

# A Behavioral Type System for Memory-Leak Freedom

## 1. abstract

We extend a behavioral type system with dependent types for a program language with manual memory management primitives. The extended type system describes more precise behavior information for a program, which not only can abstract behavior of a program but also deal with path-sensitive statement. By using our current type system with another safe memory deallocation tools, we can even guarantee memory-leak free for nonterminating programs.

## 2. Introduction

Manual memory mangagement primitives (e.g. `malloc` and `free` in C language) are a very flexible way to manage computer memory cells. We can write a program which dynamically allocates a memory cell during running and deallocates a memory cell when it is no longer used. However, manual memory management primitives often cause hard-to-find problems, for example, double frees (`free` a deallocated memory cell), memory leaks (forget to deallocate memory cells) and illegal accesses to a dangling pointer. Therefore, many static verification methods have been proposed to guarantee safe memory deallocation. They prove *partial* memory-leak freedom: if a program terminates, all the memory cells are safe deallocated. As we know that nonterminating programs are very common in real-world programmings such as Web servers and operating systems. To guarantee *total* memory-leak freedom, if a program does not consume unbounded number of memory cells during execution, is a very crucial issue.

## 3. Language $\mathcal{L}$

In this section we define an imperative language  $\mathcal{L}$  with memory allocation and deallocation primitives, and for simplification we only use pointers as values.

The syntax of the language  $\mathcal{L}$  is as follows.

$x, y, z, \dots$ (variables)	$\in$	<b>Var</b>
$s$ (statements)	$::=$	$\text{skip} \mid s_1; s_2 \mid *x \leftarrow y \mid \text{free}(x)$ $\mid \text{let } x = \text{malloc}() \text{ in } s \mid \text{let } x = \text{null in } s$ $\mid \text{let } x = y \text{ in } s \mid \text{let } x = *y \text{ in } s$ $\mid \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2 \mid f(\vec{x})$ $\mid \text{const}(*x)s \mid \text{endconst}(*x)$
$d$ (proc. defs.)	$::=$	$\{f \mapsto (x_1, \dots, x_n)s\}$
$D$ (definitions)	$::=$	$\langle d_1 \cup \dots \cup d_n \rangle$
$P$ (programs)	$::=$	$\langle D, s \rangle$
$E$ (context)	$::=$	$E; s \mid []$

**Notation**  $\vec{x}$  is for a finite sequence  $\{x_1, \dots, x_n\}$ , where we assume that each element is distinct;  $[\vec{x}'/\vec{x}]s$  is for a term obtained by replacing each free occurrence of  $\vec{x}$  in  $s$  with variables  $\vec{x}'$ ; the  $\text{Dom}(f)$  is a mapping from function name  $f$  to its domain; for a map  $f$ , the  $f\{x \mapsto v\}$  and  $f \setminus x$  are defined as follows:

$$f\{x \mapsto v\}(w) = \begin{cases} v & \text{if } x = w \\ f(w) & \text{otherwise.} \end{cases}$$

$$(f \setminus x)(w) = \begin{cases} \text{undefined} & \text{if } x = w \\ f(w) & \text{otherwise.} \end{cases}$$

and  $\text{filter}_C(C, *x)$  is defined by a pseudocode as follows:

$$\begin{aligned} \text{filter}_C(C, *x) &= \text{let } C' = C - \text{const}(*x) \text{ in} \\ &\quad \text{if } \text{const}(*x) \in C' \text{ then return } C' \\ &\quad \text{else return } C' \setminus \{\text{null}(*x), -\text{null}(*x)\} \end{aligned}$$

The **Var** is a countably infinite set of *variables* and each variable is a pointer. The statement **skip** means "does nothing". The statement  $s_1; s_2$  is a sequential execution of  $s_1$  and  $s_2$ . The statement  $*x \leftarrow y$  updates the content of cell which is pointed to by  $x$  with the value  $y$ . The statement **free**( $x$ ) deallocates a memory cell which is pointed to by pointer  $x$ . The statement **let**  $x = e$  **in**  $s$  evaluates the expression  $e$ , binds  $x$  to the result, and executes  $s$ . The expression **malloc**() allocates a new memory cell. The expression **null** evaluates to the null pointer. The expression  $*y$  means dereferencing a memory cell pointed to by  $y$ . The statement **ifnull** ( $*x$ ) **then**  $s_1$  **else**  $s_2$  executes  $s_1$  if  $*x$  is **null** and executes  $s_2$  otherwise. The statement  $f(\vec{x})$  expresses a procedure  $f$  with arguments  $\vec{x}$ . The statement **const**( $*x$ ) $s$  means ( $*x$ ) is a constant in statement  $s$ ; the statement **endconst**( $*x$ ) means from this point ( $*x$ ) maybe not constant.

The  $d$  represents a procedure definition which maps a procedure name  $f$  to its procedure body  $(\vec{x})s$ ; The  $D$  represents a set of procedure definitions  $\langle d_1 \cup \dots \cup d_n \rangle$ , and each definition is distinct; The pair  $\langle D, s \rangle$  represents a program, where  $D$  is a set of definitions and  $s$  is a main statement; the  $E$  represents evaluation context.

### 3.1. Operational semantics

In this section we introduce operational semantics of language  $\mathcal{L}$ . We assume there is a countable infinite set of *heap addresses* ranged over by  $l$ .

We use a configuration  $\langle H, R, s, n, C \rangle$  to express a run-time state. Each elements in the configuration is as follows.

- $H$ , a *heap*, is a finite mapping from  $\mathcal{H}$  to  $\mathcal{H} \cup \{\text{null}\}$ ;
- $R$ , an *environment*, is a finite mapping from **Var** to  $\mathcal{H} \cup \{\text{null}\}$ ;

- $s$  is the statement that is being executed;
- $n$  is a natural number that represents the number of memory cells available for allocation.
- $C$  is a set of actions, which contains **const**( $*x$ ), **null**( $*x$ ) and  $\neg$ **null**( $*x$ ).

The operational semantics of the language  $\mathcal{L}$  is given by a labeled transition relation  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s', n', C' \rangle$ . The label  $\rho$  is as follows.

$$\rho \text{ (label)} ::= \text{malloc}(x') \mid \text{free} \mid \tau$$

The  $\rho$ , an *action*, is **malloc**, **free**, or  $\tau$ . The action **malloc** expresses an allocation of a memory cell; **free** expresses a deallocation of a memory cell;  $\tau$  expresses the other actions. We often omit  $\tau$  in  $\xrightarrow{\tau}_D$ . We use a metavariable  $\sigma$  for a finite sequence of actions  $\rho_1 \dots \rho_n$ . We write  $\xrightarrow{\rho_1 \dots \rho_n}_D$  for  $\xrightarrow{\rho_1}_D \xrightarrow{\rho_2}_D \dots \xrightarrow{\rho_n}_D$ . We write  $\xrightarrow{\rho}_D$  for  $\xrightarrow{*}_D \xrightarrow{\rho}_D \xrightarrow{*}_D$ . We write  $\xRightarrow{\rho_1 \dots \rho_n}_D$  for  $\xRightarrow{\rho_1}_D \dots \xRightarrow{\rho_n}_D$ .

Figure 1 depicts the relation  $\xrightarrow{\rho}_D$ . Several important rules are listed as follows.

