Parsing

At this point we know how to read a lexical specification that uses regular expressions as the pattern for tokens. We also know how to read a syntactic specification written in PLCC's dialect of BNF. Now we need to learn how to write a grammar that PLCC can generate a parser for.

BNF is quite powerful. In fact, we can write specifications that PLCC can't handle. There are two main reasons: 1) the nature of the code that PLCC generates from a specification, and 2) because of the parsing algorithm used by the parsers that PLCC generates. In this section we will learn about the code that PLCC generates and additional annotations that we can add to a grammar to help PLCC "do the right thing". Then we'll learn about the parsing algorithm that PLCC uses and what restrictions that places on the grammars we can write, and how we can sometimes rewrite our grammars to overcome these limitations.

Even with the additional annotations and rule rewrites discussed in this section, there still are languages that you can specify in BNF that PLCC cannot generate a parser for. This is true for nearly all parser generators that generate efficient parsers, not just PLCC. It all has to do with the parsing algorithm that the generator uses for parsing.

For the theorists out there, PLCC generates LL(1) parsers. That is, they are a top-down recursive parser that scan tokens from left-to-right (the first L), following a leftmost derivation (the second L), and looks ahead 1 token to determine which rule to apply when multiple rules may apply (the 1).

Classes

Given a grammar, PLCC generates Java classes to represent tokens and non-terminals. Specifically, PLCC generates a single Token class to represent any token in the language, and it generates a class for the LHS non-terminal of *each* BNF rule. For example, consider the following grammar.

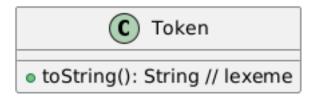
```
<sentence> ::= <subject> <verb> <noun> PERIOD
<subject> ::= <noun>
<verb> ::= WORD
<noun> ::= WORD
```

From this grammar, PLCC generates the following classes:

- Token
- Sentence
- Subject
- Verb
- Noun

Notice how PLCC title-cases each non-terminal's name to form a conventional class name in Java.

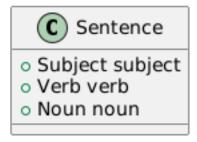
The lexer emits a Token object for each token it matches. Each Token contains the *lexeme* it matched (i.e., the matched string of characters) which can be retrieved through its toString() method.



The BNF rules determine the structure of the other classes. Instances of a class have a public attribute for each non-terminal on the RHS of the rule that defines it. The name and type of each attribute is determined by the name of the non-terminal. For example, the structure of the Sentence class is determined by the BNF rule that defines it; that's the first rule because that's the rule that <sentence> is on the LHS. So we're looking at this rule.

<sentence> ::= <subject> <verb> <noun> PERIOD

When PLCC generates Sentence, it creates public attributes for the non-terminals in the RHS. Sentence now looks like this.



This same information can be conveyed concisely by the class's constructor's signature (name+parameters).

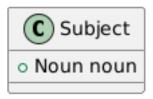
Sentence(Subject subject, Verb verb, Noun noun)

We sometimes use constructor signatures (as in homework and on exams) to identify the structure of a class that PLCC generates. So we'll provide both in this document.

Subject is generated from the following rule

<subject> ::= <noun>

and has the following structure.



Subject(Noun noun)

The defining rules for $\tt Verb$ and $\tt Noun$ do not have non-terminals in their RHS, so they do not have public attributes.





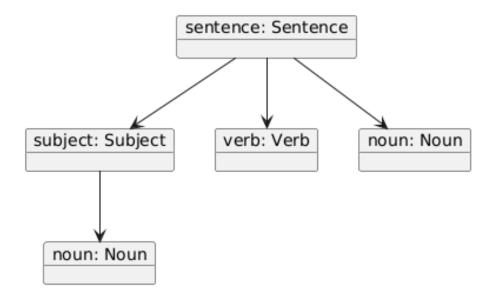
Noun() Verb()

These non-terminal classes are instantiated during syntactic-analysis and are linked together to form a parse tree.

For example, if we parse the following sentence

I eat candy.

We would get the following parse tree.

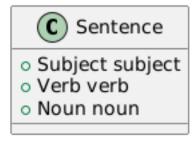


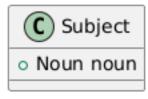
Capturing tokens

You may have noticed that the tokens are not represented in the parse tree. That's because by default PLCC does not capture them into the parse tree. We can ask PLCC to capture a token into the parse tree by enclosing it in angle brackets in the grammar. Let's adjust are example grammar to capture all of our tokens except PERIOD. Notice that capturing PERIOD is not very useful in this grammar, because capturing it wouldn't add any new information to the tree.

```
<sentence> ::= <subject> <verb> <noun> PERIOD
<subject> ::= <noun>
<verb> ::= <WORD>
<noun> ::= <WORD>
```

Now when PLCC generates our classes, they now have an extra public attribute for each token captured. The name of the attribute is the same as the token name, but all lowercase. The type of a token is *always* Token because there is only a single Token class used to represent any token. Here's what our class diagram looks like now.



