

# Abilities and possibilities\*

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**Abstract.** According to the classical quantificational analysis of modals, an agent has the ability to perform a given act iff (roughly) relevant facts about the agent and her environment are compatible with performing the act. The analysis faces a number of problems, many of which can be traced to the fact that it takes even accidental performance of an act as proof of the relevant ability. I argue that ability statements are systematically ambiguous: on one reading, accidental performance really is enough; on another, more is required. Specifically, on this reading, the agent must be capable of performing the relevant act as the result of a decision that warrants believing that they will perform the act. This second notion of ability is tailored to a central use of ability judgements in normative contexts. Both readings can be captured within the quantificational framework, provided we allow conversational context to impose restrictions not just on the “accessible worlds” (the facts that are held fixed), but also on what counts as a performance of the relevant act among these worlds.

## 1 Introduction

It has long been noticed that natural-language modals behave a lot like quantifiers over possible worlds.<sup>1</sup> In this tradition, David Lewis [1976: 150f., 1979: 246f.] and Angelika Kratzer [1977, 1981, 1991, 2012] influentially suggested that ‘*S* can  $\phi$ ’ (or ‘*S* is able to  $\phi$ ’) is true just in case there are accessible worlds at which *S*  $\phi$ s, where (roughly) a world is *accessible* just in case it is compatible with contextually relevant facts about the actual world.

The quantificational analysis works well for statements like (1), from [Kratzer 1991].

- (1) Hydrangeas can grow here.

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<sup>1</sup> See [Copeland 2002] for a partial history.

In a suitable context, (1) seems to state that relevant facts about the regional climate, soil, etc. are compatible with the growth of hydrangeas. In other words, (1) is true iff hydrangeas grow in some possible worlds at which these facts are held fixed.

However, when we turn to ‘can’ statements attributing abilities to intentional agents, the quantificational analysis appears to run into trouble. Suppose Maisy wants to open a safe, but doesn’t know the combination.<sup>2</sup> Intuitively, (2) is then false.

(2) Maisy can open the safe.

On to the quantificational analysis, this means that there are no accessible worlds at which Maisy opens the safe. But all it takes to open the safe is to dial the right combination. Let’s say the combination is 448-961-5237. Surely Maisy can dial that combination. No relevant facts about her inner state or her environment, it seems, are incompatible with her dialling 448-961-5237. But if there are accessible worlds where Maisy dials 448-961-5237, how could there fail to be accessible worlds where she opens the safe?

Examples are easy to multiply. Suppose Cyril does not know the first 10 digits of  $\pi$ . Intuitively, (3) is then false.

(3) Cyril can recite the first 10 digits of  $\pi$ .

But, we may assume, Cyril *can* utter any permutation of the numerals ‘three’, ‘one’, ‘four’, etc. One of these permutations lists the the first 10 digits of  $\pi$ . So it looks like there *are* accessible worlds at which Cyril recites the first 10 digits of  $\pi$ .

One might try to get around these problems by tinkering with the accessibility relation. We will see that this isn’t easy. It also doesn’t seem get at the heart of the problem. Intuitively, when we say that someone can recite the first 10 digits of  $\pi$ , we don’t just mean that no relevant facts preclude them from uttering ‘three, one, four,’ etc. Rather, the agent agent should have a certain kind of intentional control over whether they successfully recite the first digits of  $\pi$ . G.E. Moore’s [1912] conditional analysis seems to get closer to what is required. According to Moore, ‘ $S$  can  $\phi$ ’ is true just in case  $S$  *would*  $\phi$  if  $S$  *tried* to  $\phi$ . If Cyril tried to recite the first 10 digits of  $\pi$ , he would almost certainly fail. Similarly, Maisy would almost certainly fail if she tried to open the safe.

To be sure, the conditional analysis also faces some well-known problems. Among other things, it looks unappealing for sentences like (1) or (4) or (5), which don’t seem to imply the kind of intentional control implied by (2) and (3).

(4) I can hear the neighbour’s dog barking.

(5) Steel can withstand temperatures of 1000 °C.

Some have concluded that modal expressions like ‘can’ and ‘able’ are ambiguous between an “agentive” sense and a non-agentive or “circumstantial” sense. Many attempts have

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<sup>2</sup> This example is borrowed from [Carlson 1999].

been made to analyse the agentive sense, but no consensus has emerged from these efforts.<sup>3</sup> We still lack a clear picture of what ‘can’ means in (2) and (3), and how this meaning is related to the (presumably quantificational) meaning in (1), (4), and (5).

I will argue that despite initial appearance, (2) and (3) actually can be analysed in terms of simple, existential quantification over accessible worlds. (2) is false because there are no accessible worlds at which Maisy opens the safe – provided that accidental openings don’t count. In general, I will argue that when we evaluate whether someone can or cannot  $\phi$ , conversational context may put restrictions on what counts as a relevant way of  $\phi$ ing. Sometimes accidental  $\phi$ ing counts, sometimes not. Thus ability statements are generally ambiguous between a weaker reading, on which accidental  $\phi$ ing suffices, and a logically stronger reading, on which non-accidental  $\phi$ ing is required.

That there is some such ambiguity is, I think, independently plausible. For example, although (2) is most naturally interpreted as false, there is also a sense in which it is true. Maisy *can* open the safe, insofar as she can dial 448-961-5237, and that’s what she needs to do in order to open the safe. The false reading is more salient, probably because the true reading is trivial: when we imagine a context for (2), we take for granted that Maisy has enough control over her arms and fingers to dial whatever combination happens to be the right one.

The ambiguity is easier to see in cases where neither reading is trivial. Suppose Eddie’s favourite piano piece is the Moonlight Sonata; Charley can play the Moonlight Sonata, but he doesn’t know that it is Eddie’s favourite piece. Now consider (6).

(6) Charley can play Eddie’s favourite piece.

True or false? Intuitively, the statement is true in one sense and false in another. Informally, Charley can play what is in fact Eddie’s favourite piece, but he can’t play it “under that description”. In contrast to (2), here the weaker reading is far from trivial: most people can turn the dial on a combination lock, but few can play the Moonlight Sonata.

At the other end of the spectrum lie statements like (7).

(7) Usain Bolt can run 100 meters in 9.58 seconds.

Here the weak reading is more salient. If someone utters (7), they plausibly mean that Usain Bolt can do something that amounts to running 100 meters in 9.58 seconds – a highly non-trivial feat. The claim is not that Bolt is able to perform the act “under that description”, meaning that Bolt can at will choose to run with an average speed of exactly 0.0958 m/s.

It will be useful to have labels for the two readings. If an agent has the ability to  $\phi$  in the weaker sense, I will say that she can  $\phi$  *effectively*. Maisy, for example, can do

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<sup>3</sup> See, among others, [Cross 1986], [Brown 1988], [Peacocke 1999], [Mele 2003], [Vihvelin 2004], [Fara 2008], [Maier 2015], [Maier 2018b], [Jaster 2016], [Mandelkern et al. 2017].

something – namely, dial 448-961-5237 – that *in effect* amounts to opening the safe, although Maisy is not aware of the connection between dialling 448-961-5237 and opening the safe. If an agent has the ability to  $\phi$  in the stronger sense, I will say that she can  $\phi$  *transparently*.<sup>4</sup>

The examples I have used involve ‘can’, but the same points could be made with ‘able’ or ‘in a position to’. The ambiguity seems to affect all ability modals. It also seems to affect corresponding necessity modals like ‘must’ and the deontic use of modals. For example, suppose Maisy is required by some normative code to dial 448-961-5237, and (as it happens) dialling 448-961-5237 is tantamount to opening the safe. In one sense, then, ‘Maisy ought to open the safe’ is true, although Maisy is not required to perform the act “under that description”.<sup>5</sup>

I hope you see the ambiguity in (2), (3), and (6). Let’s try to get clearer about the two readings. What is required for an agent to have an ability in the weak, effective sense? And what is required to have an ability in the strong, transparent sense? In the next section, I will sketch a preliminary answer, tailored to a certain use of ability statements in normative contexts. In the remainder of the paper, I will explore how the two readings I have identified might fit into the classical quantificational paradigm. To this end, I will first review the quantificational treatment of modals in section 3, and defend it against a certain misunderstanding. In section 4, I will motivate the idea that the interpretation of modals is sensitive not just to contextual restrictions on the accessible worlds, but also to restrictions on the interpretation of the embedded verb phrase (the “prejacent”). The

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4 [Mandelkern et al. 2017: 320f.] also notice the effective/transparent ambiguity for a sentence like (2). They suggest that the two readings are analogues of the objective/subjective ambiguity of deontic modals, arising from a traditionally neglected information sensitivity of ability modals. However, we will see in example (8) below that possession of a transparent ability can depend on external matters of which both agent and attributer are unaware. Moreover, while the subjective reading of deontic modals is sensitive to information supplied by ‘if’-clause (as highlighted in [Kolodny and MacFarlane 2010]), the reading on which (2) is false is not: (2’) is just as false as (2).

