

# 1 Language $\mathcal{L}$

In this section we define an imperative language  $\mathcal{L}$  with memory allocation and deallocation primitives, and for simplification we only use pointers as values.

The syntax of the language  $\mathcal{L}$  is as follows.

$$\begin{aligned}
x, y, z, \dots \text{ (variables)} &\in \mathbf{Var} \\
s \text{ (statements)} &::= \mathbf{skip} \mid s_1; s_2 \mid *x \leftarrow y \mid \mathbf{free}(x) \\
&\quad \mid \mathbf{let } x = \mathbf{malloc}() \mathbf{ in } s \mid \mathbf{let } x = \mathbf{null} \mathbf{ in } s \\
&\quad \mid \mathbf{let } x = y \mathbf{ in } s \mid \mathbf{let } x = *y \mathbf{ in } s \\
&\quad \mid \mathbf{ifnull } (*x) \mathbf{ then } s_1 \mathbf{ else } s_2 \mid f(\vec{x}) \\
&\quad \mid \mathbf{const}(*x)s \mid \mathbf{endconst}(*x) \\
d \text{ (proc. defs.)} &::= \{f \mapsto (x_1, \dots, x_n)s\} \\
D \text{ (definitions)} &::= \langle d_1 \cup \dots \cup d_n \rangle \\
P \text{ (programs)} &::= \langle D, s \rangle
\end{aligned}$$

**Notation**  $\vec{x}$  is for a finite sequence  $\{x_1, \dots, x_n\}$ , where we assume that each element is distinct;  $[\vec{x}'/\vec{x}]s$  is for a term obtained by replacing each free occurrence of  $\vec{x}$  in  $s$  with variables  $\vec{x}'$ .

The **Var** is a countably infinite set of *variables* and each variable is a pointer. The statement **skip** means "does nothing". The statement  $s_1; s_2$  is a sequential execution of  $s_1$  and  $s_2$ . The statement  $*x \leftarrow y$  updates the content of cell which is pointed to by  $x$  with the value  $y$ . The statement **free**( $x$ ) deallocates a memory cell which is pointed to by pointer  $x$ . The statement **let**  $x = e$  **in**  $s$  evaluates the expression  $e$ , binds  $x$  to the result, and executes  $s$ . The expression **malloc**() allocates a new memory cell. The expression **null** evaluates to the null pointer. The expression  $*y$  means dereferencing a memory cell pointed to by  $y$ . The statement **ifnull**  $(*x)$  **then**  $s_1$  **else**  $s_2$  executes  $s_1$  if  $*x$  is **null** and executes  $s_2$  otherwise. The statement  $f(\vec{x})$  expresses a procedure  $f$  with arguments  $\vec{x}$ . The statement **const**( $*x$ ) $s$  means  $(*x)$  is a constant in statement  $s$ ; the statement **endconst**( $*x$ ) means from this point  $(*x)$  maybe not constant.

The  $d$  represents a procedure definition which maps a procedure name  $f$  to its procedure body  $(\vec{x})s$ ; The  $D$  represents a set of procedure definitions  $\langle d_1 \cup \dots \cup d_n \rangle$ , and each definition is distinct; The pair  $\langle D, s \rangle$  represents a program, where  $D$  is a set of definitions and  $s$  is a main statement; the  $E$  represents evaluation context.

## 1.1 Operational semantics

In this section we introduce operational semantics of language  $\mathcal{L}$ . We assume there is a countable infinite set  $\mathcal{H}$  of *heap addresses* ranged over by  $l$ .

We use a configuration  $\langle H, R, s, n, C \rangle$  to express a run-time state. Each elements in the configuration is as follows.

- $H$ , a *heap*, is a finite mapping from  $\mathcal{H}$  to  $\mathcal{H} \cup \{\mathbf{null}\}$ ;

- $R$ , an *environment*, is a finite mapping from **Var** to  $\mathcal{H} \cup \{\mathbf{null}\}$ ;
- $s$  is the statement that is being executed;
- $n$  is a natural number that represents the number of memory cells available for allocation.
- $C$  is a set of actions, which contains **const**(\*x), **null**(\*x) and  $\neg\mathbf{null}(*x)$ .

The operational semantics of the language  $\mathcal{L}$  is given by a labeled transition relation  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s', n', C' \rangle$ . The label  $\rho$  is as follows.

$$\rho \text{ (label)} ::= \mathbf{malloc} \mid \mathbf{free} \mid \mathbf{null}(*x) \mid \neg\mathbf{null}(*x) \mid \tau$$

The  $\rho$ , an *action*, is **malloc**, **free**, or  $\tau$ . The action **malloc** expresses an allocation of a memory cell; **free** expresses a deallocation of a memory cell;  $\tau$  expresses the other actions. We often omit  $\tau$  in  $\xrightarrow{\tau}_D$ . We use a metavariable  $\sigma$  for a finite sequence of actions  $\rho_1 \dots \rho_n$ . We write  $\xrightarrow{\rho_1 \dots \rho_n}_D$  for  $\xrightarrow{\rho_1}_D \xrightarrow{\rho_2}_D \dots \xrightarrow{\rho_n}_D$ . We write  $\xRightarrow{\rho}_D$  for  $\xrightarrow{*}_D \xrightarrow{\rho}_D \xrightarrow{*}_D$ . We write  $\xRightarrow{\rho_1 \dots \rho_n}_D$  for  $\xRightarrow{\rho_1}_D \dots \xRightarrow{\rho_n}_D$ .

**Notation** the **Dom**( $f$ ) is a mapping from function name  $f$  to its domain; for a map  $f$ , the  $f\{x \mapsto v\}$  and  $f \setminus x$  are defined as follows:

$$\begin{aligned} f\{x \mapsto v\}(w) &= \begin{cases} v & \text{if } x = w \\ f(w) & \text{otherwise.} \end{cases} \\ (f \setminus x)(w) &= \begin{cases} \text{undefined} & \text{if } x = w \\ f(w) & \text{otherwise.} \end{cases} \end{aligned}$$

and  $\mathit{filter}(C, *x)$  is defined by a pseudocode as follows:

$$\begin{aligned} \mathit{filter}(C, *x) &= \text{let } C' = C - \mathbf{const}(*x) \text{ in} \\ &\quad \text{if } \mathbf{const}(*x) \in C' \text{ then return } C' \\ &\quad \text{else return } C' \setminus \{\mathbf{null}(*x), \neg\mathbf{null}(*x)\} \end{aligned}$$

Figure 1 depicts the relation  $\xrightarrow{\rho}_D$ . Several important rules are listed as follows.

- SEM-CONSTSKIP: That a memory cell pointed to by  $x$  is no longer a constant is expressed by doing nothing.
- SEM-CONSTSEQ: That a memory cell pointed to by  $x$  should be a constant in a statement  $s$  is expressed by adding a statement **endconst**(\*x) at the end of statement  $s$ .
- SEM-FREE: Deallocation of a memory cell pointed to by  $x$  is expressed by deleting the entry for  $R(x)$  from the heap. This action increments the number of available cells (i.e.,  $n$ ) by one (i.e.,  $n + 1$ ).
- SEM-MALLOC and SEM-OUTOFMEM: Allocation of a memory cell is expressed by adding a fresh entry to the heap. This action is allowed only if the number of available cells is positive; if the number is zero, then the configuration leads to an error state **OutOfMemory**.

- SEM-ASSIGNEXN, SEM-FREEEXN, SEM-DEREFEXN and SEM-FREEEXN : These rules express an illegal access to memory. If such action is performed, then the configuration leads to exceptional state **MemEx**. This state **MemEx** is not seen as an erroneous state in the current paper, hence a well-typed program may lead to these states. The command **free**( $x$ ), if  $x$  is a null pointer, leads to **MemEx** in the current semantics, although it is equivalent to **skip** in the C language.
- SEM-CONSTEXN: expresses that if a constant  $*x$  is changed in  $s$  it will raise **ConstEx** exception.

Our goal is to guarantee *total* memory-leak freedom and reject memory leaks. By our language  $\mathcal{L}$ , they are formally defined as follows:

**Definition 1** (total memory-leak freedom). *A program  $\langle D, s \rangle$  is totally memory-leak free if there is a natural number  $n$  such that it does not require more than  $n$  cells.*

**Definition 2** (Memory leak). *A configuration  $\langle H, R, s, n, C \rangle$  goes overflow if there is  $\sigma$  such that  $\langle H, R, s, n, C \rangle \xrightarrow{\sigma} \text{OutOfMemory}$ . A program  $\langle D, s \rangle$  consumes at least  $n$  cells if  $\langle \emptyset, \emptyset, s, n, \emptyset \rangle$  goes overflow.*

## 2 Type system

### 2.1 Types

The syntax of the types is as follows.

$$\begin{array}{ll}
P \text{ (behavioral types)} & ::= \mathbf{0} \mid P_1; P_2 \mid \mathbf{malloc} \mid \mathbf{free} \mid \alpha \mid \mu\alpha.P \\
& \quad \mid (x)P \mid (*x)(P_1, P_2) \mid \mathbf{const}(*x)P \mid \mathbf{endconst}(*x) \\
\Gamma \text{ (variable type environment)} & ::= \{x_1, x_2, \dots, x_n\} \\
\Psi \text{ (dependent function type)} & ::= (\vec{x})P \\
\Theta \text{ (function type environment)} & ::= \{f_1 : \Psi_1, \dots, f_n : \Psi_n\}
\end{array}$$

Behavioral types ranged over by  $P$  express the abstraction of behaviors of a program. The type  $\mathbf{0}$  represents the do-nothing behavior; the type  $P_1; P_2$  represents the sequential execution of  $P_1$  and  $P_2$ ; The type **malloc** represents an allocation of a memory cell exactly once; the type **free** represents a deallocation; the type  $\mu\alpha.P$  represents the behavior of  $\alpha$  defined by the recursive equation  $\alpha = P$ ; the type  $(*x)(P_1, P_2)$  represents that  $P_1$  or  $P_2$  is obtained dependent on  $*x$ ; the type  $P_1 + P_2$  represents the choice between  $P_1$  and  $P_2$ ; the  $\alpha$  is a type variable; the type **const**( $*x$ ) $P$  represents that  $*x$  is a constant in behavioral type  $P$ ; the type **endconst**( $*x$ ) represents  $*x$  no longer be a constant from this point.

