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### X - 2 LANGHANS AND ROMPS: WATER-VAPOR RINGS IN TROPICAL COLD POOLS

- 2 Tropical deep convection over the ocean is found to grow preferentially from
- thermodynamically pre-conditioned regions of high specific humidity and,
- 4 thus, high moist static energy. For this reason, rings of enhanced specific hu-
- 5 midity at the leading edges of evaporatively driven cold pools have recently
- 6 received considerable attention. The prevailing theory explains these rings
- <sub>7</sub> by the water-vapor source from the evaporation of rain drops below cloud
- base. Their origin is studied in this letter using large-eddy simulations of in-
- 9 dividual cumulus clouds that rise into a tropical atmosphere over ocean. It
- is demonstrated that in contrast to this theory water-vapor rings are pri-
- marily explained by surface latent-heat fluxes rather than by the evapora-
- tion of rain. This finding implies that conceptual models used in subgrid-scale
- parameterizations of deep convection should consider the formation of rings
- of increased specific humidity by the cold-pool-induced enhancement of sur-
- 15 face fluxes.

### 1. Introduction

- The presence of cold pools within the planetary boundary layer (PBL) over tropical oceans is crucial for the interaction between the PBL and the free troposphere through their impact on deep convection. The modulation of deep convection by cold-pool dynamics has commonly been hypothesized to result from two processes: a) the mechanical lifting of ambient air at the leading edge of cold pools as known from squall line dynamics [e.g., Moncrieff and Liu, 1999; Droegemeier and Wilhelmson, 1985; Rotunno et al., 1988] and b) the preconditioning of the boundary layer for deep convection through cold-pool induced enhancement of the moist static energy inside the PBL [Tompkins, 2001a, b, the latter will be referred to as TO1].
- The latter thermodynamic argument is based on the observation that cold pools exhibit rings of enhanced water-vapor content and thus high moist static energy within their leading edges. These rings of enhanced water-vapor content are visible from horizontal distributions of near-surface water-vapor mixing ratios. They have been observed in gust fronts over tropical oceans [Addis et al., 1984] and have been described in various cloud-resolving and large-eddy simulations of deep tropical convection and precipitating tradewind cumuli (e.g., TO1; Xue et al. [2008]; Khairoutdinov et al. [2009]; Moeng et al. [2009]; Moeng and Arakawa [2012]; Seifert and Heus [2013]; Torri et al. [2015]).
- Even if convective updrafts do not originate from preconditioned air inside an active cold pool (as argued by TO1), the positive moisture anomaly carried near the edges of recovering cold pools will later favor the triggering upon collision with a more active (i.e., younger) cold pool. This is described in TO1, who found that convection grows from

PBLs with a pre-existing vapor anomaly of about +1 g kg<sup>-1</sup>. The observed vapor rings are of relevance also as they may eventually cluster to larger moist patches [Schlemmer and Hohenegger, 2014] and trigger relatively wide – thus less entraining and deeper – clouds [Boing et al., 2012]. Several other studies demonstrated that deep convective updrafts over tropical oceans preferentially grow from anomalously moist boundary layers [Kingsmill and Houze, 1999; Seifert and Heus, 2013; Li et al., 2014; Torri et al., 2015]. Understanding the mechanisms behind the formation of these vapor rings is thus critical to understanding the influence of cold pools on deep convection. Although some convection parameterizations in GCMs account for the mechanical lifting by cold pools [Qian et al., 1998; Grandpeix and Lafore, 2010; Rio et al., 2013], air is generally modeled as being lifted from the unperturbed (i.e., environmental) part of the boundary layer without accounting for the effects of these vapor rings.

Moreover, despite the agreement on the relevance of these moist anomalies, different explanations were proposed for the origin of these rings. The prevailing hypothesis proposed
by TO1 is that these rings form due to the evaporation of raindrops within the sub-cloud
layer before the downdraft reaches the PBL. In line with TO1, Seifert and Heus [2013]
argue that evaporation of rain is key to the existence of these vapor rings. In contrast, Li
et al. [2014] argue that evaporation of rain does not contribute to the anomalous moisture. To seed even more confusion, Li et al. [2014] and Schlemmer and Hohenegger [2014]
conclude that, in contrast to TO1, the enhanced vapor content is found outside of cold
pools. This underlines the incongruity of previous explanations. On top of that, the role
of surface latent-heat fluxes remains unclear.