(2’) If the combination is 448-961-5237, then Maisy can open the safe.

Third, as I’m just about to point out, the effective/transparent ambiguity arguably also affects deontic modals, where it is orthogonal to the subjective/objective ambiguity.

5 You might think the effective/transparent ambiguity is simply a *de re/de dicto* ambiguity. In (6), for example, the description ‘Eddie’s favourite piece’ can arguably take either narrow scope or wide scope over the modal ‘can’. If the description is given wide scope, as enforced in (6’), the sentence is intuitively true.

(6’) Eddie’s favourite piece is something Charley can play.

So perhaps the false reading is the reading on which the description has narrow scope. However, (2) does not contain a relevant description (‘the safe’ doesn’t help), yet it intuitively displays the same ambiguity as (3) or (6). Here it’s as if the entire verb phrase ‘open the safe’ can scope over the modal, so that (2) asserts *of opening the safe* that Maisy can do *it*. I will return to the relevance of scope in footnote 28 below.

transparent reading of ability statements is then easily explained by a non-accidentality restriction. Finally, in section 5, I will show that the resulting account defuses several prominent objections and counterexamples to the quantificational analysis of modals.

## 2 Oughts and cans

To get a better grip on the effective/transparent ambiguity, it will help to begin with a particular class of ability statements, in which the subject is an intentional agent facing a particular choice.

When we consider an intentional agent in a particular choice situation, it is natural to ask not just what the agent *can* do but also what she *ought* to do. (As a special case, we are often interested in what we ourselves ought to do.) The two questions are related. If an agent isn't able to  $\phi$ , she can't be obligated to  $\phi$ . 'Ought' implies 'can', as the saying goes.<sup>6</sup> Moreover, 'ought' plausibly implies 'can't do better': an agent ought to  $\phi$  only if there is no alternative act she could perform that is better than  $\phi$ .<sup>7</sup>

So normative judgements about what an agent ought to do are sensitive to the what the agent can do, in some relevant sense of 'can'. This gives us a job description for an analysis of 'can'. Let's see what 'can' should mean if it is to fit that normative job description.

Here is a simple picture, or model, of rational agency. Voluntary acts, we assume, are consequences of corresponding intentions or volitions. When an agent deliberates about what to do and finally reaches a decision, the direct result is not an overt act, but a volitional state – an intention, or an all-things-considered desire, to perform a certain act. Which volitional state comes about depends on the agent's desires, values, beliefs, and the process of deliberation. Other values, other beliefs, or other deliberational processes might lead to other volitional states and consequently to other acts.

Normative judgements about what an agent ought to do are generally relative to norms and facts: in light of such-and-such norms and such-and-such facts, the agent ought to  $\phi$ . Making such a judgement does not presuppose that the agent knows the relevant facts or that she adheres to the relevant norms. Thus it can easily happen that an agent ought to  $\phi$  (relative to some norms and facts) and yet chooses some alternative  $\phi'$ , because relative to her own information or her own values,  $\phi'$  is preferable to  $\phi$ . Roughly speaking, when we ask what an agent ought to do (relative to such-and-such norms and facts), we want to know what she *would* do if she were adequately responsive to the relevant reasons. Any act the agent could have performed if only she were differently motivated should

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<sup>6</sup> I will mostly ignore the difference between 'ought' and 'obligated', since it isn't relevant to my main topic.

<sup>7</sup> [Portmore 2017: ch.1] argues that any credible moral theory supports the connection between 'ought' and 'can't do better', possibly with minor caveats.

therefore count as an available option. An act is genuinely unavailable as an option only if no (reasonable) variation of the agent's volitional state would lead her to perform the act.

The parenthetical reasonability condition is meant to exclude volitional states that are in a certain sense *unavailable* to the agent. If an agent has severe arachnophobia, we may not want to say that she ought to pick up a spider, even if doing so would have desirable consequences. Here the volitional state of intending to pick up the spider is treated as unavailable. I will have a little more to say on the role of the availability restriction, but I will not try to spell out exactly what it involves.<sup>8</sup>

Let's say that an act is *under an agent's volitional control* just in case there is an available variation of the agent's volitional state that would cause her to perform the act. The picture of agency and normativity just outlined suggests that when we consider what an agent ought to do, the relevant options – what the agent can do, in the normatively relevant sense of 'can' – are precisely the acts that are under the agent's volitional control.

But things are more complicated. Return to Maisy, standing in front of the safe. On the present proposal, Maisy *can* open the safe (in the normatively relevant sense), for there is an available variation of her volitional state – namely, intending to dial 448-961-5237 – that would cause her to open the safe.

But suppose Maisy could prevent some tragedy by opening the safe. One might have thought that if an agent can perform an act that would prevent a tragedy (at no significant costs), and if no other available act would be comparably good, then the agent is obligated to perform that act. More cautiously, one might have thought that at least if an agent *knows* that she can perform an act that would prevent a tragedy etc., then she is obligated to perform the act. But on the proposed analysis of 'can', Maisy *can* prevent the tragedy by opening the safe, and she *knows* that she can. (She knows, we may assume, that some available variation of her volitional state would cause her to open the safe.) Yet it seems wrong to claim that Maisy is obligated to open the safe. We certainly wouldn't blame her if she doesn't open the safe. So when we consider what Maisy ought to do, we do not seem to count all the acts that are under her volitional control as relevant options.<sup>9</sup>

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<sup>8</sup> There are difficult normative questions here. Just how strongly must you be afraid of spiders so that picking up the spider no longer counts as an available option? Can ordinary intentions make incompatible intentions unavailable, as in Goldman's [1978] example of an agent who supposedly ought to accelerate given her ill-advised intention to change lanes? Some have argued that there are different notions of 'ought', corresponding to different rules for what is treated as available (e.g. [Timmerman and Cohen 2016]). If that is right, we might want to allow for correspondingly different notions of 'can'. The account I will develop is neutral on these questions.

<sup>9</sup> One might bite the bullet and say that Maisy is indeed obligated to open the safe, even though she isn't blameworthy for flouting the obligation. As I will argue below, this is not only counter-intuitive, it also fails to respect the "action-guiding" or "advisory" role of oughts and obligations. If you'd nonetheless prefer to bite the bullet, much of what I'm going to say could be recast in terms of a

In the previous section, I observed that sentences like (2) are ambiguous. Maisy *can* open the safe in the weaker “effective” sense, but she *can’t* open the safe in the more demanding “transparent” sense. Plausibly, if an act is under an agent’s volitional control, then the agent can perform it in the effective sense. To fit the normative job description, we need something stronger. This is, I think, where the transparent concept of ability comes into play.

The reason why Maisy can’t open the safe, in the stronger sense, is clear: she doesn’t know the combination. As a consequence, even though there is an available volition that would cause her to open the safe – namely, intending to dial 448-961-5237 – Maisy doesn’t know *which* of the available volitions would do the job. Similarly for Cyril in (3) and Charlie in (6). What Maisy, Cyril, and Charley lack is neither skill nor opportunity, but plain old information. Due to their lack of information, they don’t know what they would have to do in order to perform the desired act.