A type environments for variables ranged over by  $\Gamma$  is a set of variables. Since our interest is the behavior of a program, not the types of values, a variable type environment does not carry information on the types of variables.

Dependent function types ranged over by  $\Psi$  represents the behavior of a function;  $\vec{x}$  is the formal arguments of the function.

Function types ranged over by  $\Theta$  is a mapping from function names to dependent function types.

$$\begin{array}{c}
\frac{C' = \text{filter}(C, *x)}{\langle H, R, \text{endconst}(*x), n, C \rangle \rightarrow_D \langle H, R, \text{skip}, n, C' \rangle} \quad (\text{SEM-CONSTSKIP}) \\
\langle H, R, \text{const}(*x)s, n, C \rangle \rightarrow_D \langle H, R, s; \text{endconst}(*x), n, C \cup \{\text{const}(*x)\} \rangle \quad (\text{SEM-CONSTSEQ}) \\
\langle H, R, \text{skip}; s, n, C \rangle \rightarrow_D \langle H, R, s, n, C \rangle \quad (\text{SEM-SKIP}) \\
\frac{\langle H, R, s_1, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s'_1, n', C' \rangle}{\langle H, R, s_1; s_2, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s'_1; s_2, n', C' \rangle} \quad (\text{SEM-SEQ}) \\
\frac{x' \notin \text{Dom}(R)}{\langle H, R, \text{let } x = \text{null in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto \text{null}\}, [x'/x]s, n, C \rangle} \quad (\text{SEM-LETNULL}) \\
\frac{x' \notin \text{Dom}(R)}{\langle H, R, \text{let } x = y \text{ in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto R(y)\}, [x'/x]s, n, C \rangle} \quad (\text{SEM-LETEQ}) \\
\frac{H(R(x)) = \text{null}, \text{const}(*x) \notin C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\text{null}(*x)}_D \langle H, R, s_1, n, C \rangle} \quad (\text{SEM-IFNULLT}) \\
\frac{H(R(x)) \neq \text{null}, \text{const}(*x) \notin C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)}_D \langle H, R, s_2, n, C \rangle} \quad (\text{SEM-IFNULLF}) \\
\frac{H(R(x)) = \text{null}, \text{const}(*x) \in C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\text{null}(*x)}_D \langle H, R, s_1, n, C \cup \{\text{null}(*x)\} \rangle} \quad (\text{SEM-IFCONSTNULLT}) \\
\frac{H(R(x)) \neq \text{null}, \text{const}(*x) \in C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)}_D \langle H, R, s_2, n, C \cup \{\neg \text{null}(*x)\} \rangle} \quad (\text{SEM-IFCONSTNULLF}) \\
\frac{\forall z. R(x) = R(z) \Rightarrow \text{const}(*x) \notin C}{\langle H \{R(x) \mapsto v\}, R, *x \leftarrow y, n, C \rangle \rightarrow_D \langle H \{R(x) \mapsto R(y)\}, R, \text{skip}, n, C \rangle} \quad (\text{SEM-ASSIGN}) \\
\frac{x' \notin \text{Dom}(R) \quad R(y) \in \text{Dom}(H)}{\langle H, R, \text{let } x = *y \text{ in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto H(R(y))\}, [x'/x]s, n, C \rangle} \quad (\text{SEM-LETDEREF}) \\
\frac{R(x) \neq \text{null} \text{ and } R(x) \in \text{Dom}(H)}{\langle H \{R(x) \mapsto v\}, R, \text{free}(x), n, C \rangle \xrightarrow{\text{free}}_D \langle H \setminus R(x), R, \text{skip}, n+1, C \rangle} \quad (\text{SEM-FREE}) \\
\frac{l \notin \text{Dom}(H) \quad n > 0 \quad x' \notin \text{Dom}(H) \cup \text{Dom}(R) \cup \text{fv}(C)}{\langle H, R, \text{let } x = \text{malloc}() \text{ in } s, n, C \rangle \xrightarrow{\text{malloc}}_D \langle H \{l \mapsto v\}, R \{x' \mapsto l\}, [x'/x]s, n-1, C \rangle} \quad (\text{SEM-MALLOC}) \\
\frac{D(f) = (\vec{y})s}{\langle H, R, f(\vec{x}), n, C \rangle \rightarrow_D \langle H, R, [\vec{x}/\vec{y}]s, n, C \rangle} \quad (\text{SEM-CALL}) \quad \frac{R(x) = \text{null} \text{ or } R(x) \notin \text{Dom}(H)}{\langle H, R, \text{free}(x), n, C \rangle \xrightarrow{\text{free}}_D \text{MemEx}} \quad (\text{SEM-FREEEXN}) \\
\frac{R(x) = \text{null} \text{ or } R(x) \notin \text{Dom}(H)}{\langle H, R, *x \leftarrow y, n, C \rangle \rightarrow_D \text{MemEx}} \quad (\text{SEM-ASSIGNEXN})_4 \quad \frac{R(y) = \text{null} \text{ or } R(y) \notin \text{Dom}(H)}{\langle H, R, \text{let } x = *y \text{ in } s, n, C \rangle \rightarrow_D \text{MemEx}} \quad (\text{SEM-DEREFEXN}) \\
\frac{\exists z. \text{const}(*z) \in C \text{ and } R(x) = R(z)}{\langle H \{R(x) \mapsto v\}, R, *x \leftarrow y, n, C \rangle \rightarrow_D \text{ConstEx}} \quad (\text{SEM-ASSIGNCONSTEXN}) \\
\langle H, R, \text{let } x = \text{malloc}() \text{ in } s, 0, C \rangle \xrightarrow{\text{malloc}}_D \text{OutOfMemory} \quad (\text{SEM-OUTOFMEM})
\end{array}$$

Figure 1: Operational semantics of  $\mathcal{L}$ .

Figure 2 depicts semantics of behavioral types with dependent types, and they are given by the labeled transition system. The relation  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$  means that  $P$  can make an action  $\rho$ , and  $P$  turns into  $P'$  after it makes action  $\rho$ ;  $C$  and  $C'$  record constant value environment before and after making action  $\rho$  respectively.

## 2.2 Typing rules

The type judgment for statements is of the form  $\Theta; \Gamma \vdash s : P$ , which represents that under the function type environment  $\Theta$  and the variable type environment  $\Gamma$ , the abstracted behavioral type of statement  $s$  is  $P$ .

Before showing typing rules for statements in Figure 3, we need explain several important definitions. The first one is  $OK_n(P, C)$ , a predicate, where  $P$  represents the behavior of a program which consumes at most  $n$  memory cells under constant value environment  $C$ .

**Definition 3** ( $\#_\rho(\sigma)$ ).  $\#_\rho(\sigma)$  is the number of  $\rho$  in the sequence  $\sigma$ .

**Definition 4.**  $OK_n(P, C)$  holds if  $\forall P'$  and  $\sigma$ . if  $\langle P, C \rangle \xrightarrow{\sigma} \langle P', C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$

Intuitively,  $OK_n(P, C)$  represents at very running steps, the number of memory cells a program consumed will not exceed the number of memory cells the program requires.

**Definition 5** (Subtyping).  $C \vdash P_1 \leq P_2$  is the largest relation such that, for any  $P'_1, C'$  and  $\rho$ , if  $\langle P_1, C \rangle \xrightarrow{\rho} \langle P'_1, C' \rangle$ , then there exists  $P'_2$  such that  $\langle P_2, C \rangle \xRightarrow{\rho} \langle P'_2, C' \rangle$  and  $C' \vdash P'_1 \leq P'_2$ . We write  $P_1 \leq P_2$  if  $C \vdash P_1 \leq P_2$  for any  $C$ .

Figure 3 shows the typing rules. For example, the rule T-IFNULL represents the behavior of **ifnull**  $(*x)$  **then**  $s_1$  **else**  $s_2$  is abstracted as  $(*x)(P_1, P_2)$  where  $P_1$  and  $P_2$  are the behavior of  $s_1$  and  $s_2$  respectively; this conditional statement means that executing  $s_1$  if  $(*x)$  is a null pointer, otherwise  $s_2$ . The typing rule T-PROGRAM represents a program requires at most  $n$  memory cells during running under the predication  $OK_n(P, C)$ , where  $P$  is behavioral type of statement  $s$ .