- In this paper we seek a physical explanation of the origin of these vapor rings. More
- specifically, we seek to answer the following questions:
- 1. What is the origin of water-vapor rings in tropical cold pools?
- 2. What are the individual contributions from evaporation of rain, surface latent-heat
- fluxes, and the existing (i.e., pre-rain) PBL moisture anomaly?
- 3. Are the underlying physics in line with the conceptual ideas proposed by TO1?
- To address these questions, we carry out large-eddy simulations and study the vapor
- sources using passive and active tracers of water-vapor mass (see section 2). The simula-
- tions are motivated by atmospheric conditions commonly observed in radiative-convective
- equilibrium (RCE) over tropical oceans.

# 2. Numerical setup

# 2.1. General description

- Numerical simulations are carried out using Das Atmosphärische Modell [DAM, Romps,
- <sup>70</sup> 2008]. DAM solves the compressible equations in flux form using a split-explicit [Klemp
- et al., 2007] total-variation-diminishing (TVD) 3rd-order Runge-Kutta (RK3) discretiza-
- tion in time [Shu and Osher, 1988]. Advection is discretized using a 3rd-order upstream
- scheme and a positive-definite flux limiter [Thuburn, 1996] is applied to the moisture
- scalars. Following the concept of implicit LES [e.g., Margolin et al., 2006], no subgrid-
- scale (SGS) turbulence closure is applied. The lateral boundary conditions are cyclic.
- A no-slip bottom boundary condition is applied and surface drag and sensible and
- 77 latent-heat fluxes are parameterized by bulk transfer laws using a drag/transfer coeffi-
- cient of  $C_D = 1.5 \times 10^{-3}$ . For example, the surface moisture flux is parameterized as

- $\rho \overline{q'_v w'}|_{\mathrm{s}} = -C_D |\mathbf{u}|_1 (q_v|_1 q_v^*|_{\mathrm{sst}})$  with  $q_v^*|_{\mathrm{sst}}$  the saturation specific humidity for a sea sur-
- face temperature (SST) of 300 K and with index 1 denoting variables on the first model
- 81 level.
- The microphysical mass exchange rates among six water classes are parameterized using
- the Lin-Lord-Krueger one-moment bulk scheme [Lin et al., 1983; Lord et al., 1984; Krueger
- et al., 1995] with minor adaptions as described by Langhans et al. [2015]. The bulk
- 85 formulation distinguishes between six water classes: water vapor, cloud liquid water,
- 86 cloud ice, rain, snow, and graupel.

### 2.2. Simulations

- Single cumulus clouds are simulated in this study similar to the ones investigated by
- Langhans et al. [2015]. Clouds are forced from an initially axisymmetric "bubble" pertur-
- bation in the center of the numerical domain. The advantage of this configuration is that
- the emerging cold pool remains quasi-axisymmetric without any collisions with other cold
- pools as it would be in simulations of RCE. Motivated by cold pools commonly observed
- in RCE, the initialized background temperature and specific humidity profiles  $T_0(z)$  and
- $q_{v0}(z)$  are taken from previously conducted RCE runs over an SST of 300 K [see Romps
- and Kuang, 2011, section 3].
- We focus on simulating the period of cold-pool initiation and propagation. The domain
- and grid setup are thus tailored to achieve high resolution inside the boundary layer with
- 97 a relatively coarse and inexpensive resolution above. The dimensions of the numerical
- domain are  $(L_x, L_y, L_z) = (20, 20, 14.1)$  km. A five-layer structure is used to distribute
- levels in the vertical. Three sections with constant grid spacings  $\delta z$  of 10, 190, and 610

m, respectively, are separated by two sections that allow for smooth transitions based on tanh functions. The interfaces between the layers are located at 400, 3400, 5300, and 9300 m. The lowermost layer with  $\delta z = 10$  m fully includes the emerging cold pools. The horizontal grid spacing is 50 m.

