# An Extended Behavioral Type System for Memory-Leak Freedom

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### 1 Language $\mathcal{L}$

In this section we define an imperative language  $\mathcal{L}$  with memory allocation and deallocation primitives, and for simplification we only use pointers as values.

The syntax of the language  $\mathcal{L}$  is as follows.

```
\begin{array}{lll} x,y,z,\dots \text{ (variables)} & \in & \mathbf{Var} \\ & s \text{ (statements)} & ::= & \mathbf{skip} \mid s_1; s_2 \mid *x \leftarrow y \mid \mathbf{free}(x) \\ & \mid & \mathbf{let} \ x = \mathbf{malloc}() \ \mathbf{in} \ s \mid \mathbf{let} \ x = \mathbf{null} \ \mathbf{in} \ s \\ & \mid & \mathbf{let} \ x = y \ \mathbf{in} \ s \mid \mathbf{let} \ x = *y \ \mathbf{in} \ s \\ & \mid & \mathbf{ifnull} \ (*x) \ \mathbf{then} \ s_1 \ \mathbf{else} \ s_2 \mid f(\vec{x}) \\ & \mid & \mathbf{const}(*x) s \mid \mathbf{endconst}(*x) \\ & d \text{ (proc. defs.)} & ::= & \{f \mapsto (x_1, \dots, x_n)s\} \\ & D \text{ (definitions)} & ::= & \langle d_1 \cup \dots \cup d_n \rangle \\ & P \text{ (programs)} & ::= & \langle D, s \rangle \end{array}
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**Notation**  $\vec{x}$  is for a finite sequence  $\{x_1,...,x_n\}$ , where we assume that each element is distinct;  $[\vec{x'}/\vec{x}]s$  is for a term obtained by replacing each free occurrence of  $\vec{x}$  in s with variables  $\vec{x'}$ .

The Var is a countably infinite set of variables and each variable is a pointer. The statement skip means "does nothing". The statement  $s_1$ ;  $s_2$  is a sequential execution of  $s_1$  and  $s_2$ . The statement  $*x \leftarrow y$  changes the content of cell which is pointed to by x with the value y. The statement free(x) deallocates a memory cell which is pointed to by pointer x. The statement let x = e in s evaluates the expression e, binds x to the result, and executes s. The expression malloc() allocates a new memory cell. The expression null evaluates to the null pointer. The expression \*y means dereferencing a memory cell pointed to by y. The statement ifnull (\*x)then  $s_1$ else  $s_2$  executes  $s_1$  if \*x is null and executes  $s_2$  otherwise. The statement  $f(\vec{x})$  expresses a procedure f with arguments  $\vec{x}$ . The statement const(\*x) means (\*x) is a constant in statement s. The statement endconst(\*x) means from this point (\*x) maybe not a constant.

The d represents a procedure definition which maps a procedure name f to its procedure body  $(\vec{x})s$ ; The D represents a set of procedure definitions  $\langle d_1 \cup \ldots d_n \rangle$ , and each definition is distinct; The pair  $\langle D, s \rangle$  represents a program, where D is a set of definitions and s is a main statement; the E represents evaluation context.

#### 1.1 Operational semantics

In this section we introduce operational semantics of language  $\mathcal{L}$ . We assume there is a countable infinite set  $\mathcal{H}$  of heap addresses ranged over by l.

We use a configuration  $\langle H, R, s, n, C \rangle$  to express a run-time state. Each elements in the configuration is as follows.

- H, a heap, is a finite mapping from  $\mathcal{H}$  to  $\mathcal{H} \cup \{\mathbf{null}\}$ ;
- R, an *environment*, is a finite mapping from Var to  $\mathcal{H} \cup \{null\}$ ;
- s is the statement that is being executed;
- *n* is a natural number that represents the number of memory cells available for allocation, which can be formalized to check memory leaks even for nonterminating programs;
- C is a set related to current constant pointers, which contains  $\mathbf{const}(*x)$ ,  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ .

The operational semantics of the language  $\mathcal{L}$  is given by a labeled transition relation  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s', n', C' \rangle$ . The label  $\rho$  is an action, which is as follows.

$$\rho$$
 (label) ::= malloc | free | null(\*x) |  $\neg$ null(\*x) |  $\tau$ 

The action  $\mathbf{malloc}(x')$  expresses an allocation of a new memory cell, and the new cell binds to a fresh variable x';  $\mathbf{free}$  expresses a deallocation of a memory cell;  $\mathbf{null}(*x)$  means \*x is a null pointer, and  $\neg \mathbf{null}(*x)$  not;  $\tau$  expresses the other internal actions. For the operational semantics, we often omit  $\tau$  in  $\xrightarrow{\tau}_{D}$ . The metavariable  $\sigma$  is used for a finite sequence of actions  $\rho_1 \dots \rho_n$ . The  $\xrightarrow{\rho_1 \dots \rho_n}_{D}$  is short for  $\xrightarrow{\rho_1}_{D} \xrightarrow{\rho_2}_{D} \dots \xrightarrow{\rho_n}_{D}$ . The  $\xrightarrow{\rho}_{D}$  means  $\xrightarrow{*}_{D} \xrightarrow{\rho}_{D} \xrightarrow{*}_{D} \dots \xrightarrow{\rho_n}_{D}$ . We write  $\xrightarrow{\rho_1 \dots \rho_n}_{D}$  for  $\xrightarrow{\rho_1}_{D} \dots \xrightarrow{\rho_n}_{D}$ .

**Notation** the **Dom**(f) is a mapping from function name f to its domain; for a map f, the  $f\{x \mapsto v\}$  and  $f \setminus x$  are defined as follows:

$$f\{x \mapsto v\}(w) = \begin{cases} v & \text{if } x = w \\ f(w) & \text{otherwise.} \end{cases}$$
$$(f\backslash x)(w) = \begin{cases} u & \text{if } x = w \\ u & \text{otherwise.} \end{cases}$$

and filter(C,\*x) is defined by a pseudcode as follows:

$$\begin{array}{ll} filter(C,*x) & = & let \ C' = C - \mathbf{const}(*x) \ in \\ & if \ \mathbf{const}(*x) \in \ C' \ then \ return \ C' \\ & else \ return \ C' \backslash \{\mathbf{null}(*x), \neg \mathbf{null}(*x)\} \end{array}$$

Figure 1 depicts the relation  $\xrightarrow{\rho}_D$ . Several important rules are listed as follows.

