

# 1 Language $\mathcal{L}$

In this section we define an imperative language  $\mathcal{L}$  with memory allocation and deallocation primitives, and for simplification we only use pointers as values.

The syntax of the language  $\mathcal{L}$  is as follows.

$$\begin{aligned}
x, y, z, \dots \text{ (variables)} &\in \mathbf{Var} \\
s \text{ (statements)} &::= \mathbf{skip} \mid s_1; s_2 \mid *x \leftarrow y \mid \mathbf{free}(x) \\
&\mid \mathbf{let } x = \mathbf{malloc}() \text{ in } s \mid \mathbf{let } x = \mathbf{null} \text{ in } s \\
&\mid \mathbf{let } x = y \text{ in } s \mid \mathbf{let } x = *y \text{ in } s \\
&\mid \mathbf{ifnull}(*x) \text{ then } s_1 \text{ else } s_2 \mid f(\vec{x}) \\
&\mid \mathbf{const}(*x)s \mid \mathbf{endconst}(*x) \\
d \text{ (proc. defs.)} &::= \{f \mapsto (x_1, \dots, x_n)s\} \\
D \text{ (definitions)} &::= \langle d_1 \cup \dots \cup d_n \rangle \\
P \text{ (programs)} &::= \langle D, s \rangle \\
E \text{ (context)} &::= E; s \mid []
\end{aligned}$$

**Notation**  $\vec{x}$  is for a finite sequence  $\{x_1, \dots, x_n\}$ , where we assume that each element is distinct;  $[\vec{x}'/\vec{x}]s$  is for a term obtained by replacing each free occurrence of  $\vec{x}$  in  $s$  with variables  $\vec{x}'$ ; the  $\mathbf{Dom}(f)$  is a mapping from function name  $f$  to its domain; for a map  $f$ , the  $f\{x \mapsto v\}$  and  $f \setminus x$  are defined as follows:

$$\begin{aligned}
f\{x \mapsto v\}(w) &= \begin{cases} v & \text{if } x = w \\ f(w) & \text{otherwise.} \end{cases} \\
(f \setminus x)(w) &= \begin{cases} \text{undefined} & \text{if } x = w \\ f(w) & \text{otherwise.} \end{cases}
\end{aligned}$$

and  $\mathit{filter\_C}(C, *x)$  is defined by a pseudocode as follows:

$$\begin{aligned}
\mathit{filter\_C}(C, *x) &= \text{let } C' = C - \mathbf{const}(*x) \text{ in} \\
&\text{if } \mathbf{const}(*x) \in C' \text{ then return } C' \\
&\text{else return } C' \setminus \{\mathbf{null}(*x), -\mathbf{null}(*x)\}
\end{aligned}$$

The  $\mathbf{Var}$  is a countably infinite set of *variables* and each variable is a pointer. The statement  $\mathbf{skip}$  means "does nothing". The statement  $s_1; s_2$  is a sequential execution of  $s_1$  and  $s_2$ . The statement  $*x \leftarrow y$  updates the content of cell which is pointed to by  $x$  with the value  $y$ . The statement  $\mathbf{free}(x)$  deallocates a memory cell which is pointed to by pointer  $x$ . The statement  $\mathbf{let } x = e \text{ in } s$  evaluates the expression  $e$ , binds  $x$  to the result, and executes  $s$ . The expression  $\mathbf{malloc}()$  allocates a new memory cell. The expression  $\mathbf{null}$  evaluates to the null pointer. The expression  $*y$  means dereferencing a memory cell pointed to by  $y$ . The statement  $\mathbf{ifnull}(*x) \text{ then } s_1 \text{ else } s_2$  executes  $s_1$  if  $*x$  is  $\mathbf{null}$  and executes  $s_2$  otherwise. The statement  $f(\vec{x})$  expresses a procedure  $f$  with arguments  $\vec{x}$ . The statement  $\mathbf{const}(*x)s$  means  $(*x)$  is a constant in statement  $s$ ; the statement  $\mathbf{endconst}(*x)$  means from this point  $(*x)$  maybe not constant.

The  $d$  represents a procedure definition which maps a procedure name  $f$  to its procedure body  $(\vec{x})s$ ; The  $D$  represents a set of procedure definitions  $\langle d_1 \cup \dots \cup d_n \rangle$ , and each definition is distinct;

The pair  $\langle D, s \rangle$  represents a program, where  $D$  is a set of definitions and  $s$  is a main statement; the  $E$  represents evaluation context.

## 1.1 Operational semantics

In this section we introduce operational semantics of language  $\mathcal{L}$ . We assume there is a countable infinite set  $\mathcal{H}$  of *heap addresses* ranged over by  $l$ .

We use a configuration  $\langle H, R, s, n, C \rangle$  to express a run-time state. Each elements in the configuration is as follows.

- $H$ , a *heap*, is a finite mapping from  $\mathcal{H}$  to  $\mathcal{H} \cup \{\mathbf{null}\}$ ;
- $R$ , an *environment*, is a finite mapping from **Var** to  $\mathcal{H} \cup \{\mathbf{null}\}$ ;
- $s$  is the statement that is being executed;
- $n$  is a natural number that represents the number of memory cells available for allocation.
- $C$  is a set of actions, which contains **const**( $*x$ ), **null**( $*x$ ) and  $\neg$ **null**( $*x$ ).

The operational semantics of the language  $\mathcal{L}$  is given by a labeled transition relation  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s', n', C' \rangle$ . The label  $\rho$  is as follows.

$$\rho \text{ (label)} ::= \mathbf{malloc}(x') \mid \mathbf{free} \mid \mathbf{null}(x) \mid \neg \mathbf{null}(x) \mid \tau$$

The  $\rho$ , an *action*, is **malloc**, **free**, or  $\tau$ . The action **malloc** expresses an allocation of a memory cell; **free** expresses a deallocation of a memory cell;  $\tau$  expresses the other actions. We often omit  $\tau$  in  $\xrightarrow{\tau}_D$ . We use a metavariable  $\sigma$  for a finite sequence of actions  $\rho_1 \dots \rho_n$ . We write  $\xrightarrow{\rho_1 \dots \rho_n}_D$  for  $\xrightarrow{\rho_1}_D \xrightarrow{\rho_2}_D \dots \xrightarrow{\rho_n}_D$ . We write  $\xRightarrow{\rho}_D$  for  $\xrightarrow{*}_D \xrightarrow{\rho}_D \xrightarrow{*}_D$ . We write  $\xRightarrow{\rho_1 \dots \rho_n}_D$  for  $\xRightarrow{\rho_1}_D \dots \xRightarrow{\rho_n}_D$ .

Figure 1 depicts the relation  $\xrightarrow{\rho}_D$ . Several important rules are listed as follows.