## 2.3 Type soundness

**Theorem 2.1.** If  $\vdash \langle D, s \rangle : n$  for some  $n$ , then  $\langle D, s \rangle$  is totally memory-leak free.

The proof is based on the following lemmas: preservation and lack of immediate overflow.

**Definition 6.** *consistency*( $H, R, C$ ): for all  $x$ . (1) if **null** $(*x) \in C$ , then **const** $(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) = \text{null}$  (3) if  $\neg \text{null}(*x) \in C$ , then **const** $(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) \neq \text{null}$ .

**Definition 7.** we write  $\Theta \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$ , if there exists  $\Gamma$  such that  $\Theta; \Gamma \vdash s : P$ ,  $OK_n(P, C)$ , *consistency*( $H, R, C$ ) and  $\Gamma \subseteq \text{Dom}(R)$ .

**Lemma 2.2** (Preservation). suppose that  $\Theta \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$ , if  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$  then  $\exists P'$  and  $C'$  s.t. (1)  $\Theta \vdash \langle H', R', s', n', C' \rangle : \langle P', C' \rangle$  and (2)  $\langle P, C \rangle \xRightarrow{\rho} \langle P', C' \rangle$ .

**Lemma 2.3** (Lack of immediate overflow). If  $\Theta \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$ , then  $\langle H, R, s, n, C \rangle \not\xrightarrow{\text{malloc}} \text{OutOfMemory}$ .

$$\begin{array}{c}
\langle \mathbf{0}; P, C \rangle \rightarrow \langle P, C \rangle \quad (\text{TR-SKIP}) \\
\langle \mathbf{free}, C \rangle \xrightarrow{\mathbf{free}} \langle \mathbf{0}, C \rangle \quad (\text{TR-FREE}) \quad \langle \mu\alpha.P, C \rangle \rightarrow \langle [\mu\alpha.P/\alpha]P, C \rangle \quad (\text{TR-REC}) \\
\\
\frac{\langle P_1, C \rangle \xrightarrow{\rho} \langle P'_1, C' \rangle}{\langle P_1; P_2, C \rangle \xrightarrow{\rho} \langle P'_1; P_2, C' \rangle} \quad (\text{TR-SEQ}) \\
\\
\langle \mathbf{malloc}, C \rangle \xrightarrow{\mathbf{malloc}} \langle \mathbf{0}, C \rangle \quad (\text{TR-MALLOC}) \\
\\
\frac{x' \text{ is fresh}}{\langle (x)P, C \rangle \rightarrow \langle [x'/x]P, C \rangle} \quad (\text{TR-BIND}) \\
\\
\langle \mathbf{const}(*x)P, C \rangle \rightarrow \langle P; \mathbf{endconst}(*x), C \cup \{\mathbf{const}(*x)\} \rangle \quad (\text{TR-CONST}) \\
\\
\frac{C' = \text{filter}(C, *x)}{\langle \mathbf{endconst}(*x), C \rangle \rightarrow \langle \mathbf{0}, C' \rangle} \quad (\text{TR-ENDCONST}) \\
\\
\frac{\mathbf{const}(*x) \notin C}{\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, C \rangle} \quad (\text{TR-NOTCONST1}) \quad \frac{\mathbf{const}(*x) \notin C}{\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, C \rangle} \quad (\text{TR-NOTCONST2}) \\
\\
\frac{\mathbf{null}(*x) \in C \quad \mathbf{const}(*x) \in C}{\langle (*x)(P_1, P_2), C \rangle \rightarrow \langle P_1, C \rangle} \quad (\text{TR-NULLIN}) \quad \frac{\neg \mathbf{null}(*x) \in C \quad \mathbf{const}(*x) \in C}{\langle (*x)(P_1, P_2), C \rangle \rightarrow \langle P_2, C \rangle} \quad (\text{TR-NNULLIN}) \\
\\
\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin C \quad \mathbf{const}(*x) \in C}{\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, C \cup \mathbf{null}(*x) \rangle} \quad (\text{TR-NNULLNOTIN1}) \\
\\
\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin C \quad \mathbf{const}(*x) \in C}{\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, C \cup \neg \mathbf{null}(*x) \rangle} \quad (\text{TR-NNULLNOTIN2})
\end{array}$$

Figure 2: semantics of behavioral types with dependent types.

$$\begin{array}{c}
\Theta; \Gamma \vdash \mathbf{skip} : \mathbf{0} \quad (\text{T-SKIP}) \qquad \frac{\Theta; \Gamma \vdash s_1 : P_1 \quad \Theta; \Gamma \vdash s_2 : P_2}{\Theta; \Gamma \vdash s_1; s_2 : P_1; P_2} \quad (\text{T-SEQ}) \\
\Theta; \Gamma, x, y \vdash *x \leftarrow y : \mathbf{0} \quad (\text{T-ASSIGN}) \qquad \Theta; \Gamma, x \vdash \mathbf{free}(x) : \mathbf{free} \quad (\text{T-FREE}) \\
\frac{\Theta; \Gamma, x \vdash s : P}{\Theta; \Gamma \vdash \mathbf{let } x = \mathbf{malloc}() \mathbf{ in } s : \mathbf{malloc}; (x)P} \quad (\text{T-MALLOC}) \qquad \frac{\Theta; \Gamma, x, y \vdash s : P}{\Theta; \Gamma, y \vdash \mathbf{let } x = y \mathbf{ in } s : [y/x]P} \quad (\text{T-LETEQ}) \\
\frac{\Theta; \Gamma, x, y \vdash s : P}{\Theta; \Gamma, y \vdash \mathbf{let } x = *y \mathbf{ in } s : (x)P} \quad (\text{T-LETDEREF}) \qquad \frac{\Theta; \Gamma, x \vdash s : P}{\Theta; \Gamma \vdash \mathbf{let } x = \mathbf{null in } s : (x)P} \quad (\text{T-LETNULL}) \\
\Theta; \Gamma, x \vdash \mathbf{endconst}(*x) : \mathbf{endconst}(*x) \quad (\text{T-ENDCONST}) \\
\frac{\Theta; \Gamma, x \vdash s : P}{\Theta; \Gamma, x \vdash \mathbf{const}(*x)s : \mathbf{const}(*x)P} \quad (\text{T-CONST}) \\
\frac{\Theta; \Gamma, x \vdash s_1 : P_1 \quad \Theta; \Gamma, x \vdash s_2 : P_2}{\Theta; \Gamma, x \vdash \mathbf{ifnull}(*x) \mathbf{ then } s_1 \mathbf{ else } s_2 : (*x)(P_1, P_2)} \quad (\text{T-IFNULL}) \\
\Theta, f : (\vec{y})P; \Gamma, \vec{x} \vdash f(\vec{x}) : P[\vec{x}/\vec{y}] \quad (\text{T-CALL}) \\
\frac{\Theta; \Gamma \vdash s : P_1 \quad P_1 \leq P_2}{\Theta; \Gamma \vdash s : P_2} \quad (\text{T-SUB}) \\
\frac{\Theta(f) = (\vec{x})P \quad \mathbf{Dom}(D) = \mathbf{Dom}(\Theta) \quad \Theta; x_1, \dots, x_n \vdash s : P \text{ for each } f \mapsto (x_1, \dots, x_n)s \in D}{\vdash D : \Theta} \quad (\text{T-DEF}) \\
\frac{\vdash D : \Theta \quad \Theta; \emptyset \vdash s : P \quad OK_n(P, C)}{\vdash \langle D, s \rangle : n} \quad (\text{T-PROGRAM})
\end{array}$$

Figure 3: typing rules

### 3 Proof of Lemmas

**Lemma 3.1.** *If  $OK_n(P, C)$  and  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$ , then*

- $OK_{n-1}(P', C')$  if  $\rho = \mathbf{malloc}$ ,
- $OK_{n+1}(P', C')$  if  $\rho = \mathbf{free}$ ,
- $OK_n(P', C')$  if  $\rho = \text{Otherwise}$

*Proof.* By induction on  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$ .

- Case  $P = \mathbf{0}; P'$  and  $\langle \mathbf{0}; P', C \rangle \rightarrow \langle P', C \rangle$

We need to prove  $OK_n(P', C)$ . Assume that  $OK_n(P', C)$  does not hold. Then, we have  $\exists \sigma$  and  $Q$  s.t.  $\langle P', C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ ,  $\#_m(\sigma) - \#_f(\sigma) > n$ .

From the definition of that  $OK_n(\mathbf{0}; P', C)$  holds, we have if  $\langle \mathbf{0}; P', C \rangle \rightarrow \langle P', C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ , which are in contradiction to the assumption  $\#_m(\sigma) - \#_f(\sigma) > n$ . Therefore,  $OK_n(P', C)$  holds.

- Case  $P = \mathbf{malloc}$  and  $\langle \mathbf{malloc}, C \rangle \xrightarrow{\mathbf{malloc}} \langle \mathbf{0}, C \rangle$

we need to prove  $OK_{n-1}(\mathbf{0}, C)$ , which means we need to prove that for all  $\sigma$  and  $Q$ , if  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  then  $\#_m(\sigma) - \#_f(\sigma) \leq n - 1$ . There is no  $\sigma$  and  $Q$  such that  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ . Therefore,  $OK_{n-1}(\mathbf{0}, C)$  holds.

