

CS 440 - Assignment 2

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1: BCNF and 3NF (2 points)

Consider a relation R with five attributes A, B, C, D, and E. You are given the following functional dependencies: $A \rightarrow B$, $BC \rightarrow E$, and $ED \rightarrow A$.

(a) List all keys for R. (1 point)

- C,D is not on the right side in FD, so C,D are candidate keys.
- Therefore, keys can be ACD, BCD, and CDE

(b) Is R in BCNF? If it is not, decompose it into a collection of BCNF relations. (0.5 point)

- For each non-trivial FD $X \rightarrow Y$, X is a super key of R
- R is not in BCNF because ED is not a super key for ACDE.
- (A,B)
- (A,D,E)
- (B,C,E)

(c) Is R in 3NF? If it is not, convert it into a collection of 3NF relations. (0.5 point)

- If the relation R is in 3NF, then a function dependency $X \rightarrow Y$ holds in R, either
 - (a) X is a superkey of R
 - (b) Y is a prime attribute of R.
- Therefore, R is in 3NF because A, B, E are part of keys for R as a 3NF condition (b).

2: BCNF and 3NF (1.5 points)

Consider the relation schema R with attributes A, B, C, and D and the following functional dependencies: $AB \rightarrow C$, $AC \rightarrow B$, $B \rightarrow D$, $BC \rightarrow A$.

(a) List all keys for R. (0.5 point)

- AB, AC, BC

(b) Is R in BCNF? If it is not, decompose it into a collection of BCNF relations. (0.5 point)

- R is not in BCNF, it needs to decompose into the following:
 - (B,D)
 - (A,B,C)

(g) Is R in 3NF? If it is not, convert it into a collection of 3NF relations. (0.5 point)

- No, because of FD $B \rightarrow D$. Since B is not a super-key and D is not part of any key, then it is not in 3NF. Since the decomposition in part B is already in 3NF, then a collection of 3NF relations can look like:
 - (B,D)

- (A,B,C)

3: FD Implication & Schema Decomposition (1 point)

(a) Given that X, Y, W, Z are attributes in a relation, using the Armstrong's axioms, prove that if we have $X \rightarrow Y$ and $Y W \rightarrow Z$, then $XW \rightarrow Z$. (0.25 point)

- By the augmentation axiom, since $X \rightarrow Y$, then we know $XW \rightarrow YW$. Since we know that $YW \rightarrow Z$, we can use the transitivity axiom to replace YW with XW , giving us $XW \rightarrow Z$

(b) Given that X, Y, Z are attributes in a relation, using the Armstrong's axioms, prove that if we have $X \rightarrow Y$ and $X \rightarrow Z$, then $X \rightarrow YZ$. (0.25 point)

1. Using the augmentation axiom, given $X \rightarrow Z$, we know $XY \rightarrow ZY$
2. Using augmentation with $X \rightarrow Y$, we know that $XX \rightarrow XY$, which reduces down to $X \rightarrow XY$
3. Using the transitive axiom with 1 and 2, we can derive $X \rightarrow ZY$, or $X \rightarrow YZ$

(c) Prove that, if relation R has only one simple key, it is in BCNF if and only if it is in 3NF. (0.5 point)

- If R has only one simple key, then every attribute can be defined with only one key. To be 3NF, the FDs should follow one or two of the below rules:
 - (a) X is a superkey of R
 - (b) Y is a prime attribute of R .
- Since every key is simple, every prime attribute is a key itself. R is in 3NF. When it comes to BCNF, there are no transitive dependencies due to R being in 3NF. This implies that X is not a prime attribute but a superkey of R . Therefore, R is in BCNF also.

4: Information preservation (0.5 point)

(a) Suppose you are given a relation $R(A,B,C,D)$ with functional dependencies $B \rightarrow C$ and $D \rightarrow A$. State whether the decomposition of R to $S1(B,C)$ and $S2(A,D)$ is lossless or dependency preserving and briefly explain why or why not. (0.5 point)

- I think this is dependency preserving not lossless. First, $S1$ and $S2$ violates the condition of lossless, which is $R1 \cap R2 = R1$ or $R2$. For $S1$ and $S2$, $S1 \cap S2 = \text{no common}$. As dependency preserving, the union of relations should be all attributes. In $S1$ and $S2$, it satisfies with $S1 \cup S2 = (A,B,C,D)$.