanation or evidence, constitute textbook "intentional efforts to manufacture doubt," a tactic of confronting unfavorable research long employed by the tobacco industry.⁵⁷ The Pork Council also contacted Dr. Wing's employer and federal funder, actions Dr. Wing understood to be efforts at "harassment and intimidation."⁵⁸

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⁵⁷ S. Holly Stocking & Lisa W. Holstein, *Manufacturing Doubt: Journalists' Roles and the Construction of Ignorance in a Scientific Controversy*, 18 Pub. Understanding Sci. 23 (2009).

⁵⁸ See Wing et al., supra note 43.

Dr. JoAnn Burkholder experienced similar harassment after discovering a toxic organism linked to water pollution from CAFOs. According to Dr. Burkholder, on the day her research was released, her employer—North Carolina State University—received over "160 messages sent in by various representatives of the concentrated swine industry demanding that [she] be fired."59 Dr. Burkholder also received multiple death threats. 60 She has expressed concern that "the backlash that resulted from her research on swine pollution has damaged her reputation and hurt her ability to receive grants."61

Pork industry representatives have also exercised influence behind-thescenes, to deter CAFO neighbors from participating in public health studies and otherwise derail scientific research. In 2002, Dr. Wing reported that "[i]n some areas, community members have been fearful of participating in the research because of the influence of the hog industry in local affairs."⁶² Several *amici* confirm that the fear of retaliation continues to deter some CAFO neighbors and

⁵⁹ Alicia Allen, ISU Graduate Claims Backlash Hurt Career, Iowa State Daily (Dec. 4, 2002), http://www.iowastatedaily.com/news/isu-graduate-claimsbacklash-hurt-career/article_00fd4c47-7e9b-5b6f-b86c-52c88a99afcd.html

⁶⁰ Perry Beeman, Ag Scientists Feel the Heat, Inst. Agric. & Trade Pol. (Feb. 2, 2003), https://www.iatp.org/news/ag-scientists-feel-the-heat.

⁶¹ Allen, *supra* note 62.

⁶² See Wing et al., supra note 43 at 443.

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workers from participating in public health studies.⁶³ Indeed, the North Carolina Pork Council has fueled these fears—for example, by demanding that researchers disclose the identities of study participants.⁶⁴

According to published reports and *amici*'s experience, the pork industry's efforts to intimidate scientists and potential study participants have met with some success. 65 Scientists who might otherwise study the effects of CAFOs on public health have chosen to pursue other research interests, in part to avoid the character assassination and career impediments that Drs. Wing, Burkholder, and others have suffered. 66 In the words of one CAFO worker, who left her job after intimidation

⁶³ Residents have raised fears of industry retaliation affecting not only their participation in research but also the exercise of their civil rights. See Letter from Lilian Dorka, EPA to William G. Ross, Jr., N.C. Dept. of Envtl. Quality (2017), https://www.epa.gov/sites/production/files/2018-

^{05/}documents/letter of concern to william g ross nc deq re admin complaint 11r-14-r4 .pdf (expressing "grave concerns" about reports of "a potential hostile and intimidating environment" for members of the community seeking to provide information about the industry).

⁶⁴ See Wing et al., supra note 43 at 441, 443.

⁶⁵ See, e.g., Stocking & Holstein, supra note 59, at 36 (concluding, with respect to the North Carolina pork industry's attacks on Dr. Wing, that claiming ignorance about scientific evidence "became a viable tool for [this] economically powerful but threatened industry . . . even though a respected scientist at a high-status institution, someone who had won state and federal funding for his work, produced the science").

⁶⁶ See Wing et al., supra note 43 at 440, 442.

from Murphy Farms: "It's a mind game. The pork industry has got people scared thinking that they're so big and strong that we can't do without them." 67

CONCLUSION

For the foregoing reasons, *Amici* respectfully submit this brief in support of the Plaintiffs-Appellees.

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⁶⁷ See id. at 441.