- SEM-CONSTSKIP: That a memory cell pointed to by  $x$  is no longer a constant is expressed by doing nothing.
- SEM-CONSTSEQ: That a memory cell pointed to by  $x$  should be a constant in a statement  $s$  is expressed by adding a statement **endconst**( $*x$ ) at the end of statement  $s$ .
- SEM-FREE: Deallocation of a memory cell pointed to by  $x$  is expressed by deleting the entry for  $R(x)$  from the heap. This action increments the number of available cells (i.e.,  $n$ ) by one (i.e.,  $n + 1$ ).
- SEM-MALLOC and SEM-OUTOFMEM: Allocation of a memory cell is expressed by adding a fresh entry to the heap. This action is allowed only if the number of available cells is positive; if the number is zero, then the configuration leads to an error state **OutOfMemory**.
- SEM-ASSIGNEXN, SEM-FREEEXN, SEM-DEREFEXN and SEM-FREEEXN : These rules express an illegal access to memory. If such action is performed, then the configuration leads to exceptional state **MemEx**. This state **MemEx** is not seen as an erroneous state in the current paper, hence a well-typed program may lead to these states. The command **free**( $x$ ) , if  $x$  is a null pointer, leads to **MemEx** in the current semantics, although it is equivalent to **skip** in the C language.

- SEM-CONSTEXN: expresses that if a constant  $*x$  is changed in  $s$  it will raise **ConstEx** exception.

Our goal is to guarantee *total* memory-leak freedom and reject memory leaks. By our language  $\mathcal{L}$ , they are formally defined as follows:

**Definition 1** (total memory-leak freedom). *A program  $\langle D, s \rangle$  is totally memory-leak free if there is a natural number  $n$  such that it does not require more than  $n$  cells.*

**Definition 2** (Memory leak). *A configuration  $\langle H, R, s, n, C \rangle$  goes overflow if there is  $\sigma$  such that  $\langle H, R, s, n, C \rangle \xrightarrow{\sigma} \text{OutOfMemory}$ . A program  $\langle D, s \rangle$  consumes at least  $n$  cells if  $\langle \emptyset, \emptyset, s, n, \emptyset \rangle$  goes overflow.*

## 2 Type system

### 2.1 Types

The syntax of the types is as follows.

$P$ (behavioral types)	$::=$	$\mathbf{0} \mid P_1; P_2 \mid \mathbf{free} \mid \alpha \mid \mu\alpha.P$ $\mid \mathbf{let } x = y \mathbf{ in } P \mid \mathbf{let } x = \mathbf{malloc} \mathbf{ in } P$ $\mid \mathbf{let } x = \mathbf{null} \mathbf{ in } P \mid \mathbf{let } x = *y \mathbf{ in } P$ $\mid (*x)(P_1, P_2) \mid \mathbf{const}(*x)P \mid \mathbf{endconst}(*x)$
$\Gamma$ (variable type environment)	$::=$	$\{x_1, x_2, \dots, x_n\}$
$\Psi$ (dependent function type)	$::=$	$(\vec{x})P$
$\Theta$ (function type environment)	$::=$	$\{f_1 : \Psi_1, \dots, f_n : \Psi_n\}$
$k$ (constant values)	$::=$	$\mathbf{null}(*x) \mid \neg\mathbf{null}(*x) \mid \mathbf{const}(*x)$
$F$ (constant value environment)	$::=$	$\{k_1, \dots, k_n\}$

Behavioral types ranged over by  $P$  express the abstraction of behaviors of a program. The type  $\mathbf{0}$  represents the do-nothing behavior; the type  $P_1; P_2$  represents the sequential execution of  $P_1$  and  $P_2$ ; The type **malloc** represents an allocation of a memory cell exactly once; the type **free** represents a deallocation; the type  $\mu\alpha.P$  represents the behavior of  $\alpha$  defined by the recursive equation  $\alpha = P$ ; the type  $(*x)(P_1, P_2)$  represents that  $P_1$  or  $P_2$  is obtained dependent on  $*x$ ; the type  $P_1 + P_2$  represents the choice between  $P_1$  and  $P_2$ ; the  $\alpha$  is a type variable; the type  $\mathbf{const}(*x)P$  represents that  $*x$  is a constant in behavioral type  $P$ ; the type  $\mathbf{endconst}(*x)$  represents  $*x$  no longer be a constant from this point.

A type environments for variables ranged over by  $\Gamma$  is a set of variables. Since our interest is the behavior of a program, not the types of values, a variable type environment does not carry information on the types of variables.

Dependent function types ranged over by  $\Psi$  represents the behavior of a function;  $\vec{x}$  is the formal arguments of the function.

Function types ranged over by  $\Theta$  is a mapping from function names to dependent function types.

$k$  represents constant values, where  $\mathbf{null}(*x)$  represents  $(*x)$  is a null pointer;  $\neg\mathbf{null}(*x)$  represents  $(*x)$  is not a null pointer;  $\mathbf{const}(*x)$  represents  $(*x)$  is a constant.

Constant value environment ranged over by  $F$  is a set of constant variables.