I therefore suggest the following preliminary analysis for the two readings of ‘*S* can  $\phi$ ’ (and ‘*S* is able to  $\phi$ ’). The analysis will be generalised in section 4.

### Analysis 1

- a. *S* can  $\phi$  (effectively) iff there is an available variation of *S*’s volitional state that would cause *S* to  $\phi$ .
- b. *S* can  $\phi$  (transparently) iff there is an available variation *V* of *S*’s volitional state such that (i) *V* would cause *S* to  $\phi$ , and (ii) *S* knows that *V* would cause her to  $\phi$  provided that  $\phi$ ing is under her volitional control.

I will explain the ‘provided that’ clause in a moment. First I want to explain why abilities in the sense of Analysis 1b fit the normative job description of delineating the options that are candidates for what an agent ought to do.<sup>10</sup>

Consider what is required for an agent to be under an obligation to perform a certain act. Arguably, the following two conditions are necessary (though not sufficient). First, performing the act must be under the agent’s volitional control: some possible variation of her motivational state would make her perform the act. That’s what condition (i) gives us. But more is required. In addition, the agent must know what she would have

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connection between ‘can’ and ‘blame’ rather than a connection between ‘can’ and ‘ought’.

<sup>10</sup> If ‘ought’ implies ‘can’, and the relevant sense of ‘can’ involves condition (ii) of Analysis 1b, we might expect to find a trace of that condition in the semantics of ‘ought’. Indeed, recent accounts of deontic modals have appealed to some such condition in order to give an adequate treatment of “miner” cases. For example, [Charlow 2013] suggests that the semantics of deontic modals involves an operation that filters out “non-actionable” propositions, where a proposition  $\phi$  is *actionable* iff there is some “available act” *A* such that the relevant information entails  $A \supset \phi$ . (See also [Kolodny and MacFarlane 2010: 132, fn.28].) Exploring this further would lead us too far afield.



to do in order to perform the act. Condition (ii) ensures that if an agent can  $\phi$  in the relevant sense, then she knows what she has to do in order to  $\phi$  (ignoring the proviso).

Exactly why that second condition is required depends on one's views about normativity, but here is a plausible sketch of an explanation. A central role of normative judgements is often glossed as “motivational”, “action-guiding”, or “advisory”. There is a close connection between the assumption that an agent ought to  $\phi$  and the assumption that it would be appropriate to advise the agent to  $\phi$ . But if an agent has no idea what she would have to do in order to  $\phi$ , then it would not be appropriate to tell her to  $\phi$ . If you (as an advisor) know the safe's combination, you might reasonably advise Maisy to dial 448-961-5237. Perhaps that is what Maisy ought to do in the “objective” sense of ‘ought’ – it is what she ought to do in light of all the facts. But advising Maisy to open the safe would not be reasonable, no matter how good it would be if she opened the safe. An advice only makes sense if the recipient has some idea of how she could follow the advice.

The advisory function of normative judgements also explains why there should be an “availability” restriction on the relevant motivational variations. If an agent has severe arachnophobia, there is little point in advising her to pick up a spider. When giving advice, physiological conditions that are insensitive to reason must be accepted as constraints.

Now let me explain the ‘provided that’ clause in Analysis 1b. Consider the following scenario. Dotty has been led into a room with a single door. There is nobody else in the room, the door is unlocked, and Dotty could freely leave. However, she falsely believes (for good reasons, if you want) that the door is locked. Can she open the door? Intuitively, yes. That is, (8) does not fit into the pattern of (2), (3), and (6).

(8) Dotty can open the door.

In contrast to the earlier examples, there is no relevant reading on which (8) is false. Dotty *believes* that she can't open the door, but her belief is simply false.

Yet Dotty does *not* know that willing to open the door would cause her to open the door. On the contrary, she believes that nothing she could will to do would cause her to open the door. Without the proviso in clause (ii), Analysis 1b would predict that (8) is false (on the transparent reading of ‘can’); it would falsely predict that (8) patterns with (2), (3), and (6).

The proviso gets around the problem. Dotty does not know that willing to open the door would cause her to open the door, but she plausibly does know that *if opening the door is under her volitional control, then* willing to open the door would cause her to open the door. In other words, if she were informed that she can open the door, she would know how to go about achieving that result.

Notice how the judgement about (8) depends on the assumption that there is only one door. Suppose there are three doors; Dotty believes that all of them are locked, but in



fact one is unlocked. For some reason, she can't simply try all the doors to get out: if she were to try a locked door, an alarm would ring and the unlocked door would lock as well. With these changes, Dotty no longer knows what she would have to do in order to leave the room, even on the supposition that it is in her power to leave the room. Analysis 1b predicts (correctly, I think) that Dotty no longer has the ability to leave the room in the strong, transparent sense. She can leave the room, but only by luck.

How does the proviso in Analysis 1b fit the normative job description? Again, the details depend on one's views about normativity, but superficially the proviso goes well with the advisory picture. It would not be reasonable to advise Maisy to open the safe, but it would be perfectly reasonable to advise Dotty (in the original scenario) to open the door.<sup>11</sup>

Analysis 1b is closely related to Moore's conditional analysis, so a brief comparison may be in order.<sup>12</sup> Suppose an agent has an ability to  $\phi$  in the sense of Analysis 1b. That is, some variation  $V$  of her volitional state would cause her to  $\phi$ , and she knows that  $V$  would have this effect (provided that  $\phi$ ing is under her volitional control). Under normal circumstances, trying to  $\phi$  would then cause her to  $\phi$ . So the agent also has the ability to  $\phi$  in the sense of the conditional analysis. An exception might be cases where an agent knows that she can perform a given act only by trying to perform a different act. I am not sure whether, in such a case, the agent intuitively has or lacks the relevant ability (in the transparent sense), and whether the act should count as an option for normative purposes. As it stands, Analysis 1b says the agent has the ability, while the conditional analysis says she does not.

The two accounts more obviously come apart in the other direction. It can easily happen that an agent would  $\phi$  if she tried to  $\phi$  without having the ability to  $\phi$  in the sense of Analysis 1b. Notoriously, the conditional analysis implies that arachnophobiacs can pick up spiders, or that coma patient can get up and leave their bed (see [van Inwagen 1983: 119]); Analysis 1b does not have these consequences. More tellingly perhaps, the conditional analysis faces problems with accidental success. Suppose if Maisy were to attempt to open the safe, 448-961-5237 would be the first combination she would try – by sheer luck. Would that render (2) unambiguously true? Arguably not. Or suppose Maisy in fact tries to open the safe and happens to dial 448-961-5237. Assuming that ' $A$  and  $B$ ' entails 'if  $A$  were the case then  $B$  would be the case' (a popular, although not entirely uncontested assumption in the logic of counterfactuals), the conditional analysis implies that (2) is unambiguously true. But arguably, it is not.<sup>13</sup>

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11 Of course, the advice would only be appropriate from a perspective in which it is known that the door is unlocked. Thus in a more "subjective" sense, relative to Dotty's own information, it may still not be true that Dotty ought to open the door.

12 Like Analysis 1b, Moore's analysis arguably targets the normatively relevant sense of 'can' that is implied by 'ought'. Recall that [Moore 1912] is called *Ethics*.

13 The judgement that even successful  $\phi$ ing does not entail an ability to  $\phi$  – at least in some normatively

Some have suggested that just as one can try-and-succeed without having the relevant ability, one can try-and-fail even though one has the ability.<sup>14</sup> John Austin’s classical example is of a golfer who kicks himself for missing a putt, knowing that he had the ability to hole it (under the given circumstances). That kind of case seems possible to me. Analysis 1b gets it right, provided the golfer knows what he would have had to do in order to make the putt.<sup>15</sup>

Note that in these cases, the conditional analysis not only fails to match certain linguistic intuitions. More importantly, it fails to satisfy the normative job description of identifying the acts an agent might be obligated to perform. For example, Maisy is not obligated to open the safe even if she would succeed, by chance, if she tried.