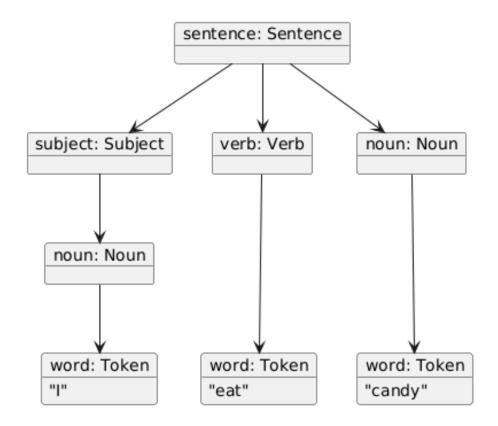






Sentence(Subject subject, Verb verb, Noun noun)
Subject(Noun noun)
Noun(Token word)
Verb(Token word)

Now parsing the same sentence as before, we get the following parse tree.



Alternative rules

If each BNF rule generates a class for the non-terminal on its LHS, what happens when we have two or more BNF rules with the same non-terminal on the LHS? This happens when we want to say that the same non-terminal may have different alternative structures.

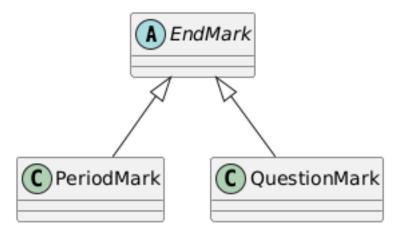
Consider this example in which we want to be able to end sentences in a PERIOD or a QUESTION_MARK.

```
<sentence> ::= <subject> <verb> <noun> <endMark>
<subject> ::= <noun>
<noun> ::= <WORD>
<verb> ::= <WORD>
<endMark> ::= PERIOD
<endMark> ::= QUESTION_MARK
```

PLCC will try to generate the EndMark class twice, and raise and error. To fix this, we need to provide a unique name for the class we want PLCC to generate for each rule. These classes will be subclasses of EndMark. This makes sense because each is a special kind of EndMark.

```
<sentence> ::= <subject> <verb> <noun> <endMark>
<subject> ::= <noun>
<noun> ::= <WORD>
<verb> ::= <WORD>
<endMark>:PeriodMark ::= PERIOD
<endMark>:QuestionMark ::= QUESTION_MARK
```

So besides the other class, PLCC will generate



EndMark()
PeriodMark()
QuestionMark()

Duplicate terms in RHS

Sometimes we want to use the same non-terminal or token twice in the RHS. For example, if we want to define a sentence as three WORDs followed by a PERIOD. And let's assume we want to capture each WORD into the parse tree.

```
<sentence> ::= <WORD> <WORD> PERIOD
```

Then PLCC will try to generate a Sentence class with three attributes with the same name: word.

```
\begin{tabular}{ll} // \it{ERROR: multiple attributes/parameters with the same name} \\ \it{Sentence}(Token word, Token word, Token word) \\ \end{tabular}
```

That's a problem. So once again, we need to give PLCC unique names for each duplicate term.

```
<sentence> ::= <WORD>:wordOne <WORD>:wordTwo <WORD>:wordThree PERIOD
Now PLCC will generate
```

Sentence(Token wordOne, Token wordTwo, Token wordThree)

When naming attributes on the RHS, you can also leave out the colons, like so:

```
<sentence> ::= <WORD>wordOne <WORD>wordTwo <WORD>wordThree PERIOD
```

This is PLCC's traditional syntax for naming attributes. But to be consistent with the syntax for naming alternatives on the LHS, you can now use colons in the RHS too.

The example languages leave out the colon on the RHS because they were written before the new syntax was available.

I recommend being consistent and either don't use colons on either side, or use colons on both sides.

Repeating rules generate parallel Lists

Since the RHS of repeating rules **= can occur zero or more times, the attributes for non-terminals and captured tokens on the RHS each have a type of List<X> where X is the type of that non-terminal or captured token. For example

```
<pairs> **= <NAME> <vector>v1 <vector>v2 +COMMA
```

This matches zero or more named pairs of vectors and separated by COMMA. Assume a vector looks something like <a:b:c>. This pattern would match something like the following.

```
forward <1:1:1> <-1:3:0>, backward <23:4:1> <2:2:2>
```

So the class generated for Pairs is

```
Pairs(List<Token> nameList, List<Vector> v1List, List<Vector> v2List)
```

Take note of the type of token attributes, and the names of the generated list attributes. Also notice that the separator is not captured, nor can it be.

