

# COMS 4030A

## Adaptive Computation and Machine Learning

### EXERCISES:

- (1) Suppose a neural network has five output nodes  $n_1, \dots, n_5$ .

For each  $i$ , compute  $\text{softmax}(n_i)$  if the  $z$  values at the output nodes are:

- (a)  $z_{n_1} = 3, z_{n_2} = 5, z_{n_3} = 0.5, z_{n_4} = -2$  and  $z_{n_5} = 1.7$ ;
- (b)  $z_{n_1} = -2, z_{n_2} = -5, z_{n_3} = -0.5, z_{n_4} = 0.1$  and  $z_{n_5} = -1.5$ ;
- (c)  $z_{n_1} = 0, z_{n_2} = 0.2, z_{n_3} = -0.1, z_{n_4} = 0.1$  and  $z_{n_5} = -0.7$ .

**Solutions:**

- (a) 0.114, 0.844, 0.009, 0.001, 0.031
- (b) 0.065, 0.003, 0.292, 0.532, 0.107
- (c) 0.212, 0.258, 0.191, 0.234, 0.105

Note that rounding errors mean that the numbers may not add up to 1 exactly.

- (2) Compute  $L_{CE}(\mathbf{t}, \mathbf{y})$  for the following probability distributions:

- (i)  $\mathbf{y} = (0.5, 0.3, 0.2)$  and  $\mathbf{t} = (1, 0, 0)$ ;
- (ii)  $\mathbf{y} = (0.5, 0.3, 0.2)$  and  $\mathbf{t} = (0, 1, 0)$ ;
- (iii)  $\mathbf{y} = (0.5, 0.3, 0.2)$  and  $\mathbf{t} = (0, 0, 1)$ ;
- (iv)  $\mathbf{y} = (0.1, 0.2, 0.7)$  and  $\mathbf{t} = (0.3, 0.3, 0.4)$ ;
- (v)  $\mathbf{y} = (0.1, 0.2, 0.7)$  and  $\mathbf{t} = (0.2, 0.2, 0.6)$ .

**Solutions:**

- (i) 0.693
- (ii) 1.204
- (iii) 1.609
- (iv) 1.316
- (v) 0.996

## EXERCISES

- (1) Rewrite the pseudocode for NEURAL NETWORK TRAINING ALGORITHM (with three layers) in such a way that the cross-entropy loss function is used.

At the hidden layer, you can use the  $\sigma$  activation function.

**Solution:**

The only change is the line:

**for** each output node  $n$ , where  $a_n$  is the output value, compute  $\delta_n = a_n - t_n$

- (2) Try the first exercise again, but use *relu* at the hidden layer; then again with *tanh*.

**Solution:** For *relu*, the only changes are in the lines:

**for** each output node  $n$ , where  $a_n$  is the output value, compute  $\delta_n = a_n - t_n$

**for** every node  $m$  in the hidden layer, where  $a_m$  is the activation value,

compute  $\delta_m = \sum_n \delta_n \underline{w}_{mn}$  if  $a_m > 0$  and  $\delta_m = 0$  if  $a_m = 0$ ,

where  $n$  ranges over all output nodes

For *tanh*, the only changes are in the lines:

**for** each output node  $n$ , where  $a_n$  is the output value, compute  $\delta_n = a_n - t_n$

**for** every node  $m$  in the hidden layer, where  $a_m$  is the activation value,

compute  $\delta_m = (\sum_n \delta_n \underline{w}_{mn}) (1 - a_m^2)$ ,

where  $n$  ranges over all output nodes

- (3) Consider a network with 2 input nodes, one hidden layer with 2 nodes, and 2 output nodes. The weights and the bias values are given by  $W_1$ ,  $W_2$ ,  $\mathbf{b}_1$  and  $\mathbf{b}_2$ :

$$W_1 = \begin{bmatrix} -2 & -1 \\ 3 & 0 \end{bmatrix} \quad W_2 = \begin{bmatrix} 2 & 3 \\ -1 & -2 \end{bmatrix} \quad \mathbf{b}_1 = (0.5, 1.5) \quad \mathbf{b}_2 = (2, -1).$$

The activation function in the hidden layer is sigmoid (or *relu*, or *tanh*).

The output layer uses *softmax* and the targets are one-hot encoded.