- SEM-CONSTSEQ:  $\mathbf{const}(*x)$  and  $\mathbf{endconst}(*x)$  together guarantees a pointer pointed to by \*x cannot be changed in the statement s. The set C with the new added  $\mathbf{const}(*x)$  describes this status.
- SEM-IFNULLT and SEM-IFCONSTNULLT: these two rules represents if (\*x) is a null pointer, the statement  $s_1$  will be executed. the difference of the two is if the  $\mathbf{const}(*x)$  is in set C then  $\mathbf{null}(*x)$  is added to C, which means (\*x) is a null pointer and cannot be updated from now on; otherwise (\*x) can be changed, like SEM-IFNULLT.
- Sem-Free: deallocating one memory cell pointed by x is to remove linkage of pointer variable x to heap; this action will release one memory cell space, which increments the number of available memory cells n by one.
- SEM-MALLOC and SEM-OUTOFMEM: allocating one memory cell is described as updating the heap by adding a fresh heap variable l to anywhere v of the heap and adding the linkage of a fresh register variable x' to that l; This action is allowed only if the number of available memory cells is positive; otherwise **OutOfMemory**.
- Sem-Assignexn, Sem-Freeexn and Sem-Derefexn: these rules express that accessing a null pointer or a dangling pointer will give raise to an exceptional state **MemEx**. However, in this paper we do not see the state **MemEx** is an erroneous state, hence a well-typed program may lead to these states. One thing we should notice the command **free**(x), if x is a null pointer, raises state **MemEx** in the current semantics, although it is equivalent to **skip** in the C language.
- Sem-AssignConstexn: expressing that if a constant memory cell pointed to by x or its aliases are changed it will raise exceptional state  $\mathbf{ConstEx}$ .

In order to deal with a path-sensitive program to guarantee *total* memory-leak freedom, we redefined the several definitions as follows. defined as follows:

**Definition 1** (total memory-leak freedom). A program  $\langle D, s \rangle$  is totally memory-leak free if there is a natural number n such that it does not require more than n cells.

**Definition 2** (Memory leak). A configuration  $\langle H, R, s, n, C \rangle$  goes overflow if there is  $\sigma$  such that  $\langle H, R, s, n, C \rangle \stackrel{\sigma}{\Longrightarrow} \mathbf{OutOfMemory}$ . A program  $\langle D, s \rangle$  consumes at least n cells if  $\langle \emptyset, \emptyset, s, n, \emptyset \rangle$  goes overflow.

## 2 Type system

#### 2.1 Types

The syntax of the types is as follows.

```
P \quad \text{(behavioral types)} \qquad ::= \quad \mathbf{0} \mid P_1; P_2 \mid \mathbf{malloc} \mid \mathbf{free} \mid \alpha \mid \mu \alpha. P \\ \quad \mid (x)P \mid (*x)(P_1, P_2) \mid \mathbf{const}(*x)P \mid \mathbf{endconst}(*x) \\ \Gamma \quad \text{(variable type environment)} \quad ::= \quad \{x_1, x_2, \dots, x_n\} \\ \Psi \quad \text{(dependent function type)} \quad ::= \quad (\vec{x})P \\ \Theta \quad \text{(function type environment)} \quad ::= \quad \{f_1 \colon \Psi_1, \dots, f_n \colon \Psi_n\}
```

```
C' = filter(C, *x)
                                                 \overline{\langle H, R, \mathbf{endconst}(*x), n, C \rangle} \rightarrow_D \overline{\langle H, R, \mathbf{skip}, n, C' \rangle}
                                                                                                                                                                            (Sem-ConstSkip)
          \langle H, R, \mathbf{const}(*x)s, n, C \rangle \rightarrow_D \langle H, R, s; \mathbf{endconst}(*x), n, C \cup \{\mathbf{const}(*x)\} \rangle (SEM-CONSTSEQ)
                                                             \langle H, R, \mathbf{skip}; s, n, C \rangle \longrightarrow_D \langle H, R, s, n, C \rangle
                                                                                                                                                                                            (SEM-SKIP)
                                                              \langle H, R, s_1, n, C \rangle \xrightarrow{\rho}_D \langle H', R', s_1', n', C' \rangle
                                                                                                                                                                                              (Sem-Seq)
                                                       \overline{\langle H, R, s_1; s_2, n, C \rangle} \xrightarrow{\rho} \overline{\langle H', R', s'_1; s_2, n', C' \rangle}
                \frac{x'\notin\mathbf{Dom}(R)}{\langle H,\ R,\ \mathbf{let}\ x=\mathbf{null}\ \mathbf{in}\ s,n,C\rangle\longrightarrow_{D}\langle H,\ R\left\{ x'\mapsto\mathbf{null}\right\} ,\ [x'/x]\ s,n,C\rangle}\ (\text{Sem-LetNull})
                             \frac{(\text{SEM-LETEQ})}{\langle H, R, \text{ let } x = y \text{ in } s, n, C \rangle \longrightarrow_D \langle H, R \{x' \mapsto R(y)\}, [x'/x] s, n, C \rangle}
                  \frac{H(R(x)) = \mathbf{null}, \mathbf{const}(*x) \notin C}{\langle H, \ R, \ \mathbf{ifnull} \ (*x) \ \mathbf{then} \ s_1 \ \mathbf{else} \ \ s_2, \ n, C \rangle \xrightarrow{\mathbf{null}(*x)}_{D} \langle H, \ R, \ s_1, \ n, C \rangle} \text{(Sem-IfnullT)}
                                                             H(R(x)) \neq \mathbf{null}, \mathbf{const}(*x) \notin C
                  \frac{-1}{\langle H, R, \text{ ifnull } (*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle} \xrightarrow{\neg \text{null}(*x)} D \langle H, R, s_2, n, C \rangle} (\text{Sem-If-Null} (*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle
                                                                     H(R(x)) = \mathbf{null}, \mathbf{const}(*x) \in C
