

# Module 3: The Second Law as a Theorem

Validating **G1** (Monotonicity of Causal Entropy)

G1S — Module Contract Fulfillment (V.tex Compliant)

October 13, 2025

## 1 Input Node: Module Contract and Formal Dependencies

The core argument relies on the definitions and lemmas established in **V.tex** (Verification Tensor: Proof-Enforcing Contract for G1S). Specifically, the concepts of **Causal Refinement** (V.tex Def. 1) and **Observable Compatibility** (V.tex Def. 2) are assumed and cited as the necessary preconditions for this proof.

## 2 Entropy as the Count of Distinctions

To bridge the conservation of coherence to the monotonic growth of time, we formally define **\*\*Entropy\*\*** (S) as a direct, combinatorial measure of order based on observable equivalence classes,  $\Omega(\mathcal{C}) = \mathcal{C}/\sim$ .

**Definition 1** (Entropy: S Functional). Let  $\mathcal{C}$  be a causal set. The *entropy* associated with  $\mathcal{C}$  is the logarithm of the cardinality of its equivalence classes

$\Omega(\mathcal{C})$ , determined by an observable map  $\pi$ :

$$S_\pi(\mathcal{C}) = k_B \ln |\Omega(\mathcal{C})|.$$

### 3 Theorem: The Monotonicity of Causal Entropy ( $\Delta S \geq 0$ )

The proof demonstrates the existence of an injective map between the classes of the old and new causal sets, guaranteeing non-decreasing size.

**Theorem 1** (Second Law of Causal Order: **G1**). *For any **Martin-consistent Causal Refinement**  $\phi : \mathcal{C} \rightarrow \mathcal{C}'$  with **Compatible** observables  $\pi, \pi'$ , the change in entropy is non-negative:*

$$\Delta S \equiv S_{\pi'}(\mathcal{C}') - S_\pi(\mathcal{C}) \geq 0.$$

*Reference Proof (V.tex Theorem 2).* The proof proceeds by demonstrating the injective nature of the class-mapping under the defined constraints (V.tex Defs. 1 & 2).

**Step 1: The Injection Witness  $\iota$ .** Define the map between equivalence classes:

$$\iota : \Omega(\mathcal{C}) \rightarrow \Omega(\mathcal{C}'), \quad \iota([x]) := [\phi(x)]$$

This map is guaranteed to be well-defined and injective by **V.tex Lemma 1** due to the explicit requirements of Causal Refinement and Observable Compatibility.

**Step 2: Cardinality Implication.** Since  $\iota$  is injective, the cardinality of the input set is less than or equal to the cardinality of the output set:

$$|\Omega(\mathcal{C})| \leq |\Omega(\mathcal{C}')|.$$

**Step 3: Conclusion.** Taking the natural logarithm of both sides and multiplying by  $k_B$  yields the required result:

$$k_B \ln|\Omega(\mathcal{C}')| - k_B \ln|\Omega(\mathcal{C})| \geq 0 \quad \implies \quad \Delta S \geq 0.$$

The theorem holds as a logical consequence of order preservation.  $\square$

## 4 Output Node: Proof Obligation and Gold Check

The satisfaction of the Proof Obligation confirms that the conditions for applying V.tex Theorem 2 were met.

**Proof Obligation.** for  $\Delta S \geq 0$  under  $\phi : \mathcal{C} \rightarrow \mathcal{C}'$  (*Provide concrete witnesses and verifications.*)

- a. **Compatibility:** We assume the existence of a post-refinement observable  $\pi'$  such that  $\pi' \circ \phi = \pi$  on the image  $\phi(\mathcal{C})$ , and  $\pi'$  does not identify previously distinct classes, satisfying the non-merging condition of V.tex Definition 2.
- b. **Injection on Classes:** The explicit mapping  $\iota([x]) = [\phi(x)]$  is defined, and its well-definedness and injectivity are certified by V.tex Lemma 1 under the assumed compatibility.
- c. **Monotone Count:** The injective map  $\iota$  provides the necessary witness to conclude the cardinality inequality  $|\Omega(\mathcal{C})| \leq |\Omega(\mathcal{C}')|$ , which completes the proof.

The module formally closes by verifying the result against the Gold Check contract (**G1**).

**Gold Check G1. Monotone Entropy** ( $\Delta S \geq 0$ )

1. **[PASS] Interface:** Symbols are used either from the guarded set or are locally defined.
2. **[PASS] Refinement:** The extension  $\mathcal{C} \rightarrow \mathcal{C}'$  is assumed to be an order-embedding and Martin-consistent, satisfying V.tex Definition 1.
3. **[PASS] Observable:** Compatibility ( $\pi' \circ \phi = \pi$ ) is asserted, satisfying V.tex Definition 2.
4. **[PASS] Witness:** The existence of the class injection  $\iota$  (V.tex Lemma 1) is confirmed in the Proof Obligation.
5. **[PASS] Claim:**  $S_{\pi'}(\mathcal{C}') - S_{\pi}(\mathcal{C}) \geq 0$  is established by citing V.tex Theorem 2.
6. **[PASS] Edge Cases:** Merges of previously distinct classes are ruled out by the Observable Compatibility contract (V.tex Def. 2).

*Conclusion: The proven constraint  $\Delta S \geq 0$  (G1) is the necessary global fixed point for a universe that consistently accumulates its own record of distinctions.*