

Section 3.5: Heaps

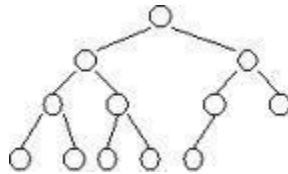
Priority Queue – abstract data type that processes items according to a specific priority

Operations

- insert
- findMin (or findMax)
- deleteMin (or deleteMax)

Examples of data structures that implement a priority queue: **heap**, array, binary search tree

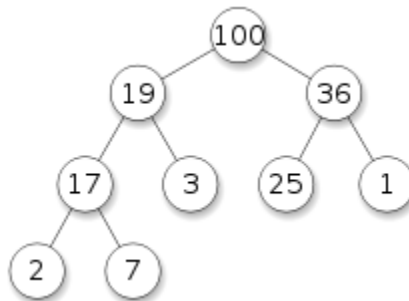
Recall: A **complete binary tree** is a binary tree in which every internal node has at most two children, and all levels are filled except possibly the last, which is filled from left to right.



A **heap** (or **binary maxheap**) is an array (conceptualized as a complete binary tree).

- the root is the element $a[1]$, i.e., the first element of the array
- the data in the array satisfies a special property, called the **heap property**:
for every node i other than the root, the data stored at the parent of i is greater than or equal to the data stored at node i

heap property: $a[\text{parent}(i)] \geq a[i]$



Data or key value	100	19	36	17	3	25	1	2	7
Index of node	1	2	3	4	5	6	7	8	9

The heap also has an attribute called the **heapSize**, which is the number of elements in the heap. Clearly, the heapSize must always be less than or equal to the number of elements in the array.

$a.\text{heapSize} \leq a.\text{length}$

Which of the following are heaps?

array a =

100	19	36	19	3	25	1	2	7
1	2	3	4	5	6	7	8	9

a.heapSize = 9
a.length = 9

array a =

100	19	36	17	3	40	1	2	7	8
1	2	3	4	5	6	7	8	9	10

a.heapSize = 7
a.length = 10

array a =

100	19	36	17	3	40	1	2	7	8
1	2	3	4	5	6	7	8	9	10

a.heapSize = 5
a.length = 10

For an array that does represent a heap with heapSize equal to the length of the array, where can you find the maximum value of the array?

What is the height of a heap with n nodes?

Section 3.5: Heap Operations

(binary maxheap)

For the operations that follow:

- `v` is the array (the heap)
- `n` is the `heapSize` of `v`
- `i` is an index in the array `v`

left(i)

return $2*i$

right(i)

return $2*i+1$

parent(i)

return $i/2$

heap_largest(v)

return `v[1]`

```
siftDown(v,i,n)      // other texts call this operation heapify
// Assumptions: subtree rooted at left child of i is a heap,
// subtree rooted at right child of i is a heap
// only node i violates the heap property
// n is the heapSize
while (left(i) <= n)  // i.e., while i has a left child
{
    child = left(i)
    if (left(i) < n && v[right(i)] > v[left(i)])
        child = right(i)    // child contains index of larger
child
    if (v[child] > v[i])
    {
        temp = v[i]          // swap v[i] and v[child]
        v[i] = v[child]
        v[child] = temp
    }
    else
        break                // exit while loop
    i = child
}
```

heap_delete(v,n) // extracts the max, i.e., deletes the root

// `n` is the `heapSize`

`v[1] = v[n]`

`n = n - 1`

`siftDown(v,1,n)`

heapify(v,n) // other texts call this operation `buildHeap`

for `i = n/2` downto 1

`siftDown(v,i,n)`

```

heapSort(v,n)
// takes an array v, builds a heap and sorts it
heapify(v,n)
for i = n downto 2
{
    swap(v[1], v[i])
    siftDown(v,1,i-1)
}

```

```

heap_insert(val,v,n)
// n is the heapSize
// val is the value to be inserted
n = n+1
i = n
while (i > 1 && val > v[parent(i)])
{
    v[i] = v[parent(i)]
    i = parent(i)
}
v[i] = val

```

```

updateKey(v,i,n)
// n is the heapSize
// used to maintain the heap property after the key at index i
// has been changed; only v[i] violates the heap property;
// trace a path from i up through the heap (following parents),
// swapping along the way, until the heap property is restored
// see if you can write an algorithm for this operation!

```

```

recursive_siftDown(v,i,n)
// n is the heapSize
if (left(i) <= n)
{
    child = left(i)
    if (left(i) < n && v[right(i)] > v[left(i)])
        child = right(i) // child contains index of larger
child
    if (v[child] > v[i])
    {
        swap(v[i],v[child])
        recursive_siftDown(v,child,n)
    }
}

```