            \langle H, R, \text{ ifnull } (*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\text{null}(*x)}_D \langle H, R, s_1, n, C \cup \{\text{null}(*x)\} \rangle
                                                                                                                                                                  (SEM-IFCONSTNULLT)
                                                                     H(R(x)) \neq \text{null}, \mathbf{const}(*x) \in C
          \overline{\langle H, R, \text{ ifnull } (*x) \text{ then } s_1 \text{ else } s_2, \ n, C \rangle \xrightarrow{\neg \text{null} (*x)} }_D \langle H, R, s_2, n, C \cup \{\neg \text{null} (*x)\} \rangle
                                                                                                                                                                   (SEM-IFCONSTNULLF)
                    \frac{\forall z.R(x) = R(z) \Rightarrow \mathbf{const}(*x) \notin C}{\langle H\{R(x) \mapsto v\}, R, *x \leftarrow y, n, C \rangle \longrightarrow_{D} \langle H\{R(x) \mapsto R(y)\}, R, \mathbf{skip}, n, C \rangle} \text{ (Sem-Assign)}
        \frac{x'\notin\mathbf{Dom}(R)}{\langle H,\ R,\ \mathbf{let}\ x=*y\ \mathbf{in}\ s,n,C\rangle\longrightarrow_D\langle H,\ R\left\{x'\mapsto H(R(y))\right\},\ [x'/x]\ s,n,C\rangle}\ (\text{Sem-LetDeref})
                                                                   R(x) \neq \mathbf{null} \text{ and } R(x) \in \mathbf{Dom}(H)
                           \frac{R(x) \neq \text{Hull and } R(x) \in \text{Doll}(R)}{\langle H\{R(x) \mapsto v\}, \ R, \ \text{free}(x), n, C\rangle \xrightarrow{\text{free}}_{D} \langle H \backslash R(x), \ R, \ \text{skip}, n+1, C\rangle} \ (\text{Sem-Free})
                                                                               l \notin \mathbf{Dom}(H)
      \langle H,\ R,\ \mathbf{let}\ x = \mathbf{malloc}()\ \mathbf{in}\ s, n, C \rangle \xrightarrow{\mathbf{malloc}}_{D} \langle H\left\{l \mapsto v\right\},\ R\left\{x' \mapsto l\right\},\ [x'/x]\ s, n-1, C \rangle
                                                                                                                                                                                   (Sem-Malloc)
\frac{D(f) = (\vec{y})s}{\langle H, R, f(\vec{x}), n, C \rangle \longrightarrow_D \langle H, R, [\vec{x}/\vec{y}]s, n, C \rangle}
                                                                                                       \frac{R(x) = \mathbf{null} \text{ or } R(x) \notin \mathbf{Dom}(H)}{\langle H, R, \mathbf{free}(x), n, C \rangle \xrightarrow{\mathbf{free}}_{D} \mathbf{MemEx}}
         \frac{R(x) = \mathbf{null} \text{ or } R(x) \notin \mathbf{Dom}(H)}{\langle H, \ R, \ *x \leftarrow y, n, C \rangle \longrightarrow_D \mathbf{MemEx}}
                                                                                                                            R(y) = \mathbf{null} \text{ or } R(y) \notin \mathbf{Dom}(H)
                                                                                                               \overline{\langle H, R, \text{ let } x = *y \text{ in } s, n, C \rangle} \longrightarrow_D \mathbf{MemEx}
                                                               (SEM-ASSIGNEXN)
                                                                                                                                                                              (SEM-DEREFEXN)
                                               \frac{\exists z.\mathbf{const}(*z) \in C \text{ and } R(x) = R(z)}{\langle H\{R(x) \mapsto v\}, R, *x \leftarrow y, n, C\rangle \longrightarrow_{D} \mathbf{ConstEx}} \text{(Sem-AssignConstExn)}
                            \langle H, R, \text{ let } x = \text{malloc}() \text{ in } s, 0, C \rangle \xrightarrow{\text{malloc}}_D \text{OutOfMemory} (Sem-OutOfMem)
```

Figure 1: Operational semantics of  $\mathcal{L}$ .

Behavioral types ranged over by P express the abstaction of behaviors of a program. The type  $\mathbf{0}$  represents the does nothing behavior; the type  $P_1$ ;  $P_2$  describes a sequential execution of behavioral type  $P_1$  and  $P_2$ ; The type **malloc** expresses an allocation of a memory cell; the type **free** represents a deallocation of a pointer; the type  $\mu\alpha.P$  represents a recursive substitution of  $\alpha$  in P; the type  $(*x)(P_1, P_2)$  represents that  $P_1$  or  $P_2$  is obtained dependent on \*x, e.g.,  $P_1$  is obtained if \*x is not a null pointer, otherwise  $P_2$ ; the type  $P_1 + P_2$  represents the choice between  $P_1$  and  $P_2$ ; the  $\alpha$  is a type variable; the type  $\mathbf{const}(*x)P$  represents that \*x is a constant value in type P; the type  $\mathbf{endconst}(*x)$  represents \*x no longer be a constant from this point.

A type environments for variables ranged over by  $\Gamma$  is a set of variables without information about their types, because our focus is the behavior of a program.

Dependent function types ranged over by  $\Psi$  represents the behavior of a function.  $\vec{x}$  is the formal arguments of the function, and the behavioral type P obtained dependent on  $\vec{x}$ .

Function types ranged over by  $\Theta$  is a mapping from function names to dependent function types. Figure 2 depicts semantics of behavioral types with dependent types, and they are given by the labeled transition system. The relation  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$  means that P can make an action  $\rho$ , and P turns into P' after it makes action  $\rho$ ; C and C' record constant value environment before and after making action  $\rho$  respectively.