Also be aware that the structure of objects created by a repeating rule is much different than when a list is specified in BNF using recursion.

Determining which alternative to take

When parsing and PLCC must choose between alternative rules to apply, it must be able to determine which to apply by looking only at the next token.

Consider the following grammar.

```
<english> ::= <sentence>
<sentence>:Question ::= WORD QUESTION_MARK
<sentence>:Statement ::= WORD PERIOD
```

Now when PLCC tries to parse the following sentence

```
Me?
WORD QUESTION_MARK
```

PLCC must determine which <sentence> rule to apply only by looking at the next token, which is WORD. But both rules start with WORD. So PLCC cannot determine which to use.

So when designing our language, we must make sure that the first token in alternative rules are distinct from each other.

In the case of our example, PLCC can't know what type of sentence it has until it reach the ending mark. So we push our alternative back to that position.

```
<english> ::= <sentence>
<sentence> ::= WORD <endMark>
<endMark>:Question ::= QUESTION_MARK
<endMark>:Period ::= PERIOD
```

Now PLCC will have already match WORD in the RHS of <sentence>. So when it needs to determine which <endMark> rule to apply, it has QUESTION_MARK as the next token, so PLCC knows to use the Question alternative.

The Parsing Algorithm

The last section explained that the parser generated by PLCC need to determine which alternative rule to apply by looking at the next token in the input stream. This section tries to further clarify how this works by manually implementing a parser for a given grammar. You do not need to know how to implement such parsers by hand. This is here for illustration and to help you understand at a deeper level what was stated in the previous section.

The parser PLCC generates is a top-down, left-to-right, recursive-descent parser that looks ahead 1 token: in other words it is an LL(1) parser.

What does that mean? To get an idea, let's build one by hand. Let's build a parser for the following grammar.

```
<sentence> ::= <subject> <verb> <noun> <endMark>
<subject> ::= <noun>
<noun> ::= WORD
<verb> ::= WORD
<endMark>:PeriodMark ::= PERIOD
<endMark>:QuestionMark ::= QUESTION_MARK
```

We'll implement our parser in Python-like-pseudo-code. We'll assume there is a global scanner object on which we can call pop() and peek(). pop() returns the next token and removes it from the token stream. peek() returns the next token without removing it from the token stream. These methods will raise an exception if a lexical error is encountered, or if we reach the end of file.

To construct a recursive descent parser, we create a parse function for each rule in BNF. Each parse function returns an instance of the parse-tree node corresponding the non-terminal it defines. Here is the parse function for the first BNF rule in our grammar.

```
def parse_sentence():
    subject = parse_subject()
    verb = parse_verb()
    noun = parse_noun()
    endMark = parse_endMark()
    return Sentence(subject, verb, noun, endMark)
```

To parse/match its RHS, it calls the corresponding parser function for each non-terminal in its RHS. Each of these functions return an object containing the parsed subtree for that non-terminal. The last line of the parse function builds and returns a Sentence object, passing it the parts that make up this parse node. Notice this object represents a complete parse-tree.

Here is the parse function for subject.

```
def parse_subject():
    noun = parse_noun()
    return Subject(noun)

Here is the parse function for noun.

def parse_noun():
    token = scanner.pop()
    if token.val != Token.NOUN:
        raise ParseError()
    return Noun(token)
```

Notice, we assume that Token has a val attribute that uniquely defines each type of token in the language. And that these unique token values are all defined in the Token class. parse_noun removes the next token from the scanner, checks that it is in fact a noun, and returns it.

The parse function for verb is pretty much the same as that for noun. endMark is where things get more interesting.

```
def parse_endMark():
    look_ahead = scanner.peek()
    if look_ahead.val == PERIOD:
        endMark = parse_PeriodMark()
    elif look_ahead.val == QMARK:
        endMark = parse_QuestionMark()
    else:
        raise ParseError()
    return endMark
```

Because there are two alternative definitions for endMark, the parser needs to distinguish between the two options based on the contents of the token stream. The parsers PLCC generates are LL(1). That 1 indicates that the parser looks ahead 1 token to make this determination. We can see this in the parser function for endMark. The if statement in this function is determining which parse function to call based on the next token in the input stream. Also, if the function cannot determine which parse function to call based on this token, it raises a parse error.

When generating the parser, PLCC detects if the grammar you gave it is not parsable by its LL(1) parser. If the grammar is not in LL(1), then PLCC will report an error.