$$\begin{array}{c}
\frac{C' = \text{filter\_}C(C, *x)}{\langle H, R, \text{endconst}(*x), n, C \rangle \rightarrow_D \langle H, R, \text{skip}, n, C' \rangle} \quad (\text{SEM-CONSTSKIP}) \\
\langle H, R, \text{const}(*x)s, n, C \rangle \rightarrow_D \langle H, R, s; \text{endconst}(*x), n, C \cup \{\text{const}(*x)\} \rangle \quad (\text{SEM-CONSTSEQ}) \\
\langle H, R, \text{skip}; s, n, C \rangle \rightarrow_D \langle H, R, s, n, C \rangle \quad (\text{SEM-SKIP}) \\
\frac{\langle H, R, s_1, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s'_1, n', C' \rangle}{\langle H, R, s_1; s_2, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s'_1; s_2, n', C' \rangle} \quad (\text{SEM-SEQ}) \\
\frac{x' \notin \text{Dom}(R)}{\langle H, R, \text{let } x = \text{null in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto \text{null}\}, [x'/x]s, n, C \rangle} \quad (\text{SEM-LETNULL}) \\
\frac{x' \notin \text{Dom}(R)}{\langle H, R, \text{let } x = y \text{ in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto R(y)\}, [x'/x]s, n, C \rangle} \quad (\text{SEM-LETEQ}) \\
\frac{H(R(x)) = \text{null}, \text{const}(*x) \notin C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\text{null}(*x)}_D \langle H, R, s_1, n, C \rangle} \quad (\text{SEM-IFNULLT}) \\
\frac{H(R(x)) \neq \text{null}, \text{const}(*x) \notin C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)}_D \langle H, R, s_2, n, C \rangle} \quad (\text{SEM-IFNULLF}) \\
\frac{H(R(x)) = \text{null}, \text{const}(*x) \in C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\text{null}(*x)}_D \langle H, R, s_1, n, C \cup \{\text{null}(*x)\} \rangle} \quad (\text{SEM-IFCONSTNULLT}) \\
\frac{H(R(x)) \neq \text{null}, \text{const}(*x) \in C}{\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)}_D \langle H, R, s_2, n, C \cup \{\neg \text{null}(*x)\} \rangle} \quad (\text{SEM-IFCONSTNULLF}) \\
\frac{\text{const}(*x) \notin C}{\langle H \{R(x) \mapsto v\}, R, *x \leftarrow y, n, C \rangle \rightarrow_D \langle H \{R(x) \mapsto R(y)\}, R, \text{skip}, n, C \rangle} \quad (\text{SEM-ASSIGN}) \\
\frac{x' \notin \text{Dom}(R) \quad R(y) \in \text{Dom}(H)}{\langle H, R, \text{let } x = *y \text{ in } s, n, C \rangle \rightarrow_D \langle H, R \{x' \mapsto H(R(y))\}, [x'/x]s, n, C \rangle} \quad (\text{SEM-LETDEREF}) \\
\frac{R(x) \neq \text{null} \text{ and } R(x) \in \text{Dom}(H)}{\langle H \{R(x) \mapsto v\}, R, \text{free}(x), n, C \rangle \xrightarrow{\text{free}}_D \langle H \setminus R(x), R, \text{skip}, n+1, C \rangle} \quad (\text{SEM-FREE}) \\
\frac{l \notin \text{Dom}(H) \quad n > 0}{\langle H, R, \text{let } x = \text{malloc}() \text{ in } s, n, C \rangle \xrightarrow{\text{malloc}(x')}_D \langle H \{l \mapsto v\}, R \{x' \mapsto l\}, [x'/x]s, n-1, C \rangle} \quad (\text{SEM-MALLOC}) \\
\frac{D(f) = (\vec{y})s}{\langle H, R, f(\vec{x}), n, C \rangle \rightarrow_D \langle H, R, [\vec{x}/\vec{y}]s, n, C \rangle} \quad (\text{SEM-CALL}) \quad \frac{R(x) = \text{null} \text{ or } R(x) \notin \text{Dom}(H)}{\langle H, R, \text{free}(x), n, C \rangle \xrightarrow{\text{free}}_D \text{MemEx}} \quad (\text{SEM-FREEEXN}) \\
\frac{R(x) = \text{null} \text{ or } R(x) \notin \text{Dom}(H)}{\langle H, R, *x \leftarrow y, n, C \rangle \rightarrow_D \text{MemEx}} \quad (\text{SEM-ASSIGNEXN}) \quad \frac{R(y) = \text{null} \text{ or } R(y) \notin \text{Dom}(H)}{\langle H, R, \text{let } x = *y \text{ in } s, n, C \rangle \rightarrow_D \text{MemEx}} \quad (\text{SEM-DEREFEXN}) \\
\frac{\forall z. \text{const}(*z) \in C \text{ and } R(x) = R(z)}{\langle H \{R(x) \mapsto v\}, R, *x \leftarrow y, n, C \rangle \rightarrow_D \text{ConstEx}} \quad (\text{SEM-ASSIGNCONSTEXN}) \\
\langle H, R, \text{let } x = \text{malloc}() \text{ in } s, 0, C \rangle \xrightarrow{\text{malloc}(x')}_D \text{OutOfMemory} \quad (\text{SEM-OUTOFMEM})
\end{array}$$

Figure 1: Operational semantics of  $\mathcal{L}$ .

Figure 2 depicts semantics of behavioral types with dependent types, and they are given by the labeled transition system. The relation  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$  means that  $P$  can make an action  $\rho$ , and  $P$  turns into  $P'$  after it makes action  $\rho$ ;  $F$  and  $F'$  record constant value environment before and after action  $\rho$  respectively.

**Notation**  $filter.T(F, *x)$  is defined by a pseudocode as follows:

$$\begin{aligned} filter.T(F, *x) &= \text{let } F' = F - \mathbf{const}(*x) \text{ in} \\ &\quad \text{if } \mathbf{const}(*x) \notin F' \text{ then return } (F' \setminus \{\mathbf{null}(*x), \neg\mathbf{null}(*x)\}) \\ &\quad \text{else return } F' \end{aligned}$$

## 2.2 Typing rules

The type judgment for statements is of the form  $\Theta; \Gamma \vdash s : P$ , which represents that under the function type environment  $\Theta$  and the variable type environment  $\Gamma$ , the abstracted behavioral type of statement  $s$  is  $P$ .

Before showing typing rules for statements in Figure 3, we need explain several important definitions. The first one is  $OK_n(P, F)$ , a predicate, where  $P$  represents the behavior of a program which consumes at most  $n$  memory cells.

**Definition 3** ( $\#_\rho(\sigma)$ ).  $\#_\rho(\sigma)$  is the number of  $\rho$  in the sequence  $\sigma$ .

**Definition 4.**  $OK_n(P, F)$  holds if, (1)  $\forall P'$  and  $\sigma$ . if  $\langle P, F \rangle \xrightarrow{\sigma} \langle P', F' \rangle$ , then  $\#_m(\sigma) - \#_f(\sigma) \leq n$  and (2)  $OK(F)$

**Definition 5.**  $OK(F)$  holds if  $F$  does not contain both  $\mathbf{null}(*x)$  and  $\neg\mathbf{null}(*x)$ .

**Definition 6** (Subtyping).  $F \vdash P_1 \leq P_2$  is the largest relation such that, for any  $P'_1, F'$  and  $\rho$ , if  $\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F' \rangle$ , then there exists  $P'_2$  such that  $\langle P_2, F \rangle \xRightarrow{\rho} \langle P'_2, F' \rangle$  and  $F' \vdash P'_1 \leq P'_2$ . We write  $P_1 \leq P_2$  if  $F \vdash P_1 \leq P_2$  for any  $F$ .

## 2.3 Type soundness

**Theorem 2.1.** If  $\vdash \langle D, s \rangle : n$  for some  $n$ , then  $\langle D, s \rangle$  is totally memory-leak free.

The proof is based on the following lemmas: preservation and lack of immediate overflow.

**Definition 7.** we write  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$ , if  $\Theta; \Gamma \vdash s : P$  and  $OK_n(P, F)$  with  $C \approx F$ .

**Lemma 2.2** (Preservation). suppose that  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$ . If  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$  then  $\exists P', F'$  s.t. (1)  $\Theta; \Gamma \vdash \langle H', R', s', n', C' \rangle : \langle P', F' \rangle$  and (2)  $\langle P, F \rangle \xRightarrow{\rho} \langle P', F' \rangle$ .

**Lemma 2.3** (Lack of immediate overflow). If  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$ , then  $\langle H, R, s, n, C \rangle \not\xrightarrow{\mathbf{malloc}} \mathbf{OutOfMemory}$ .