- **SEM-CONSTSKIP**: That a memory cell pointed to by  $x$  is no longer a constant is expressed by doing nothing.
- **SEM-CONSTSEQ**: That a memory cell pointed to by  $x$  should be a constant in a statement  $s$  is expressed by adding a statement **endconst**( $*x$ ) at the end of statement  $s$ .
- **SEM-FREE**: Deallocation of a memory cell pointed to by  $x$  is expressed by deleting the entry for  $R(x)$  from the heap. This action increments the number of available cells (i.e.,  $n$ ) by one (i.e.,  $n + 1$ ).
- **SEM-MALLOC** and **SEM-OUTOFMEM**: Allocation of a memory cell is expressed by adding a fresh entry to the heap. This action is allowed only if the number of available cells is positive; if the number is zero, then the configuration leads to an error state **OutOfMemory**.
- **SEM-ASSIGNEXN**, **SEM-FREEEXN**, **SEM-DEREFEXN** and **SEM-FREEEXN**: These rules express an illegal access to memory. If such action is performed, then the configuration leads to exceptional state **MemEx**. This state **MemEx** is not seen as an erroneous state in the current paper, hence a well-typed program may lead to these states. The command **free**( $x$ ), if  $x$  is a null pointer, leads to **MemEx** in the current semantics, although it is equivalent to **skip** in the C language.
- **SEM-CONSTEXN**: expresses that if a constant  $*x$  is changed in  $s$  it will raise **ConstEx** exception.

Our goal is to guarantee *total* memory-leak freedom and reject memory leaks. By our language  $\mathcal{L}$ , they are formally defined as follows:

**Definition 1** (total memory-leak freedom). *A program  $\langle D, s \rangle$  is totally memory-leak free if there is a natural number  $n$  such that it does not require more than  $n$  cells.*

**Definition 2** (Memory leak). *A configuration  $\langle H, R, s, n, C \rangle$  goes overflow if there is  $\sigma$  such that  $\langle H, R, s, n, C \rangle \xRightarrow{\sigma} \text{OutOfMemory}$ . A program  $\langle D, s \rangle$  consumes at least  $n$  cells if  $\langle \emptyset, \emptyset, s, n, \emptyset \rangle$  goes overflow.*

## 4. Type system

### 4.1. Types

The syntax of the types is as follows.

$P$ (behavioral types)	$::=$	$\mathbf{0} \mid P_1; P_2 \mid \text{free} \mid \alpha \mid \mu \alpha. P$ $\mid \text{let } x = y \text{ in } P \mid \text{let } x = \text{malloc}$ $\mid \text{let } x = \text{null in } P \mid \text{let } x = *y \text{ in } P$ $\mid (*x)(P_1, P_2) \mid \text{const}(*x)P \mid \text{endconst}(*x)$
$\Gamma$ (variable type environment)	$::=$	$\{x_1, x_2, \dots, x_n\}$
$\Psi$ (dependent function type)	$::=$	$(\vec{x})P$
$\Theta$ (function type environment)	$::=$	$\{f_1 : \Psi_1, \dots, f_n : \Psi_n\}$
$k$ (constant values)	$::=$	$\text{null}(*x) \mid \neg \text{null}(*x) \mid \text{const}(*x)$
$F$ (constant value environment)	$::=$	$\{k_1, \dots, k_n\}$

Behavioral types ranged over by  $P$  express the abstraction of behaviors of a program. The type  $\mathbf{0}$  represents the do-nothing behavior; the type  $P_1; P_2$  represents the sequential execution of  $P_1$  and  $P_2$ ; The type **malloc** represents an allocation of a memory cell exactly once; the type **free** represents a deallocation; the type  $\mu \alpha. P$  represents the behavior of  $\alpha$  defined by the recursive equation  $\alpha = P$ ; the type  $(*x)(P_1, P_2)$  represents that  $P_1$  or  $P_2$  is obtained dependent on  $*x$ ; the type  $P_1 + P_2$  represents the choice between  $P_1$  and  $P_2$ ; the  $\alpha$  is a type variable; the type **const**( $*x$ ) $P$  represents that  $*x$  is a constant in behavioral type  $P$ ; the type **endconst**( $*x$ ) represents  $*x$  no longer be a constant from this point.

A type environments for variables ranged over by  $\Gamma$  is a set of variables. Since our interest is the behavior of a program, not the types of values, a variable type environment does not carry information on the types of variables.

Dependent function types ranged over by  $\Psi$  represents the behavior of a function;  $\vec{x}$  is the formal arguments of the function.

Function types ranged over by  $\Theta$  is a mapping from function names to dependent function types.

$k$  represents constant values, where **null**( $*x$ ) represents  $(*x)$  is a null pointer;  $\neg$ **null**( $*x$ ) represents  $(*x)$  is not a null pointer; **const**( $*x$ ) represents  $(*x)$  is a constant.

Constant value environment ranged over by  $F$  is a set of constant variables.

Figure 2 depicts semantics of behavioral types with dependent types, and they are given by the labeled transition system. The relation  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$  means that  $P$  can make an action  $\rho$ , and  $P$  turns into  $P'$  after it makes action  $\rho$ ;  $F$  and  $F'$  record constant value environment before and after action  $\rho$  respectively.

