

# ECE 443/518 – Computer Cyber Security

## Lecture 23 Fully Homomorphic Encryption

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November 5, 2025

Fully Homomorphic Encryption

The DGHV Scheme

# Reading Assignment

- ▶ This lecture: Fully Homomorphic Encryption
- ▶ Next lecture: ICS 2-7,14

## Fully Homomorphic Encryption

### The DGHV Scheme

# Limitations of Garbled Circuit

- ▶ One-time use: a garbled circuit can be evaluated only once.
  - ▶ The garbler Alice has to generate a new garbled circuit for every evaluation.
  - ▶ Otherwise the evaluator Bob will be able to learn intermediate and final bits.
- ▶ Interactive: Alice needs to interact with Bob to encrypt his input via OT.
- ▶ Not compact: Alice needs to send Bob the new garbled circuit whose size has the same complexity as the computation itself.
- ▶ Can we simply ask Alice to encrypt her data before sending it to Bob, and allow Bob to compute with it?
  - ▶ Reusable circuit, non-interactive, compact communication
  - ▶ The result should also be encrypted so Bob need help from Alice to know it

# Compute with Encrypted Data

- ▶ Design a cipher ( $Enc$  and  $Dec$ ) such that for any function  $z = f(x, y)$ , Alice and Bob can find a function  $F$  to compute  $Z = F(X, y)$  together as follows:
  - ▶ Alice chooses a secret  $key$ , computes  $X = Enc(key, x)$ , and sends Bob only  $X$ .
  - ▶ Bob computes  $Z = F(X, y)$  and sends Alice  $Z$ .
  - ▶ Alice decrypts  $Z$  as  $z = Dec(key, Z)$  and it should hold that  $z = f(x, y)$ .
  - ▶ Bob doesn't know  $key$  so he cannot derive  $x$  and  $z$  from  $X$  and  $Z$  respectively.

# Discussions

1. Alice computes  $X = \text{Enc}(\text{key}, x)$  and sends Bob  $X$ .
  2. Bob computes  $Z = F(X, y)$  and sends Alice  $Z$ .
  3. Alice computes  $z = \text{Dec}(\text{key}, Z)$ .
- ▶ Computation efficiency (for Alice):  $\text{Enc}$  and  $\text{Dec}$  should not depend on  $f$
  - ▶ Communication efficiency: sizes of  $X$  and  $Z$  should be linear to those of  $x$  and  $z$  respectively.
  - ▶ We don't say  $Z = \text{Enc}(\text{key}, z)$  in case  $\text{Enc}$  is probabilistic.
  - ▶  $\text{Enc}$  and  $\text{Dec}$  could use public/private key pairs.
  - ▶  $y$  could include inputs from Bob that he wants to hide, and public inputs like constants used in  $f$ .

# Potential Applications

- ▶ Secure two-party computation without garbled circuit.
  - ▶ Alice encrypts her inputs and sends them to Bob.
  - ▶ Bob provides his inputs in plaintext and runs the computation.
  - ▶ Bob sends the encrypted result with Alice, who then decrypts it and shares with Bob.
- ▶ Outsourcing machine learning models and inferences.
  - ▶ Hidden model: Alice encrypts the model, Bob interacts with clients to run inferences.
  - ▶ Hidden inference: Bob has the model and helps Alice to run inferences, while Alice keeps her inputs and results secret.
  - ▶ Hidden model and inference: Alice encrypts both the model and inputs, Bob computes and returns encrypted results but knows nothing.
- ▶ And many more ...
- ▶ Only if we could design such a mechanism!



# Homomorphic Encryption

- ▶ A homomorphic encryption algorithm allows certain computation to be executed on ciphertext.
  - ▶ E.g. for multiplication,  $Enc(x)Enc(y) = Enc(xy)$
- ▶ RSA encryption is homomorphic for multiplication.
  - ▶  $Enc(x) = x^e \bmod p$ ,  $Enc(y) = y^e \bmod p$
  - ▶  $Enc(xy) = (xy)^e \bmod p = x^e y^e \bmod p$
- ▶ Extend RSA to encrypt bits probabilistically.
  - ▶  $Enc(x) = (x + 2r)^e \bmod p$ ,  $Dec(X) = X^d \bmod p \bmod 2$ 
    - ▶  $r$  is a random number.
  - ▶ Now  $Dec(Enc(x)Enc(y)) = xy = AND(x, y)$ 
    - ▶ Note that  $Enc(x)Enc(y)$  could be different than  $Enc(xy)$  because  $Enc$  is probabilistic.
- ▶ Homomorphic encryption for multiplication is simple.