$$\begin{array}{c}
\langle \mathbf{0}; P, F \rangle \rightarrow \langle P, F \rangle \quad (\text{TR-SKIP}) \\
\langle \mathbf{free}, F \rangle \xrightarrow{\mathbf{free}} \langle \mathbf{0}, F \rangle \quad (\text{TR-FREE}) \quad \langle \mu\alpha.P, F \rangle \rightarrow \langle [\mu\alpha.P/\alpha]P, F \rangle \quad (\text{TR-REC}) \\
\langle P_1 + P_2, F \rangle \rightarrow \langle P_1, F \rangle \quad (\text{TR-CHOICE L}) \quad \langle P_1 + P_2, F \rangle \rightarrow \langle P_2, F \rangle \quad (\text{TR-CHOICE R}) \\
\frac{\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F' \rangle}{\langle P_1; P_2, F \rangle \xrightarrow{\rho} \langle P'_1; P_2, F' \rangle} \quad (\text{TR-SEQ}) \\
\langle \mathbf{let } x = \mathbf{malloc} \mathbf{ in } P, F \rangle \xrightarrow{\mathbf{malloc}(x')} \langle [x'/x]P, F \rangle \quad (\text{TR-LETMALLOC}) \\
\langle \mathbf{let } x = y \mathbf{ in } P, F \rangle \rightarrow \langle [x'/x]P, F \rangle \quad (\text{TR-LETXY}) \\
\langle \mathbf{let } x = *y \mathbf{ in } P, F \rangle \rightarrow \langle [x'/x]P, F \rangle \quad (\text{TR-LETX*Y}) \\
\langle \mathbf{let } x = \mathbf{null} \mathbf{ in } P, F \rangle \rightarrow \langle [x'/x]P, F \rangle \quad (\text{TR-LETX}) \\
\langle \mathbf{const}(*x)P, F \rangle \rightarrow \langle P; \mathbf{endconst}(*x), F \cup \{\mathbf{const}(*x)\} \rangle \quad (\text{TR-CONST}) \\
\frac{F' = \mathit{filter\_T}(F, *x)}{\langle \mathbf{endconst}(*x), F \rangle \rightarrow \langle \mathbf{0}, F' \rangle} \quad (\text{TR-ENDCONST}) \\
\frac{\mathbf{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, F \rangle} \quad (\text{TR-NOTCONST1}) \quad \frac{\mathbf{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, F \rangle} \quad (\text{TR-NOTCONST2}) \\
\frac{\mathbf{null}(*x) \in F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle} \quad (\text{TR-NULLIN}) \quad \frac{\neg \mathbf{null}(*x) \in F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle} \quad (\text{TR-NNULLIN}) \\
\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, F \cup \mathbf{null}(*x) \rangle} \quad (\text{TR-NNULLNOTIN1}) \\
\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, F \cup \neg \mathbf{null}(*x) \rangle} \quad (\text{TR-NNULLNOTIN2})
\end{array}$$

Figure 2: semantics of behavioral types with dependent types.

$$\begin{array}{c}
\begin{array}{cc}
\Theta; \Gamma \vdash \mathbf{skip} : \mathbf{0} & (\text{T-SKIP}) \\
\Theta; \Gamma, x, y \vdash *x \leftarrow y : \mathbf{0} & (\text{T-ASSIGN}) \\
\frac{\Theta; \Gamma, x \vdash s : P}{\Theta; \Gamma \vdash \mathbf{let } x = \mathbf{malloc}() \mathbf{ in } s : \mathbf{let } x = \mathbf{malloc} \mathbf{ in } P} & (\text{T-MALLOC}) \\
\frac{\Theta; \Gamma, x, y \vdash s : P}{\Theta; \Gamma, y \vdash \mathbf{let } x = *y \mathbf{ in } s : \mathbf{let } x = *y \mathbf{ in } P} & (\text{T-LETDEREF}) \\
\Theta; \Gamma, x \vdash \mathbf{endconst}(*x) : \mathbf{endconst}(*x) & (\text{T-ENDCONST}) \\
\frac{\Theta; \Gamma, x \vdash s : P}{\Theta; \Gamma, x \vdash \mathbf{const}(*x)s : \mathbf{const}(*x)P} & (\text{T-CONST}) \\
\frac{\Theta; \Gamma, x \vdash s_1 : P_1 \quad \Theta; \Gamma, x \vdash s_2 : P_2}{\Theta; \Gamma, x \vdash \mathbf{ifnull}(*x) \mathbf{ then } s_1 \mathbf{ else } s_2 : (*x)(P_1, P_2)} & (\text{T-IFNULL}) \\
\Theta, f : (\vec{y})P; \Gamma, \vec{x} \vdash f(\vec{x}) : P[\vec{x}/\vec{y}] & (\text{T-CALL}) \\
\frac{\Theta; \Gamma \vdash s : P_1 \quad P_1 \leq P_2}{\Theta; \Gamma \vdash s : P_2} & (\text{T-SUB}) \\
\frac{\Theta(f) = (\vec{x})P \quad \mathbf{Dom}(D) = \mathbf{Dom}(\Theta) \quad \Theta; x_1, \dots, x_n \vdash s : P \text{ for each } f \mapsto (x_1, \dots, x_n)s \in D}{\vdash D : \Theta} & (\text{T-DEF}) \\
\frac{\vdash D : \Theta \quad \Theta; \emptyset \vdash s : P \quad OK_n(P, F)}{\vdash \langle D, s \rangle : n} & (\text{T-PROGRAM})
\end{array}
\end{array}$$

Figure 3: typing rules

### 3 Proof of Lemmas

**Lemma 3.1.** *If  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$  and  $OK(F)$ , then  $OK(F')$*

*Proof.* By induction on  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ .

- Case  $P = \mathbf{0}; P'$  and  $\langle \mathbf{0}; P', F \rangle \rightarrow \langle P', F \rangle$   
We need to prove  $OK(F')$ . From assumption, we have that  $OK(F)$  holds, and in this case  $F'$  is the same as  $F$ . Therefore,  $OK(F')$  holds.
- Case  $P = \mathbf{let } x = \mathbf{malloc in } P'$  and  $\langle \mathbf{let } x = \mathbf{malloc in } P', F \rangle \xrightarrow{\mathbf{malloc}(x')} \langle [x'/x]P', F \rangle$   
Similiar to above.
- Case  $P = \mathbf{let } x = y \mathbf{ in } P'$  and  $\langle \mathbf{let } x = y \mathbf{ in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$   
Similiar to above.
- Case  $P = \mathbf{let } x = *y \mathbf{ in } P'$  and  $\langle \mathbf{let } x = *y \mathbf{ in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$   
Similiar to above.
- Case  $P = \mathbf{let } x = \mathbf{null in } P'$  and  $\langle \mathbf{let } x = \mathbf{null in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$   
Similiar to above.
- Case  $P = \mathbf{free}$  and  $\langle \mathbf{free}, F \rangle \xrightarrow{\mathbf{free}} \langle \mathbf{0}, F \rangle$   
Similiar to above.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, F \rangle}$   
We need to prove  $OK(F)$ . From the assumption,  $OK(F)$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, F \rangle}$   
We need to prove  $OK(F)$ . From the assumption,  $OK(F)$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{null}(*x) \in F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle}$   
We need to prove  $OK(F)$ . From the assumption,  $OK(F)$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\neg \mathbf{null}(*x) \in F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle}$   
We need to prove  $OK(F)$ . From the assumption, it holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, F \cup \mathbf{null}(*x) \rangle}$   
We need to prove  $OK(F \cup \mathbf{null}(*x))$ . From the assumption, we have  $OK(F)$  and  $\neg \mathbf{null}(*x) \notin F$ . Therefore  $OK(F \cup \mathbf{null}(*x))$  holds.
- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, F \cup \neg \mathbf{null}(*x) \rangle}$   
We need to prove  $OK(F \cup \neg \mathbf{null}(*x))$ . From the assumption, we have  $OK(F)$  and  $\mathbf{null}(*x) \notin F$ . Therefore  $OK(F \cup \neg \mathbf{null}(*x))$  holds.