By comparison, consider another apparent problem for the conditional analysis: that it does not account for attributions of so-called “general abilities”, as in (9).

(9) Charley can play the piano.

Suppose Charley, a skilled piano player, is currently on an Arctic expedition on which he does not have access to a piano. There’s a natural sense in which (9) is true, although it is not the case that Charley would play the piano if only he tried. But this is not a problem if our target is the sense of ‘can’ (and ‘able’) that delineates an agent’s options in a given choice situation. Without access to a piano, Charley can’t be obligated to play the piano; while he is on the expedition, playing the piano is not one of his options.

What (9) illustrates is that neither Analysis 1 nor the conditional analysis will do as a fully general analysis of ability statements. This should have been obvious all along. A general analysis would also have to cover statements like (1), (4), and (5), which intuitively have nothing to do with tryings or volitions.

### 3 The quantificational canon

Let me recap where we stand. In the previous section, we looked at ability statements in which the subject is an intentional agent facing a particular choice. Such statements have a logically stronger and a weaker reading. I have proposed an analysis of the two readings, which, I argued, fits an important role of ability statements in normative contexts. However, we have also seen that the proposed analysis does not cover ability statements like (1), (4), (5), or (9), which do not concern particular choices of intentional agents.

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important sense of ‘ability’ – is common in the literature; see e.g. [Kenny 1976: 214], [Fara 2008], [Vihvelin 2013: 182], [Southwood and Wiens 2016], [Maier 2018b]. Nonetheless, it sounds odd to say that an agent is unable to  $\phi$  and yet  $\phi$ s; I will return to this observation in section 4.

<sup>14</sup> See [Austin 1961], [Fara 2008], [Vihvelin 2004: 187], [Mandelkern et al. 2017: 312]

<sup>15</sup> Must the golfer be able to identify the volitional state that would have made him succeed? See page 17.

A tempting response is to postulate further ambiguities. In the philosophical literature, it is common to distinguish between attributions of “specific abilities”, concerning an agent’s options in a given choice situation, and attributions of “general abilities” like (9) that do not imply a present opportunity to exercise the ability.<sup>16</sup> So perhaps ability modals have not two, but four meanings: the effective and transparent reading of “specific” ability modals captured by Analysis 1, a third meaning for “general” ability statements like (9), and a fourth, “circumstantial”, meaning for (1), (4), and (5).

On closer inspection, however, the effective/transparent ambiguity also arises for “general” ability statements. Statement (3), for example – that Cyril can recite the first 10 digits of  $\pi$  – is naturally understood as an attribution of a “general” ability: the statement may be true even if Cyril does not presently have an opportunity to recite the digits. But on that interpretation, the statement is intuitively still ambiguous between a (relatively trivial) effective reading and a (non-trivial) transparent reading. Similarly, the “specific”/“general” ambiguity also arises for “circumstantial” statements like (4). So we seem to have an at least six-fold ambiguity.

Things get worse if we try to spell out what some of the other meanings might be. Return to (9). When we say that someone can play the piano, we typically mean that they have certain lasting, intrinsic properties characteristic of piano players. In that sense, (9) can be true even if Charley’s arm is broken so that he is temporarily unable to exercise his ability. In another sense, however, a broken arm renders (9) false. In yet another sense, (9) can be true even if Charley has not taken any piano lessons, as long as he could acquire the capacity to play the piano with sufficient training and practice. (Imagine Charley has a disability, and we consider which musical instruments his disability would allow him to play.) Alternatively, one could use (9) to convey that Charley not only has the capacity to play the piano, but could also bring about an opportunity with reasonable effort: returning from his expedition, Charley (still in the airport) expressed how glad he was that he could now play the piano again.

So there isn’t a single “general” reading of (9). Depending on context, there seems to be an almost open-ended range of things one can express by a sentence like (9).<sup>17</sup>

Yet other uses of ‘can’ and ‘able’ don’t seem to fit into any of the above six categories. Consider (10) and (11).

(10) I can’t come to the party; I need some rest.

(11) I can’t come to the party; I have to look after my children.

These are “specific” ability statements insofar as they concern a particular choice of an intentional agent, but their most salient interpretation is not captured by Analysis 1 (or the conditional analysis).

<sup>16</sup> See e.g. [Austin 1961: 230], [Honoré 1964], [Whittle 2010], [Mandelkern et al. 2017], [Maier 2018a].

<sup>17</sup> Essentially the same point is made in [Kratzer 1981].

At this point, the strategy of postulating brute ambiguities begins to look unappealing. It is hard to believe that words like ‘can’ and ‘able’ just happen to have dozens of unrelated meanings. (And we haven’t even touched on deontic or epistemic uses of ‘can’.) At the very least, we would like to know what all these meanings have in common.

Enter the quantificational analysis of modals.<sup>18</sup> On the quantificational analysis, ‘can’ and ‘able’ belong to a larger family of modal constructions all of which are analysed in terms of quantification over a restricted domain of (“accessible”) possible worlds. An agent *can*  $\phi$  iff she  $\phi$ s at some accessible worlds; an agent *must*  $\phi$  iff she  $\phi$ s at all accessible worlds; and so on.

It is important to be clear about what the analysis is trying to achieve. Take a particular ability, such as Charley’s ability to play the Moonlight Sonata. What makes it the case that Charley has this ability? Intuitively, the grounds of Charley’s ability lie in certain physiological features relating to finger dexterity, hand coordination, muscle memory, etc. – features Charley has acquired through years of piano practice. The quantificational account instead seems to suggest that Charley’s ability is grounded in facts about other possible worlds: Charley has the ability to play the Moonlight Sonata, here in our world, because there is some other world where he (or worse, someone else, see [Lewis 1986: ch.4]) plays the Moonlight Sonata. That sounds wrong.<sup>19</sup>

Similar complaints have been raised against other applications of possible-worlds semantics, such as the Lewis-Stalnaker account of counterfactuals, or the analysis of physical necessity in terms of nomically possible worlds.<sup>20</sup> But these complaints misunderstand the aim of possible-worlds semantics. Charitably understood, the analysis in terms of possible worlds is not meant to identify the metaphysical grounds of the relevant phenomena. Nor is the analysis meant to offer a substantive reduction of the phenomena to psychologically more basic concepts. It is merely supposed to offer a useful framework for modelling the phenomena themselves. As David Lewis explains, in response to the objection that counterfactuals are made true by facts about our world, not by facts about other worlds:

[It] is indeed the character of our world that makes the counterfactual true. But it is only by bringing the other worlds into the story that we can say in any concise way what character it takes to make what counterfactuals true. The other worlds provide a frame of reference whereby we can characterise our world. [Lewis 1986: 22]

In the quantificational analysis of ‘can’ and ‘able’, all the substance lies in the accessibility relation. Typically, accessibility is a matter of preserving certain features of the

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<sup>18</sup> The following review will be superficial on linguistic details. For more in-depth surveys, see e.g. [Portner 2009], [Hacquard 2011], [Kratzer 2012].

<sup>19</sup> See e.g. [Vetter 2013] for this kind of complaint.

<sup>20</sup> See also Kripke’s objection to Lewis’s analysis of ‘Humphrey could have won’ in [Kripke 1980: 44f.].

agent and her environment. When we say that Charley can play the Moonlight Sonata, for example, we hold fixed Charley’s finger dexterity, muscle memory, etc. It is Charley’s possession of these features, here in our world, that explains why he plays the Moonlight Sonata in some accessible world and therefore why he *can* play the Moonlight Sonata back in our world. As in the case of counterfactuals, the other worlds merely provide a convenient frame of reference for characterising the actual world.

What is convenient about the quantificational analysis becomes apparent once we look at more examples and a wider range of contexts. Recall the many things one could convey by (9). Sometimes a broken arm is held fixed, sometimes it isn’t. Sometimes lack of training and practice is held fixed, sometimes not. Sometimes circumstantial absence of a piano is held fixed, sometime not. Metaphysically, there is little all these readings of (9) have in common – and there is even less they have in common with (5), (8), or (10). By refraining to give a substantive analysis, the quantificational analysis is able to bring out a common theme.