- Case  $P = \mathbf{free}$  and  $\langle \mathbf{free}, C \rangle \xrightarrow{\mathbf{free}} \langle \mathbf{0}, C \rangle$

We need to prove  $OK_{n+1}(\mathbf{0}, C)$ , which means we need to prove  $\forall \sigma$  and  $Q$  if  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n + 1$ . There is no  $Q$  and  $\sigma$  s.t.  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , so (1) holds. Therefore,  $OK(\mathbf{0}, C)$  holds.

- Case  $P = \mathbf{endconst}(*x)$  and  $\frac{C' = \text{filter}(C, *x)}{\langle \mathbf{endconst}(*x), C \rangle \rightarrow \langle \mathbf{0}, C' \rangle}$

We need to prove  $OK_n(\mathbf{0}, C')$ , which means we need to prove  $\forall \sigma$  and  $Q$  if  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(C')$  holds. There is no  $Q$  and  $\sigma$  s.t.  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ . So  $OK_n(\mathbf{0}, C')$  holds.

- Case  $P = (x)P'$  and  $\frac{x' \text{ is fresh}}{\langle (x)P', C \rangle \rightarrow \langle [x'/x]P', C \rangle}$

We need to prove  $OK_n([x'/x]P', C)$ . Assuming that  $OK_n([x'/x]P', C)$  does not hold. Then we have  $\exists \sigma$  and  $Q$  s.t.  $\langle [x'/x]P', C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$ .

From the definition of  $OK_n((x)P', C)$ , we have if  $\langle (x)P', C \rangle \rightarrow \langle [x'/x]P', C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ . Therefore we get the contradiction.

Therefore  $OK_n([x'/x]P', C)$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{const}(*x) \notin C}{\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, C \rangle}$

We need to prove  $OK_n(P_1, C)$ . Assume that  $OK_n(P_1, C)$  does not hold. Then, we have  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$ .



From the definition of that  $OK_n((*) (P_1, P_2), C)$  holds, we have if  $\langle (*)(P_1, P_2), C \rangle \xrightarrow{\text{null}(*)} \langle P_1, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ , which is in contradiction to the assumption  $\#_m(\sigma) - \#_f(\sigma) > n$ . Therefore,  $OK_n(P_1, C)$  holds.

- Case  $P = (*)(P_1, P_2)$  and  $\frac{\text{const}(*) \notin C}{\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_2, C \rangle}$

We need to prove  $OK_n(P_2, C)$ . Assume that  $OK_n(P_2, C)$  does not hold. Then, we have  $\exists \sigma$  and  $Q$  s.t.  $\langle P_2, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$ .

From the definition of that  $OK_n((*) (P_1, P_2), C)$  holds, we have if  $\langle (*)(P_1, P_2), C \rangle \xrightarrow{\neg \text{null}(*)} \langle P_2, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ , which is in contradiction to the assumption. Therefore,  $OK_n(P_2, C)$  holds.

- Case  $P = (*)(P_1, P_2)$  and  $\frac{\text{null}(*) \in C}{\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_1, C \rangle} \frac{\text{const}(*) \in C}{\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_2, C \rangle}$

We need to prove  $OK_n(P_1, C)$ . Assume that  $OK_n(P_1, C)$  does not hold. Then, we have  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$ .

From the definition of that  $OK_n((*) (P_1, P_2), C)$  holds, we have if  $\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_1, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ , which is in contradiction to the assumption. Therefore,  $OK_n(P_1, C)$  holds.

- Case  $P = (*)(P_1, P_2)$  and  $\frac{\neg \text{null}(*) \in C}{\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_2, C \rangle} \frac{\text{const}(*) \in C}{\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_1, C \rangle}$

We need to prove  $OK_n(P_2, C)$ . Assume that  $OK_n(P_2, C)$  does not hold. Then we have  $\exists \sigma$  and  $Q$  s.t.  $\langle P_2, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$ .

From the definition of that  $OK_n((*) (P_1, P_2), C)$  holds, we have if  $\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_2, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ , which is in contradiction to the assumption. Therefore,  $OK_n(P_2, C)$  holds.

- Case  $P = (*)(P_1, P_2)$  and  $\frac{\text{null}(*) \in C, \neg \text{null}(*) \notin C}{\langle (*)(P_1, P_2), C \rangle \xrightarrow{\text{null}(*)} \langle P_1, C \cup \{\text{null}(*)\} \rangle} \frac{\text{const}(*) \in C}{\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_2, C \cup \{\text{null}(*)\} \rangle}$

We need to prove  $OK_n(P_1, C \cup \{\text{null}(*)\})$ . Assume that  $OK_n(P_1, C \cup \{\text{null}(*)\})$  does not hold. Then we have  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, C \cup \{\text{null}(*)\} \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$ .

From the definition of that  $OK_n((*) (P_1, P_2), C)$  holds, we have if  $\langle (*)(P_1, P_2), C \rangle \xrightarrow{\text{null}(*)} \langle P_1, C \cup \{\text{null}(*)\} \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ . Therefore, we get the contradiction and  $OK_n(P_1, C \cup \{\text{null}(*)\})$  holds.

- Case  $P = (*)(P_1, P_2)$  and  $\frac{\text{null}(*) \in C, \neg \text{null}(*) \notin C}{\langle (*)(P_1, P_2), C \rangle \xrightarrow{\neg \text{null}(*)} \langle P_2, C \cup \{\neg \text{null}(*)\} \rangle} \frac{\text{const}(*) \in C}{\langle (*)(P_1, P_2), C \rangle \rightarrow \langle P_1, C \cup \{\neg \text{null}(*)\} \rangle}$

Similar to the above.

- Case  $P = \text{const}(*)P'$  and  $\langle \text{const}(*)P', C \rangle \rightarrow \langle P'; \text{endconst}(*) \rangle, C \cup \text{const}(*)$

We need to prove  $OK_n(P'; \text{endconst}(*) \rangle, C \cup \text{const}(*)$ ). Assume that  $OK_n(P'; \text{endconst}(*) \rangle, C \cup \text{const}(*)$  does not hold. Then, we have  $\exists \sigma$  and  $Q$  s.t.  $\langle P'; \text{endconst}(*) \rangle, C \cup \text{const}(*) \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\#_m(\sigma) - \#_f(\sigma) > n$ .

From the definition of that  $OK_n(\text{const}(*)P', C)$  holds, we have if  $\langle \text{const}(*)P', C \rangle \rightarrow \langle P'; \text{endconst}(*) \rangle, C \cup \text{const}(*) \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$ , which is in contradiction to the assumption. Therefore,  $OK_n(P'; \text{endconst}(*) \rangle, C \cup \text{const}(*)$  holds.

- Case  $P = \mu\alpha.P'$  and  $\langle \mu\alpha.P', C \rangle \rightarrow \langle [\mu\alpha.P'/\alpha]P', C \rangle$

We need to prove  $OK_n([\mu\alpha.P'/\alpha]P', C)$ . Assume that  $OK_n([\mu\alpha.P'/\alpha]P', C)$  does not hold. Then, we have  $\exists\sigma$  and  $Q$  s.t.  $\langle [\mu\alpha.P'/\alpha]P', C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ .

From the definition of that  $OK_n(\mu\alpha.P', C)$  holds, we have if  $\langle \mu\alpha.P', C \rangle \rightarrow \langle [\mu\alpha.P'/\alpha]P', C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which is a contradiction. Therefore,  $OK([\mu\alpha.P'/\alpha]P', C)$  holds.

- Case  $P = P_1; P_2$  and  $\frac{\langle P_1, C \rangle \xRightarrow{\rho} \langle P'_1, C' \rangle}{\langle P_1; P_2, C \rangle \xRightarrow{\rho} \langle P'_1; P_2, C' \rangle}$

We need to prove  $OK_{n'}(P'_1; P_2, C)$ , where  $n'$  is determined by

$$n' = \begin{cases} n+1 & \rho = \mathbf{free} \\ n-1 & \rho = \mathbf{malloc} \\ n & \text{Otherwise.} \end{cases}$$

Assume that  $OK_{n'}(P'_1; P_2, C')$  does not hold. Then, we have  $\exists\sigma, Q$  and  $C''$  s.t.  $\langle P'_1; P_2, C' \rangle \xrightarrow{\sigma} \langle Q, C'' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n'$ .

From the definition of that  $OK_n(P_1; P_2, C)$  holds, we have if  $\langle P_1; P_2, C \rangle \xRightarrow{\rho} \langle P'_1; P_2, C' \rangle \xrightarrow{\sigma} \langle Q, C'' \rangle$ , then  $\sharp_m(\rho\sigma) - \sharp_f(\rho\sigma) \leq n$ .

Then we get  $n' + \sharp_m(\rho) - \sharp_f(\rho) < \sharp_m(\rho) + \sharp_m(\sigma) - \sharp_f(\rho) - \sharp_f(\sigma) \leq n$ . For any  $\rho$ , the  $n' + \sharp_m(\rho) - \sharp_f(\rho) = n$ , therefore we get a contradiction. Therefore,  $OK_{n'}(P'_1; P_2, F')$  holds.