Two simulations are presented in this letter: R1 and R2. Moist convection in R1 is initiated from an anomalously warm and moist boundary layer that exhibits a  $q'_{v0} = 1 \text{ g kg}^{-1}$  perturbation with a horizontal scale of about 3 km. This moisture anomaly is thought to represent the moisture excess caused by an older cold pool and the chosen scale and magnitude is in line with findings in TO1 (his Fig. 7) and others (see introduction). The sensitivity to this initialized moisture perturbation is tested in run R2 which starts without this moisture perturbation.

To kick off convection, a small-scale temperature perturbation of magnitude  $\delta T = 1$  K is prescribed in both R1 and R2, as

$$T(r,z) = T_0(z) + (\delta T + \zeta) \exp\left[-(r/r_b)^2 - (z/z_b)^2\right],\tag{1}$$

with  $r = \sqrt{x^2 + y^2}$ , height z,  $r_b = 1000$  m,  $z_b = 500$  m, and random perturbations  $\zeta \in [-0.05, 0.05]$ . In case of R1, the  $q_v$  perturbation is added as

$$q_v(r,z) = q_{v0}(z) + q'_{v0} \exp\left[-(r/R_b)^2 - (z/Z_b)^2\right],$$
(2)

with  $q'_{v0} = 10^{-3}$  g g<sup>-1</sup>,  $R_b = 3000$  m, and  $Z_b = 500$  m. Simulations are carried out for two hours to capture the full life cycle of the cloud, including the dissipating stage and – most importantly – cold-pool propagation.

### 2.3. Water-vapor tracers

The individual contributions to the ring-shaped water-vapor mass anomaly are identified
here by advancing tracers of water-vapor mass of different origin. To do so, tracer mass
fractions are initialized at time  $t_i = 1040$  s, which is right before rain starts falling into
the sub-cloud layer (i.e., pre-rain). A first (passive) tracer mass fraction  $q'_{\text{pbl}}$  represents
the pre-rain PBL perturbation existing at time  $t_i$ . To define this perturbation, the watervapor field at time  $t_i$  is decomposed into a base state  $q_{\text{base}}$  and a perturbation  $q'_{\text{pbl}}$ . The
former is horizontally uniform inside the sub-cloud layer and defined as

 $q_{\text{base}}(x, y, z, t_i) = \gamma(z)q_v(x, y, z, t_i)$ 

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$$+\left[1 - \gamma(z)\right] q_v^{\infty}(z) \tag{3}$$

$$\gamma(z) = 0.5 + 0.5 \tanh \left[ 0.02(z - 500) \right], \tag{4}$$

with  $q_v^{\infty}(z) = q_v(-L_x/2, -L_y/2, z, t_i)$  the specific humidity profile in a corner of the domain. The perturbation  $q_{\rm pbl}'$  is defined as  $q_{\rm pbl}' = q_v - q_{\rm base}$ . Function  $\gamma(z)$  goes from 0 to 1 across the cloud base at 500 m, such that  $q_{\rm base}$  is horizontally uniform below cloud base and  $q_{\rm base} = q_v$  and  $q_{\rm pbl}' = 0$  above cloud base at time  $t_i$ . The base-state mass fraction  $q_{\rm base}$  forms the second passive tracer to be advanced. Moreover, two active tracer mass fractions  $q_{\rm lh}'$  and  $q_{\rm er}'$  are advanced to study the water-vapor mass due to surface latent-heat fluxes and due to evaporation of rain, respectively. Both are initialized to zero at time  $t_i$ . The mass  $M_{\chi}$  of each tracer  $\chi$  is advanced as

$$\frac{\partial (q_{\chi}\rho)}{\partial t} = -\frac{\partial (q_{\chi}\rho\mathbf{u})}{\partial\mathbf{x}} + S_{\chi},\tag{5}$$

with tracer mass fraction  $q_{\chi} = M_{\chi}/(M_a + M_v + M_l + M_s)$ , density  $\rho$ , mass of dry air  $M_a$ ,
water vapor  $M_v$ , liquid water  $M_l$ , and solid water  $M_s$ , and tracer mass source  $S_{\chi}$ . The
source term is zero for the two passive tracers  $q_{\text{base}}$  and  $q'_{\text{pbl}}$ . The sources of the active

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tracers  $q'_{lh}$  and  $q'_{er}$  are the surface moisture flux convergence  $S_{lh} = -\partial_z \rho \overline{q'_v w'}|_s$  and the evaporation of rain  $S_{er} = \rho E$ , respectively.