“Typically”, I said, a world counts as accessible iff it preserves certain features of the agent and her environment. (10) and (11) are exceptions. Here it is not the *existence* of the need or obligation that is incompatible with coming to the party, but their *fulfilment*. The standard way to handle this, due to Kratzer, assumes that the accessible worlds are restricted by a combination of a *modal base*, holding fixed certain facts about the agent and her environment, and an *ordering source* which ranks the worlds in the modal base.

Formally, the classical quantificational semantics of ‘can’ looks roughly as follows.<sup>21</sup>

$$[[\text{can}]]^{w,R,\leq} = \lambda P_{\langle s, \langle e, t \rangle \rangle} \lambda x_e. \exists w' (wRw' \wedge \forall w'' (wRw'' \rightarrow w'' \leq w') \wedge P(w)(x) = 1).$$

Here,  $w$  is the world of evaluation,  $R$  is a contextually supplied relation encoding the facts about  $w$  that are held fixed, and  $\leq$  is a contextually supplied partial order that ranks  $w'$  above  $w''$  just in case  $w''$  better satisfies relevant norms, desires, or other kinds of standards. (If no standards are salient, all worlds are ranked equally.) ‘ $S$  can  $\phi$ ’ is true at  $w$  iff  $S$   $\phi$ s in some of the highest-ranked worlds  $R$ -related to  $w$ .

The quantificational analysis not only brings out a common theme in ability statements; it also explains how the ability sense of ‘can’ is related to the ‘can’ of permission (‘can I leave now?’), the epistemic ‘can’ (‘you can’t have seen her, she’s overseas’), and

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<sup>21</sup> For simplicity, I assume that ‘can’ is of type  $\langle \langle s, et \rangle, et \rangle$ , taking as argument a verb phrase that denotes a property  $P$ ; Kratzer instead assumes a “raising” analysis on which ‘can’ is of type  $\langle st, t \rangle$ . The raising analysis helps to account for “participant-external” uses of ‘can’ like (12) and (13) below, where ‘can’ scopes above tense and aspect. Genuine ability modals, however, are always “participant-internal”, scoping below tense and aspect. Whether separate lexical entries are required for participant-external and internal modals is an open question. See [Brennan 1993] and [Hacquard 2010] for relevant discussion. I have also omitted some other subtleties in Kratzer’s account that are irrelevant to the present topic.

“participant-external” uses of the circumstantial ‘can’ in statements like (12) and (13) that can’t be paraphrased with ‘able’ (in contrast to all other examples in this paper).

(12) The statue on that ledge can easily fall.

(13) It can take years to earn someone’s trust.

On the quantificational account, all of these involve existential quantification over possible worlds. Necessity modals like ‘must’ are similarly analysed in terms of universal rather than existential quantification. Thus we can explain why, say, ‘I must sneeze’ is equivalent to ‘it is not the case that I can not sneeze’. More interestingly, the quantificational account gives an attractive explanation of the interaction between ‘can’ and ‘if’ in sentences like ‘I can dance if nobody is watching’, where, on the most natural interpretation, the if-clause has neither wide scope nor narrow scope, but rather seems to restrict the set of worlds over which the modal quantifies (see [Kratzer 1986], compare [Horgan 1979: 350]). The account also explains why ‘ought’ implies (a specific kind of) ‘can’: if  $S$   $\phi$ s in the normatively best of the contextually accessible worlds – as ‘ $S$  ought to  $\phi$ ’ states – it follows that  $S$   $\phi$ s in some contextually accessible worlds – as ‘ $S$  can  $\phi$ ’ states.

In sum, the popularity of the quantificational analysis in formal semantics is not a historical accident. If we wanted to trade the quantificational analysis of (2) for, say, a conditional analysis, we would need an explanation of what this conditional sense of ‘can’ has to do with the obviously related sense of ‘can’ in (7), (9), (10), and (11), let alone (1), (5), and (12). We would also need a new explanation for the connections between ‘can’, ‘must’, and ‘ought’, for the interactions between ‘can’ and ‘if’, and for a long list of other phenomena I haven’t even mentioned.

On the other hand, the quantificational analysis of ability modals seems to face a number of decisive objections. One of these is the problem I mentioned in the introduction (we will turn to others in section 5): the quantificational analysis does not seem to capture the transparent reading of sentences like (2), (3), and (6). Let me go through the problem again.

Maisy is standing in front of a safe, whose combination – unbeknown to Maisy – is 448-961-5237. Intuitively, (14a) is true while (14b) is false (on its stronger, transparent reading).

- (14) a. Maisy can dial 448-961-5237.
- b. Maisy can open the safe.

The quantificational analysis easily accounts for the truth of (14a): since Maisy has the skill and opportunity (although possibly not the motivation) to dial 448-961-5237, there are accessible worlds where she dials that combination. But then how could there fail to be accessible worlds where Maisy opens the safe, so that (14b) comes out false? We would have to assume that when we evaluate (14a), worlds where Maisy opens the safe

by dialling 448-961-5237 are accessible, but these same worlds become inaccessible when we evaluate (14b). But why? What other worlds become inaccessible when we evaluate (14b)? Does Maisy not try any combination at all in the accessible worlds? Why not? Also, why do the worlds that render (14a) true suddenly become accessible again for the evaluation of (14b) if Maisy gains information about the safe’s combination?

Of course, ‘accessibility’ is a technical term. The real question is what facts we might be holding fixed when we judge (14b) to be false. As we saw in section 2, it is natural in these kinds of cases to hold fixed an agent’s general physiology and macroscopic environment – because these are insensitive to reason – while allowing her volitional state to vary. But that would render (14b) true. Indeed, whatever facts we hold fixed, the actual world itself will always come out as accessible (from itself). Yet in section 2 we saw that on the normatively relevant reading, ‘*S* can  $\phi$ ’ may be false even if *S* actually  $\phi$ s. So we would have to invoke an ordering source. Perhaps worlds at which Maisy performs an act by luck or accident are ranked lower because these acts don’t conform to the ideal of intentional agency? The problem is that any world at which Maisy intentionally dials 448-961-5237 is a world at which she unintentionally opens the safe. So we would falsely predict that (14a) comes out false.

This is not an impossibility proof. Indeed, in the next section, I will show that one could in principle account for the transparent reading of (14b) in terms of accessibility. But we would have to give up the idea that accessibility is a matter of holding fixed relevant facts about the agent and her environment, as well as the satisfaction of relevant ideals.

Fortunately, there is a simpler and more intuitive way to account for the transparent reading of ability modals within the quantificational model. The transparent reading, I suggest, arises not from a restriction on the accessible worlds, but from a restriction on the evaluation of the prejacent among the accessible worlds.

## 4 Restricting the prejacent

When we talk about whether someone can or cannot  $\phi$ , we sometimes have in mind a particular way of  $\phi$ ing. Consider a well-known puzzle from action theory. Tallulah’s left arm is paralysed. So (15) is, intuitively, false.

(15) Tallulah can move her left arm.

But suppose Tallulah’s right arm is not paralysed. As a consequence, she *can* move her left arm, the way she can move a cup on the table: by grabbing it with her right arm. That’s not the kind of moving we have in mind when we deny (15). When we judge that Tallulah can’t move her left arm, we mean that she can’t move her left arm “actively”, using the muscles in the arm. On its most salient interpretation, the truth of (15) thus



seems to require not just that there are accessible worlds at which Tallulah moves her left arm, but that there are accessible worlds at which she moves the arm “actively”. Holding fixed Tallulah’s paralysis, there are no such worlds. Hence (15) is false.

Another puzzle that points in the same direction concerns the quality threshold for ability attributions. Assume it took Charley many years of practice to become good at playing the piano. At what point along this journey did (9) (‘Charley can play the piano’) become true? Even before his first lesson, Charley was able to produce sounds by pushing the keys on a piano. But this is usually not what we have in mind when we say that someone can (or cannot) play the piano. We mean that they can (or cannot) play *reasonably well*. And what that means depends on the conversational context.