Using cross-entropy loss with input  $\mathbf{x} = (-1, 1)$  and target  $\mathbf{t} = (1, 0)$ , do the following:

- First feed the input into the network to get the output and compute the loss.
- Perform one iteration of backpropagation training with  $\eta = 0.1$ .
- Feed the input into the network again and see if the loss has decreased.

**Solution:**

(Please check - and note that rounding errors may give slightly different answers.)

(a) using  $\sigma$  in hidden layer, output is  $(0.949, 0.051)$  and  $L_{CE} = 0.05216$

using  $relu$  in hidden layer, output is  $(0.5, 0.5)$  and  $L_{CE} = 0.69315$

using  $tanh$  in hidden layer, output is  $(0.952, 0.048)$  and  $L_{CE} = 0.04919$

(b) using  $\sigma$  in hidden layer, the updated weights are:

$$W_1 = \begin{bmatrix} -2.032 & -1.001 \\ 3.032 & 0.001 \end{bmatrix} \quad W_2 = \begin{bmatrix} 2.016 & 2.984 \\ -0.999 & -2.001 \end{bmatrix}$$

$$\mathbf{b}_1 = (0.532, 1.501) \quad \mathbf{b}_2 = (2.005, -1.005).$$

using  $relu$  in hidden layer, the updated weights are:

$$W_1 = \begin{bmatrix} -1.95 & -1.05 \\ 2.95 & 0.05 \end{bmatrix} \quad W_2 = \begin{bmatrix} 2.0275 & 2.725 \\ -0.875 & -2.125 \end{bmatrix}$$

$$\mathbf{b}_1 = (0.45, 1.55) \quad \mathbf{b}_2 = (2.05, -1.05).$$

using  $tanh$  in hidden layer, the updated weights are:

$$W_1 = \begin{bmatrix} -2 & -1.0001 \\ 3 & 0.0001 \end{bmatrix} \quad W_2 = \begin{bmatrix} 2.005 & 2.995 \\ -0.995 & -2.005 \end{bmatrix}$$

$$\mathbf{b}_1 = (0.5, 1.5001) \quad \mathbf{b}_2 = (2.005, -1.005).$$

(c) using  $\sigma$  in hidden layer, the new output is  $(0.951, 0.049)$  and  $L_{CE} = 0.05024$

using  $relu$  in hidden layer, the new output is  $(0.936, 0.064)$  and  $L_{CE} = 0.06614$

using  $tanh$  in hidden layer, the new output is  $(0.953, 0.047)$  and  $L_{CE} = 0.04779$

**EXERCISES**

(1) Suppose you have the following dataset with only 5 data points:

$$\begin{bmatrix} 6 & -24 & 125,000 & A & -0.001 & T \\ 9 & -31 & 175,000 & C & 0.0023 & T \\ 3 & -7 & 95,000 & A & -0.004 & F \\ 11 & -17 & 300,000 & B & 0.0045 & F \\ 4 & -11 & 250,000 & B & 0.003 & T \end{bmatrix} \quad \begin{bmatrix} X \\ Y \\ X \\ Z \\ Y \end{bmatrix}$$

Do the preprocessing of the data for both the inputs and the targets (using one-hot encoding). For the input values, try both methods of normalisation described above.

**Solution:**

using max-min normalisation:

$$\begin{bmatrix} 0.375 & 0.292 & 0.146 & 1 & 0 & 0 & 0.353 & 1 & 0 \\ 0.75 & 0 & 0.390 & 0 & 0 & 1 & 0.741 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0.583 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0.125 & 0.833 & 0.756 & 0 & 1 & 0 & 0.826 & 1 & 0 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

using mean-standard deviation normalisation:

$$\begin{bmatrix} -0.200 & -0.692 & -0.834 & 1 & 0 & 0 & -0.640 & 1 & 0 \\ 0.798 & -1.500 & -0.183 & 0 & 0 & 1 & 0.437 & 1 & 0 \\ -1.197 & 1.268 & -1.231 & 1 & 0 & 0 & -1.619 & 0 & 1 \\ 1.463 & 0.115 & 1.453 & 0 & 1 & 0 & 1.155 & 0 & 1 \\ -0.865 & 0.807 & 0.799 & 0 & 1 & 0 & 0.666 & 1 & 0 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$