We find that, at any time, the sum of  $q_{\text{base}}$ ,  $q'_{\text{pbl}}$ ,  $q'_{\text{lh}}$ , and  $q'_{\text{er}}$  is almost identical to  $q_v$ . The difference is significantly smaller than the water-vapor perturbations we are interested in, confirming that surface latent-heat fluxes and evaporation of rain are the only sources of relevance to the water-vapor budget of cold pools in our simulations.

## 3. The origin of water-vapor rings

#### 3.1. Horizontal distributions

Our simulations reveal considerable agreement with the observations from previous simulations of tropical convection (e.g., TO1; Moeng et al. [2009]; Torri et al. [2015]). Distinct water-vapor rings appear near the edge of radially spreading cold pools with specific humidities about 0.6 to 1.0 g kg<sup>-1</sup> higher than in the unperturbed PBL away from the cold pool. To illustrate this and to identify the origin of these rings, we show density-weighted vapor mass fractions for the lowest 100 meters, defined as

$$\overline{q'}_v = \frac{\int_0^{100m} dz' \rho(z') q'_v(z')}{\int_0^{100m} dz' \rho(z')},\tag{6}$$

with  $q'_v(x, y, z) = q_v(x, y, z) - q_v^{\infty}(z)$  a perturbation with respect to the far-field environment a time  $t_i$ . Analogously, average mass fractions are computed for the base-state perturbation  $q'_{\text{base}} = q_{\text{base}} - q_v^{\infty}$ , for the pre-rain PBL perturbation  $q'_{\text{pbl}}$ , for the latent-heat flux perturbation  $q'_{\text{lh}}$ , and for the perturbation  $q'_{\text{er}}$  due to evaporation. The evolution of these average mass fractions is illustrated for R1 in Fig. 1 by showing snapshots after 26, 62, and 98 minutes. To very good approximation, the actual perturbation of the

vapor mass fraction shown in the first column results from the sum of the individual perturbations shown in the other columns.

During the early stage, at t=26 min, the water-vapor ring consists mostly of water-vapor mass that existed already in the PBL before the onset of rainfall. The pre-rain PBL water-vapor mass gets displaced laterally by the approaching dry air from aloft. At that time, the contributions from latent-heat fluxes and from evaporation of rain are very small ( $\leq 0.2$  g kg<sup>-1</sup>) inside regions with anomalously high vapor contents. The water-vapor mass originating from rain peaks inside the cold pool and is collocated with the negative perturbation due to the downward advection of the base state.

The vapor perturbation from surface fluxes grows rapidly in time and, by t = 62 min, has reached peak magnitudes larger than those due to the pre-rain PBL anomaly. Then, only the water-vapor mass from surface fluxes causes a distinct narrow ring-like anomaly. The largest mass fractions from evaporated rain are carried in the dry air mass that sunk down from aloft. The water-vapor mass from evaporation thus peaks in the dry center of the cold pool with a relatively homogeneous mass distribution towards the outer edge. The pre-rain PBL perturbation gets dispersed radially outwards in time leading to an increasing areal coverage and to decreasing peak perturbations.

## 3.2. Vertical distributions

To better understand the transport and origin of water-vapor mass inside the cold pool, we analyze the average vertical distribution of water-vapor density and its individual contributions in a next step. We first identify the radius at the leading edge of the coldpool  $R(\varphi)$  for each azimuth angle  $\varphi$ . This gives a normalized radius  $r^* = r/R$  for each grid column. Averaging is then applied over grid points that fall into the same  $r^*$  bins.

This way, we avoid the blurring that would result from azimuthal averaging over r bins.