Simplifying a little, let’s imagine that every instance of piano playing can be assigned a scalar *performance level* taking into account both the difficulty of the piece and the quality of the play.<sup>22</sup> We might then explain the context-dependence of (9) by assuming that context fixes a minimal performance level so that (9) counts as true iff there are accessible worlds at which Charley plays the piano at a level that exceeds the threshold.<sup>23</sup>

So there is evidence that when we evaluate ‘*S* can  $\phi$ ’, conversational context can restrict what counts as a relevant act of  $\phi$ ing among the accessible worlds. This, I suggest, is also how the transparent reading of ability statements comes about.

Return once more to Maisy in front of her safe. Since Maisy can dial 448-961-5237, by ordinary standards there will be accessible worlds at which she opens the safe. But in all these worlds she opens the safe by luck. When we judge that Maisy can’t open the safe, we don’t mean that she can’t open the safe by luck. We mean that she can’t open it in the deliberate, controlled, conscious, non-accidental way in which she could open the safe if she knew the combination.

What exactly is that way? Consider what would change if Maisy knew the combination. Surveying the worlds that would result from some variation of her volitional state, we would then find not just worlds where she opens the safe by luck, but worlds where she *knowingly* opens the safe – that is, where she opens the safe while knowing that she is opening the safe.

However, imagine Maisy (not knowing the safe’s combination) is wired to a brain scanner that would immediately signal to her that she is about to open the safe once it detects an intention to dial 448-961-5237. In this scenario, Maisy knowingly opens the safe in any relevant world where she forms the intention to dial 448-961-5237. Yet intuitively, the presence of the brain scanner makes not difference to the falsity of (2).

So it matters *how* Maisy comes to know that she is opening the safe in the accessible

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<sup>22</sup> The simplification is that these two aspects, and various sub-aspects in which they could be decomposed, can be measured on a single scale. I also ignore the obvious vagueness in (9).

<sup>23</sup> The present puzzle is related to yet another puzzle about ability modals: their apparent gradability, as indicated by locutions such as ‘more able’, ‘equally able’, ‘can very much’, or ‘can a little’.

worlds. Loosely speaking, if Maisy knew the combination, she would know that she will open the safe without drawing on further information from the world. As Elisabeth Anscombe [1957: sec.8] memorably put it, her decision to open the safe would give her “knowledge without observation”.

I therefore suggest the following analysis.

## Analysis 2

- a.  $S$  can  $\phi$  (effectively) iff there are accessible worlds where  $S \phi$ s.
- b.  $S$  can  $\phi$  (transparently) iff there are accessible worlds where  $S \phi$ s *transparently*.

$S \phi$ s *transparently* iff  $S \phi$ s as a result of a volitional state that warrants believing that she will  $\phi$  provided that  $\phi$ ing is under her volitional control.

The ‘provided that’ clause mirrors the ‘provided that’ clause in Analysis 1b. Remember (8): Dotty can open the door (transparently) even though no decision Dotty could make would, by itself, warrant believing that she will open the door. Nonetheless, a decision to (try to) open the door would warrant believing that *if she can open the door*, then she will open the door. By Analysis 2b, this is enough to render (8) true.

In contrast to Analysis 1, Analysis 2 leaves open how the accessible worlds are determined. In the kinds of cases we studied in section 2, where we consider what an agent should do in a given choice situation, a world is accessible iff it is under the agent’s volitional control – that is, iff it would result from some available variation of the agent’s volitional state.<sup>24</sup> In other cases, other standards are relevant. (3), for example, allows for a similar range of uses as (9), depending on which facts about Cyril and his environment we hold fixed. Each of these uses has an effective and a transparent reading.

Even if the standards for accessibility are fixed by what’s under the agent’s volitional control, Analysis 2b is not fully equivalent to Analysis 1b. For one thing, Analysis 1b seems to require that the agent can somehow pick out in advance the volitional state(s) that would make her  $\phi$ ; Analysis 2b does not. Moreover, while Analysis 1b requires knowledge, Analysis 2b only requires having a warrant for (true) belief. Among other things, the two analyses therefore give different verdicts about Gettier cases: if you’re looking at a stopped clock that happens to show the correct time, and you have reason to believe the clock works, Analysis 2b says that you have the (transparent) ability to tell the time, while Analysis 1b says that you don’t. In these respects, it seems to me that Analysis 2b is superior to Analysis 1b, but I won’t argue the point.

In fact, I am not particularly wedded to the precise definition of transparency in Analysis 2b. My suggestion is that ‘ $S$  can  $\phi$ ’ is true on its transparent reading iff there

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<sup>24</sup> Here I’m assuming that there is always a single world that would determinately result from any available volitional state. This is arguably an idealisation.

are accessible worlds at which  $S$   $\phi$ s *in a particular way* – a way that plays an important role in normative contexts. The above is my attempt to spell out that way, but I would not be surprised if it needs revisions or amendments.

I have used an artificial adverb ‘transparently’ in Analysis 2b, but it may well turn out that the relevant restriction matches some more familiar concept. For example, it may be worth exploring whether abilities in the transparent sense can be analysed as abilities to *intentionally* perform the relevant act (in some ordinary sense of ‘intentionally’). According to one influential tradition in action theory, intentional action is distinguished precisely by the agent having non-observational knowledge of what she is doing (see e.g. [Anscombe 1957], [Velleman 1989], [Setiya 2008]). However, whether this is true or false is not important to my proposal, so I will leave the question open.

Another question still needs to be addressed. I have argued that when we evaluate ‘ $S$  can  $\phi$ ’, conversational context can restrict what counts as an instance of  $\phi$ ing among the accessible worlds. “Passive” arm movements are excluded in (15), inept piano performances in (9), and non-transparent safe openings in (2). But how exactly does context achieve this? What is the underlying linguistic mechanism?

Technically, we could include the restriction in the accessibility relation. Note that there are  $R$ -accessible worlds at which an agent  $\phi$ s  $\psi$ ly just in case there are  $R^*$ -accessible worlds at which the agent  $\phi$ s, where  $R^*$  is defined (for example) as follows:<sup>25</sup>

$$wR^*w' \Leftrightarrow wRw' \text{ and the agent } \phi \text{ s } \psi \text{ly at } w'.$$

So we could in principle get the transparent reading of ‘can’ statements by applying the  $*$  operation to the standard, Kratzerian accessibility relation determined by relevant facts and standards.

This “explanation” basically relocates the requested mechanism into the wastebasket of pragmatics. Somehow or other, it assumes, conversational context may settle that the  $*$  operation is applied to the relevant facts and standards. Note also that if  $R^*$  is the accessibility relation, then ‘ $S$  can  $\phi$ ’ is true iff there are accessible worlds, full stop. We don’t have to require that the agent  $\phi$ s at some accessible worlds, since the definition of  $R^*$  already ensures that.<sup>26</sup> Clearly, accessibility is here doing too much work.

An alternative explanation would assume that the relevant verb phrases are themselves ambiguous, so that the effect has nothing to do with modals. On that approach, ‘open the safe’, for example, can mean either *open the safe transparently* or *open the safe in some way or other*. We get the transparent reading of (2) if conversational context makes

<sup>25</sup> Other definitions of  $R^*$  would also work, but none of them, I think, is much better than the one I chose.

<sup>26</sup> As a corollary, if we assume that the effective/transparent ambiguity also arises for necessity modals (as I argued on p.4), and that the same accessibility relation is often available for both types of modals (as seems required to explain various entailment facts), we get the false prediction that the transparent ‘can’ is equivalent to the transparent ‘must’.

the first reading salient. To handle (15) and (9), we would similarly have to assume that ‘move’ is ambiguous between *move actively* and *move actively or passively*, and that ‘play the piano’ has a variety of readings with different degrees of demandingness.