$$\begin{array}{c}
\langle \mathbf{0}; P, F \rangle \rightarrow \langle P, F \rangle \\
\\
\frac{C' = \text{filter\_C}(C, *x)}{\langle H, R, \text{endconst}(*x), n, C \rangle \rightarrow_D \langle H, R, \text{skip}, n, C' \rangle} \quad \frac{\langle \text{free}, F \rangle \xrightarrow{\text{free}} \langle \mathbf{0}, F \rangle}{\langle H, R, \text{endconst}(*x), n, C \rangle \rightarrow_D \langle H, R, \text{skip}, n, C' \rangle} \quad \text{(SEM-CONSTSKIP)} \quad \text{(TR-FREE)} \\
\frac{\langle P_1 + P_2, F \rangle \rightarrow \langle P_1, F \rangle}{\langle H, R, \text{const}(*x)s, n, C \rangle \rightarrow_D \langle H, R, s; \text{endconst}(*x), n, C \cup \{\text{const}(*x)\} \rangle} \quad \text{(SEM-CONSTSEQ)} \quad \text{(TR-CHOICE)} \\
\frac{\langle H, R, \text{skip}; s, n, C \rangle \rightarrow_D \langle H, R, s, n, C \rangle}{\langle H, R, s_1, n, C \rangle \xrightarrow{p}_D \langle H', R', s'_1, n', C' \rangle} \quad \text{(SEM-SEQ)} \quad \text{(SEM-SKIP)} \\
\frac{\langle H, R, s_1; s_2, n, C \rangle \xrightarrow{p}_D \langle H', R', s'_1; s_2, n', C' \rangle}{\langle H, R, s_1; s_2, n, C \rangle \xrightarrow{p}_D \langle H', R', s'_1; s_2, n', C' \rangle} \quad \text{(SEM-SEQ)} \\
\frac{x' \notin \text{Dom}(R)}{\langle H, R, \text{let } x = \text{null in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto \text{null}\}, [x'/x]s, n, C \rangle} \quad \text{(SEM-LETNULL)} \\
\frac{x' \notin \text{Dom}(R)}{\langle H, R, \text{let } x = y \text{ in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto R(y)\}, [x'/x]s, n, C \rangle} \quad \text{(SEM-LETEQ)} \\
\frac{H(R(x)) = \text{null}, \text{const}(*x) \notin C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \rightarrow_D \langle H, R, s_1, n, C \rangle} \quad \text{(SEM-IFNULLT)} \\
\frac{H(R(x)) \neq \text{null}, \text{const}(*x) \notin C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \rightarrow_D \langle H, R, s_2, n, C \rangle} \quad \text{(SEM-IFNULLF)} \\
\frac{H(R(x)) = \text{null}, \text{const}(*x) \in C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \rightarrow_D \langle H, R, s_1, n, C \cup \{\text{const}(*x)\} \rangle} \quad \text{(SEM-IFCONSTNULLT)} \\
\frac{H(R(x)) \neq \text{null}, \text{const}(*x) \in C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \rightarrow_D \langle H, R, s_2, n, C \cup \{\text{const}(*x)\} \rangle} \quad \text{(SEM-IFCONSTNULLF)} \\
\frac{\text{const}(*x) \notin C}{\langle H \{R(x) \mapsto v\}, R, *x \leftarrow y, n, C \rangle \rightarrow_D \langle H \{R(x) \mapsto R(y)\}, R, \text{skip}, n, C \rangle} \quad \text{(SEM-ASSIGN)} \\
\frac{x' \notin \text{Dom}(R) \quad R(y) \in \text{Dom}(H)}{\langle H, R, \text{let } x = *y \text{ in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto H(R(y))\}, [x'/x]s, n, C \rangle} \quad \text{(SEM-LETDEREF)} \\
\frac{R(x) \neq \text{null} \text{ and } R(x) \in \text{Dom}(H)}{\langle H \{R(x) \mapsto v\}, R, \text{free}(x), n, C \rangle \xrightarrow{\text{free}}_D \langle H \setminus R(x), R, \text{skip}, n+1, C \rangle} \quad \text{(SEM-FREEEXN)} \\
\frac{l \notin \text{Dom}(H)}{\langle H, R, \text{let } x = \text{malloc}() \text{ in } s, n, C \rangle \xrightarrow{\text{malloc}(x')}_D \langle H \{l \mapsto v\}, R \{x' \mapsto l\}, [x'/x]s, n-1, C \rangle} \quad \text{(SEM-MALEXN)} \\
\frac{D(f) = (\vec{y})s}{\langle H, R, f(\vec{x}), n, C \rangle \rightarrow_D \langle H, R, [\vec{x}/\vec{y}]s, n, C \rangle} \quad \text{(SEM-CALL)} \\
\frac{R(x) = \text{null} \text{ or } R(x) \notin \text{Dom}(H)}{\langle H, R, *x \leftarrow y, n, C \rangle \rightarrow_D \text{MemEx}} \quad \text{(SEM-ASSIGNEXN)} \\
\frac{R(y) = \text{null} \text{ or } R(y) \notin \text{Dom}(H)}{\langle H, R, \text{let } x = *y \text{ in } s, n, C \rangle \rightarrow_D \text{MemEx}} \quad \text{(SEM-DEREFEXN)}
\end{array}$$

Figure 1: Operational semantics of  $\mathcal{L}$ .

The type judgment for statements is of the form  $\Theta; \Gamma \vdash s : P$ , where  $\Theta$  represents that under the function type environment  $\Theta$  and the variable environment  $\Gamma$ , the abstracted behavioral type of statement  $s$  is  $P$ .

Before showing typing rules for statements in Figure 2, we need explain several important definitions. The first one is  $OK_n(P, F)$ , a predicate, where  $P$  represents the behavior of a program which consumes at most  $n$  memory cells.

$\Theta; \Gamma \vdash \text{skip} : 0$	(T-SKIP)	<b>Lemma 4.2</b> (Preservation). Suppose $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$ . If $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$ then $\exists P', F'$ s.t. (1) $\Theta; \Gamma \vdash \langle H', R', s', n', C' \rangle : \langle P', F' \rangle$ and (2) $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ .
$\Theta; \Gamma, x, y \vdash *x \leftarrow y : 0$	(T-ASSIGN)	<b>Lemma 4.3</b> (Lack of immediate overflow). If $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$ then $\langle H, R, s, n, C \rangle \xrightarrow{\text{malloc}} \langle H', R', s', n', C' \rangle$ implies $\Theta; \Gamma \vdash \langle H', R', s', n', C' \rangle : \langle P', F' \rangle$ .
$\frac{\Theta; \Gamma, x \vdash s : P}{\Theta; \Gamma \vdash \text{let } x = \text{malloc}() \text{ in } s : \text{let } x = \text{malloc} \text{ in } P}$	(T-MALLOC)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$
$\frac{\Theta; \Gamma, x, y \vdash s : P}{\Theta; \Gamma, y \vdash \text{let } x = *y \text{ in } s : \text{let } x = *y \text{ in } P}$	(T-LETDEREF)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$
$\Theta; \Gamma, x \vdash \text{endconst}(*x)$	(T-ENDCONST)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$
$\frac{\Theta; \Gamma, x \vdash s : P}{\Theta; \Gamma, x \vdash \text{const}(*x)}$	(T-CONST)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$
$\frac{\Theta; \Gamma, x \vdash s_1 : P_1 \quad \Theta; \Gamma, x \vdash s_2 : P_2}{\Theta; \Gamma, x \vdash \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2 : P}$	(T-IFNULL)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$
$\frac{\Theta, f : (\vec{y})P; \Gamma, \vec{x} \vdash f(\vec{y}, \vec{x}) : P}{\Theta; \Gamma \vdash s : P}$	(T-CALL)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$
$\frac{\Theta; \Gamma \vdash s : P_1 \quad P_1 \leq P_2}{\Theta; \Gamma \vdash s : P_2}$	(T-SUB)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$
$\frac{\Theta(f) = (\vec{x})P \quad \text{Dom}(D) = \text{Dom}(\Theta) \quad \Theta; x_1 P \vdash D : \Theta}{\vdash D : \Theta}$	(T-DEF)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$
$\frac{\vdash D : \Theta \quad \Theta; \emptyset \vdash s : P}{\vdash \langle D, s \rangle : n}$	(T-PROGRAM)	<b>OutOfMemory.</b> $\Theta; \Gamma, x \vdash s : P$

Figure 3: typing rules

**Definition 3** ( $\#_\rho(\sigma)$ ).  $\#_\rho(\sigma)$  is the number of  $\rho$  in the sequence  $\sigma$ .

**Definition 4.**  $OK_n(P, F)$  holds if, (1)  $\forall P'$  and  $\sigma$ . if  $\langle P, F \rangle \xrightarrow{\sigma} \langle P', F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$

**Definition 5.**  $OK(F)$  holds if  $F$  does not contain both  $\text{null}(*x)$  and  $\neg \text{null}(*x)$ .

**Definition 6** (Subtyping).  $F \vdash P_1 \leq P_2$  is the largest relation such that, for any  $P'_1, F'$  and  $\rho$ , if  $\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F' \rangle$ , then there exists  $P'_2$  such that  $\langle P_2, F \rangle \xrightarrow{\rho} \langle P'_2, F' \rangle$  and  $F' \vdash P'_1 \leq P'_2$ . We write  $P_1 \leq P_2$  if  $F \vdash P_1 \leq P_2$  for any  $F$ .

### 4.3. Type soundness

**Theorem 4.1.** If  $\vdash \langle D, s \rangle : n$  for some  $n$ , then  $\langle D, s \rangle$  is totally memory-leak free.

The proof is based on the following lemmas: preservation and lack of immediate overflow.

**Definition 7.** we write  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$ , if  $\Theta; \Gamma \vdash s : P$  and  $OK_n(P, F)$  with  $C \approx F$ .