At a given time,  $R(\varphi)$  is here identified based on a filtered version of the kinetic energy field  $E_w(\varphi,r) = 0.5w(\varphi,r)^2$  at z=15 m.  $R(\varphi)$  is taken as the maximum radius at which  $E_w(\varphi,r)$  exceeds a threshold value. This method takes advantage of the lifting that occurs right in front of the cold pool and  $r^*=1$  thus lies slightly ahead of the cold-pool edge.

Figure 2 shows the obtained  $r^*$ -z distributions of water-vapor density ( $\rho_v=q_v\rho$ ; gray

Figure 2 shows the obtained  $r^*$ -z distributions of water-vapor density ( $\rho_v = q_v \rho$ ; gray shading) for the same three time steps after 26, 62, and 98 min. Also shown are contours of the three tracer mass density distributions due to latent-heat fluxes ( $\rho'_{lh} = q'_{lh} \rho$ ; orange), evaporation of rain ( $\rho'_{er} = q'_{er} \rho$ ; blue), and the pre-rain PBL water-vapor perturbation ( $\rho'_{pbl} = q'_{pbl} \rho$ ; red). These are contoured every 0.2 kg<sup>-1</sup> (line labels are multiplied by 10). The average R is indicated on top of each panel.

During the early stage, at t=26 min, the downdraft air undercuts the boundary-layer air mass. The displaced air indeed carries the largest moisture content, but not – as previously argued by TO1 and others – due to evaporative moistening, but because the PBL was relatively moist in the first place. The water-vapor mass from evaporation that is carried by air between the leading edge and the center ( $\sim 0.2 \text{ g kg}^{-1}$ ) is considerably smaller than the pre-rain water-vapor perturbation carried in the leading edge ( $\sim 1.2 \text{ g kg}^{-1}$ ). The contribution from surface fluxes is also small at that time. It peaks near the surface close to the leading edge.

Later, after 62 and 98 minutes, the perturbation is mostly made up by water-vapor mass
that was supplied by surface latent-heat fluxes. The latter cause a distinct perturbation

near the leading edge with considerable vertical extent. In line with laboratory flows of density currents [e.g., Simpson and Britter, 1979], the near-surface flow inside the 187 cold pool is considerably faster (about twice; not shown) than the propagation speed 188 of the leading edge. This leads to the enhancement of surface fluxes as seen also in 189 previous studies [Young et al., 1995; Redelsperger et al., 2000; Yokoi et al., 2014] and to 190 the transport towards and into the leading edge of the cold pool. At t = 98 min, the pre-191 rain PBL moisture has been lofted off the ground and significantly dispersed with minor 192 contributions near the leading edge. The bulk of the evaporated rain mass trails behind 193 the leading edge of the cold pool with small contributions to the low-level perturbation 194 near  $r^* = 1$ . Note also that the vapor mass perturbation is clearly carried inside the cold 195 pool and not outside or ahead of the leading edge as argued by Schlemmer and Hohenegger [2014] and  $Li\ et\ al.\ [2014]$ .

# 4. PBL pre-moistening by evaporating rain?

Apparently, the evaporation of rain explains only a small fraction of the water-vapor perturbation near the leading edge of cold pools. This is in sharp contrast to the prevailing theory developed by TO1 which implies that the boundary-layer air gets moistened by the evaporation of rain drops. A necessary condition for the validity of this theory is that there is sufficient evaporation of rain drops before the original sub-cloud layer air gets replaced by dry downdraft air from aloft. In other words, the time  $t_{\text{req}}$  required to moisten the sub-cloud layer by about 0.7 g kg<sup>-1</sup> (the magnitude of the vapor-ring anomaly; see Fig. 1) has to be equal or smaller than the time  $t_{\text{exp}}$  during which the original sub-cloud layer air

is exposed to rain drops. The sub-cloud layer will get squashed outside of the rain shaft by the approaching downdraft air. The latter thus sets  $t_{\rm exp}$ .