An advantage of this approach is that it would nicely explain the oddity of statements like (16).

(16) Maisy wasn’t able to open the safe, but she did open the safe.

According to Analysis 2b, an agent can lack a transparent ability even if she accidentally performs the relevant act. (In section 2, I argued that this is in line with the normative job description for transparent abilities.) So (16) should sound fine. But it does not. On the present proposal, this could be explained by assuming that the ambiguity in ‘open the safe’ is resolved the same way in both parts of (16), so that (16) is ambiguous between (17a) and (17b), both of which are contradictory on the quantificational analysis (in the absence of an ordering source).<sup>27</sup>

- (17) a. Maisy wasn’t able to open the safe transparently, but she did open the safe transparently.
- b. Maisy wasn’t able to open the safe in some way or other, but she did open the safe either in some way or other.

However, there are other explanations for the infelicity of (16). For example, [Hacquard 2009], drawing on [Bhatt 2006], argues that the perfective aspect in (16) effectively restricts the domain of accessible worlds to the singleton of the actual world, rendering ‘can  $\phi$ ’ equivalent to ‘ $\phi$ ’, and ‘can’t  $\phi$ ’ equivalent to ‘not  $\phi$ ’.

In any case, one would like to see independent evidence for the supposed ambiguities. Indeed, the present proposal implies that (18) has a false reading if Maisy opened the safe by luck, not knowing the combination.

(18) Maisy opened the safe.

As far as I can tell, such a reading does not exist. Even if Maisy only opened the safe by luck, (18) is unambiguously true.

Instead of postulating lexical ambiguities, one might appeal to a pragmatic or semi-pragmatic mechanism. Gricean implicatures don’t seem pertinent, but a process of “free enrichment”, as conjectured by [Hall 2008], [Carston 2010], and [Recanatini 2013], among others, could do the job. These authors have argued that when we process utterances, we often supplement the uttered sentence by unarticulated constituents that don’t have to be pronounced because they can be taken for granted in the relevant context. Thus one might suggest that a sentence like (2) is naturally “enriched” to ‘Maisy can open the

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<sup>27</sup> Thanks to Brian Rabern for drawing my attention to the present point.

safe transparently’, whereas (for some reason) no corresponding enrichment is possible for (18).

Here is another idea. On Kratzer’s account, ability modals have two contextual parameters, one supplying a modal base, the other an ordering source; together, these determine the sphere of accessible worlds. Perhaps we need an additional parameter that supplies a relevant way of performing an act: actively, transparently, etc. The full semantics of ‘can’ would then look roughly as follows, with a new parameter  $\psi$  of adverbial type:

$$[[\text{can}]]^{w,R,\leq,\psi} = \lambda P_{\langle s, \langle e, t \rangle \rangle} \lambda x_e. \exists w' (wRw' \wedge \forall w'' (wRw'' \rightarrow w'' \leq w') \wedge \psi(P)(w)(x) = 1).$$

Semantically, the modifier  $\psi$  is simply passed on to the embedded verb phrase:  $S$  can<sup>actively</sup>  $\phi$  iff  $S$  can perform the act of actively  $\phi$ ing.

I have to leave a more thorough investigation of these and other possible mechanisms for another occasion. Whatever the underlying linguistic mechanism, I hope I have made it plausible that (a) the transparent reading of ‘can’ statements might arise through a contextual restriction on the interpretation of the embedded verb phrase, and (b) postulating such a restriction is not entirely ad hoc, as the same kind of mechanism arguably explains the context-sensitivity of (15) and (9).<sup>28</sup>

## 5 Defending the canon

I now want to briefly revisit some objections that have been raised against the quantificational analysis of ability modals. As we will see, they all involve the transparent sense of ability and are easily answered by the tweaked version of the quantificational analysis that I have outlined.

The first objection might be called the *no-fluke argument*. (It is related to an objection to the conditional analysis discussed in section 2.) On the quantificational analysis, an agent has the ability to  $\phi$  as long as she  $\phi$ s in some accessible world. Often, however, an agent intuitively lacks the ability to  $\phi$  even if her skills and the relevant environmental circumstances are compatible with her  $\phi$ ing. To use the standard example from [Kenny 1976], suppose Betsy is a novice dart player who can barely hit the board. If we look at accessible worlds where Betsy attempts to hit the bullseye, we find the dart landing all over the place. In a few of these worlds (loosely speaking), the dart happens to hit the

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<sup>28</sup> In footnote 5, I mentioned another possible mechanism: scope. I argued that this explanation would not be sufficiently general. But we can now see why scope does play a role – for example, why (6) is intuitively true if ‘Eddie’s favourite piece’ is given wide scope. According to Analysis 2, the wide-scope statement is true (on its transparent reading) iff, informally speaking, there is some  $x$  such that (a)  $x$  is Eddie’s favourite piece, and (b) Maisy has the ability to play  $x$  transparently. Is there such an  $x$ ? Yes: the Moonlight Sonata.

bullseye. The quantificational analysis therefore seems to imply that (19) is true. But intuitively, it is not.

(19) Betsy can hit the bullseye (on the next throw).

Relatedly, as I mentioned in section 2, it has often been suggested that even actual performance of an act does not establish an ability to perform the act. The general point is that abilities like the one attributed in (19) seem to require a kind of robustness: it shouldn't be a mere fluke that the agent succeeds if she tries.<sup>29</sup>

In response, we need to distinguish the effective and the transparent reading of (19). On its effective reading, (19) is plausibly true: it is in Betsy's power to do something that amounts to hitting the bullseye. This sense is usually trivial and therefore not very salient, but it can be made salient by supplying more context. For example, imagine Betsy has been in an accident and is slowly regaining the ability to move her arm. We discuss how far she could throw a dart in her present state. More precisely, we discuss which points on the wall she could reach if she were to throw a dart from where she is standing. Any point above a height of 3 meters on the wall is ruled out, but points below 2 meters within a certain radius are not. If the bullseye falls in that region, we could truly utter (19).

In normal contexts, however, (19) would be understood transparently, and this reading really does call for a revision to the classical quantificational analysis. On the account I have outlined, (19) is true iff there are accessible worlds at which Betsy hits the bullseye transparently. To transparently hit the bullseye, Betsy would need to have reason to believe that she will hit the bullseye merely on the basis of a relevant decision. By normal accessibility standards, there won't be any such worlds. So (19) comes out false.

In general, the transparency condition in Analysis 2b ensures that the relevant success events aren't mere flukes. But note that the no-fluke requirement is epistemic: by Analysis 2b, if an agent has a transparent ability, then *from her own epistemic perspective* it is not an accident if she succeeds. It is not required that the agent succeeds across a whole range of worlds, under varying environmental circumstances. The difference shows up in unusual cases like the following. Suppose Betsy has been informed by a time-traveller that if she will throw the next dart, then she will hit the bullseye. Arguably, that renders (19) true, even on its transparent reading. So a transparent ability to hit the bullseye does not require general skill or success across a range of circumstances.

A second, though related, objection to the quantificational account is what I'll call the *argument from general abilities*. (19) attributes a "specific" ability, talking about what Betsy can do in her present circumstances. Now we focus on "general" ability statements in which the present circumstances are not held fixed. The objection is

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<sup>29</sup> Several alternatives to the quantificational account have been developed around this intuition; see e.g. [Nowell Smith 1960], [Brown 1988], [Greco 2007], [Vihvelin 2013], [Jaster 2016], [Maier 2018b].

that whatever we say about specific abilities, general abilities surely require more than successful performance at a single accessible world.

For example, consider (3): ‘Cyril can recite the first 10 digits of  $\pi$ ’. As mentioned earlier, this is naturally understood as attributing a “general ability”. Moreover, when we say that someone can recite the first 10 digits of  $\pi$ , we normally mean that they can do so systematically, whenever the need arises – in good weather and in bad weather, in the morning and in the evening.