## 6. Related Works

## 7. Conclusion

## 8. Acknowledgements

## Appendix

## 9. Proof of Lemmas

**Lemma 9.1.** If  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$  and  $OK(F)$ , then  $OK(F')$

**Proof.** By induction on  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ .

• Case  $P = 0; P'$  and  $\langle 0; P', F \rangle \rightarrow \langle P', F' \rangle$ . We need to prove  $OK(F')$ . From assumption, we have that  $OK(F)$  holds, and in this case  $F'$  is the same as  $F$ . Therefore,  $OK(F')$  holds.

• Case  $P = \text{let } x = \text{malloc} \text{ in } P'$  and  $\langle \text{let } x = \text{malloc} \text{ in } P', F \rangle \xrightarrow{\text{malloc}(x')} \langle [x'/x]P', F' \rangle$ . Similar to above.

• Case  $P = \text{let } x = y \text{ in } P'$  and  $\langle \text{let } x = y \text{ in } P', F \rangle \rightarrow \langle [x'/x]P', F' \rangle$ . Similar to above.

• Case  $P = \text{let } x = *y \text{ in } P'$  and  $\langle \text{let } x = *y \text{ in } P', F \rangle \rightarrow \langle [x'/x]P', F' \rangle$ . Similar to above.

• Case  $P = \text{let } x = \text{null} \text{ in } P'$  and  $\langle \text{let } x = \text{null} \text{ in } P', F \rangle \rightarrow \langle [x'/x]P', F' \rangle$ . Similar to above.

• Case  $P = \text{free}$  and  $\langle \text{free}, F \rangle \xrightarrow{\text{free}} \langle 0, F' \rangle$ . Similar to above.

• Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F' \rangle}$ . We need to prove  $OK(F)$ . From the assumption,  $OK(F)$  holds.

• Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F' \rangle}$ . We need to prove  $OK(F)$ . From the assumption,  $OK(F)$  holds.

• Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{null}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F' \rangle}$ . We need to prove  $OK(F)$ . From the assumption,  $OK(F)$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\neg \text{null}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle} \frac{\text{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle}$   
We need to prove  $OK(F)$ . From the assumption, it holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{null}(*x), \neg \text{null}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \cup \text{null}(*x) \rangle} \frac{\text{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \cup \text{null}(*x) \rangle}$   
We need to prove  $OK(F \cup \text{null}(*x))$ . From the assumption, we have  $OK(F)$  and  $\neg \text{null}(*x) \notin F$ . Therefore  $OK(F \cup \text{null}(*x))$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{null}(*x), \neg \text{null}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \cup \neg \text{null}(*x) \rangle} \frac{\text{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \cup \neg \text{null}(*x) \rangle}$   
We need to prove  $OK(F \cup \neg \text{null}(*x))$ . From the assumption, we have  $OK(F)$  and  $\text{null}(*x) \notin F$ . Therefore  $OK(F \cup \neg \text{null}(*x))$  holds.
- Case  $P = \text{const}(*x)P'$  and  $\langle \text{const}(*x)P', F \rangle \rightarrow \langle P'; \text{endconst}(*x), F \cup \{\text{const}(*x)\} \rangle$   
We need to prove  $OK(F \cup \{\text{const}(*x)\})$ . From the assumption, we have  $OK(F)$  holds. Also,  $F \cup \{\text{const}(*x)\}$  does not contain both  $\text{null}(*x)$  and  $\neg \text{null}(*x)$ . Therefore,  $OK(F \cup \{\text{const}(*x)\})$  holds.
- Case  $P = \text{endconst}(*x)$  and  $\frac{F' = \text{filter\_T}(F, *x)}{\langle \text{endconst}(*x), F \rangle \rightarrow \langle \mathbf{0}, F' \rangle}$   
we need to prove  $OK(F')$ . From assumption, we have  $OK(F)$  which means  $F$  does not contain both  $\text{null}(*x)$  and  $\neg \text{null}(*x)$ . By the definition of *filter* function, we have  $F' = F \setminus \{\text{null}(*x), \neg \text{null}(*x)\}$  or  $F - \text{const}(*x)$ , which means  $F'$  does not contain both  $\text{null}(*x)$  and  $\neg \text{null}(*x)$ . Therefore,  $OK(F')$  holds.
- Case  $P = \mu\alpha.P'$  and  $\langle \mu\alpha.P', F \rangle \rightarrow \langle [\mu\alpha.P']P', F \rangle$   
We need to prove  $OK(F)$ . From the assumption, we have that  $OK(F)$  holds.
- Case  $P = P_1; P_2$  and  $\frac{\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F' \rangle}{\langle P_1; P_2, F \rangle \xrightarrow{\rho} \langle P'_1; P'_2, F' \rangle}$   
We need to prove  $OK(F')$ . By IH, we have  $\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F' \rangle$  and  $OK(F)$  holds, then  $OK(F')$  holds.  $\square$

**Lemma 9.2.** If  $OK_n(P, F)$  and  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ , then

- $OK_{n-1}(P', F')$  if  $\rho = \text{malloc}$ ,
- $OK_{n+1}(P', F')$  if  $\rho = \text{free}$ ,
- $OK_n(P', F')$  if  $\rho = \text{Otherwise}$

*Proof.* By induction on  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ .

- Case  $P = \mathbf{0}; P'$  and  $\langle \mathbf{0}; P', F \rangle \rightarrow \langle P', F \rangle$   
We need to prove  $OK_n(P', F)$ . Assume that  $OK_n(P', F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ ,  $\#_m(\sigma) - \#_f(\sigma) > n$  or (2)  $OK(F)$  does not hold. From the definition of that  $OK(\mathbf{0}; P', F)$  holds, we have (1) if  $\langle \mathbf{0}; P', F \rangle \rightarrow \langle P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$ , which are in contradiction to the assumption. Therefore,  $OK_n(P', F)$  holds.
- Case  $P = \text{let } x = \text{malloc in } P'$  and  $\langle \text{let } x = \text{malloc in } P', F \rangle \xrightarrow{\text{malloc}(x')} \langle [x'/x]P', F \rangle$   
we need to prove  $OK_{n-1}([x'/x]P', F)$ . Assume that  $OK_{n-1}([x'/x]P', F)$  does not hold. Then we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle [x'/x]P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m\sigma - \#_f\sigma > n$  or (2)  $OK(F)$  does not hold.

From the definition of  $OK_n(P, F)$ , we have (1)  $\langle \text{let } x = \text{malloc in } P', F \rangle \xrightarrow{\text{malloc}(x')} \langle [x'/x]P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) \leq n - 1$  and (2)  $OK(F)$  holds. Therefore, we get the contradiction, and the  $OK_{n-1}([x'/x]P', F)$  holds.