#### 2.2 Typing rules

The type judgment for statements is of the form  $\Theta$ ;  $\Gamma \vdash s : P$ , which represents that under the function type environment  $\Theta$  and the variable type environment  $\Gamma$ , the abstracted behavioral type of statement s is P.

Before showing typing rules for statements in Figure 3, we need explain several important definitions. The first one is  $OK_n(P,C)$ , a predicate, where P represents the behavior of a program which consumes at most n memory cells under constant value environment C.

**Definition 3**  $(\sharp_{\rho}(\sigma))$ .  $\sharp_{\rho}(\sigma)$  is the number of  $\rho$  in the sequence  $\sigma$ .

**Definition 4.** 
$$OK_n(P,C)$$
 holds if  $\forall P'$  and  $\sigma$ . if  $\langle P,C \rangle \xrightarrow{\sigma} \langle P',C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ 

Intuitively,  $OK_n(P, C)$  represents at very running steps, the number of memory cells a program consumed will not exceed the number of memory cells the program requires.

**Definition 5** (Subtyping).  $C \vdash P_1 \leq P_2$  is the largest relation such that, for any  $P_1'$ , C' and  $\rho$ , if  $\langle P_1, C \rangle \xrightarrow{\rho} \langle P_1', C' \rangle$ , then there exists  $P_2'$  such that  $\langle P_2, C \rangle \xrightarrow{\rho} \langle P_2', ' \rangle$  and  $C' \vdash P_1' \leq P_2'$ . We write  $P_1 \leq P_2$  if  $C \vdash P_1 \leq P_2$  for any C.

Figure 3 shows the typing rules. For example, the rule T-IFNULL represents the behavior of **ifnull** (\*x) **then**  $s_1$  **else**  $s_2$  is abstracted as  $(*x)(P_1, P_2)$  where  $P_1$  and  $P_2$  are the behavior of  $s_1$  and  $s_2$  respectively; this conditional statement means that executing  $s_1$  if (\*x) is a null pointer, otherwise  $s_2$ . The typing rule T-PROGRAM represents a program requires at most n memory cells during running under the predication  $OK_n(P,C)$ , where P is behavioral type of statement s.

#### 2.3 Type soundness

**Theorem 2.1.** If  $\vdash \langle D, s \rangle$ : n for some n, then  $\langle D, s \rangle$  is totally memory-leak free.

The proof is based on the following lemmas: preservation and lack of immediate overflow.

$$\langle \mathbf{0}; P, C \rangle \rightarrow \langle P, C \rangle \qquad (\text{TR-SKIP})$$

$$\langle \mathbf{free}, C \rangle \xrightarrow{\text{free}} \langle \mathbf{0}, C \rangle \qquad (\text{TR-FREE}) \qquad \langle \mu \alpha. P, C \rangle \rightarrow \langle [\mu \alpha. P/\alpha]P, C \rangle \pmod{\text{TR-REC}}$$

$$\langle P_1 + P_2, C \rangle \rightarrow \langle P_1, C \rangle \pmod{\text{TR-CHOICEL}} \qquad \langle P_1 + P_2, C \rangle \rightarrow \langle P_2, C \rangle \pmod{\text{TR-CHOICER}}$$

$$\frac{\langle P_1, C \rangle \xrightarrow{\rho} \langle P_1', C' \rangle}{\langle P_1; P_2, C \rangle} \qquad (\text{TR-CHOICER})$$

$$\frac{\langle P_1, C \rangle \xrightarrow{\rho} \langle P_1', C' \rangle}{\langle P_1; P_2, C \rangle} \qquad (\text{TR-MALLOC})$$

$$\frac{\langle \mathbf{malloc}, C \rangle \xrightarrow{\mathbf{malloc}} \langle \mathbf{0}, C \rangle \qquad (\text{TR-MALLOC})}{\langle (\mathbf{malloc}, C \rangle \rightarrow \langle P_1', C \rangle \rightarrow \langle P_1', P_2, C \rangle} \qquad (\text{TR-BIND})$$

$$\langle \mathbf{const}(*x)P, C \rangle \rightarrow \langle P_1', \mathbf{const}(*x), C \cup \{\mathbf{const}(*x)\} \rangle \qquad (\text{TR-CONST})$$

$$\frac{C' = filter(C, *x)}{\langle \mathbf{endconst}(*x), C \rangle \rightarrow \langle \mathbf{0}, C' \rangle} \qquad (\text{TR-ENDCONST})$$

$$\frac{C}{\langle (\mathbf{mall}(*x) \notin C \qquad \mathbf{const}(*x) \notin C \qquad (\mathbf{mall}(*x) \notin C \qquad \mathbf{const}(*x) \notin C \qquad (\mathbf{mall}(*x) \notin C \qquad \mathbf{const}(*x) \notin C \qquad (\mathbf{mall}(*x) \in C \qquad \mathbf{const}(*x) \in C \qquad (\mathbf{mall}(*x), \neg \mathbf{null}(*x) \notin C \qquad \mathbf{const}(*x) \in C \qquad (\mathbf{TR-NNULLIN})$$

$$\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin C \qquad \mathbf{const}(*x) \in C \qquad (\mathbf{TR-NNULLINOTIN1})}{\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, C \cup \mathbf{null}(*x) \rangle} \qquad (\mathbf{TR-NNULLNOTIN1})$$

Figure 2: semantics of behavioral types with dependent types.

Figure 3: typing rules

**Definition 6.** consistency (H, R, C): for all x such that (1) C does not contain both  $\neg \mathbf{null}(*x)$  and  $\mathbf{null}(*x)$ . (2) if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then H(R(x)) = null. (3) if  $\mathbf{const}(*x) \in C$  and  $\neg \mathbf{null}(*x) \in C$ , then  $H(R(x)) \neq null$ .

**Definition 7.** we write  $\Theta \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$ , if there exists  $\Gamma$  such that  $\Theta : \Gamma \vdash s : P$ ,  $OK_n(P,C)$ , consistency(H,R,C) and  $\Gamma \subseteq \mathbf{Dom}(R)$ .