CERTIFICATE OF COMPLIANCE

This brief complies with the type-volume limitations of Fed. R. App. P. 29(a)(5) because it contains 5,749 words, as determined by Microsoft Word 2016, excluding the parts of the brief exempted by Fed. R. App. P. 32(f).

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CERTIFICATE OF SERVICE

I hereby certify that on May 6th, 2019, I electronically filed the foregoing Brief of Amici Curiae in Support of Plaintiffs-Appellees using the Court's CM/ECF system, which will serve notice of the filing to all registered users.

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Exhibit 1

ANNOTATED BIBLIOGRAPHY¹

Peer Reviewed Literature

1970s

T.G. Ciravolo et al., *Pollutant Movement to Shallow Ground Water Tables from Anaerobic Swine Waste Lagoon*, 8 J. Envtl. Quality 126 (1979). All lagoons tested seeped fecal coliforms, nutrients, and ion contaminants into the surrounding groundwater.

1980s

- J. C. Burns et al., Swine Lagoon Effluent Applied to 'Coastal' Bermudagrass: I. Forage Yield, Quality, and Element Removal, 14 J. Envtl. Quality 9 (1985). Medium to high application rates of swine lagoon effluent to bermudagrass can increase the concentration of nitrates in the forage to levels nearing concentrations unsafe for ruminants and result in levels of nitrogen and phosphorus four and ten times higher, respectively, than normally recommended for fertilizer applications, leading to environmental impacts on soil, groundwater, and surface runoff.
- H. Williams Smith, *Antibiotic-Resistant Escherichia Coli in Market Pigs in 1956-1979: The Emergence of Organisms with Plasmid-Borne Trimethoprim Resistance*, 84 J. Hygiene 467 (1980). Pigs can harbor strains of bacteria resistant to common antibiotics.
- Philip Wayne Westerman et al., *Swine Manure and Lagoon Effluent Applied to a Temperate Forage Mixture: II. Rainfall Runoff and Soil Chemical Properties*, 16 J. Envtl. Quality 106 (1987). Swine lagoon effluent and swine manure slurry can supply excess nitrogen to crops like tall fescue, resulting in surface and groundwater pollution hazards, especially when rainfall occurs soon after application.

1990s

JoAnn M. Burkholder et al., *Impacts to a Coastal River and Estuary from Rupture of a Large Swine Waste Holding Lagoon*, 26 J. Envtl.

¹ Asterisk indicates studies authored or co-authored by one or more of *amici*.

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- Quality 1451 (1997). Hurricanes in eastern North Carolina have led to severe flooding of industrial swine facilities, the rupture of lagoons, and the overflow of waste into North Carolina's creeks, rivers, and streams.
- Lawrence B. Cahoon et al., Nitrogen and Phosphorus Imports to the Cape Fear and Neuse River Basins to Support Intensive Livestock Production, 33 Envtl. Sci. & Tech. 410 (1999). The quantities of "new" nitrogen and phosphorus added to watersheds due to industrial livestock operations in North Carolina's Cape Fear and Neuse River basins were more than an order of magnitude greater than the annual loads of these nutrients in each river during the 1990s, posing significant threats of nutrient over-enrichment.
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 - R.L. Huffman & Phillip W. Westerman, Estimated Seepage Losses from Established Swine Waste Lagoons in the Lower Coastal Plain of North Carolina, 38 Transactions Am. Soc'y Agric. Eng'rs 449 (1995). Of 11 lagoons studied, 54% demonstrated moderate or severe seepage into the superficial aquifer.
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- Julia R. Barrett, Hogging the Air: CAFO Emissions Reach into Schools, 114 Envtl. Health Persp. A241 (2006). Children living closer to CAFOs had higher risks of asthma symptoms.
- Susan Bullers, Environmental Stressors, Perceived Control, and Health: The Case of Residents Near Large-Scale Hog Farms in Eastern North Carolina, 33 Human Ecology 1 (2005). Residents

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- living near industrial hog farms experienced increased psychological distress, nausea, and respiratory and sinus problems.
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