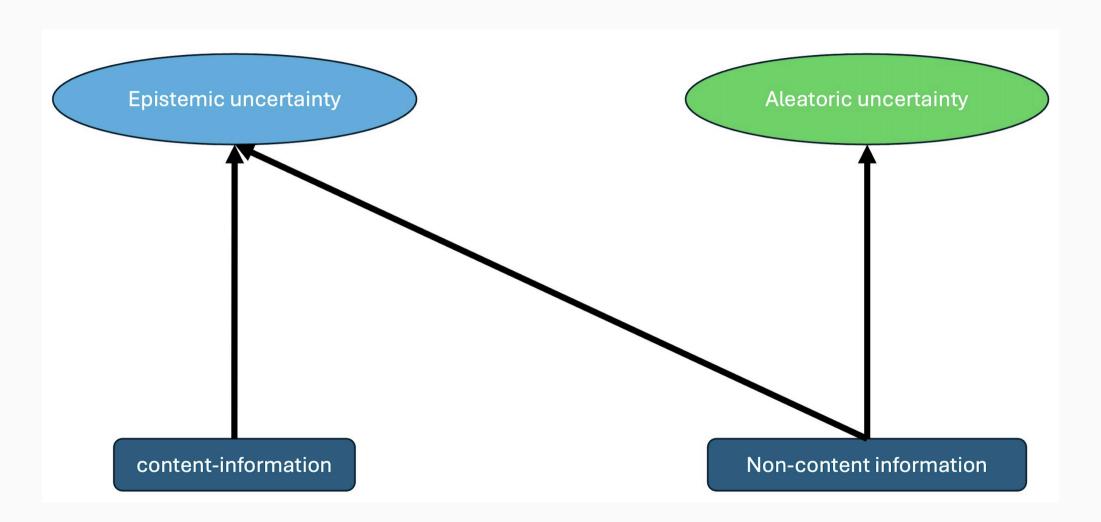
# **Weekly Study Report**

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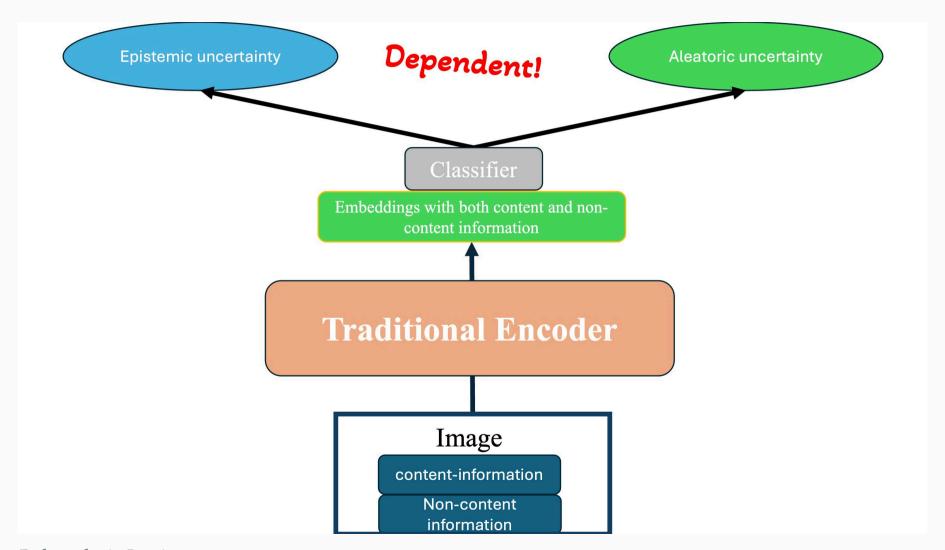
2025-04-08

Electrical, Computer, and Systems Engineering Department Rensselaer Polytechnic Institute 1. Using Contrastive Learning to Extract the content-related Epistemic Uncertainty