# Fully Homomorphic Encryption (FHE)

- ▶ Fully homomorphic encryption: a homomorphic encryption algorithm that support both multiplication and addition
  - ▶ Allow Bob to perform complex computations on ciphertext.
- ▶ Consider fully homomorphic encryption for bits using the same method we extend RSA.
  - ▶  $Dec(Enc(x)Enc(y)) = xy = AND(x, y)$ ,  
 $Dec(Enc(x) + Enc(y)) = x + y = XOR(x, y)$
  - ▶  $AND$  and  $XOR$  are universal for boolean circuits – Alice and Bob are able to compute with encrypted data for any function.
- ▶ While researchers quickly identified this interesting idea in 1978 after invention of RSA, it takes more than 30 years to find the first fully homomorphic encryption algorithm in 2009.

# Outline

Fully Homomorphic Encryption

The DGHV Scheme

# The DGHV Fully Homomorphic Encryption Scheme

- ▶ A 2010 simplification of Gentry's 2009 algorithm that works with simple integer arithmetics.
  - ▶ We'll present some basic ideas here as the whole scheme is still quite complicated.
- ▶ Consider encryption of bits
- ▶ Key  $p$ : an odd integer
- ▶  $Enc(x) = x + 2r + qp$ 
  - ▶ Both  $r$  and  $q$  are random numbers so  $Enc$  is probabilistic.
  - ▶ The random number  $r$  works as “noise” to prevent learning  $p$  from ciphertexts by computing their GCDs.
- ▶  $Dec(X) = X \bmod p \bmod 2$ 
  - ▶ We should require  $2r + 1 < p$  so  $X \bmod p = x + 2r$  – this is essential for  $Dec$  to decrypt correctly without knowing  $r$  or  $q$ .

# DGHV Examples

- ▶ Choose  $p = 13$
- ▶ With  $r = 1$  and  $q = 5$ ,
  - ▶  $Enc(0) = 0 + 2 * 1 + 5 * 13 = 67$
  - ▶  $Dec(67) = 67 \bmod 13 \bmod 2 = 2 \bmod 2 = 0$
- ▶ With  $r = 4$  and  $q = 6$ ,
  - ▶  $Enc(0) = 0 + 2 * 4 + 6 * 13 = 86$
  - ▶  $Dec(86) = 86 \bmod 13 \bmod 2 = 8 \bmod 2 = 0$
- ▶ With  $r = 6$  and  $q = 1$ ,
  - ▶  $Enc(1) = 1 + 2 * 6 + 1 * 13 = 26$
  - ▶ But  $Dec(26) = 26 \bmod 13 \bmod 2 = 0 \bmod 2 = 0$
  - ▶ The “noise”  $r$  is too big so decryption doesn’t work any more.
- ▶ Is DGHV homomorphic?
  - ▶  $Dec(67 + 86) = 153 \bmod 13 \bmod 2 = 10 \bmod 2 = 0$
  - ▶  $Dec(67 * 67) = 4489 \bmod 13 \bmod 2 = 4 \bmod 2 = 0$
  - ▶ But  $Dec(67 * 86) = 5762 \bmod 13 \bmod 2 = 3 \bmod 2 = 1$

# Somewhat Homomorphic

- ▶ Let  $X = Enc(x) = x + 2r_x + q_x p$  and  $Y = Enc(y) = y + 2r_y + q_y p$ .
- ▶  $Dec(X + Y) = (x + y) + 2(r_x + r_y) \bmod p \bmod 2$ 
  - ▶ It decrypts into  $x + y$  correctly if  $2r_x + 2r_y$  is small enough.
- ▶  $Dec(X * Y) = xy + 2(r_x y + r_y x) + 4r_x r_y \bmod p \bmod 2$ 
  - ▶ It decrypts into  $xy$  correctly if  $2r_x * 2r_y$  is small enough.
- ▶ Observation: a limited number of multiplications and additions can be applied on the ciphertexts.
  - ▶ Before “noises” grows too big so decryption no longer works.
  - ▶ In particular, multiplication introduces more “noises” than addition.