**Lemma 2.2** (Preservation). suppose that  $\Theta \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$ , if  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$  then  $\exists P'$  and C' s.t. (1)  $\Theta \vdash \langle H', R', s', n', C' \rangle : \langle P', C' \rangle$  and (2)  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$ .

**Lemma 2.3** (Lack of immediate overflow). If  $\Theta \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$ , then  $\langle H, R, s, n, C \rangle \xrightarrow{\mathbf{malloc}}$  OutOfMemory.

#### 3 Proof of Lemmas

**Lemma 3.1.** If  $OK_n(P,C)$  and  $\langle P,C \rangle \xrightarrow{\rho} \langle P',C' \rangle$ , then

- $OK_{n-1}(P', C')$  if  $\rho =$ malloc,
- $OK_{n+1}(P', C')$  if  $\rho =$ free,
- $OK_n(P', C')$  if  $\rho = Otherwise$

*Proof.* By induction on  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$ .

- Case  $P = \mathbf{0}; P'$  and  $\langle \mathbf{0}; P', C \rangle \rightarrow \langle P', C \rangle$ 
  - We need to prove  $OK_n(P',C)$ . Assume that  $OK_n(P',C)$  does not hold. Then, we have  $\exists \sigma$  and Q s.t.  $\langle P',C\rangle \xrightarrow{\sigma} \langle Q,C'\rangle$ ,  $\sharp_m(\sigma)-\sharp_f(\sigma)>n$ .

From the definition of that  $OK_n(\mathbf{0}; P', C)$  holds, we have (1) if  $\langle \mathbf{0}; P', C \rangle \to \langle P', C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which are in contradiction to the assumption  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ . Therefore,  $OK_n(P', C)$  holds.

- Case  $P = \mathbf{malloc}$  and  $\mathbf{malloc}, C \rangle \xrightarrow{-\mathbf{malloc}} \langle 0, C \rangle$ 
  - we need to prove  $OK_{n-1}(0,C)$ , which means we need to prove that for all  $\sigma$  and Q, if  $\langle 0,C\rangle \xrightarrow{\sigma} \langle Q,C'\rangle$  then  $\sharp_m(\sigma)-\sharp_f(\sigma)\leq n-1$ . There is no  $\sigma$  and Q such that  $\langle 0,C\rangle \xrightarrow{\sigma} \langle Q,C'\rangle$ . Therefore,  $OK_{n-1}(0,C)$  holds.
- Case  $P = \mathbf{let} \ x = y \ \mathbf{in} \ P'$  and  $\langle \mathbf{let} \ x = y \ \mathbf{in} \ P', C \rangle \rightarrow \langle [x'/x]P', C \rangle$  [TODO]
- Case  $P = \mathbf{let} \ x = *y \mathbf{in} \ P'$  and  $\langle \mathbf{let} \ x = *y \mathbf{in} \ P', C \rangle \rightarrow \langle [x'/x]P', F \rangle$ Similar to the above.
- Case P =**let** x =**null in** P' and  $\langle$ **let** x =**null in**  $P', C \rangle \rightarrow \langle [x'/x]P', C \rangle$  Similar to the above.

• Case P =free and  $\langle$ free,  $C\rangle \xrightarrow{\text{free}} \langle$ **0**,  $C\rangle$ 

We need to prove  $OK_{n+1}(\mathbf{0}, C)$ , which means we need to prove (1)  $\forall \sigma$  and Q if  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n+1$ . There is no Q and  $\sigma$  s.t.  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C \rangle$ , so (1) holds. Therefore,  $OK(\mathbf{0}, C)$  holds.

• Case  $P = \mathbf{endconst}(*x)$  and  $\frac{C' = filter(C, *x)}{\langle \mathbf{endconst}(*x), C \rangle \rightarrow \langle \mathbf{0}, C' \rangle}$ 

We need to prove  $OK_n(\mathbf{0}, C')$ , which means we need to prove  $\forall \sigma$  and Q if  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$  and (2) OK(C') holds. There is no Q and  $\sigma$  s.t.  $\langle \mathbf{0}, C \rangle \xrightarrow{\sigma} \langle Q, C \rangle$ . So  $OK_n(\mathbf{0}, C')$  holds.

• Case  $P=(*x)(P_1,P_2)$  and  $\frac{\mathbf{const}(*x)\notin C}{\langle (*x)(P_1,P_2),C\rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1,C\rangle}$ 

We need to prove  $OK_n(P_1, C)$ . Assume that  $OK_n(P_1, C)$  does not hold. Then, we have  $\exists \sigma$  and Q s.t.  $\langle P_1, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ .

From the definition of that  $OK_n((*x)(P_1, P_2), C)$  holds, we have (1) if  $\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which is in contradiction to the assumption  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ . Therefore,  $OK_n(P_1, C)$  holds.

• Case  $P=(*x)(P_1,P_2)$  and  $\frac{\mathbf{const}(*x)\notin C}{\langle (*x)(P_1,P_2),C\rangle \to \langle P_2,C\rangle}$ 

We need to prove  $OK_n(P_2, C)$ . Assume that  $OK_n(P_2, C)$  does not hold. Then, we have  $\exists \sigma$  and Q s.t.  $\langle P_2, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ .

From the definition of that  $OK_n((*x)(P_1, P_2), C)$  holds, we have if  $\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which is in contradiction to the assumption. Therefore,  $OK_n(P_2, C)$  holds.

• Case  $P=(*x)(P_1,P_2)$  and  $\frac{\mathbf{null}(*x)\in C}{\langle (*x)(P_1,P_2),C\rangle \to \langle P_1,C\rangle}$ 

We need to prove  $OK_n(P_1, C)$ . Assume that  $OK_n(P_1, C)$  does not hold. Then, we have (1)  $\exists \sigma$  and Q s.t.  $\langle P_1, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ .

From the definition of that  $OK_n((*x)(P_1, P_2), C)$  holds, we have (1) if  $\langle (*x)(P_1, P_2), C \rangle \rightarrow \langle P_1, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which is in contradiction to the assumption. Therefore,  $OK_n(P_1, C)$  holds.