- Case  $P = \text{let } x = y \text{ in } P'$  and  $\langle \text{let } x = y \text{ in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$   
Similar to the above.
- Case  $P = \text{let } x = *y \text{ in } P'$  and  $\langle \text{let } x = *y \text{ in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$   
Similar to the above.
- Case  $P = \text{let } x = \text{null in } P'$  and  $\langle \text{let } x = \text{null in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$   
Similar to the above.
- Case  $P = \text{free}$  and  $\langle \text{free}, F \rangle \xrightarrow{\text{free}} \langle \mathbf{0}, F \rangle$   
We need to prove  $OK_{n+1}(\mathbf{0}, F)$ , which means we need to prove (1)  $\forall \sigma$  and  $Q$  if  $\langle \mathbf{0}, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$  holds. There is no  $Q$  and  $\sigma$  s.t.  $\langle \mathbf{0}, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , so (1) holds.  $OK(F)$  holds from Lemma 9.1. Therefore,  $OK(\mathbf{0}, F)$  holds.
- Case  $P = \text{endconst}(*x)$  and  $\frac{F' = \text{filter\_T}(F, *x)}{\langle \text{endconst}(*x), F \rangle \rightarrow \langle \mathbf{0}, F' \rangle}$   
We need to prove  $OK_n(\mathbf{0}, F')$ , which means we need to prove (1)  $\forall \sigma$  and  $Q$  if  $\langle \mathbf{0}, F' \rangle \xrightarrow{\sigma} \langle Q, F'' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F')$  holds. There is no  $Q$  and  $\sigma$  s.t.  $\langle \mathbf{0}, F' \rangle \xrightarrow{\sigma} \langle Q, F'' \rangle$ , so (1) holds. From the assumption  $OK_n(P, F)$ , we have  $OK(F)$ , which means  $F$  does not contain both  $\text{null}(*x)$  and  $\neg \text{null}(*x)$ . By the definition of function *filter\_T*, we have  $F' = F \setminus \{\text{null}(*x), \neg \text{null}(*x)\}$  or  $F - \text{const}(*x)$ . Therefore  $OK(F')$  holds. So  $OK_n(\mathbf{0}, F')$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle}$   
We need to prove  $OK_n(P_1, F)$ . Assume that  $OK_n(P_1, F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$  or (2)  $OK(F)$  does not hold. From the definition of that  $OK_n((*)x)(P_1, P_2), F$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_1, F)$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle}$   
We need to prove  $OK_n(P_2, F)$ . Assume that  $OK_n(P_2, F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_2, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$  or (2)  $OK(F)$  does not hold. From the definition of that  $OK_n((*)x)(P_1, P_2), F$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_2, F)$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{null}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle} \frac{\text{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle}$   
We need to prove  $OK_n(P_1, F)$ . Assume that  $OK_n(P_1, F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$  or (2)  $OK(F)$  does not hold. From the definition of that  $OK_n((*)x)(P_1, P_2), F$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then



$\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_1, F)$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{null}(*x) \in F \quad \text{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle}$   
We need to prove  $OK_n(P_2, F)$ . Assume that  $OK_n(P_2, F)$  does not hold. Then we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_2, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$  or (2)  $OK(F)$  does not hold. From the definition of that  $OK_n((*)x)(P_1, P_2), F$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_2, F)$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{null}(*x), \neg \text{null}(*x) \notin F \quad \text{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \cup \{\text{null}(*x)\} \rangle}$   
We need to prove  $OK_n(P_1, F \cup \{\text{null}(*x)\})$ . Assume that  $OK_n(P_1, F \cup \{\text{null}(*x)\})$  does not hold. Then we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, F \cup \{\text{null}(*x)\} \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$  or (2)  $OK(F \cup \{\text{null}(*x)\})$  does not hold. From the definition of that  $OK_n((*)x)(P_1, P_2), F$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \cup \{\text{null}(*x)\} \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$  holds. By  $OK(F)$  and  $\text{null}(*x), \neg \text{null}(*x) \notin F$ , we have  $OK(F \cup \{\text{null}(*x)\})$  holds. Therefore, we get the contradiction and  $OK_n(P_1, F \cup \{\text{null}(*x)\})$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{null}(*x), \neg \text{null}(*x) \notin F \quad \text{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \cup \{\neg \text{null}(*x)\} \rangle}$   
Similar to the above.
- Case  $P = \text{const}(*x)P'$  and  $\langle \text{const}(*x)P', F \rangle \rightarrow \langle P'; \text{endconst}(*x), F \cup \text{const}(*x) \rangle$   
We need to prove  $OK_n(P'; \text{endconst}(*x), F \cup \text{const}(*x))$ . Assume that  $OK_n(P'; \text{endconst}(*x), F \cup \text{const}(*x))$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P'; \text{endconst}(*x), F \cup \text{const}(*x) \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$  or (2)  $OK(F \cup \text{const}(*x))$  does not hold. From the definition of that  $OK_n(\text{const}(*x)P', F)$  holds, we have (1) if  $\langle \text{const}(*x)P', F \rangle \rightarrow \langle P'; \text{endconst}(*x), F \cup \text{const}(*x) \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_1, F)$  holds.
- Case  $P = \mu \alpha. P'$  and  $\langle \mu \alpha. P', F \rangle \rightarrow \langle [\mu \alpha. P' / \alpha] P', F \rangle$   
We need to prove  $OK_n([\mu \alpha. P' / \alpha] P', F)$ . Assume that  $OK_n([\mu \alpha. P' / \alpha] P', F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle [\mu \alpha. P' / \alpha] P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$  or (2)  $OK(F)$  does not hold. From the definition of that  $OK_n(\mu \alpha. P', F)$  holds, we have (1) if  $\langle \mu \alpha. P', F \rangle \rightarrow \langle [\mu \alpha. P' / \alpha] P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ , which is a contradiction; and (2)  $OK(F)$  holds. From the Lemma 9.1,  $OK(F \cup \neg \text{null}(*x))$  holds. Therefore,  $OK([\mu \alpha. P' / \alpha] P', F)$  holds.
- Case  $P = P_1; P_2$  and  $\frac{\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F' \rangle}{\langle P_1; P_2, F \rangle \xrightarrow{\rho} \langle P'_1; P_2, F' \rangle}$   
We need to prove  $OK_{n'}(P'_1; P_2, F')$ , where  $n'$  is determined by

$$n' = \begin{cases} n+1 & \rho = \text{free} \\ n-1 & \rho = \text{malloc} \\ n & \text{Otherwise.} \end{cases}$$

Assume that  $OK_{n'}(P'_1; P_2, F')$  does not hold. Then, we have (1)  $\exists \sigma, Q$  and  $F''$  s.t.  $\langle P'_1; P_2, F' \rangle \xrightarrow{\sigma} \langle Q, F'' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n'$  or (2)  $OK(F')$  does not hold.

From the definition of that  $OK_n(P_1; P_2, F)$  holds, we have (1) if  $\langle P_1; P_2, F \rangle \xrightarrow{\rho} \langle P'_1; P_2, F' \rangle \xrightarrow{\sigma} \langle Q, F'' \rangle$ , then  $\#_m(\rho \sigma) - \#_f(\rho \sigma) \leq n$  and (2)  $OK(F)$  holds.

From (1), we get  $n' + \#_m(\rho) - \#_f(\rho) < \#_m(\rho) + \#_m(\sigma) - \#_f(\rho) - \#_f(\sigma) \leq n$ . For any  $\rho$ , the  $n' + \#_m(\rho) - \#_f(\rho) = n$ , therefore we get a contradiction. By IH, we have  $OK(F')$  holds, which is a contradiction. Therefore,  $OK_{n'}(P_1; P_2, F')$  holds.  $\square$

*Proof of Lemma 4.2:* By induction on the derivation of  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$ .