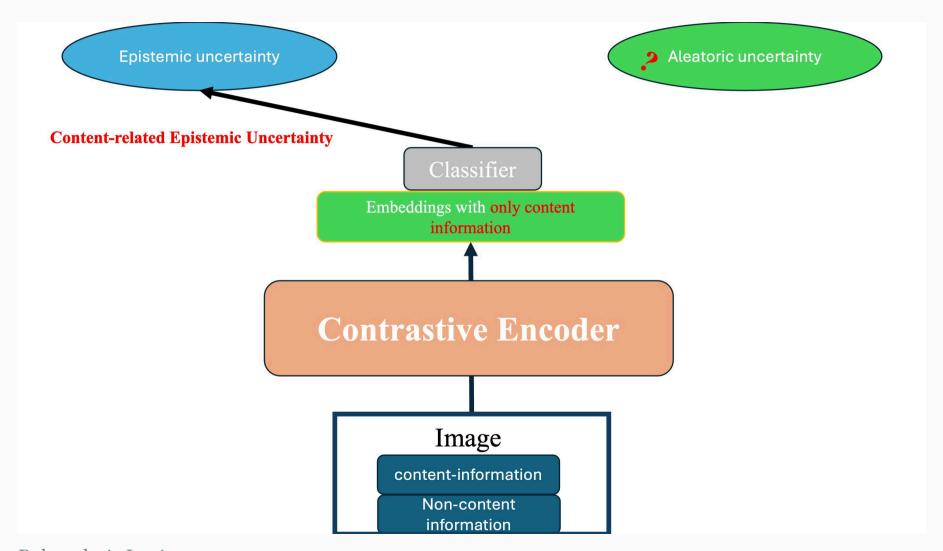
# 1.1 Background



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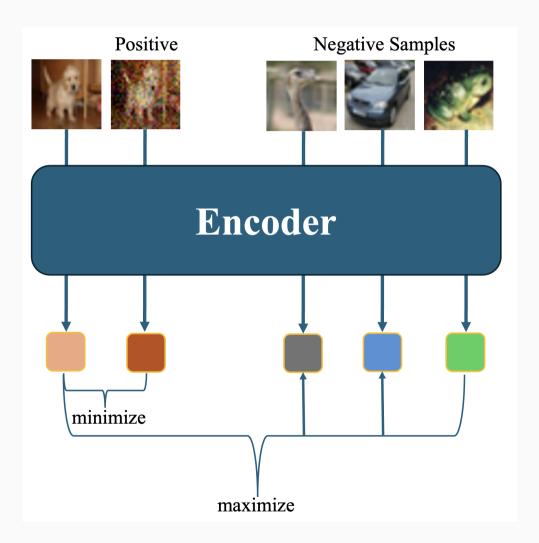


#### 1.2 Goal

#### GOAL.

- 1. Training a contrastive encoder to learn consistent features/embeddings from high- and low- quality input.
- 2. We want to minimize the influence of non-content information to the epistemic uncertainty.
- 3. The final goal is to obtain True content-related Epistemic Uncertainty, which can be used to detect in-lier data when both AU and EU are high.

## 1.3 The Contrastive Learning Model (Encoder Learning)



- Anchor: the clean (high-quality) image
- Positive Samples: the corrupted image
- Negative Samples: other images in batch

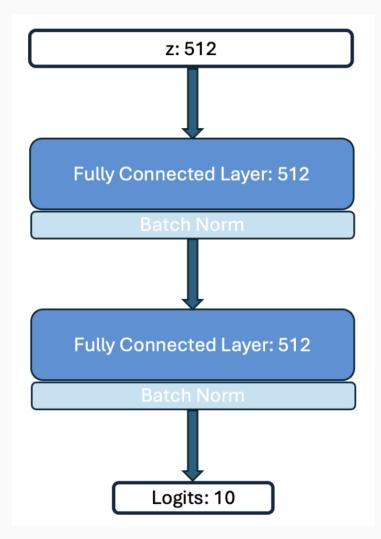
Output of the Encoder: the embedding z

The loss function:

$$L_i = -\log \frac{\exp(z_i z_i^+)}{\sum_{k,k \in z_i^-} \exp(z_i z_k)},$$

where  $||z||^2 = 1$ , so  $z_i z_i^j$  is the cosine similarity of the two embeddings.

#### 1.4 The MLP Classifier Model



During the training of the Classifier head, we freeze the encoder part and solely update the parameters in the MLP head.

This training follows a standard Classification task training with cross entropy loss.