• Case  $P=(*x)(P_1,P_2)$  and  $\frac{\neg \mathbf{null}(*x) \in C}{\langle (*x)(P_1,P_2),C \rangle \to \langle P_2,C \rangle}$ 

We need to prove  $OK_n(P_2, C)$ . Assume that  $OK_n(P_2, C)$  does not hold. Then we have (1)  $\exists \sigma$  and Q s.t.  $\langle P_2, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ .

From the definition of that  $OK_n((*x)(P_1, P_2), C)$  holds, we have (1) if  $\langle (*x)(P_1, P_2), C \rangle \rightarrow \langle P_2, C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which is in contradiction to the assumption. Therefore,  $OK_n(P_2, C)$  holds.

 $\bullet \ \ \text{Case} \ P = (*x)(P_1,P_2) \ \ \text{and} \ \ \frac{\mathbf{null}(*x),\neg\mathbf{null}(*x) \not\in C}{\langle (*x)(P_1,P_2),C\rangle \xrightarrow{\mathbf{null}(*x)} \langle P_1,C \cup \{\mathbf{null}(*x)\}\rangle}$ 

We need to prove  $OK_n(P_1, C \cup \{\mathbf{null}(*x)\})$ . Assume that  $OK_n(P_1, C \cup \{\mathbf{null}(*x)\})$  does not hold. Then we have  $\exists \sigma$  and Q s.t.  $\langle P_1, C \cup \{\mathbf{null}(*x)\} \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ .

From the definition of that  $OK_n((*x)(P_1, P_2), C)$  holds, we have if  $\langle (*x)(P_1, P_2), C \rangle \xrightarrow{\mathbf{null}(*x)}$  $\langle P_1, C \cup \{\mathbf{null}(*x)\} \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ . Therefore, we get the contradiction and  $OK_n(P_1, F \cup \{\mathbf{null}(*x)\})$  holds.

- Case  $P = (*x)(P_1, P_2)$  and  $\frac{\mathbf{null}(*x), \neg \mathbf{null}(*x) \notin C}{\langle (*x)(P_1, P_2), C \rangle} \xrightarrow{\neg \mathbf{null}(*x)} \langle P_2, C \cup \{\neg \mathbf{null}(*x)\} \rangle}$ Similar to the above.
- Case  $P = \mathbf{const}(*x)P'$  and  $\langle \mathbf{const}(*x)P', C \rangle \rightarrow \langle P'; \mathbf{endconst}(*x), C \cup \mathbf{const}(*x) \rangle$ We need to prove  $OK_n(P'; \mathbf{endconst}(*x), C \cup \mathbf{const}(*x))$ . Assume that  $OK_n(P'; \mathbf{endconst}(*x), C \cup \mathbf{const}(*x))$  $\mathbf{const}(*x)$ ) does not hold. Then, we have  $\exists \sigma$  and Q s.t.  $\langle P'; \mathbf{endconst}(*x), C \cup \mathbf{const}(*x) \rangle \stackrel{\sigma}{\longrightarrow}$  $\langle Q, C' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ .

From the definition of that  $OK_n(\mathbf{const}(*x)P', C)$  holds, we have (1) if  $\langle \mathbf{const}(*x)P', C \rangle \rightarrow$  $\langle P; \mathbf{endconst}(*x), C \cup \mathbf{const}(*x) \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which is in contradiction to the assumption. Therefore,  $OK_n(P'; \mathbf{endconst}(*x), C \cup \mathbf{const}(*x))$  holds.

- Case  $P = \mu \alpha . P'$  and  $\langle \mu \alpha . P', C \rangle \rightarrow \langle [\mu \alpha . P'/\alpha] P', C \rangle$ We need to prove  $OK_n([\mu\alpha.P'/\alpha]P',C)$ . Assume that  $OK_n([\mu\alpha.P'/\alpha]P',C)$  does not hold. Then, we have (1)  $\exists \sigma$  and Q s.t.  $\langle [\mu \alpha. P'/\alpha] P', C \rangle \xrightarrow{\sigma} \langle Q, C' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n$ . From the definition of that  $OK_n(\mu\alpha.P',C)$  holds, we have (1) if  $\langle \mu\alpha.P',C\rangle \to \langle [\mu\alpha.P'/\alpha]P',C\rangle \xrightarrow{\sigma}$  $\langle Q, C' \rangle$ , then  $\sharp_m(\sigma) - \sharp_f(\sigma) \leq n$ , which is a contradiction. Therefore,  $OK([\mu\alpha.P'/\alpha]P', C)$
- Case  $P = P_1; P_2$  and  $\frac{\langle P_1, C \rangle \xrightarrow{\rho} \langle P'_1, C' \rangle}{\langle P_1; P_2, C \rangle \xrightarrow{\rho} \langle P'_1; P_2, C' \rangle}$

We need to prove  $OK_{n'}(P'_1; P_2, C)$ , where n' is determined by

$$n' = \begin{cases} n+1 & \rho = \mathbf{free} \\ n-1 & \rho = \mathbf{malloc} \\ n & \text{Otherwise.} \end{cases}$$

Assume that  $OK_{n'}(P'_1; P_2, C')$  does not hold. Then, we have (1)  $\exists \sigma, Q \text{ and } C'' \text{ s.t. } \langle P'_1; P_2, C \rangle \xrightarrow{\sigma}$  $\langle Q, C'' \rangle$  and  $\sharp_m(\sigma) - \sharp_f(\sigma) > n'$ .

From the definition of that  $OK_n(P_1; P_2, C)$  holds, we have (1) if  $\langle P_1; P_2, C \rangle \stackrel{\rho}{\Longrightarrow} \langle P_1'; P_2, C' \rangle \stackrel{\sigma}{\longrightarrow}$  $\langle Q, C'' \rangle$ , then  $\sharp_m(\rho\sigma) - \sharp_f(\rho\sigma) \leq n$ .