□

**Lemma 3.2.** *If consistency( $H, R, C$ ) and  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s', n', C' \rangle$ , then consistency( $H', R', C'$ ).*

*Proof.* By induction on  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s', n', C' \rangle$

- Case:  $\langle H, R, \mathbf{const}(*y)s, n, C \rangle \rightarrow_D \langle H, R, s; \mathbf{endconst}(*y), n', C \cup \mathbf{const}(*y) \rangle$ .

We need to prove consistency( $H, R, C \cup \mathbf{const}(*y)$ ). From assumption consistency( $H, R, C$ ), we have (1)  $\forall x. \text{if } \mathbf{null}(*x) \in C$ , then  $\mathbf{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) = \mathbf{null}$  and (2)  $\forall x. \text{if } \neg \mathbf{null}(*x) \in C$ , then  $\mathbf{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) \neq \mathbf{null}$ .

To prove consistency( $H, R, C \cup \mathbf{const}(*y)$ ), we chose  $z$  arbitrarily. (3) Assuming  $\mathbf{null}(*z) \in C \cup \mathbf{const}(*y)$ . This implies  $\mathbf{null}(*z) \in C$ . By using (1), we have  $\mathbf{const}(*z) \in C$ , and this implies  $\mathbf{const}(*z) \in C \cup \mathbf{const}(*y)$ . Assuming  $H(R(z))$  is defined, then  $H(R(z)) = \mathbf{null}$  from (1). (4) Assuming  $\neg \mathbf{null}(*z) \in C \cup \mathbf{const}(*y)$ . This implies  $\neg \mathbf{null}(*z) \in C$ . By using (2), we have  $\mathbf{const}(*z) \in C$ . This implies  $\mathbf{const}(*z) \in C \cup \mathbf{const}(*y)$ . Assuming  $H(R(z))$  is defined, then  $H(R(z)) \neq \mathbf{null}$  from (2).

Therefore, consistency( $H, R, C \cup \mathbf{const}(*y)$ ) holds.

- Case:  $\langle H, R, \mathbf{endconst}(*y), n, C \rangle \rightarrow_D \langle H, R, \mathbf{skip}, n, C' \rangle$  where  $C' = \mathbf{filter}(C, *y)$ .

We need to prove consistency( $H, R, C'$ ) where  $C' = \mathbf{filter}(C, *y)$ . From assumption consistency( $H, R, C$ ), we have (1)  $\forall x. \text{if } \mathbf{null}(*x) \in C$ , then  $\mathbf{const}(*x) \in C$  and if  $H(R(x))$  is defined then

$H(R(x)) = \text{null}$  and (2)  $\forall x.\text{if } \neg \text{null}(*x) \in C$ , then  $\text{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) \neq \text{null}$ .

To prove  $\text{consistency}(H, R, C')$ , we chose  $z$  arbitrarily. From the definition of function  $\text{filter}(C, *y)$ , we know (3) if  $\text{const}(*y) \in (C - \text{const}(*y))$  then we have  $C' = C - \text{const}(*y)$ , otherwise we have  $C' = (C - \text{const}(*y)) \setminus \{\text{null}(*y), \neg \text{null}(*y)\}$ . From (3) we have  $C' \subseteq C$ . (4) Assuming  $\text{null}(*z) \in C'$ , this implies  $\text{null}(*z) \in C$ . From (1) we have  $\text{const}(*z) \in C$ . Now we want to get  $\text{const}(*z) \in C'$ . We should consider two cases:  $z \neq y$  and  $z = y$ . If  $z \neq y$  then we have  $\text{const}(*z) \in C'$  from (3); if  $z = y$ , and because  $\text{null}(*z) \in C'$ , then we have  $\text{const}(*z) \in C'$ . Assuming  $H(R(z))$  is defined, then we have  $H(R(z)) = \text{null}$  from (1). (5) Assuming  $\neg \text{null}(*z) \in C'$ . The similar to (4).

Therefore,  $\text{consistency}(H, R, C')$  holds.

- Case:  $\langle H, R, \text{free}(y), n, C \rangle \xrightarrow{\text{free}}_D \langle H \setminus R(y), R, \text{skip}, n+1, C \rangle$ .

We need to prove  $\text{consistency}(H \setminus R(y), R, C)$ . From assumption  $\text{consistency}(H, R, C)$ , we have (1)  $\forall x.\text{if } \text{null}(*x) \in C$ , then  $\text{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) = \text{null}$  and (2)  $\forall x.\text{if } \neg \text{null}(*x) \in C$ , then  $\text{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) \neq \text{null}$ .

To prove  $\text{consistency}(H \setminus R(y), R, C)$ , we chose  $z$  arbitrarily. (3) Assuming  $\text{null}(*z) \in C$ . By using (1), we have  $\text{const}(*z) \in C$ . Assuming  $H(R(z))$  is defined, we have  $H(R(z)) = \text{null}$  from (1). We know that  $R(y)$  has been deallocated from  $H$ , so if  $z = y$  then  $(H \setminus R(y))(R(z))$  is not defined, otherwise  $(H \setminus R(y))(R(z))$  is defined and  $(H \setminus R(y))(R(z)) = H(R(z)) = \text{null}$ . (4) Assuming  $\text{null}(*z) \in C$ , By using (2), we have  $\text{const}(*z) \in C$ . Assuming  $H(R(z))$  is defined, we have  $H(R(z)) \neq \text{null}$  from (2). We know that  $y$  has been deallocated from  $H$ , so if  $z = y$  then  $(H \setminus R(y))(R(z))$  is not defined, otherwise  $(H \setminus R(y))(R(z))$  is defined and  $(H \setminus R(y))(R(z)) = H(R(z)) \neq \text{null}$ .

Therefore,  $\text{consistency}(H \setminus R(y), R, C)$  holds.

- Case:  $\langle H, R, \text{let } y = \text{malloc in } s, n, C \rangle \xrightarrow{\text{malloc}}_D \langle H\{l \mapsto v\}, R\{x' \mapsto l\}, [x'/y]s, n', C \rangle$  where  $x' \notin \text{Dom}(H) \cup \text{Dom}(R) \cup \text{fv}(C)$

We need to prove  $\text{consistency}(H\{l \mapsto v\}, R\{x' \mapsto l\}, C)$ . From assumption  $\text{consistency}(H, R, C)$ , we have (1)  $\forall x.\text{if } \text{null}(*x) \in C$ , then  $\text{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) = \text{null}$  and (2)  $\forall x.\text{if } \neg \text{null}(*x) \in C$ , then  $\text{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) \neq \text{null}$ .

To prove  $\text{consistency}(H\{l \mapsto v\}, R\{x' \mapsto l\}, C)$ , we chose  $z$  arbitrarily. (3) Assuming  $\text{null}(*z) \in C$ . From (1) we have  $\text{const}(*z) \in C$ . Assuming  $H(R(z))$  is defined, we have  $H(R(z)) = \text{null}$  from (1). We have  $x' \notin \text{Dom}(H) \cup \text{Dom}(R) \cup \text{fv}(C)$ , so  $z \neq x'$ . Therefore we get  $H\{l \mapsto v\}(R\{x' \mapsto l\}z) = H(R(z)) = \text{null}$ . (4) Assuming  $\neg \text{null}(*z) \in C$ . similar to (3).

Therefore,  $\text{consistency}(H\{l \mapsto v\}, R\{x' \mapsto l\}, C)$  holds.

- Case:  $\langle H, R, \text{skip}; s, n, C \rangle \rightarrow_D \langle H, R, s, n', C \rangle$ .

Obviously,  $\text{consistency}(H, R, C)$  holds from assumption.

- Case:  $\langle H\{R(w) \mapsto v\}, R, *w \leftarrow y, n, C \rangle \rightarrow_D \langle H\{R(w) \mapsto R(y)\}, R, \text{skip}, n, C \rangle$  where  $\forall z. R(w) = R(z) \Rightarrow \mathbf{const}(*z) \notin C$

We need to prove  $\text{consistency}(H\{R(w) \mapsto R(y)\}, R, C)$ . From assumption  $\text{consistency}(H, R, C)$ , we have (1)  $\forall x. \text{if } \mathbf{null}(*x) \in C$ , then  $\mathbf{const}(*x) \in C$  and if  $H\{R(w) \mapsto v\}(R(x))$  is defined then  $H\{R(w) \mapsto v\}(R(x)) = \text{null}$  and (2)  $\forall x. \text{if } \neg \mathbf{null}(*x) \in C$ , then  $\mathbf{const}(*x) \in C$  and if  $H\{R(w) \mapsto v\}(R(x))$  is defined then  $H\{R(w) \mapsto v\}(R(x)) \neq \text{null}$ .

To prove  $\text{consistency}(H\{R(w) \mapsto R(y)\}, R, C)$ , we chose  $m$  arbitrarily. (3) Assuming  $\mathbf{null}(*m) \in C$ . By using (1), we have  $\mathbf{const}(*m) \in C$ . Because we know  $\forall z. R(w) = R(z) \Rightarrow \mathbf{const}(*z) \notin C$ , we have  $m \neq w$ . Then assuming  $H\{R(w) \mapsto v\}(R(m))$  is defined, we have  $H\{R(w) \mapsto v\}(R(m)) = \text{null}$  from (1). Then we get  $H\{R(w) \mapsto R(y)\}(R(m)) = H\{R(w) \mapsto v\}(R(m)) = \text{null}$ . (4) Assuming  $\neg \mathbf{null}(*m) \in C$ . Similar to (3).