- Case  $P = \mathbf{const}(*x)P'$  and  $\langle \mathbf{const}(*x)P', F \rangle \rightarrow \langle P'; \mathbf{endconst}(*x), F \cup \{\mathbf{const}(*x)\} \rangle$   
We need to prove  $OK(F \cup \{\mathbf{const}(*x)\})$ . From the assumption, we have  $OK(F)$  holds. Also,  $F \cup \{\mathbf{const}(*x)\}$  does not contain both  $\mathbf{null}(*x)$  and  $\neg\mathbf{null}(*x)$ . Therefore,  $OK(F \cup \{\mathbf{const}(*x)\})$  holds.
- Case  $P = \mathbf{endconst}(*x)$  and  $\frac{F' = \mathbf{filter}.T(F, *x)}{\langle \mathbf{endconst}(*x), F \rangle \rightarrow \langle \mathbf{0}, F' \rangle}$   
we need to prove  $OK(F')$ . From assumption, we have  $OK(F)$  which means  $F$  does not contain both  $\mathbf{null}(*x)$  and  $\neg\mathbf{null}(*x)$ . By the definition of *filter* function, we have  $F' = F \setminus \{\mathbf{null}(*x), \neg\mathbf{null}(*x)\}$  or  $F - \mathbf{const}(*x)$ , which means  $F'$  does not contain both  $\mathbf{null}(*x)$  and  $\neg\mathbf{null}(*x)$ . Therefore,  $OK(F')$  holds.
- Case  $P = \mu\alpha.P'$  and  $\langle \mu\alpha.P', F \rangle \rightarrow \langle [\mu\alpha.P']P', F \rangle$   
We need to prove  $OK(F)$ . From the assumption, we have that  $OK(F)$  holds.
- Case  $P = P_1; P_2$  and  $\frac{\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F' \rangle}{\langle P_1; P_2, F \rangle \xrightarrow{\rho} \langle P'_1; P_2, F' \rangle}$   
We need to prove  $OK(F')$ . By IH, we have  $\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F' \rangle$  and  $OK(F)$  holds, then  $OK(F')$  holds.

□

**Lemma 3.2.** *If  $OK_n(P, F)$  and  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ , then*

- $OK_{n-1}(P', F')$  if  $\rho = \mathbf{malloc}$ ,
- $OK_{n+1}(P', F')$  if  $\rho = \mathbf{free}$ ,
- $OK_n(P', F')$  if  $\rho = \text{Otherwise}$

*Proof.* By induction on  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ .

- Case  $P = \mathbf{0}; P'$  and  $\langle \mathbf{0}; P', F \rangle \rightarrow \langle P', F \rangle$   
We need to prove  $OK_n(P', F)$ . Assume that  $OK_n(P', F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ ,  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$  or (2)  $OK(F)$  does not hold.  
From the definition of that  $OK(\mathbf{0}; P', F)$  holds, we have (1) if  $\langle \mathbf{0}; P', F \rangle \rightarrow \langle P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F)$ , which are in contradiction to the assumption. Therefore,  $OK_n(P', F)$  holds.
- Case  $P = \mathbf{let } x = \mathbf{malloc} \mathbf{ in } P'$  and  $\langle \mathbf{let } x = \mathbf{malloc} \mathbf{ in } P', F \rangle \xrightarrow{\mathbf{malloc}(x')} \langle [x'/x]P', F \rangle$   
we need to prove  $OK_{n-1}([x'/x]P', F)$ . Assume that  $OK_{n-1}([x'/x]P', F)$  does not hold. Then we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle [x'/x]P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m\sigma - \sharp_f\sigma > n$  or (2)  $OK(F)$  does not hold.  
From the definition of  $OK_n(P, F)$ , we have (1)  $\langle \mathbf{let } x = \mathbf{malloc} \mathbf{ in } P', F \rangle \xrightarrow{\mathbf{malloc}(x')} \langle [x'/x]P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n - 1$  and (2)  $OK(F)$  holds. Therefore, we get the contradiction, and the  $OK_{n-1}([x'/x]P', F)$  holds.
- Case  $P = \mathbf{let } x = y \mathbf{ in } P'$  and  $\langle \mathbf{let } x = y \mathbf{ in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$   
Similar to the above.

- Case  $P = \text{let } x = *y \text{ in } P'$  and  $\langle \text{let } x = *y \text{ in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$

Similar to the above.

- Case  $P = \text{let } x = \text{null in } P'$  and  $\langle \text{let } x = \text{null in } P', F \rangle \rightarrow \langle [x'/x]P', F \rangle$

Similar to the above.

- Case  $P = \text{free}$  and  $\langle \text{free}, F \rangle \xrightarrow{\text{free}} \langle \mathbf{0}, F \rangle$

We need to prove  $OK_{n+1}(\mathbf{0}, F)$ , which means we need to prove (1)  $\forall \sigma$  and  $Q$  if  $\langle \mathbf{0}, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F)$  holds. There is no  $Q$  and  $\sigma$  s.t.  $\langle \mathbf{0}, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , so (1) holds.  $OK(F)$  holds from Lemma 3.1. Therefore,  $OK(\mathbf{0}, F)$  holds.

- Case  $P = \text{endconst}(*x)$  and  $\frac{F' = \text{filter\_T}(F, *x)}{\langle \text{endconst}(*x), F' \rangle \rightarrow \langle \mathbf{0}, F' \rangle}$

We need to prove  $OK_n(\mathbf{0}, F')$ , which means we need to prove (1)  $\forall \sigma$  and  $Q$  if  $\langle \mathbf{0}, F' \rangle \xrightarrow{\sigma} \langle Q, F'' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F')$  holds. There is no  $Q$  and  $\sigma$  s.t.  $\langle \mathbf{0}, F' \rangle \xrightarrow{\sigma} \langle Q, F'' \rangle$ , so (1) holds. From the assumption  $OK_n(P, F)$ , we have  $OK(F)$ , which means  $F$  does not contain both  $\text{null}(*x)$  and  $\neg \text{null}(*x)$ . By the definition of function  $\text{filter\_T}$ , we have  $F' = F \setminus \{\text{null}(*x), \neg \text{null}(*x)\}$  or  $F - \text{const}(*x)$ . Therefore  $OK(F')$  holds. So  $OK_n(\mathbf{0}, F')$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\text{null}(*x)} \langle P_1, F \rangle}$

We need to prove  $OK_n(P_1, F)$ . Assume that  $OK_n(P_1, F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$  or (2)  $OK(F)$  does not hold.

From the definition of that  $OK_n((*x)(P_1, P_2), F)$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\text{null}(*x)} \langle P_1, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_1, F)$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{const}(*x) \notin F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle}$

We need to prove  $OK_n(P_2, F)$ . Assume that  $OK_n(P_2, F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_2, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$  or (2)  $OK(F)$  does not hold.

From the definition of that  $OK_n((*x)(P_1, P_2), F)$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\neg \text{null}(*x)} \langle P_2, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_2, F)$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\text{null}(*x) \in F \quad \text{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle}$

We need to prove  $OK_n(P_1, F)$ . Assume that  $OK_n(P_1, F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$  or (2)  $OK(F)$  does not hold.