- Case:  $\langle H, R, \text{const}(*x)s, n, C \rangle \rightarrow \langle H, R, s; \text{endconst}(*x), n, C \cup \{\text{const}(*x)\} \rangle$   
From the assumption  $\Theta; \Gamma \vdash \langle H, R, \text{const}(*x)s, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \text{const}(*x)s : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we get  $\Theta; \Gamma \vdash s : P''$  and  $\text{const}(*x)P'' \leq P$  for some  $P''$ . By subtyping, we have  $P''; \text{endconst}(*x) \leq Q$  and  $\langle P, F \rangle \Rightarrow \langle Q, F \cup \{\text{const}(*x)\} \rangle$  for some  $Q$ .  
we need to find  $P'$  and  $F'$  s.t.  $\Theta; \Gamma \vdash s; \text{endconst}(*x) : P'$ ,  $OK_n(P', F')$  and  $\langle P, F \rangle \Rightarrow \langle P', F' \rangle$ . Taking  $Q$  as  $P'$  and  $F \cup \{\text{const}(*x)\}$  as  $F'$ . Therefore  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  holds, and  $OK_n(P', F')$  holds from Lemma 9.2. From  $\Theta; \Gamma \vdash s; \text{endconst}(*x) : P''; \text{endconst}(*x), P''; \text{endconst}(*x) \leq Q$  and T-SUB,  $\Theta; \Gamma \vdash s; \text{endconst}(*x) : P'$  holds.
- Case:  $\langle H, R, \text{endconst}(*x), n, C \rangle \rightarrow \langle H, R, \text{skip}, n, C' \rangle$  where  $C' = \text{filter\_C}(C, *x)$   
From the assumption  $\Theta; \Gamma \vdash \langle H, R, \text{endconst}(*x), n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \text{endconst}(*x) : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we get  $\Theta; \Gamma \vdash \text{endconst}(*x) : \text{endconst}(*x)$  and  $\text{endconst}(*x) \leq P$ . By subtyping and function  $\text{filter\_T}(F, *x)$ , we get  $0 \leq Q$  and  $\langle P, F \rangle \rightarrow \langle Q, F' \rangle$  for some  $Q$ .  
we need to find  $P'$  and  $F'$  s.t.  $\Theta; \Gamma \vdash \text{skip} : P'$ ,  $OK_n(P', F')$  and  $\langle P, F \rangle \Rightarrow \langle P', F' \rangle$ . Taking  $Q$  as  $P'$  and  $F'$  as  $F'$  therefore  $F' \approx C'$  from functions  $\text{filter\_T}(F, *x)$  and  $\text{filter\_C}(C, *x)$ ;  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. From T-SKIP, T-SUB and  $0 \leq Q$ , then  $\Theta; \Gamma \vdash \text{skip} : P'$  holds.
- Case:  $\langle H, R, \text{free}(x), n, C \rangle \xrightarrow{\text{free}} \langle H', R, \text{skip}, n+1, C \rangle$   
From the assumption  $\Theta; \Gamma \vdash \langle H, R, \text{free}(x), n, C \rangle : \langle P, F \rangle$ , we have  $OK_n(P, F)$  and  $\Theta; \Gamma \vdash \text{free}(x) : P$ . From inversion of the typing rules, we have  $\Theta; \Gamma \vdash \text{free}(x) : \text{free}$  and  $\text{free} \leq P$ . By the subtyping, we have  $\langle P, F \rangle \xrightarrow{\text{free}} \langle Q, F \rangle$  and  $0 \leq Q$  for some  $Q$ .  
We need to find  $P'$  and  $F'$  such that  $\langle P, F \rangle \xrightarrow{\text{free}} \langle P', F' \rangle$ ,  $\Theta; \Gamma \vdash \text{skip} : P'$ , and  $OK_{n+1}(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then,  $\langle P, F \rangle \xrightarrow{\text{free}} \langle P', F' \rangle$  holds, and  $OK_{n+1}(P', F')$  holds from Lemma 9.2. We also have  $\Theta; \Gamma \vdash \text{skip} : P'$  from

T-SKIP,  $0 \leq Q$  and T-SUB.

- Case:  $\langle H, R, \text{let } x = \text{malloc}() \text{ in } s, n, C \rangle \xrightarrow{\text{malloc}} \langle H', R', [x'/x]s, n-1, C \rangle$   
From the assumption  $\Theta; \Gamma \vdash \langle H, R, \text{let } x = \text{malloc}() \text{ in } s, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \text{let } x = \text{malloc}() \text{ in } s : P$  and  $OK_n(P, F)$ . By the inversion of typing rules, we have  $\Theta; \Gamma, x \vdash s : P''$  and  $\text{let } x = \text{malloc} \text{ in } P'' \leq P$  for some  $P''$ . By subtyping, we get  $\langle P, F \rangle \xRightarrow{\text{malloc}'} \langle Q, F \rangle$  and  $[x'/x]P'' \leq Q$  for some  $Q$ .  
We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma, x' \vdash [x'/x]s : P'$  and  $\langle P, F \rangle \xRightarrow{\text{malloc}'} \langle P', F' \rangle$  and  $OK_{n-1}(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then  $\langle P, F \rangle \xRightarrow{\text{malloc}'} \langle P', F' \rangle$  holds, and  $OK_{n-1}(P', F')$  holds by Lemma 9.2. From  $\Theta; \Gamma, x \vdash s : P''$  and  $\text{let } x = \text{malloc} \text{ in } P'' \leq P$ , we have  $\Theta; \Gamma, x'' \vdash [x''/x]s : [x''/x]P''$  and  $\text{let } x'' = \text{malloc} \text{ in } [x''/x]P'' \leq P$ , and then by the definition of subtyping we have  $[x''/x]P'' \leq Q'$  for some  $Q'$ . Therefore, we get  $\Theta; \Gamma, x'' \vdash [x''/x]s : Q'$ . Take  $x''$  as  $x'$  and  $Q'$  as  $P'$ , then  $\Theta; \Gamma, x' \vdash [x'/x]s : P'$  holds.
- Case:  $\langle H, R, \text{skip}; s, n, C \rangle \rightarrow \langle H, R, s, n, C \rangle$   
From the assumption  $\Theta; \Gamma \vdash \langle H, R, \text{skip}; s, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \text{skip}; s : P$  and  $OK_n(P, F)$ . From the inversion of the typing rules, we get  $\Theta; \Gamma \vdash s : P''$  and  $0; P'' \leq P$ . From the definition of subtyping, we have  $\langle P, F \rangle \xRightarrow{} \langle Q, F \rangle$  and  $P'' \leq Q$  for some  $Q$ .  
We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma \vdash s : P'$  and  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then  $\langle P, F \rangle \xRightarrow{} \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. We also have  $\Theta; \Gamma \vdash s : P'$  from T-SUB,  $\Gamma \vdash s : P''$  and  $P'' \leq Q$ .
- Case:  $\langle H, R, *x \leftarrow y, n, C \rangle \rightarrow \langle H', R, \text{skip}, n, C \rangle$   
From the assumption  $\Theta; \Gamma \vdash \langle H, R, *x \leftarrow y, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash *x \leftarrow y : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we have  $0 \leq P$ .  
We need to find  $P'$  such that  $\Theta; \Gamma \vdash \text{skip} : P'$ ,  $\langle P, F \rangle \xRightarrow{} \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $P$  as  $P'$  and  $F$  as  $F'$ . Then,  $\langle P, F \rangle \xRightarrow{} \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. We also have  $\Theta; \Gamma \vdash \text{skip} : P'$  from T-SKIP,  $0 \leq P$  and T-SUB.
- Case:  $\langle H, R, \text{let } x = y \text{ in } s, n, C \rangle \rightarrow \langle H, R', [x'/x]s, n, C \rangle$   
From the assumption  $\Theta; \Gamma \vdash \langle H, R, \text{let } x = y \text{ in } s, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma, y \vdash \text{let } x = y \text{ in } s : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we have  $\Theta; \Gamma, x, y \vdash s : P''$  and  $\text{let } x = y \text{ in } P'' \leq P$  for some  $P''$ . By subtyping, we have  $\langle P, F \rangle \rightarrow \langle Q, F \rangle$  and  $[x'/x]P'' \leq Q$  for some  $Q$ .  
We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma, x', y \vdash [x'/x]s : P'$ ,  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then  $\langle P, F \rangle \xRightarrow{} \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. From  $\Theta; \Gamma, x, y \vdash s : P''$  and  $\text{let } x = y \text{ in } P'' \leq P$ , we have  $\Theta; \Gamma, x'', y \vdash [x''/x]s : [x''/x]P''$  and  $\text{let } x'' = y \text{ in } [x''/x]P'' \leq P$ , and then by subtyping we have  $[x''/x]P'' \leq Q'$  for some  $Q'$ . Therefore, we have  $\Theta; \Gamma, x'', y \vdash [x''/x]s : Q'$ . Take  $x''$  as  $x'$  and  $Q'$  as  $P'$ , then  $\Theta; \Gamma, x', y \vdash [x'/x]s : P'$  holds.
- Case:  $\langle H, R, \text{let } x = \text{null} \text{ in } s, n \rangle \rightarrow \langle H, R', [x'/x]s, n \rangle$   
Similar to the above.
- Case:  $\langle H, R, \text{let } x = *y \text{ in } s, n \rangle \rightarrow \langle H, R', [x'/x]s, n \rangle$