Therefore,  $\text{consistency}(H\{R(w) \mapsto R(y)\}, R, C)$  holds.

- Case:  $\langle H, R, \text{let } z = y \text{ in } s, n, C \rangle \rightarrow_D \langle H, R\{z' \mapsto R(y)\}, [z'/z]s, n, C \rangle$  where  $z' \notin \mathbf{Dom}(H) \cup \mathbf{Dom}(R) \cup \text{fv}(C)$

We need to prove  $\text{consistency}(H, R\{z' \mapsto R(y)\}, C)$ . From assumption  $\text{consistency}(H, R, C)$ , we have (1)  $\forall x. \text{if } \mathbf{null}(*x) \in C$ , then  $\mathbf{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) = \text{null}$  and (2)  $\forall x. \text{if } \neg \mathbf{null}(*x) \in C$ , then  $\mathbf{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) \neq \text{null}$ .

To prove  $\text{consistency}(H, R\{z' \mapsto R(y)\}, C)$ , we chose  $m$  arbitrarily. (3) Assuming  $\mathbf{null}(*m) \in C$ . By using (1), we have  $\mathbf{const}(*m) \in C$ . Then assuming  $H(R(m))$  is defined, we have  $H(R(m)) = \text{null}$  from (1). Because we have  $z' \notin \mathbf{Dom}(H) \cup \mathbf{Dom}(R) \cup \text{fv}(C)$ , we have  $m \neq z'$ , then we have  $H(R\{z' \mapsto R(y)\}(m)) = H(R(m)) = \text{null}$ . (4) Assuming  $\neg \mathbf{null}(*m) \in C$ . By using (2), we have  $\mathbf{const}(*m) \in C$ . Then assuming  $H(R(m))$  is defined, we have  $H(R(m)) \neq \text{null}$  from (2). Because we have  $z' \notin \mathbf{Dom}(H) \cup \mathbf{Dom}(R) \cup \text{fv}(C)$ , we have  $m \neq z'$ , then we have  $H(R\{z' \mapsto R(y)\}(m)) = H(R(m)) \neq \text{null}$ .

Therefore,  $\text{consistency}(H, R\{z' \mapsto R(y)\}, C)$  holds.

- Case:  $\langle H, R, \text{let } z = *y \text{ in } s, n, C \rangle \rightarrow_D \langle H, R\{z' \mapsto H(R(y))\}, [z'/z]s, n, C \rangle$  where  $R(y) \notin \mathbf{Dom}(H)$  and  $z' \notin \mathbf{Dom}(H) \cup \mathbf{Dom}(R) \cup \text{fv}(C)$

Similar to above.

- Case:  $\langle H, R, \text{let } z = \mathbf{null} \text{ in } s, n, C \rangle \rightarrow_D \langle H, R\{z' \mapsto \mathbf{null}\}, [z'/z]s, n, C \rangle$  where  $z' \notin \mathbf{Dom}(H) \cup \mathbf{Dom}(R) \cup \text{fv}(C)$

Similar to above.

- Case:  $\langle H, R, \text{ifnull}(*y) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\mathbf{null}(*y)}_D \langle H, R, s_1, n, C \rangle$  where  $H(R(y)) = \text{null}$  and  $\mathbf{const}(*y) \notin C$

Obviously,  $\text{consistency}(H, R, C)$  holds from assumption.

- Case:  $\langle H, R, \text{ifnull}(*y) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \mathbf{null}(*y)}_D \langle H, R, s_2, n, C \rangle$  where  $H(R(y)) \neq \text{null}$  and  $\mathbf{const}(*y) \notin C$

Obviously,  $\text{consistency}(H, R, C)$  holds from assumption.

- Case:  $\langle H, R, \text{ifnull}(*y) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\text{null}(*y)}_D \langle H, R, s_1, n, C \cup \text{null}(*y) \rangle$  where  $H(R(y)) = \text{null}$  and  $\text{const}(*y) \in C$

We need to prove  $\text{consistency}(H, R, C \cup \text{null}(*y))$ . From assumption  $\text{consistency}(H, R, C)$ , we have (1)  $\forall x. \text{if } \text{null}(*x) \in C$ , then  $\text{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) = \text{null}$  and (2)  $\forall x. \text{if } \neg \text{null}(*x) \in C$ , then  $\text{const}(*x) \in C$  and if  $H(R(x))$  is defined then  $H(R(x)) \neq \text{null}$ .

To prove  $\text{consistency}(H, R, C \cup \text{null}(*y))$ , we chose  $z$  arbitrarily. (3) Assuming  $\text{null}(*z) \in C \cup \text{null}(*y)$ . This implies  $\text{null}(*z) \in C$  or  $\text{null}(*z) = \text{null}(*y)$ . First, let us consider  $\text{null}(*z) \in C$ . By using (1), we have  $\text{const}(*z) \in C$ . This implies  $\text{const}(*z) \in C \cup \text{null}(*y)$ . Assuming  $H(R(z))$  is defined, then we have  $H(R(z)) = \text{null}$  from (1). Then let us consider  $\text{null}(*z) = \text{null}(*y)$ . Because we have  $H(R(y)) = \text{null}$  and  $\text{const}(*y) \in C$ , then we get  $H(R(z)) = \text{null}$  and  $\text{const}(*z) \in C$ .  $\text{const}(*z) \in C$  implies  $\text{const}(*z) \in C \cup \text{null}(*y)$  (4) Assuming  $\neg \text{null}(*z) \in C \cup \text{null}(*y)$ . This implies  $\neg \text{null}(*z) \in C$ . By using (2), we have  $\text{const}(*z) \in C$ . This implies  $\text{const}(*z) \in C \cup \text{null}(*y)$ . Assuming  $H(R(z))$  is defined, then we have  $H(R(z)) \neq \text{null}$  from (2).

Therefore,  $\text{consistency}(H, R, C \cup \text{null}(*y))$  holds.

- Case:  $\langle H, R, \text{ifnull}(*y) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*y)}_D \langle H, R, s_2, n, C \cup \neg \text{null}(*y) \rangle$  where  $H(R(y)) \neq \text{null}$  and  $\text{const}(*y) \in C$

Similar to above.

- Case:  $\langle H, R, f(\vec{x}), n, C \rangle \rightarrow_D \langle H, R, [\vec{x}/\vec{y}]s, n, C \rangle$  where  $D(f) = (\vec{y})s$

Obviously,  $\text{consistency}(H, R, C)$  holds from assumption.

- Case:  $\langle H, R, s_1; s_2, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s'_1; s_2, n', C' \rangle$  if  $\langle H, R, s_1, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s'_1, n', C' \rangle$   
We need to prove  $\text{consistency}(H', R', C')$ . We have the assumption  $\text{consistency}(H, R, C)$ .  $\text{consistency}(H', R', C')$  holds obviously by induction hypothesis.

□

*Proof of Lemma 2.2:* By induction on the derivation of  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$ .

- Case:  $\langle H, R, \text{const}(*x)s, n, C \rangle \rightarrow \langle H, R, s; \text{endconst}(*x), n, C \cup \{\text{const}(*x)\} \rangle$

From the assumption  $\Theta \vdash \langle H, R, \text{const}(*x)s, n, C \rangle : \langle P, C \rangle$ , we have  $\exists \Gamma$  s.t.  $\Theta; \Gamma \vdash \text{const}(*x)s : P, OK_n(P, C)$ ,  $\text{consistency}(H, R, C)$  and  $\Gamma \subseteq \text{Dom}(R)$ . From the inversion of typing rules, we get  $\Theta; \Gamma \vdash s : P''$  and  $\text{const}(*x)P'' \leq P$  for some  $P''$ . By subtyping, we have  $P''; \text{endconst}(*x) \leq Q$  and  $\langle P, C \rangle \implies \langle Q, C \cup \{\text{const}(*x)\} \rangle$  for some  $Q$ .

we need to find  $P'$  and  $C'$  s.t.  $\exists \Gamma' \Theta; \Gamma' \vdash s; \text{endconst}(*x) : P', OK_n(P', C'), \langle P, C' \rangle \implies \langle P', C' \rangle$  and  $\text{consistency}(H, R, C')$ . Taking  $Q$  as  $P'$ ,  $C \cup \{\text{const}(*x)\}$  as  $C'$  and  $\Gamma$  as  $\Gamma'$ . Therefore  $\langle P, C \rangle \rightarrow \langle P', C' \rangle$  and  $\Gamma \subseteq \text{Dom}(R)$  hold, and then  $OK_n(P', C')$  and  $\text{consistency}(H, R, C')$  hold from Lemma 3.1 and Lemma 3.2. From  $\Theta; \Gamma \vdash s; \text{endconst}(*x) : P''; \text{endconst}(*x), P''; \text{endconst}(*x) \leq Q$  and T-SUB,  $\Theta; \Gamma \vdash s; \text{endconst}(*x) : P'$  holds.