From the definition of that  $OK_n((*x)(P_1, P_2), F)$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_1, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_1, F)$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\neg \mathbf{null}(*x) \in F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle}$

We need to prove  $OK_n(P_2, F)$ . Assume that  $OK_n(P_2, F)$  does not hold. Then we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_2, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$  or (2)  $OK(F)$  does not hold.

From the definition of that  $OK_n((*x)(P_1, P_2), F)$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \rightarrow \langle P_2, F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_2, F)$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, F \cup \{\mathbf{null}(*x)\} \rangle}$

We need to prove  $OK_n(P_1, F \cup \{\mathbf{null}(*x)\})$ . Assume that  $OK_n(P_1, F \cup \{\mathbf{null}(*x)\})$  does not hold. Then we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P_1, F \cup \{\mathbf{null}(*x)\} \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$  or (2)  $OK(F \cup \{\mathbf{null}(*x)\})$  does not hold.

From the definition of that  $OK_n((*x)(P_1, P_2), F)$  holds, we have (1) if  $\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, F \cup \{\mathbf{null}(*x)\} \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F)$  holds. By  $OK(F)$  and  $\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin F$ , we have  $OK(F \cup \{\mathbf{null}(*x)\})$  holds. Therefore, we get the contradiction and  $OK_n(P_1, F \cup \{\mathbf{null}(*x)\})$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin F \quad \mathbf{const}(*x) \in F}{\langle (*x)(P_1, P_2), F \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, F \cup \{\neg \mathbf{null}(*x)\} \rangle}$

Similar to the above.

- Case  $P = \mathbf{const}(*x)P'$  and  $\langle \mathbf{const}(*x)P', F \rangle \rightarrow \langle P'; \mathbf{endconst}(*x), F \cup \mathbf{const}(*x) \rangle$

We need to prove  $OK_n(P'; \mathbf{endconst}(*x), F \cup \mathbf{const}(*x))$ . Assume that  $OK_n(P'; \mathbf{endconst}(*x), F \cup \mathbf{const}(*x))$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle P'; \mathbf{endconst}(*x), F \cup \mathbf{const}(*x) \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$  or (2)  $OK(F \cup \mathbf{const}(*x))$  does not hold.

From the definition of that  $OK_n(\mathbf{const}(*x)P', F)$  holds, we have (1) if  $\langle \mathbf{const}(*x)P', F \rangle \rightarrow \langle P'; \mathbf{endconst}(*x), F \cup \mathbf{const}(*x) \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2)  $OK(F)$  holds, which are in contradiction to the assumption. Therefore,  $OK_n(P_1, F)$  holds.

- Case  $P = \mu\alpha.P'$  and  $\langle \mu\alpha.P', F \rangle \rightarrow \langle [\mu\alpha.P'/\alpha]P', F \rangle$

We need to prove  $OK_n([\mu\alpha.P'/\alpha]P', F)$ . Assume that  $OK_n([\mu\alpha.P'/\alpha]P', F)$  does not hold. Then, we have (1)  $\exists \sigma$  and  $Q$  s.t.  $\langle [\mu\alpha.P'/\alpha]P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$  or (2)  $OK(F)$  does not hold.

From the definition of that  $OK_n(\mu\alpha.P', F)$  holds, we have (1) if  $\langle \mu\alpha.P', F \rangle \rightarrow \langle [\mu\alpha.P'/\alpha]P', F \rangle \xrightarrow{\sigma} \langle Q, F' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which is a contradiction; and (2)  $OK(F)$  holds. From the Lemma 3.1,  $OK(F \cup \neg \mathbf{null}(*x))$  holds. Therefore,  $OK([\mu\alpha.P'/\alpha]P', F)$  holds.

- Case  $P = P_1; P_2$  and  $\frac{\langle P_1, F \rangle \xRightarrow{\rho} \langle P'_1, F' \rangle}{\langle P_1; P_2, F \rangle \xRightarrow{\rho} \langle P'_1; P_2, F' \rangle}$

We need to prove  $OK_{n'}(P'_1; P_2, F)$ , where  $n'$  is determined by

$$n' = \begin{cases} n + 1 & \rho = \mathbf{free} \\ n - 1 & \rho = \mathbf{malloc} \\ n & \text{Otherwise.} \end{cases}$$

Assume that  $OK_{n'}(P'_1; P_2, F')$  does not hold. Then, we have (1)  $\exists \sigma, Q$  and  $F''$  s.t.  $\langle P'_1; P_2, F \rangle \xrightarrow{\sigma} \langle Q, F'' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n'$  or (2)  $OK(F')$  does not hold.

From the definition of that  $OK_n(P_1; P_2, F)$  holds, we have (1) if  $\langle P_1; P_2, F \rangle \xRightarrow{\rho} \langle P'_1; P_2, F' \rangle \xrightarrow{\sigma} \langle Q, F'' \rangle$ , then  $\sharp_m(\rho\sigma) - \sharp_f(\rho\sigma) \leq n$  and (2)  $OK(F)$  holds.

From (1), we get  $n' + \sharp_m(\rho) - \sharp_f(\rho) < \sharp_m(\rho) + \sharp_m(\sigma) - \sharp_f(\rho) - \sharp_f(\sigma) \leq n$ . For any  $\rho$ , the  $n' + \sharp_m(\rho) - \sharp_f(\rho) = n$ , therefore we get a contradiction. By IH, we have  $OK(F')$  holds, which is a contradiction. Therefore,  $OK_{n'}(P_1; P_2, F')$  holds.  $\square$

*Proof of Lemma 2.2:* By induction on the derivation of  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$ .

- Case:  $\langle H, R, \mathbf{const}(*x)s, n, C \rangle \rightarrow \langle H, R, s; \mathbf{endconst}(*x), n, C \cup \{\mathbf{const}(*x)\} \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{const}(*x)s, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{const}(*x)s : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we get  $\Theta; \Gamma \vdash s : P''$  and  $\mathbf{const}(*x)P'' \leq P$  for some  $P''$ . By subtyping, we have  $P''; \mathbf{endconst}(*x) \leq Q$  and  $\langle P, F \rangle \Rightarrow \langle Q, F \cup \{\mathbf{const}(*x)\} \rangle$  for some  $Q$ .

we need to find  $P'$  and  $F'$  s.t.  $\Theta; \Gamma \vdash s; \mathbf{endconst}(*x) : P'$ ,  $OK_n(P', F')$  and  $\langle P, F \rangle \Rightarrow \langle P', F' \rangle$ . Taking  $Q$  as  $P'$  and  $F \cup \{\mathbf{const}(*x)\}$  as  $F'$ . Therefore  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  holds, and  $OK_n(P', F')$  holds from Lemma 3.2. From  $\Theta; \Gamma \vdash s; \mathbf{endconst}(*x) : P''; \mathbf{endconst}(*x), P''; \mathbf{endconst}(*x) \leq Q$  and T-SUB,  $\Theta; \Gamma \vdash s; \mathbf{endconst}(*x) : P'$  holds.