From (1), we get  $n' + \sharp_m(\rho) - \sharp_f(\rho) < \sharp_m(\rho) + \sharp_m(\sigma) - \sharp_f(\rho) - \sharp_f(\sigma) \leq n$ . For any  $\rho$ , the  $n' + \sharp_m(\rho) - \sharp_f(\rho) = n$ , therefore we get a contradiction. Therefore,  $OK_{n'}(P_1; P_2, F')$  holds.

**Lemma 3.2.** If consistency (H, R, C) and  $(H, R, s, n, C) \xrightarrow{\rho} (H', R', s', n', C')$ , then consistency (H', R', C'). *Proof.* By induction on  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$ 

- Case:  $\langle H, R, \mathbf{const}(*y)s, n, C \rangle \rightarrow \langle H, R, s; \mathbf{endconst}(*y), n', C \cup \mathbf{const}(*y) \rangle$ .
  - We need to prove  $consistency(H, R, C \cup \mathbf{const}(*y))$ . From assumption consistency(H, R, C), we have for all x (1) C does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ , therefore  $C \cup \mathbf{const}(*y)$  does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ . (2) if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then H(R(x)) = null. Assume that  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then we have H(R(x)) = null. Therefore,  $\mathbf{const}(*x) \in C \cup \mathbf{const}(*y)$  and  $\mathbf{null}(*x) \in C \cup \mathbf{const}(*y)$ , then H(R(x)) = null. H and R do not change, so H(R(x)) = null. Then we get for all x, if  $\mathbf{const}(*x) \in C \cup \mathbf{const}(*y)$  and  $\mathbf{null}(*x) \in C \cup \mathbf{const}(*y)$  and  $\mathbf{null}(*x) \in C \cup \mathbf{const}(*y)$ , then H(R(x)) = null. (3) similar to (2).

Therefore,  $consistency(H, R, C \cup \mathbf{const}(*y))$  holds.

• Case:  $\langle H, R, \mathbf{endconst}(*y), n, C \rangle \rightarrow \langle H, R, skip, n, C' \rangle$  where C' = filter(C, \*y).

We need to prove consistency(H, R, C') where C' = filter(C, \*y). From assumption consistency(H, R, C), we have for all x (1) C does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ . From definition of function filter(C, \*y), we know for all x C' does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ . (2) if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then H(R(x)) = null. Assume that  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then from the definition of filter(C, \*y), we know  $\mathbf{const}(*x) \in C'$  and  $\mathbf{null}(*x) \in C'$ , and H and R do not change, so H(R(x)) = null. Therefore, for all x if  $\mathbf{const}(*x) \in C'$  and  $\mathbf{null}(*x) \in C'$ , then H(R(x)) = null. (3) similar to (2).

Therefore, consistency(H, R, C') holds.

• Case:  $\langle H, R, \mathbf{free}(y), n, C \rangle \xrightarrow{\mathbf{free}} \langle H \backslash R(y), R, skip, n+1, C \rangle$ .

We need to prove  $consistency(H \setminus R(y), R, C)$ . From assumption consistency(H, R, C), we have for all x (1) C does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ . (2) if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then H(R(x)) = null. Assume that  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , and we know  $(H \setminus R(y))(R(x)) = null$ . Therefore, for all x, if  $\mathbf{const}(*x) \in Cc$  and  $\mathbf{null}(*x) \in C$ , then  $(H \setminus R(y))(R(x)) = null$ . (3) similar to (2).

Therefore,  $consistency(H\backslash R(y), R, C \text{ holds.})$ 

 $\bullet \ \, \text{Case:} \, \, \langle H,R, \mathbf{let} \, \, y = \mathbf{mallocin} \, \, s,n,C \rangle \xrightarrow{\mathbf{malloc}} \langle H\{l \mapsto v\}, R\{x' \mapsto l\}, [x'/y]s,n',C \rangle.$ 

We need to prove  $consistency(H\{l\mapsto v\}, R\{x'\mapsto l\}, C)$ . From assumption consistency(H, R, C), we have for all x (1) C does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ . (2) if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then H(R(x)) = null. Assume that  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then we have H(R(x)) = null, and we know  $H\{l\mapsto v\}(Rx'\mapsto v(x)) = null$ . Therefore, we get for all x, if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then  $H\{l\mapsto v\}(Rx'\mapsto v(x)) = null$ . (3) similar to (2).

Therefore,  $consistency(H\{l \mapsto v\}, R\{x' \mapsto l\}, C)$  holds.

 $\bullet \ \mbox{Case:} \ \langle H,R,skip;s,n,C\rangle \rightarrow \langle H,R,s,n',C\rangle.$ 

We need to prove consistency(H, R, C). Obviously, from assumption consistency(H, R, C).

• Case:  $\langle H\{R(w)\mapsto v\}, R, *w \leftarrow y, n, C \rangle \rightarrow \langle H\{R(w)\mapsto R(y)\}, R, skip, n, C \rangle$  where  $\forall z.R(w) = R(z) \Rightarrow \mathbf{const}(*z) \notin C$ 

We need to prove  $consistency(H\{R(w) \mapsto R(y)\}, R, C$ . From assumption consistency(H, R, C), we have for all x (1) C does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$  (2) if  $\mathbf{const}(*x) \in C$  and

**null**(\*x)  $\in C$ , then  $H\{R(w) \mapsto v\}(R(x)) = null$ . Assume that  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then we have  $H\{R(w) \mapsto v\}(R(x)) = null$ , and we know  $H\{R(w) \mapsto R(y)\}(R(x)) = null$ . Therefore, for all x, if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then  $H\{R(w) \mapsto R(y)\}(R(x)) = null$ . (3) similar to (2).

Therefore,  $consistency(H\{R(w) \mapsto R(y)\}, R, C)$  holds.

We need to prove  $consistency(H, R\{z' \mapsto R(y)\}, C$ . From assumption consistency(H, R, C), we have for all x (1) C does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$  (2) if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then H(R(x)) = null. Assume that  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then we have H(R(x)) = null, and we get  $H(R\{z' \mapsto R(y)\}) = null$ . Therefore, for all x, if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then  $H(R\{z' \mapsto R(y)\}) = null$ . (3) similar to (2).