- Case:  $\langle H, R, \text{endconst}(*x), n, C \rangle \rightarrow \langle H, R, \text{skip}, n, C' \rangle$  where  $C' = \text{filter}(C, *x)$

From the assumption  $\Theta \vdash \langle H, R, \mathbf{endconst}(*x), n, C \rangle : \langle P, C \rangle$ , we have  $\exists \Gamma$  s.t.  $\Theta; \Gamma \vdash \mathbf{endconst}(*x) : P$ ,  $OK_n(P, C)$ ,  $\text{consistency}(H, R, C)$  and  $\Gamma \subseteq \mathbf{Dom}(R)$ . From the inversion of typing rules, we get  $\Theta; \Gamma \vdash \mathbf{endconst}(*x) : \mathbf{endconst}(*x)$  and  $\mathbf{endconst}(*x) \leq P$ . By subtyping, we get  $0 \leq Q$  and  $\langle P, C \rangle \rightarrow \langle Q, C \rangle$  for some  $Q$ .

we need to find  $P'$  and  $C'$  s.t.  $\exists \Gamma'$  s.t.  $\Theta; \Gamma' \vdash \mathbf{skip} : P'$ ,  $OK_n(P', C')$ ,  $\langle P, C \rangle \Rightarrow P', C'$ ,  $\text{consistency}(H, R, C')$  and  $\Gamma' \subseteq \mathbf{Dom}(R)$ . Taking  $Q$  as  $P'$ ,  $C$  as  $C'$  and  $\Gamma$  as  $\Gamma'$ , then  $\langle P, C \rangle \rightarrow \langle P', C' \rangle$  and  $\Gamma' \subseteq \mathbf{Dom}(R)$  hold, and then  $OK_n(P', C')$  and  $\text{consistency}(H, R, C')$  hold from Lemma 3.1 and Lemma 3.2. From T-SKIP, T-SUB and  $0 \leq Q$ , then  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  holds.

- Case:  $\langle H, R, \mathbf{free}(x), n, C \rangle \xrightarrow{\mathbf{free}} \langle H', R, \mathbf{skip}, n+1, C' \rangle$

From the assumption  $\Theta \vdash \langle H, R, \mathbf{free}(x), n, C \rangle : \langle P, C \rangle$ , we have  $\exists \Gamma$  s.t.  $OK_n(P, C)$ ,  $\text{consistency}(H, R, C)$ ,  $\Theta; \Gamma \vdash \mathbf{free}(x) : P$  and  $\Gamma \subseteq \mathbf{Dom}(R)$ . From inversion of the typing rules, we have  $\Theta; \Gamma \vdash \mathbf{free}(x) : \mathbf{free}$  and  $\mathbf{free} \leq P$ . By the subtyping, we have  $\langle P, C \rangle \xrightarrow{\mathbf{free}} \langle Q, C' \rangle$  and  $0 \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $C'$  such that  $\exists \Gamma'$  s.t.  $\langle P, C \rangle \xrightarrow{\mathbf{free}} \langle P', C' \rangle$ ,  $\Theta; \Gamma' \vdash \mathbf{skip} : P'$ ,  $OK_{n+1}(P', C')$ ,  $\text{consistency}(H, R, C')$  and  $\Gamma' \subseteq \mathbf{Dom}(R)$ . Take  $Q$  as  $P'$ ,  $C$  as  $C'$  and  $\Gamma$  as  $\Gamma'$ . Then,  $\langle P, C \rangle \xrightarrow{\mathbf{free}} \langle P', C' \rangle$  and  $\Gamma' \subseteq \mathbf{Dom}(R)$  hold, and  $OK_{n+1}(P', C')$  and  $\text{consistency}(H', R, C)$  hold from Lemma 3.1 and Lemma 3.2. We also have  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  from T-SKIP,  $0 \leq Q$  and T-SUB.

- Case:  $\langle H, R, \mathbf{let } x = \mathbf{malloc}() \text{ in } s, n, C \rangle \xrightarrow{\mathbf{malloc}} \langle H\{l \mapsto v\}, R\{x' \mapsto l\}, [x'/x]s, n-1, C' \rangle$  where  $l \notin \mathbf{Dom}(H)$  and  $x' \notin \mathbf{Dom}(H) \cup \mathbf{Dom}(R) \cup \text{fv}(C)$

From the assumption  $\Theta \vdash \langle H, R, \mathbf{let } x = \mathbf{malloc}() \text{ in } s, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{let } x = \mathbf{malloc}() \text{ in } s : P$ ,  $OK_n(P, C)$ ,  $\text{consistency}(H, R, C)$  and  $\Gamma \subseteq \mathbf{Dom}(R)$ . By the inversion of typing rules, we have  $\Theta; \Gamma, x \vdash s : P''$  and  $\mathbf{malloc}; (x)P'' \leq P$  for some  $P''$ . By subtyping, we get  $\langle P, C \rangle \xrightarrow{\mathbf{malloc}} \langle Q, C' \rangle$  and  $(x)P'' \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $C'$  such that  $\exists \Gamma'$  s.t.  $\Theta; \Gamma' \vdash [x'/x]s : P'$ ,  $\langle P, C \rangle \xrightarrow{\mathbf{malloc}} \langle P', C' \rangle$ ,  $\text{consistency}(H', R', C')$ ,  $OK_{n-1}(P', C')$  and  $\Gamma' \subseteq \mathbf{Dom}(R\{x' \mapsto l\})$ . Take  $Q$  as  $P'$ ,  $C$  as  $C'$  and  $\Gamma, x'$  as  $\Gamma'$ . Then  $\langle P, C \rangle \xrightarrow{\mathbf{malloc}} \langle P', C' \rangle$  and  $\Gamma' \subseteq \mathbf{Dom}(R\{x' \mapsto l\})$  hold, and then  $OK_{n-1}(P', C')$  and  $\text{consistency}(H\{l \mapsto v\}, R\{x' \mapsto l\}, C)$  hold by Lemma 3.1 and Lemma 3.2. From  $\Theta; \Gamma, x \vdash s : P''$  and  $\mathbf{malloc}; (x)P'' \leq P$ , by replacing  $x$  with  $x''$ , we have  $\Theta; \Gamma, x'' \vdash [x''/x]s : [x''/x]P''$  and  $\mathbf{malloc}; [x''/x]P'' \leq P$ , and then by the definition of subtyping we have  $[x''/x]P'' \leq Q'$  for some  $Q'$ . Therefore, we get  $\Theta; \Gamma, x'' \vdash [x''/x]s : Q'$ . Take  $x''$  as  $x'$  and  $Q'$  as  $P'$ , then  $\Theta; \Gamma, x' \vdash [x'/x]s : P'$  holds.

- Case:  $\langle H, R, \mathbf{skip}; s, n, C \rangle \rightarrow \langle H, R, s, n, C' \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{skip}; s, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{skip}; s : P$ ,  $OK_n(P, C)$  and  $\text{consistency}(H, R, C)$ . From the inversion of the typing rules, we get  $\Theta; \Gamma \vdash s : P''$  and  $0; P'' \leq P$ . From the definition of subtyping, we have  $\langle P, C \rangle \Rightarrow \langle Q, C' \rangle$  and  $P'' \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $C'$  such that  $\Theta; \Gamma \vdash s : P'$  and  $\langle P, C \rangle \rightarrow \langle P', C' \rangle$  and  $OK_n(P', C')$ . Take  $Q$  as  $P'$  and  $C$  as  $C'$ . Then  $\langle P, C \rangle \Rightarrow \langle P', C' \rangle$  holds, and then  $OK_n(P', C')$  and  $\text{consistency}(H, R, C')$  hold. We also have  $\Theta; \Gamma \vdash s : P'$  from T-SUB,  $\Gamma \vdash s : P''$  and  $P'' \leq Q$ .

- Case:  $\langle H, R, *x \leftarrow y, n, C \rangle \rightarrow \langle H', R, \mathbf{skip}, n, C \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, *x \leftarrow y, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash *x \leftarrow y : P$ ,  $OK_n(P, C)$  and  $\text{consistency}(H, R, C)$ . From the inversion of typing rules, we have  $0 \leq P$ .

We need to find  $P'$  and  $C'$  such that  $\Theta; \Gamma \vdash \mathbf{skip} : P'$ ,  $\langle P, C \rangle \Rightarrow \langle P', C' \rangle$  and  $OK_n(P', C')$ . Take  $P$  as  $P'$  and  $C$  as  $C'$ . Then  $\langle P, C \rangle \Rightarrow \langle P', C' \rangle$  holds, and then  $OK_n(P', C')$  and  $\text{consistency}(H', R, C')$  hold from Lemma 3.1 and Lemma 3.2. We also have  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  from T-SKIP,  $0 \leq P$  and T-SUB.