# From Somewhat Homomorphic to Fully Homomorphic

- ▶ However, fully homomorphic encryption requires to work with arbitrary number of multiplications and additions.
- ▶ Bob needs to reduce “noises” in the ciphertexts before they grow too big in the process of computation.
  - ▶ In order to build a fully homomorphic encryption algorithm from a somewhat homomorphic one.
- ▶ This is simple if Bob knows the key.
  - ▶ First decrypt the ciphertext to obtain the plaintext.
  - ▶ Then encrypt the plaintext again with a small “noise”.
  - ▶ But Bob does not know the key.
- ▶ Insight: this is a problem of computing with encrypted data itself – Bob can do it!

# Bootstrapping

- ▶ Alice encrypts the key with the key itself, and sends Bob the encrypted key.
  - ▶ As long as Alice doesn't change her key, this step only needs to be done once for all computations.
- ▶ To reduce the “noise” for a particular ciphertext, Bob evaluates  $Dec$  using the somewhat homomorphic algorithm.
  - ▶ Use the encrypted key and the ciphertext as the inputs.
  - ▶ Since  $Dec$  computes the plaintext from the key and the ciphertext, Bob will obtain the encrypted plaintext.
  - ▶ The encrypted plaintext is indeed a new ciphertext that decrypts to the same plaintext as the original ciphertext.
- ▶ Requirement: a proper design of  $Dec$ 
  - ▶ Use a limited number of multiplications and additions as permitted by the somewhat homomorphic algorithm.
  - ▶ Bound the “noise” in the new ciphertext so that they do not grow too large before Bob applies the same reduction again.



# DGHV Bootstrapping

- ▶ Let  $f(X, p)$  be a circuit computing  $X \bmod p \bmod 2$ .
  - ▶ Inputs are bits:  $X = (X_n \cdots X_2 X_1)_2$  and  $p = (p_m \cdots p_2 p_1)_2$ .
  - ▶ Use *AND* and *XOR* gates and the constant bit 1.
- ▶ Alice encrypts each bit of  $p$  by itself:  $Enc(p_1), \dots, Enc(p_m)$ , and sends them to Bob.
- ▶ For simplicity, we ask Bob to also encrypt the ciphertext bits and the constants in the circuit.
  - ▶ Bob can use 1 for  $Enc(1)$  and 0 for  $Enc(0)$  without knowing  $p$ .
  - ▶ Bob computes  $Enc(X_1), \dots, Enc(X_m)$  without Alice's help.
- ▶ Bob evaluates  $f$  with encrypted bits of ciphertext and key  $Enc(X_1), \dots, Enc(X_m), Enc(p_1), \dots, Enc(p_m)$ 
  - ▶ Replace *AND* with multiplication and *XOR* with addition.
  - ▶ Since  $x = f(X, p)$ , with encrypted  $X$  and  $p$  Bob should obtain encrypted  $x$ , which is another ciphertext  $X'$  of  $x$ .
  - ▶ The “noises” in  $X'$  depend on  $f$  and its inputs – independent of the “noises” in  $X$ .

# DGHV Bootstrapping Implementation

- ▶  $Enc(X_1), \dots, Enc(X_m)$  has a “noise” of 0.
  - ▶ Since Bob use 1 for  $Enc(1)$  and 0 for  $Enc(0)$ .
- ▶ Alice controls the “noises” in  $Enc(p_1), \dots, Enc(p_m)$ .
- ▶ We need to limit the number of *AND* and *XOR* gates in  $f$ .
  - ▶ So that the “noises” do not increase beyond what plain DGHV can handle.
- ▶ Ideas to implement  $f$  to meet the need.
  - ▶ Ask Alice to encrypt an approximation of  $\frac{1}{p}$  (as a fixed point number) so that  $\text{mod } p$  can be replaced by multiplication and subtraction.
  - ▶ Ask Alice to provide additional (encrypted) data to further reduce the complexity of multiplication that would require a lot of *AND* gates.
- ▶ We will stop here and let you explore further by yourself.

# Summary

- ▶ Fully homomorphic encryption: compute with encrypted data
  - ▶ Only owner of the encrypted data can decrypt the results.