Similar to the above.

- Case:  $\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \rightarrow \langle H, R, s_1, n, C \rangle$  if  $H(R(x)) = \text{null}$  and  $\text{const}(*x) \notin C$   
From assumption  $\Theta; \Gamma \vdash \langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2 : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $(*) (P_1, P_2) \leq P$ . By subtyping and  $\text{const}(*x) \notin C$ , which means  $\text{const}(*x) \notin F$ , we get  $\langle P, F \rangle \xRightarrow{} \langle Q, F \rangle$  and  $P_1 \leq Q$  for some  $Q$ .  
We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma \vdash s_1 : P'$ ,  $\langle P, F \rangle \xRightarrow{} \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. We also have  $\Theta; \Gamma \vdash s_1 : P'$  from T-SUB,  $\Theta; \Gamma \vdash s_1 : P_1$  and  $P_1 \leq Q$ .
- Case:  $\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \rightarrow \langle H, R, s_1, n, C' \rangle$  if  $H(R(x)) \neq \text{null}$  and  $\text{const}(*x) \notin C$   
Similar to the above.
- Case:  $\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \rightarrow \langle H, R, s_1, n, C' \rangle$  if  $H(R(x)) = \text{null}$ ,  $\text{const}(*x) \in C$  and  $C' = C \cup \{\text{null}(*x)\}$   
From assumption  $\Theta; \Gamma \vdash \langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2 : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $(*) (P_1, P_2) \leq P$ . By subtyping,  $\text{const}(*x) \in C$  and  $\text{assume}(*x \neq \text{null}) \notin C$  which are similar to  $\text{const}(*x) \in F$  and  $\neg \text{null}(*x) \notin F$ , we get  $\langle P, F \rangle \xRightarrow{} \langle Q, F \cup \{\text{null}(*x)\} \rangle$  and  $P_1 \leq Q$  for some  $Q$ .  
We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma \vdash s_1 : P'$ ,  $\langle P, F \rangle \xRightarrow{} \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $Q$  as  $P'$  and  $F \cup \{\text{null}(*x)\}$  as  $F'$ . Then  $C' \approx F'$ ,  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. We also have  $\Theta; \Gamma \vdash s_1 : P'$  from T-SUB,  $\Theta; \Gamma \vdash s_1 : P_1$  and  $P_1 \leq Q$ .
- Case:  $\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \rightarrow \langle H, R, s_2, n, C' \rangle$  if  $H(R(x)) \neq \text{null}$ ,  $\text{const}(*x) \in C$  and  $C' = C \cup \{\neg \text{null}(*x)\}$   
Similar to the above proof.
- Case:  $\langle H, R, s_1; s_2, n, C \rangle \rightarrow \langle H', R', s'_1; s'_2, n', C' \rangle$   
From the assumption  $\Theta; \Gamma \vdash \langle H, R, s_1; s_2, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash s_1; s_2 : P$  and  $OK_n(P, F)$  with  $C \approx F$ . By inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $P_1; P_2 \leq P$  for some  $P_1$  and  $P_2$ .  
By IH on  $\langle H, R, s_1, n, C \rangle$  with derivation  $\langle H, R, s_1, n, C \rangle \xrightarrow{\rho} \langle H', R', s'_1, n', C' \rangle$ , we have  $\exists P'_1, F'_1$  s.t.  $\Theta; \Gamma \vdash \langle H', R', s'_1, n', C' \rangle : \langle P'_1, F'_1 \rangle$  and  $\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F'_1 \rangle$ .  
By subtyping we have  $\langle P, F \rangle \xrightarrow{\rho} \langle Q, F'_1 \rangle$  and  $P'_1; P_2 \leq Q$  for some  $Q$ .  
We need to find  $P'$  and  $F'$  s.t.  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ ,  $OK_n(P', F')$  and  $\Theta; \Gamma \vdash s'_1; s'_2 : P'$ . Take  $Q$  as  $P'$  and  $F'_1$  as  $F'$ ,  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. By T-Sub,  $\Theta; \Gamma \vdash s'_1; s'_2 : P'_1; P_2$  and  $P'_1; P_2 \leq Q$ , we have  $\Theta; \Gamma \vdash s'_1; s'_2 : P'$  holds.

□

We write  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}$  if there is a transition  $\xrightarrow{\rho}$  from  $\langle H, R, s, n, C \rangle$ .

**Lemma 9.3.** *If  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$  and  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}$  and  $\rho \in \{\mathbf{malloc}, \mathbf{free}\}$ , then there exists  $P'$  and  $F'$  such that  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ .*

*Proof.* Induction on the derivation of  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$ .  $\square$

*Proof of Lemma 4.3:*

By contradiction. Assume  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}$  **OutOfMemory**. Then,  $n$  is 0 and  $\rho = \mathbf{malloc}$  from SEM-OUTOFMEM. From the assumption we have  $\Theta; \Gamma \vdash s : P$  and  $OK_0(P, F)$ . From Lemma 9.3, there exists  $P'$  and  $F'$  such that  $\langle P, F \rangle \xrightarrow{\mathbf{malloc}} \langle P', F' \rangle$ . However, this contradicts  $OK_0(P, F)$ .

$\square$

*Proof of Theorem 4.1:*

We have  $\Theta; \emptyset \vdash s : P, \vdash D : \Theta$  and  $OK_n(P, F)$ .

Suppose that there exists  $\sigma$  such that  $\langle \emptyset, \emptyset, s, n, C \rangle \xrightarrow{\sigma} \langle H', R', s', n', C' \rangle \xrightarrow{\rho}$  **OutOfMemory**. Then,  $n' = 0$  and  $\rho = \mathbf{malloc}$ . From Lemma 4.2, there exists  $P'$  and  $F'$  such that  $\Theta; \Gamma' \vdash s' : P', \langle P, F \rangle \xrightarrow{\sigma} \langle P', F' \rangle$ , and  $OK_0(P', F')$ ; hence  $\langle H', R', s', 0 \rangle \xrightarrow{\mathbf{malloc}}$ . However, this contradicts Lemma 4.3.