- Case:  $\langle H, R, \mathbf{let } x = y \mathbf{ in } s, n, C \rangle \rightarrow \langle H, R', [x'/x]s, n, C \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{let } x = y \mathbf{ in } s, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma, y \vdash \mathbf{let } x = y \mathbf{ in } s : P$ ,  $OK_n(P, C)$  and  $\text{consistency}(H, R, C)$ . From the inversion of typing rules, we have  $\Theta; \Gamma, x, y \vdash s : P''$  and  $\mathbf{let } x = y \mathbf{ in } P'' \leq P$  for some  $P''$ . By subtyping, we have  $\langle P, C \rangle \rightarrow \langle Q, C \rangle$  and  $[x'/x]P'' \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $C'$  such that  $\Theta; \Gamma, x', y \vdash [x'/x]s : P'$ ,  $\langle P, C \rangle \rightarrow \langle P', C' \rangle$ ,  $OK_n(P', C')$  and  $\text{consistency}(H, R', C')$ . Take  $Q$  as  $P'$  and  $C$  as  $C'$ . Then  $\langle P, C \rangle \Rightarrow \langle P', C' \rangle$  and  $OK_n(P', C')$  hold. From  $\Theta; \Gamma, x, y \vdash s : P''$  and  $\mathbf{let } x = y \mathbf{ in } P'' \leq P$ , we have  $\Theta; \Gamma, x'', y \vdash [x''/x]s : [x''/x]P''$  and  $\mathbf{let } x'' = y \mathbf{ in } [x''/x]P'' \leq P$ , and then by subtyping we have  $[x''/x]P'' \leq Q'$  for some  $Q'$ . Therefore, we have  $\Theta; \Gamma, x'', y \vdash [x''/x]s : Q'$ . Take  $x''$  as  $x'$  and  $Q'$  as  $P'$ , then  $\Theta; \Gamma, x', y \vdash [x'/x]s : P'$  holds.

- Case:  $\langle H, R, \mathbf{let } x = \mathbf{null in } s, n \rangle \rightarrow \langle H, R', [x'/x]s, n \rangle$

Similar to the above.

- Case:  $\langle H, R, \mathbf{let } x = *y \mathbf{ in } s, n \rangle \rightarrow \langle H, R', [x'/x]s, n \rangle$

Similar to the above.

- Case:  $\langle H, R, \mathbf{ifnull } (*x) \mathbf{ then } s_1 \mathbf{ else } s_2, n, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle H, R, s_1, n, C \rangle$  if  $H(R(x)) = \mathbf{null}$  and  $\mathbf{const}(*x) \notin C$

From assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{ifnull } (*x) \mathbf{ then } s_1 \mathbf{ else } s_2, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{ifnull } (*x) \mathbf{ then } s_1 \mathbf{ else } s_2 : P$ ,  $OK_n(P, C)$  and  $\text{consistency}(H, R, C)$ . From the inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $(*x)(P_1, P_2) \leq P$ . According to the rule Tr-NotConst1 and  $\mathbf{const}(*x) \notin C$ , we have  $\langle (*x)(P_1, P_2) \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, C \rangle$ , and then by definition of subtyping, we get  $\langle P, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle Q, C \rangle$  and  $P_1 \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $C'$  such that  $\Theta; \Gamma \vdash s_1 : P'$ ,  $\langle P, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P', C' \rangle$  and  $OK_n(P', C')$ . Take  $Q$  as  $P'$  and  $C$  as  $C'$ . Then  $\langle P, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P', C' \rangle$  and  $OK_n(P', C')$  hold. We also have  $\Theta; \Gamma \vdash s_1 : P'$  from T-SUB,  $\Theta; \Gamma \vdash s_1 : P_1$  and  $P_1 \leq Q$ .

- Case:  $\langle H, R, \mathbf{ifnull } (*x) \mathbf{ then } s_1 \mathbf{ else } s_2, n, C \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle H, R, s_1, n, C \rangle$  if  $H(R(x)) \neq \mathbf{null}$  and  $\mathbf{const}(*x) \notin C$

Similar to the above.

- Case:  $\langle H, R, \mathbf{ifnull } (*x) \mathbf{ then } s_1 \mathbf{ else } s_2, n, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle H, R, s_1, n, C' \rangle$  if  $H(R(x)) = \mathbf{null}$ ,  $\mathbf{const}(*x) \in C$  and  $C' = C \cup \{\mathbf{null}(*x)\}$

From assumption  $\Theta; \Gamma \vdash \langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2 : P$ ,  $OK_n(P, C)$  and  $\text{consistency}(H, R, C)$ . From the inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $(*x)(P_1, P_2) \leq P$ . According to rule  $\text{Tr-NullNotIn1}$ ,  $\text{const}(*x) \in C$  and  $C' = C \cup \text{null}(*x)$ , we have  $\langle (*x)(P_1, P_2) \rangle \xrightarrow{\text{null}(*x)} \langle P_1, C \cup \text{null}(*x) \rangle$ , and then by the definition of subtyping, we get  $\langle P, C \rangle \xrightarrow{\text{null}(*x)} \langle Q, C \cup \{\text{null}(*x)\} \rangle$  and  $P_1 \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $C'$  such that  $\Theta; \Gamma \vdash s_1 : P'$ ,  $\langle P, C \rangle \xrightarrow{\text{null}(*x)} \langle P', C' \rangle$ ,  $OK_n(P', C')$  and  $\text{consistency}(H, R, C')$ . Take  $Q$  as  $P'$  and  $C \cup \{\text{null}(*x)\}$  as  $C'$ . Then  $\langle P, C \rangle \xrightarrow{\text{null}(*x)} \langle P', C' \rangle$  holds, and then  $OK_n(P', C')$  and  $\text{consistency}(H, R, C')$  hold by Lemma 3.1 and Lemma 3.2. We also have  $\Theta; \Gamma \vdash s_1 : P'$  from T-SUB,  $\Theta; \Gamma \vdash s_1 : P_1$  and  $P_1 \leq Q$ .

- Case:  $\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)} \langle H, R, s_2, n, C' \rangle$  if  $H(R(x)) \neq \text{null}$ ,  $\text{const}(*x) \in C$  and  $C' = C \cup \{\neg \text{null}(*x)\}$

Similar to the above proof.

- Case:  $\langle H, R, s_1; s_2, n, C \rangle \rightarrow \langle H', R', s'_1; s_2, n', C' \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, s_1; s_2, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash s_1; s_2 : P$ ,  $OK_n(P, C)$  and  $\text{consistency}(H, R, C)$ . By inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $P_1; P_2 \leq P$  for some  $P_1$  and  $P_2$ .

By IH on  $\langle H, R, s_1, n, C \rangle$  with derivation  $\langle H, R, s_1, n, C \rangle \xrightarrow{\rho} \langle H', R', s'_1, n', C' \rangle$ , we have  $\exists P'_1, C'_1$  s.t.  $\Theta; \Gamma \vdash \langle H', R', s'_1, n', C' \rangle : \langle P'_1, C'_1 \rangle$  and  $\langle P_1, C \rangle \xrightarrow{\rho} \langle P'_1, C'_1 \rangle$ .

By subtyping we have  $\langle P, C \rangle \xrightarrow{\rho} \langle Q, C'_1 \rangle$  and  $P'_1; P_2 \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $C'$  s.t.  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$ ,  $OK_n(P', C')$  and  $\Theta; \Gamma \vdash s'_1; s_2 : P'$ . Take  $Q$  as  $P'$  and  $C'_1$  as  $C'$ ,  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$  and  $OK_n(P', C')$  hold. By T-Sub,  $\Theta; \Gamma \vdash s'_1; s_2 : P'_1; P_2$  and  $P'_1; P_2 \leq Q$ , we have  $\Theta; \Gamma \vdash s'_1; s_2 : P'$  holds.

□

We write  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}$  if there is a transition  $\xrightarrow{\rho}$  from  $\langle H, R, s, n, C \rangle$ .

**Lemma 3.3.** *If  $\Theta \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$  and  $\langle H, R, s, n, C \rangle \xRightarrow{\rho}$  and  $\rho \in \{\text{malloc}, \text{free}, \text{null}(*x), \neg \text{null}(*x)\}$ , then there exists  $P'$  and  $C'$  such that  $\langle P, C \rangle \xRightarrow{\rho} \langle P', C' \rangle$ .*

*Proof.* Induction on the derivation of  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$ . □

*Proof of Lemma 2.3:*

By contradiction. Assume  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \text{OutOfMemory}$ . Then,  $n$  is 0 and  $\rho = \text{malloc}$  from SEM-OUTOFMEM. From the assumption we have  $\Theta; \Gamma \vdash s : P$  and  $OK_0(P, C)$ . From Lemma 3.3, there exists  $P'$  and  $C'$  such that  $\langle P, C \rangle \xRightarrow{\text{malloc}} \langle P', C' \rangle$ . However, this contradicts  $OK_0(P, C)$ . □

*Proof of Theorem 2.1:*

We have  $\Theta; \emptyset \vdash s : P, \vdash D : \Theta$ ,  $OK_n(P, C)$  and  $\text{consistency}(H, R, C)$ .

Suppose that there exists  $\sigma$  such that  $\langle \emptyset, \emptyset, s, n, C \rangle \xrightarrow{\sigma} \langle H', R', s', n', C' \rangle \xrightarrow{\rho} \text{OutOfMemory}$ . Then,  $n' = 0$  and  $\rho = \text{malloc}$ . From Lemma 2.2, there exists  $P'$  and  $C'$  such that  $\Theta; \Gamma \vdash s' : P'$ ,



$\langle P, C \rangle \xRightarrow{\sigma} \langle P', C' \rangle$ ,  $OK_0(P', C')$  and  $consistency(H', R', C')$ . So if  $\langle H', R', s', 0, C' \rangle \xrightarrow{\mathbf{malloc}}$ , it will contradict the Lemma 2.3.

□