In response, we again need to distinguish the effective reading and the transparent reading of the relevant statements. The objection is most plausible for the transparent reading, which is also more salient for sentences like (3). On the account I have outlined, an agent has the transparent ability to recite the first 10 digits of  $\pi$  just in case there is at least one accessible world at which she transparently recites the digits. Suppose there is such a world. In that world, the agent knows that she is reciting the first 10 digits of  $\pi$  merely on the basis of her decision to utter a certain sequence of sounds. That is, she knows that uttering those sounds would amount to reciting the first 10 digits of  $\pi$ . How could she know this? Most likely because she knows what the first 10 digits of  $\pi$  are. So if the transparent reading of (3) is true, then there are accessible worlds at which Cyril knows the first 10 digits of  $\pi$ .<sup>30</sup> But whether or not an agent knows the first 10 digits of  $\pi$  is plausibly one of the aspects of the actual world that we normally hold fixed when we evaluate statements like (3). That is, if Cyril doesn’t actually know the first 10 digits of  $\pi$ , then he also doesn’t know them in any accessible world.<sup>31</sup> The hypothesis that an agent transparently recites the first digits of  $\pi$  in some accessible world therefore implies that she knows what these digits are in *all* accessible worlds. And this, in turn, implies that across the accessible worlds, the agent almost always succeed to recite the first 10 digits of  $\pi$  when the need arises, irrespective of the weather or the time of the day.

The upshot is that the account I have outlined vindicates the intuition that “general abilities” like the one attributed in (3) imply successful performance across a wide range of trying circumstances, without explicitly putting this into the semantics.

To see why the generality shouldn’t be built into the semantics, consider a variant of (7):

(20) Usain Bolt can run 100 meters in under 10 seconds.

It is safe to assume that Bolt is not *right now* in a position to run 100 meters in under 10 seconds. Perhaps he has only just woken up, has just eaten, is in an airplane, is not wearing his running gear, or whatever. The sense in which (20) is true (or was true

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<sup>30</sup> This ignores the proviso in Analysis 2b. In fact, according to Analysis 2b, it would suffice for the truth of (3) if (say) Cyril gives credence 0.4 to the digits being 3, 1, 4, 1, 5, 9, 2, 6, 5, 3 and much lower credence to every other possibility. That seems correct to me.

<sup>31</sup> As usual, the standards depend on context: ‘Can you recite the first 10 digits of  $\pi$  for this video I’m making?’ – ‘Sure, if you tell me what they are.’



until recently) is therefore a “general” sense. However, unlike (3), (20) does not imply successful performance across a wide range of circumstances. On the contrary, it is enough if there are a few, fairly special conditions under which Bolt can run 100 meters in under 10 seconds. The hypothesis that “general abilities” require success across a variety of circumstances would thus get (20) wrong. The account I have outlined gets it right: holding fixed Bolt’s general physiology and strength, but possibly varying his environment, his state of alertness, etc., we find *some* worlds at which he runs 100 meters in under 10 seconds – including some in which he knows from the outset that he is going to achieve this goal. That is enough to render (7) true, even on its transparent reading.<sup>32</sup>

Let me turn to a third objection, due to [Kenny 1976]: the *argument from the failure of K*. Imagine a well-shuffled deck of cards, lying face-down on the table. Can you draw a card that’s either red or black? Sure: any card will do. But can you specifically draw a red card, in a single try? Arguably not. Nor can you specifically draw a black card. So (21a) seems to be true while (21b) and (21c) are false.

- (21) a. You can draw a red or black card.
- b. You can draw a red card.
- c. You can draw a black card.

The problem is that if ‘can’ is an existential quantifier over accessible worlds, then ‘can( $\phi$  or  $\psi$ )’ should entail ‘can( $\phi$ ) or can( $\psi$ )’: if there are no accessible worlds where you draw a red card, and none where you draw a black card, how could there be accessible worlds where you draw a card that’s either red or black?

You can probably anticipate my response. (21a)–(21c) are ambiguous between an effective reading and a transparent reading. Since the effective reading is trivial for (21b) and (21c), the transparent reading is more salient.<sup>33</sup> Moreover, on the account I have proposed, the transparent reading of ‘can’ does not distribute over disjunction: there

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<sup>32</sup> Other mechanisms might also play a role to explain the the apparent robustness requirement in certain “general” ability statements. For example, the claim that steel can withstand temperatures of 1000 °C, might be understood to mean that steel can withstand such temperatures *under otherwise normal conditions*, even if the actual utterance context is non-normal. Here a stereotypical ordering source seems to be in play (compare [Kratzer 1981: 60f.]). Or suppose you can only play the piano in a dark and quiet room at temperatures below freezing, and you know that I am looking for a pianist to entertain a group of children. Here it would be misleading to say, without further qualification, that you can play the piano, even if what you say is literally true.

<sup>33</sup> Without further context, arguably neither reading is definitely favoured – especially in the presence of (21a), which is trivial on either reading. This might explain why some authors have resisted Kenny’s argument, suggesting that (21b) and (21c) are actually true.

In my own experience, the longer I think about sentences like (21b) and (21c), or indeed (2), (3), or (6), the more I become inclined to say that they are true. For some reason, the effective reading seems to become more salient. If the transparent reading arises through a contextual restriction on the interpretation of the prejacent, this might be an instance of “accommodation” (see [Lewis 1979]): it is generally easier to lift a contextual restriction than to re-impose it.

may well be accessible worlds where you  $\phi$ -or- $\psi$  transparently (knowing that you  $\phi$ -or- $\psi$ ), even though there are no accessible worlds where you  $\phi$  transparently nor worlds where you  $\psi$  transparently. So (21b) and (21c) can be false while (21a) is true.

Finally, the *argument from hyperintensionality*. Intuitively, (22a) is true while (22b) is false.

- (22)    a. Cyril can recite the sequence 3, 1, 4, 1, 5, 9, 2, 6, 5, 3.  
           b. Cyril can recite the first 10 digits of  $\pi$ .

The prejacent in (22a) and (22b) are (necessarily, and a priori) equivalent: there is no possible world at which anyone recites 3, 1, 4, 1, 5, 9, 2, 6, 5, 3 without reciting the first ten digits of  $\pi$ . But if the set of possible worlds where an agent  $\phi$ s is identical to the set of worlds where she  $\psi$ s, the quantificational analysis implies that the agent has the ability to  $\phi$  just in case she has the ability to  $\psi$ . So how can (22a) and (22b) differ in truth-value?

Again, you can probably anticipate my response. On their (non-salient) effective reading, (22a) and (22b) really do stand and fall together. But on their more salient transparent reading, they can come apart. The hyperintensionality comes from the hyperintensionality of warrant and belief: if Cyril does not know the first digits of  $\pi$ , there can be accessible worlds at which he transparently recites 3, 1, 4, 1, 5, 9, 2, 6, 5, 3 even though there no worlds at which he transparently recites the first 10 digits of  $\pi$ .

## 6 Conclusion

Let me summarize what I have tried to show. I have argued that ability statements are ambiguous between a stronger “transparent” reading and a weaker “effective” reading. The classical quantificational analysis only accounts for the effective reading. Accordingly, as we’ve just seen, many objections and counterexamples to the analysis involve ability statements for which the transparent reading is more salient.

I have also argued that the quantificational approach should not be given up lightly. Thus I have resisted the postulation of a special “agentive” sense of modals that calls for a non-quantificational analysis. Instead I have suggested that both the effective and the transparent reading can be accounted for in the quantificational model if we allow conversational context to impose restrictions on what counts as a performance of the relevant act among the accessible worlds. Specifically: on the transparent reading, an agent has the ability to  $\phi$  iff there are accessible worlds at which she  *$\phi$ s as the result of a decision that warrants believing that she will  $\phi$ , provided  $\phi$ ing is under her volitional control*.

Why should we be interested in this peculiar concept of ability? Because it plays a central role in normative contexts, when we consider what an agent in a given choice

situation ought to do. Informally, an act is a candidate for being obligatory only if the agent knows what she would have to do in order to perform the act. The transparent concept of ability, I have argued, is tailored to this normative job description.

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