## 1.5 Design of the Experiments

- Training.
  - 1. Train a contrastive encoder, where the positive samples are corrupted images. (Original contrastive learning uses augmentations as the positive samples, and learns consistent embeddings from the augmentations.)
  - 2. Add a classification head to the encoder to get prediction results and do uncertainty quantification. (Here we train ensemble models of size 10)
- Testing. We want to test on
  - 1. Original Clean high-quality images (get uncertainty results)
  - 2. Generated low-quality images
    - Corrupted images with same corruption types and severity as training (get uncertainty results)
    - Corrupted images with different corruption types
  - 3. OOD data (SVHN)

### 1.6 Expectation of the Test Results

1. Original clean high-quality images

Low uncertainties

- 2. Generated low-quality images
  - Corrupted images with same corruption types and severity as training. (Severity: 2)

    Low uncertainties as the clean images, hard to use uncertainty to separate from clean images (they are not ood, so can not be separated)
  - Corrupted images with different corruption types. (Severity: 4)

Low uncertainties as before, hard to be separated. Our goal is that the contrastive encoder can learn consistent embeddings from both high- and low- quality data, the experimental results on this setting is the most important.

• OOD data (SVHN)

High uncertainty, can be easily separated.

#### 1.7.1 Uncertainty Quantification Performance

Contrastive Leared Encoder		Clean_id	Corrupted_trained	Corrupted_not_trained	ООД
Total Uncertainty	mean	0.6045	0.708	0.8869	1.2616
	std	0.5204	0.5294	0.5272	0.356
Aleatoric Uncertainty	mean	0.5138	0.6094	0.7513	1.079
	std	0.444	0.4582	0.4488	0.2997
<b>Epistemic Uncertainty</b>	mean	0.0908	0.0986	0.1357	0.1826
	std	0.0924	0.0917	0.106	0.1007

ResNet18 Results		Clean_id	Corrupted_trained	Corrupted_not_trained	OOD
Total Uncertainty	mean	0.7137	0.8148	0.9463	0.9289
	std	0.5042	0.5082	0.5175	0.3823
Aleatoric Uncertainty	mean	0.3273	0.3854	0.4602	0.4641
	std	0.2491	0.2521	0.2657	0.2081
<b>Epistemic Uncertainty</b>	mean	0.3863	0.4294	0.4861	0.4648
	std	0.2979	0.2888	0.2958	0.2352

Test Accuracy for the two models:

- Contrastive Encoder: 82%
- Resnet18: 88%

- 1. The Contrastive Learned Encoder seems to disentangle AU and EU from the strong Linear Relationship.
  - AU increases as the corruption severity increases
  - EU is consistent for Clean Data and Corrupted\_id data
- 2. For resnet-18, the measured AU and EU are in strong linear relationship. And it seems the model fails to identify SVHN (?)

### 1.7.2 Detecting OOD and Low-quality Data

Contrastive Leared Encoder		Corrupted_trained	Corrupted_not_trained	OOD
Total Uncertainty	AUROC	0.5599	0.6528	0.835
	AUPR	0.5453	0.6515	0.9001
Aleatoric Uncertainty	AUROC	0.5633	0.6515	0.8376
	AUPR	0.5516	0.6217	0.9
<b>Epistemic Uncertainty</b>	AUROC	0.5367	0.6376	0.7679
	AUPR	0.519	0.6155	0.8697

Resnet18 Classifier		Corrupted_trained	Corrupted_not_trained	OOD
Total Uncertainty	AUROC	0.5576	0.6273	0.6302
	AUPR	0.5488	0.6233	0.7686
Aleatoric Uncertainty	AUROC	0.5664	0.6438	0.6682
	AUPR	0.5607	0.6422	0.808
<b>Epistemic Uncertainty</b>	AUROC	0.5427	0.5981	0.5923
	AUPR	0.5333	0.5752	0.743

#### Test Accuracy for the two models:

- Contrastive Encoder: 82%
- Resnet18: 88%

#### Conclusion:

- 1. The Contrastive Learned Encoder is consistent to Corrupted\_id data. And for Corrupted\_ood data, the AUROC is low meaning the model does not really see difference in highly-corrupted data.
- 2. Meanwhile, the model can identify true OOD data well, even the test accuracy is not good. But it seems the EU does not work well on SVHN detection.
- 3. The Resnet-18 almost failed on the OOD detection, which means it cannot capture significant features. This means I need to check the model training and retrain the resnet18 models.

#### 1.8 TO DO

- 1. Adjust the training of Resnet18 way to get a more correct baseline.
- 2. Try to add label information into the contrastive encoder learning
- 3. Since the training of contrastive learning is really expensive, use Last-layer laplace method to quantify uncertainty and see the results.