- Case:  $\langle H, R, \mathbf{endconst}(*x), n, C \rangle \rightarrow \langle H, R, \mathbf{skip}, n, C' \rangle$  where  $C' = \mathbf{filter\_C}(C, *x)$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{endconst}(*x), n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{endconst}(*x) : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we get  $\Theta; \Gamma \vdash \mathbf{endconst}(*x) : \mathbf{endconst}(*x)$  and  $\mathbf{endconst}(*x) \leq P$ . By subtyping and function  $\mathbf{filter\_T}(F, *x)$ , we get  $0 \leq Q$  and  $\langle P, F \rangle \rightarrow \langle Q, F'' \rangle$  for some  $Q$ .

we need to find  $P'$  and  $F'$  s.t.  $\Theta; \Gamma \vdash \mathbf{skip} : P'$ ,  $OK_n(P', F')$  and  $\langle P, F \rangle \Rightarrow \langle P', F' \rangle$ . Taking  $Q$  as  $P'$  and  $F''$  as  $F'$  therefore  $F' \approx C'$  from functions  $\mathbf{filter\_T}(F, *x)$  and  $\mathbf{filter\_C}(C, *x)$ ;  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. From T-SKIP, T-SUB and  $0 \leq Q$ , then  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  holds.

- Case:  $\langle H, R, \mathbf{free}(x), n, C \rangle \xrightarrow{\mathbf{free}} \langle H', R, \mathbf{skip}, n+1, C' \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{free}(x), n, C \rangle : \langle P, F \rangle$ , we have  $OK_n(P, F)$  and  $\Theta; \Gamma \vdash \mathbf{free}(x) : P$ . From inversion of the typing rules, we have  $\Theta; \Gamma \vdash \mathbf{free}(x) : \mathbf{free}$  and  $\mathbf{free} \leq P$ . By the subtyping, we have  $\langle P, F \rangle \xRightarrow{\mathbf{free}} \langle Q, F \rangle$  and  $0 \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $F'$  such that  $\langle P, F \rangle \xRightarrow{\mathbf{free}} \langle P', F' \rangle$ ,  $\Theta; \Gamma \vdash \mathbf{skip} : P'$ , and  $OK_{n+1}(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then,  $\langle P, F \rangle \xRightarrow{\mathbf{free}} \langle P', F' \rangle$  holds, and  $OK_{n+1}(P', F')$  holds from Lemma 3.2. We also have  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  from T-SKIP,  $0 \leq Q$  and T-SUB.

- Case:  $\langle H, R, \mathbf{let } x = \mathbf{malloc}() \mathbf{ in } s, n, C \rangle \xrightarrow{\mathbf{malloc}(x')} \langle H', R', [x'/x]s, n-1, C' \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{let } x = \mathbf{malloc}() \mathbf{ in } s, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{let } x = \mathbf{malloc}() \mathbf{ in } s : P$  and  $OK_n(P, F)$ . By the inversion of typing rules, we have

$\Theta; \Gamma, x \vdash s : P''$  and  $\text{let } x = \mathbf{malloc} \text{ in } P'' \leq P$  for some  $P''$ . By subtyping, we get  $\langle P, F \rangle \xRightarrow{\mathbf{malloc}(x')} \langle Q, F \rangle$  and  $[x'/x]P'' \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma, x' \vdash [x'/x]s : P'$  and  $\langle P, F \rangle \xRightarrow{\mathbf{malloc}(x')} \langle P', F' \rangle$  and  $OK_{n-1}(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then  $\langle P, F \rangle \xRightarrow{\mathbf{malloc}(x')} \langle P', F' \rangle$  holds, and  $OK_{n-1}(P', F')$  holds by Lemma 3.2. From  $\Theta; \Gamma, x \vdash s : P''$  and  $\text{let } x = \mathbf{malloc} \text{ in } P'' \leq P$ , we have  $\Theta; \Gamma, x'' \vdash [x''/x]s : [x''/x]P''$  and  $\text{let } x'' = \mathbf{malloc} \text{ in } [x''/x]P'' \leq P$ , and then by the definition of subtyping we have  $[x''/x]P'' \leq Q'$  for some  $Q'$ . Therefore, we get  $\Theta; \Gamma, x'' \vdash [x''/x]s : Q'$ . Take  $x''$  as  $x'$  and  $Q'$  as  $P'$ , then  $\Theta; \Gamma, x' \vdash [x'/x]s : P'$  holds.

- Case:  $\langle H, R, \mathbf{skip}; s, n, C \rangle \rightarrow \langle H, R, s, n, C \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{skip}; s, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{skip}; s : P$  and  $OK_n(P, F)$ . From the inversion of the typing rules, we get  $\Theta; \Gamma \vdash s : P''$  and  $0; P'' \leq P$ . From the definition of subtyping, we have  $\langle P, F \rangle \Rightarrow \langle Q, F \rangle$  and  $P'' \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma \vdash s : P'$  and  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then  $\langle P, F \rangle \Rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. We also have  $\Theta; \Gamma \vdash s : P'$  from T-SUB,  $\Gamma \vdash s : P''$  and  $P'' \leq Q$ .

- Case:  $\langle H, R, *x \leftarrow y, n, C \rangle \rightarrow \langle H', R, \mathbf{skip}, n, C \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, *x \leftarrow y, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash *x \leftarrow y : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we have  $0 \leq P$ .

We need to find  $P'$  such that  $\Theta; \Gamma \vdash \mathbf{skip} : P'$ ,  $\langle P, F \rangle \Rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $P$  as  $P'$  and  $F$  as  $F'$ . Then,  $\langle P, F \rangle \Rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. We also have  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  from T-SKIP,  $0 \leq P$  and T-SUB.

- Case:  $\langle H, R, \text{let } x = y \text{ in } s, n, C \rangle \rightarrow \langle H, R', [x'/x]s, n, C \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, \text{let } x = y \text{ in } s, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma, y \vdash \text{let } x = y \text{ in } s : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we have  $\Theta; \Gamma, x, y \vdash s : P''$  and  $\text{let } x = y \text{ in } P'' \leq P$  for some  $P''$ . By subtyping, we have  $\langle P, F \rangle \rightarrow \langle Q, F \rangle$  and  $[x'/x]P'' \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma, x', y \vdash [x'/x]s : P'$ ,  $\langle P, F \rangle \rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then  $\langle P, F \rangle \Rightarrow \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. From  $\Theta; \Gamma, x, y \vdash s : P''$  and  $\text{let } x = y \text{ in } P'' \leq P$ , we have  $\Theta; \Gamma, x'', y \vdash [x''/x]s : [x''/x]P''$  and  $\text{let } x'' = y \text{ in } [x''/x]P'' \leq P$ , and then by subtyping we have  $[x''/x]P'' \leq Q'$  for some  $Q'$ . Therefore, we have  $\Theta; \Gamma, x'', y \vdash [x''/x]s : Q'$ . Take  $x''$  as  $x'$  and  $Q'$  as  $P'$ , then  $\Theta; \Gamma, x', y \vdash [x'/x]s : P'$  holds.

- Case:  $\langle H, R, \text{let } x = \mathbf{null} \text{ in } s, n \rangle \rightarrow \langle H, R', [x'/x]s, n \rangle$

Similar to the above.