It is demonstrated here that the ratio  $t_{\rm req}/t_{\rm exp}$  is around three, even if an upper bound on  $t_{\rm exp}$  is considered. An upper bound on  $t_{\rm exp}$  is obtained as the time it takes an air parcel to sink from cloud base to the ground if it experiences only the minimal negative buoyancy due to the weight of condensate. Additional factors – which decrease  $t_{\rm exp}$  – such as the additional acceleration due to evaporation of liquid water or an existing downdraft at cloud base are neglected. Starting from a zero velocity at cloud base, the downdraft velocity is assumed to evolve according to the plume model of Simpson and Wiggert [1969], which has been applied previously to updrafts [Jakob and Siebesma, 2003; Siebesma et al., 2003; Gregory, 2001] and downdrafts Park [2014], as

$$\frac{dw}{dt} = 0.5 \frac{dw^2}{dz} = aB - b\epsilon w^2. \tag{7}$$

In line with our desire to obtain an upper bound on  $t_{\text{exp}}$ , this model accounts for the adverse pressure gradient due to the arising inertial pressure and considers the drag due to lateral mixing. Following Gregory [2001] and Jakob and Siebesma [2003], we use a = 1/3 and b = 2. A constant buoyancy B is assumed that results only from condensate loading in the rain shaft below cloud base, such that  $B = -gr_l/(1 + r_t)$  with g the gravitational acceleration,  $r_l$  the rain mixing ratio, and  $r_t = r_v + r_l$  the total water mixing ratio. The analytical solution for w(z) is given in the appendix. Given w(z), the time  $t_{\text{exp}}$  it takes to sink from z = h to z = 0 is obtained by integrating  $dt = w(z)^{-1}dz$ , which gives

$$t_{\exp} = \frac{1}{\sqrt{-ab\epsilon B}} \ln \left( \sqrt{e^{2b\epsilon h} - 1} + e^{b\epsilon h} \right). \tag{8}$$

For a cloud base at h=500 m and a typical value of the fractional entrainment rate  $\epsilon=0.5\cdot 10^{-3}$  m<sup>-1</sup>, one yields exposure times  $t_{\rm exp}=[346,300,268]$  s for the simulated range in rain mixing ratios of  $r_l=[3,4,5]$  g kg<sup>-1</sup>.

The required time  $t_{\rm req}$  is given as  $t_{\rm req} = \delta r_v/\overline{E}$  with  $\delta r_v$  the simulated vapor-ring per-211 turbation of 0.7 g kg<sup>-1</sup> (see Fig. 1) and  $\overline{E}$  an average evaporation rate in the sub-cloud 212 layer. The latter is  $0.8 \cdot 10^{-6} \text{ s}^{-1}$  in R1, yielding  $t_{\text{req}} = 875 \text{ s}$ . Thus,  $t_{\text{req}}/t_{\text{exp}}$  ranges 213 between 2.5 and 3.3 for the specified range of rain mixing ratios. This simple estimate 214 shows that – at best – only about one third of the anticipated  $\delta r_v$  can result from the 215 evaporation of rain below cloud base. These numbers agree fairly well with our reference 216 simulation which showed an evaporative water-vapor source of about 0.2 g kg<sup>-1</sup> before 217 air gets displaced laterally by the downdraft. Note also that a sensitivity experiment in 218 which the evaporation of rain had been switched off resulted in the same dynamics in 219 the sub-cloud layer during this initial stage of rainfall onset. Thus, the acceleration that squashes the sub-cloud air aside is indeed maintained by condensate loading rather than by the evaporation of rain drops.

### 5. Sensitivity to initial PBL moisture

The evaporation rate E of rain drops in the sub-cloud layer is largely controlled by relative humidity. Then, what if moist convection forms from a drier sub-cloud layer? Will the source from rain-drop evaporation increase due to larger evaporation rates?