$\square$

## 10. Syntax Directed Typing Rules

$$\begin{array}{c}
\frac{C = \emptyset}{\Theta; \Gamma; C \vdash \mathbf{skip} : \mathbf{0}} \text{ (ST-Skip)} \\
\frac{\Theta; \Gamma; C_1 \vdash s_1 : P_1 \quad \Theta; \Gamma; C_2 \vdash s_2 : P_2 \quad C = C_1 \cup C_2}{\Theta; \Gamma; C \vdash s_1; s_2 : P} \\
\frac{\Theta; \Gamma; C_1 \vdash y \quad \Theta; \Gamma; C_2 \vdash x : C = C_1 \cup C_2}{\Theta; \Gamma; C \vdash *x \leftarrow y : \mathbf{0}} \\
\frac{\Theta; \Gamma; C_1 \vdash x \quad C = C_1}{\Gamma; C \vdash \mathbf{free}(x) : \mathbf{free}} \text{ (ST-Free)} \\
\frac{\Theta; \Gamma, x; C_1 \vdash s : P_1 \quad C = C_1 \cup \{P_1 \leq P\}}{\Theta; \Gamma; C \vdash \mathbf{let } x = \mathbf{malloc}() \text{ in } s : \mathbf{malloc}()} \\
\frac{\Theta; \Gamma; C_1 \vdash y \quad \Theta; \Gamma, x; C_2 \vdash s : P_1 \quad C = C_1 \cup C_2 \cup \{P_1 \leq P\}}{\Theta; \Gamma; C \vdash \mathbf{let } x = y \text{ in } s : P} \\
\frac{\Theta; \Gamma; C_1 \vdash y \quad \Theta; \Gamma, x; C_2 \vdash s : P_1 \quad C = C_1 \cup C_2 \cup \{P_1 \leq P\}}{\Theta; \Gamma; C \vdash \mathbf{let } x = *y \text{ in } s : P} \\
\frac{\Theta; \Gamma; C_1 \vdash x \quad \Theta; \Gamma; C_2 \vdash s_1 : P_1 \quad \Theta; \Gamma; C_3 \vdash s_2 : P_2 \quad C = C_1 \cup C_2 \cup C_3}{\Theta; \Gamma; C \vdash \mathbf{ifnull}(*x) \mathbf{then } s_1 \mathbf{else } s_2 : P} \\
\frac{\frac{\Theta(f) = P_1 \quad C = P_1 \leq P}{\Gamma, \vec{x} : \vec{\tau} \vdash f(\vec{x}) : P} \text{ (ST-Function)}}{\Theta \vdash D : \Theta \quad \Theta; \emptyset; C_1 \vdash s : P \quad C = C_1 \cup \{OK_n(P, F)\}} \text{ (ST-Block)} \\
\frac{\Theta; \Gamma; C_1 \vdash x \quad C = C_1}{\Theta; \Gamma; C \vdash \mathbf{endconst}(*x) : \mathbf{endconst}()} \\
\frac{\Theta; \Gamma; C_1 \vdash x \quad \Theta; \Gamma; C_2 \vdash s : P_1 \quad C = C_1 \cup C_2}{\Theta; \Gamma; C \vdash \mathbf{const}(*x)s : \mathbf{const}()}
\end{array}$$

## 11. Type Inference



$$\begin{aligned}
PT_{\Theta}(f) &= \\
&\quad \text{let } \alpha = \Theta(f) \\
&\quad \text{in } (C = \{\alpha \leq \beta\}, \beta) \\
PT_{\Theta}(\text{skip}) &= (\emptyset, 0) \\
PT_{\Theta}(s_1; s_2) &= \\
&\quad \text{let } (C_1, P_1) = PT_{\Theta}(s_1) \\
&\quad \quad (C_2, P_2) = PT_{\Theta}(s_2) \\
&\quad \text{in } (C_1 \cup C_2 \cup \{P_1; P_2 \leq \beta\}, \beta) \\
PT_{\Theta}(*x \leftarrow y) &= \\
&\quad \text{let } (C_1, \emptyset) = PT_v(*x) \\
&\quad \quad (C_2, \emptyset) = PT_v(y) \\
&\quad \text{in } (C_1 \cup C_2, 0) \\
PT_{\Theta}(\text{free}(x)) &= \\
&\quad \text{let } (C_1, \emptyset) = PT_v(x) \\
&\quad \text{in } (C_1, \text{free}) \\
PT_{\Theta}(\text{endconst}(*x)) &= \\
&\quad \text{let } (C_1, \emptyset) = PT_v(*x) \\
&\quad \text{in } (C_1, \text{endconst}(*x)) \\
PT_{\Theta}(\text{const}(*x)s) &= \\
&\quad \text{let } (C_1, \emptyset) = PT_v(*x) \\
&\quad \text{let } (C_2, P_1) = PT_{\Theta}(s) \\
&\quad \text{in } (C_1 \cup C_2 \cup P_1 \leq \beta, \text{const}(*x)\beta) \\
PT_{\Theta}(\text{let } x = \text{malloc}() \text{ in } s) &= \\
&\quad \text{let } (C_1, P_1) = PT_v(s) \\
&\quad \text{in } (C_1 \cup \{P_1 \leq \beta\}, \text{malloc}; \beta) \\
PT_{\Theta}(\text{let } x = y \text{ in } s) &= \\
&\quad \text{let } (C_1, \emptyset) = PT_v(y) \\
&\quad \quad (C_2, P_1) = PT_{\Theta}(s) \\
&\quad \text{in } (C_1 \cup C_2 \cup \{P_1 \leq \beta\}, \beta) \\
PT_{\Theta}(\text{let } x = *y \text{ in } s) &= \\
&\quad \text{let } (C_1, \emptyset) = PT_v(y) \\
&\quad \quad (C_2, P_1) = PT_{\Theta}(s) \\
&\quad \text{in } (C_1 \cup C_2 \cup \{P_1 \leq \beta\}, \beta) \\
PT_{\Theta}(\text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2) &= \\
&\quad \text{let } (C_1, P_1) = PT_{\Theta}(s_1) \\
&\quad \quad (C_2, P_2) = PT_{\Theta}(s_2) \\
&\quad \quad (C_3, \emptyset) = PT_v(*x) \\
&\quad \text{in } (C_1 \cup C_2 \cup C_3 \cup \{(*x)(P_1, P_2) \leq \beta\}, \beta) \\
PT(\langle D, s \rangle) &= \\
&\quad \text{let } \Theta = \{f_1 : \alpha_1, \dots, f_n : \alpha_n\} \\
&\quad \quad \text{where } \{f_1, \dots, f_n\} = \text{dom}(D) \text{ and } \alpha_1, \dots, \alpha_n \text{ are fresh} \\
&\quad \text{in let } (C_i, P_i) = PT_{\Theta}(D(f_i)) \text{ for each } i \\
&\quad \text{in let } C'_i = \{\alpha_i \leq P_i\} \text{ for each } i \\
&\quad \text{in let } (C, P) = PT_{\Theta}(s) \\
&\quad \text{in } (C_i \cup C'_i) \cup C \cup \{OK(P)\}, P)
\end{aligned}$$

Figure 4: Type Inference Algorithm