- Case:  $\langle H, R, \text{let } x = *y \text{ in } s, n \rangle \rightarrow \langle H, R', [x'/x]s, n \rangle$

Similar to the above.

- Case:  $\langle H, R, \text{ifnull } (*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle H, R, s_1, n, C \rangle$  if  $H(R(x)) = \mathbf{null}$  and  $\mathbf{const}(*x) \notin C$

From assumption  $\Theta; \Gamma \vdash \langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2 : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $(*) (P_1, P_2) \leq P$ . By subtyping and  $\text{const}(*x) \notin C$ , which means  $\text{const}(*x) \notin F$ , we get  $\langle P, F \rangle \xrightarrow{\text{null}(*x)} \langle Q, F \rangle$  and  $P_1 \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma \vdash s_1 : P'$ ,  $\langle P, F \rangle \xrightarrow{\text{null}(*x)} \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $Q$  as  $P'$  and  $F$  as  $F'$ . Then  $\langle P, F \rangle \xrightarrow{\text{null}(*x)} \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. We also have  $\Theta; \Gamma \vdash s_1 : P'$  from T-SUB,  $\Theta; \Gamma \vdash s_1 : P_1$  and  $P_1 \leq Q$ .

- Case:  $\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)} \langle H, R, s_1, n, C' \rangle$  if  $H(R(x)) \neq \text{null}$  and  $\text{const}(*x) \notin C$

Similar to the above.

- Case:  $\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\text{null}(*x)} \langle H, R, s_1, n, C' \rangle$  if  $H(R(x)) = \text{null}$ ,  $\text{const}(*x) \in C$  and  $C' = C \cup \{\text{null}(*x)\}$

From assumption  $\Theta; \Gamma \vdash \langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2 : P$  and  $OK_n(P, F)$ . From the inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $(*) (P_1, P_2) \leq P$ . By subtyping and  $\text{const}(*x) \in C$ , we get  $\langle P, F \rangle \xrightarrow{\text{null}(*x)} \langle Q, F \cup \{\text{null}(*x)\} \rangle$  and  $P_1 \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $F'$  such that  $\Theta; \Gamma \vdash s_1 : P'$ ,  $\langle P, F \rangle \xrightarrow{\text{null}(*x)} \langle P', F' \rangle$  and  $OK_n(P', F')$ . Take  $Q$  as  $P'$  and  $F \cup \{\text{null}(*x)\}$  as  $F'$ . Then  $C' \approx F'$ ,  $\langle P, F \rangle \xrightarrow{\text{null}(*x)} \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. We also have  $\Theta; \Gamma \vdash s_1 : P'$  from T-SUB,  $\Theta; \Gamma \vdash s_1 : P_1$  and  $P_1 \leq Q$ .

- Case:  $\langle H, R, \text{ifnull}(*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)} \langle H, R, s_2, n, C' \rangle$  if  $H(R(x)) \neq \text{null}$ ,  $\text{const}(*x) \in C$  and  $C' = C \cup \{\neg \text{null}(*x)\}$

Similar to the above proof.

- Case:  $\langle H, R, s_1; s_2, n, C \rangle \rightarrow \langle H', R', s'_1; s'_2, n', C' \rangle$

From the assumption  $\Theta; \Gamma \vdash \langle H, R, s_1; s_2, n, C \rangle : \langle P, F \rangle$ , we have  $\Theta; \Gamma \vdash s_1; s_2 : P$  and  $OK_n(P, F)$  with  $C \approx F$ . By inversion of typing rules, we have  $\Theta; \Gamma \vdash s_1 : P_1$ ,  $\Theta; \Gamma \vdash s_2 : P_2$  and  $P_1; P_2 \leq P$  for some  $P_1$  and  $P_2$ .

By IH on  $\langle H, R, s_1, n, C \rangle$  with derivation  $\langle H, R, s_1, n, C \rangle \xrightarrow{\rho} \langle H', R', s'_1, n', C' \rangle$ , we have  $\exists P'_1, F'_1$  s.t.  $\Theta; \Gamma \vdash \langle H', R', s'_1, n', C' \rangle : \langle P'_1, F'_1 \rangle$  and  $\langle P_1, F \rangle \xrightarrow{\rho} \langle P'_1, F'_1 \rangle$ .

By subtyping we have  $\langle P, F \rangle \xrightarrow{\rho} \langle Q, F'_1 \rangle$  and  $P'_1; P_2 \leq Q$  for some  $Q$ .

We need to find  $P'$  and  $F'$  s.t.  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ ,  $OK_n(P', F')$  and  $\Theta; \Gamma \vdash s'_1; s'_2 : P'$ . Take  $Q$  as  $P'$  and  $F'_1$  as  $F'$ ,  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$  and  $OK_n(P', F')$  hold. By T-Sub,  $\Theta; \Gamma \vdash s'_1; s'_2 : P'_1; P_2$  and  $P'_1; P_2 \leq Q$ , we have  $\Theta; \Gamma \vdash s'_1; s'_2 : P'$  holds.

□

We write  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}$  if there is a transition  $\xrightarrow{\rho}$  from  $\langle H, R, s, n, C \rangle$ .

**Lemma 3.3.** *If  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$  and  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}$  and  $\rho \in \{\text{malloc}(x'), \text{free}, \text{null}(*x), \neg \text{null}(*x)\}$ , then there exists  $P'$  and  $F'$  such that  $\langle P, F \rangle \xrightarrow{\rho} \langle P', F' \rangle$ .*

*Proof.* Induction on the derivation of  $\Theta; \Gamma \vdash \langle H, R, s, n, C \rangle : \langle P, F \rangle$ . □

*Proof of Lemma 2.3:*

By contradiction. Assume  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \mathbf{OutOfMemory}$ . Then,  $n$  is 0 and  $\rho = \mathbf{malloc}(x')$  from SEM-OUTOFMEM. From the assumption we have  $\Theta; \Gamma \vdash s : P$  and  $OK_0(P, F)$ . From Lemma 3.3, there exists  $P'$  and  $F'$  such that  $\langle P, F \rangle \xRightarrow{\mathbf{malloc}(x')} \langle P', F' \rangle$ . However, this contradicts  $OK_0(P, F)$ . □

*Proof of Theorem 2.1:*

We have  $\Theta; \emptyset \vdash s : P, \vdash D : \Theta$  and  $OK_n(P, F)$ .

Suppose that there exists  $\sigma$  such that  $\langle \emptyset, \emptyset, s, n, C \rangle \xrightarrow{\sigma} \langle H', R', s', n', C' \rangle \xrightarrow{\rho} \mathbf{OutOfMemory}$ . Then,  $n' = 0$  and  $\rho = \mathbf{malloc}(x')$ . From Lemma 2.2, there exists  $P'$  and  $F'$  such that  $\Theta; \Gamma' \vdash s' : P'$ ,  $\langle P, F \rangle \xRightarrow{\sigma} \langle P', F' \rangle$ , and  $OK_0(P', F')$ ; hence  $\langle H', R', s', 0 \rangle \xrightarrow{\mathbf{malloc}(x')} \mathbf{OutOfMemory}$ . However, this contradicts Lemma 2.3. □