To test this, we analyze simulation R2 and again decompose the water-vapor perturbation in the lowest 100 m into its different origins. The results are shown for two time steps (26 and 98 min) in Fig. 3. Even though this simulation is initialized without a PBL

moisture anomaly, surface latent-heat fluxes during the convergent period of convective growth form a pre-rain moisture anomaly below the cloud. This surface-flux contribution 230 to the pre-rain anomaly is even larger than in R1, simply because the surface latent-heat 231 fluxes scale with the moisture gradient at the surface and R2 is drier than R1 (see section 232 2.1). For this reason, the pre-rain sub-cloud layer in R2 is not as dry as would be expected 233 in the absence of this feedback. On top of that, rain mixing ratios  $r_l$  in the rain shaft are 234 smaller than in R1 (not shown). This weakening of convective precipitation for a drier 235 initial boundary layer is in line with findings presented by Droegemeier and Wilhelmson 236 [1985] (their section 5b). Overall, the increase of E due to a smaller  $r_v$  is even overcom-237 pensated by the decrease due to smaller  $r_l$ . The ratio of  $t_{\rm req}/t_{\rm exp}$  in R2 remains close to 238 the one in R1 as both  $t_{\text{reg}}$  and  $t_{\text{exp}}$  increase. As a result, the evaporative contribution to 239 the water-vapor perturbation is again insignificant in R2 (see Fig. 3). As in R1, surface latent-heat fluxes are the key player.

# 6. Conclusions

Rings of enhanced water-vapor concentrations are associated with the leading edges of cold pools over tropical oceans. The idealized simulations of single cold pools carried out in this letter replicate this observation for an atmosphere initialized with thermodynamic profiles from radiative-convective equilibrium. In contrast to the prevailing theory, the findings presented herein show that surface latent-heat fluxes are the primary cause for such ring-shaped water-vapor anomalies. The evaporation of rain drops below cloud base is found to be secondary since the sub-cloud layer is not exposed long enough to the rain shaft before it gets displaced by the approaching dry downdraft air. The sub-cloud air

which gets displaced by the downdraft is indeed relatively moist, but not – as previously
believed – due to rain-drop evaporation, but simply because deep convection grows from
a relatively moist sub-cloud layer in the first place. Figure 4 summarizes the processes
relevant during different stages of the cold pool's life cycle.

To advance the parameterization of cold-pool effects on deep convection in GCMs, it
thus appears key to represent the effects of surface latent-heat fluxes within conceptual
models for subgrid-scale convection. Based on our results, a parameterization should
account for the enhanced latent-heat fluxes into the cold pool and the subsequent mass
transport into the cold-pool edges. Future research needs to establish a conceptual model
for the evolution of the magnitude of these water-vapor rings. On top of that, the effects
of enhanced water-vapor content on, e.g., convective inhibition, need to be considered to
account for the modified lifting trajectories of such thermodynamically conditioned air
parcels.

# Appendix A: Analytical solution for w(z)

For constant b,  $\epsilon$ , a, and B the analytical solution to equation (7) is

$$w(z) = w(z_0) - \sqrt{-\frac{aB}{b\epsilon}} \sqrt{1 - e^{-2b\epsilon(z_0 - z)}},$$
 (A1)

with  $0 \le z \le z_0$  and  $B \le 0$ .

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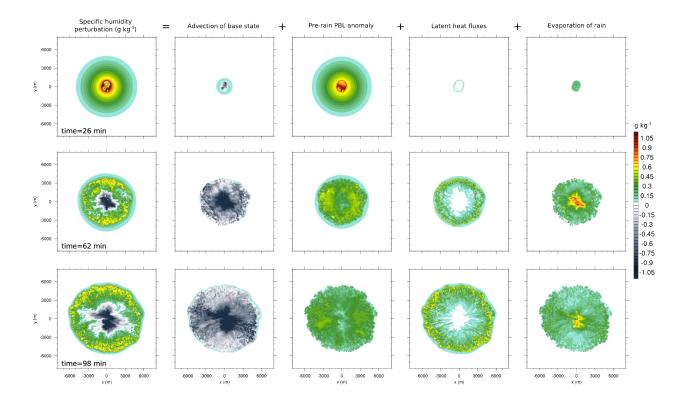


Figure 1. Decomposition of the (1st column) perturbation water-vapor mass fraction  $q'_v$  into contributions from (2nd column) the advection of the base-state perturbation  $q'_{\text{base}}$ , (3rd column) the advection of the pre-rain PBL anomaly  $q'_{\text{pbl}}$ , (4th column) latent-heat fluxes  $q'_{\text{lh}}$ , and (5th column) evaporation of rain  $q'_{\text{er}}$ . Distributions are from simulation R1 and shown after 26, 62, and 98 min. All quantities are computed as density-weighted vapor-mass fractions for the lowest 100 meters (see Eq. (6)). To obtain the perturbations in column 1 and 2, the far-field value of the base-state vapor mass fraction has been subtracted from the actual vapor mass fraction (1st column) and from the base-state mass fraction (2nd column). To very good approximation, the sum of the four rightmost panels yields the leftmost panel.

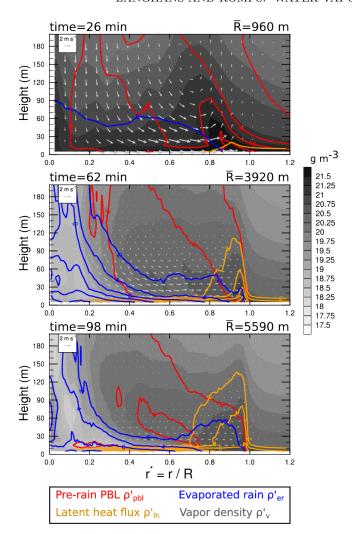


Figure 2. Azimuthally averaged  $r^*$ -z distributions of the water-vapor density  $\rho_v$  (g m<sup>-3</sup>; gray shading) and of the individual perturbations due to latent-heat fluxes  $\rho'_{lh}$  (orange contours), due to evaporation of rain  $\rho'_{er}$  (blue contours), and due to the pre-rain PBL anomaly  $\rho'_{pbl}$  (red contours) are shown for simulation R1 after 26, 62, and 98 min. The three perturbations are contoured every 0.2 g m<sup>-3</sup> starting at 0.2 g m<sup>-3</sup> (line labels are multiplied by 10). Vectors illustrate the average velocity field. A normalized radial distance  $r^* = r/R$  has been computed first for each grid column with R the radius at the leading edge of the cold pool determined as described in the text. Averaging is then performed over  $r^*$  bins of width  $dr^* = 50 \text{m}/\overline{R}$  with  $\overline{R}$  the average R, which is indicated on top of each panel.

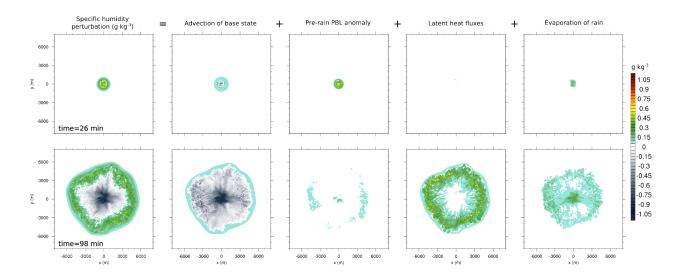


Figure 3. Same as Fig. 1, but for simulation R2, which is initialized without a moisture perturbation. Only two time steps are shown. The pre-rain PBL water-vapor perturbation visible here is only caused by surface latent-heat fluxes during the period of convergent near-surface flow.

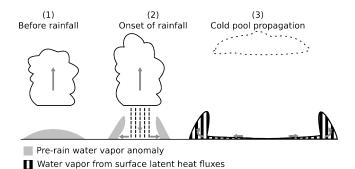


Figure 4. Schematic of the processes responsible for water-vapor rings in tropical cold pools.

(1) A water-vapor perturbation exists below cloud base before rainfall sets in. Deep convective clouds rise from sub-cloud layers that are relatively moist due to, e.g., the vapor ring of an older cold pool. Even without a pre-existing vapor ring, the pre-rain moisture excess below cloud base can result solely from enhanced surface fluxes during the early convergent period of cumulus growth. (2) The weight of rain drops is sufficient to maintain a downdraft that displaces the sub-cloud layer out of the rain shaft such that the exposure time of the sub-cloud layer to rain evaporation is short. The displaced and undercut air mass is relatively moist primarily due to the pre-rain water-vapor anomaly; the source from rain evaporation remains secondary. (3) The cold cool spreads radially and surface latent-heat fluxes continuously supply water-vapor mass

that gets fluxed towards and into the leading edge of the cold pool.