Therefore,  $consistency(H, R\{z' \mapsto R(y)\}, C)$  holds.

• Case:  $\langle H, R, \mathbf{ifnull} \ (*y) \ \mathbf{then} \ s_1 \ \mathbf{else} \ s_2, n, C \rangle \xrightarrow{\mathbf{null}(*y)} \langle H, R, s_1, n, C \rangle \ \mathbf{where} \ H(R(y)) = null \ \mathbf{and} \ \mathbf{const}(*y) \notin C$ 

We need to prove consistency(H, R, C). Obviously, consistency(H, R, C) holds from assumption.

• Case:  $\langle H, R, \text{ifnull } (*y) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*y)} \langle H, R, s_2, n, C \rangle \text{ where } H(R(y)) \neq null \text{ and } \mathbf{const}(*y) \notin C$ 

We need to prove consistency(H,R,C). Obviously, consistency(H,R,C) holds from assumption.

• Case:  $\langle H, R, \mathbf{ifnull} \ (*y) \ \mathbf{then} \ s_1 \ \mathbf{else} \ s_2, n, C \rangle \xrightarrow{\mathbf{null}(*y)} \langle H, R, s_1, n, C \cup \mathbf{null}(*y) \rangle$  where  $H(R(y)) = null \ \mathbf{and} \ \mathbf{const}(*y) \in C$ 

We need to prove  $consistency(H, R, C \cup \mathbf{null}(*y))$ . From assumption consistency(H, R, C), we have for all x (1) C does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ . Assume that  $\neg \mathbf{null}(*y) \in C$ , and because we know  $\mathbf{const}(*y) \in C$ , then  $H(R(y)) \neq null$ . Then we get the contradiction H(R(y)) should be null. Therefore  $\neg \mathbf{null}(*y) \notin C$ . Then we get for all  $x, C \cup \mathbf{null}(*y)$  does not contain both  $\mathbf{null}(*x)$  and  $\neg \mathbf{null}(*x)$ . (2) if  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then H(R(x)) = null. Assume that  $\mathbf{const}(*x) \in C$  and  $\mathbf{null}(*x) \in C$ , then we have H(R(x)) = null, therefore  $\mathbf{const}(*x) \in C \cup \mathbf{null}(*y)$  and  $\mathbf{null}(*x) \in C \cup \mathbf{null}(*y)$ . H and R do not change, so H(R(x)) = null. Therefore, we get for all x, if  $\mathbf{const}(*x) \in C \cup \mathbf{null}(*y)$  and  $\mathbf{null}(*x) \in C \cup \mathbf{null}(*y)$ , then H(R(x)) = null. (3) similar to (2).

Therefore,  $consistency(H, R, C \cup *y)$  holds.

Proof of Lemma 2.2: By induction on the derivation of  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \langle H', R', s', n', C' \rangle$ .

• Case:  $\langle H, R, \mathbf{const}(*x)s, n, C \rangle \to \langle H, R, s; \mathbf{endconst}(*x), n, C \cup \{\mathbf{const}(*x)\} \rangle$ From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{const}(*x)s, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{const}(*x)s : P$ ,  $OK_n(P,C)$  and consistency(H,R,C). From the inversion of typing rules, we get  $\Theta; \Gamma \vdash s : P''$  and  $\mathbf{const}(*x)P'' \leq P$  for some P''. By subtyping, we have P'';  $\mathbf{endconst}(*x) \leq Q$  and  $\langle P, C \rangle \Longrightarrow \langle Q, C \cup \{\mathbf{const}(*x)\} \rangle$  for some Q. we need to find P' and C' s.t.  $\Theta; \Gamma \vdash s; \mathbf{endconst}(*x) : P', OK_n(P', C'), \langle P, C' \rangle \Longrightarrow \langle P', C' \rangle$  and consistency(H, R, C'). Taking Q as P' and  $C \cup \{\mathbf{const}(*x)\}$  as C'. Therefore  $\langle P, C \rangle \rightarrow \langle P', C' \rangle$  holds, and then  $OK_n(P', C')$  and consistency(H, R, C') hold from Lemma 3.1 and Lemma 3.2. From  $\Theta; \Gamma \vdash s; \mathbf{endconst}(*x) : P''; \mathbf{endconst}(*x), P''; \mathbf{endconst}(*x) \le Q$  and  $T\text{-Sub}, \Theta; \Gamma \vdash s; \mathbf{endconst}(*x) : P'$  holds.

- Case:  $\langle H, R, \mathbf{endconst}(*x), n, C \rangle \to \langle H, R, \mathbf{skip}, n, C' \rangle$  where C' = filter(C, \*x)From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{endconst}(*x), n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{endconst}(*x) : P, OK_n(P, C)$  and consistency(H, R, C). From the inversion of typing rules, we get  $\Theta; \Gamma \vdash \mathbf{endconst}(*x) : \mathbf{endconst}(*x)$  and  $\mathbf{endconst}(*x) \leq P$ . By subtyping, we get  $0 \leq Q$  and  $\langle P, C \rangle \to \langle Q, C \rangle$  for some Q. we need to find P' and C' s.t.  $\Theta; \Gamma \vdash \mathbf{skip} : P', OK_n(P', C'), \langle P, C \rangle \Longrightarrow P', C' \rangle$  and consistency(H, R, C'). Taking Q as P' and C as C', then  $\langle P, C \rangle \to \langle P', C' \rangle$  holds, and then  $OK_n(P', C')$  and consistency(H, R, C') hold from Lemma 3.1 and Lemma 3.2. From T-SKIP, T-SUB and  $0 \leq Q$ , then  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  holds.
- Case:  $\langle H, R, \mathbf{free}(x), n, C \rangle \xrightarrow{\mathbf{free}} \langle H', R, \mathbf{skip}, n+1, C \rangle$ From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{free}(x), n, C \rangle : \langle P, C \rangle$ , we have  $OK_n(P, C)$ , consistency (H, R, C) and  $\Theta; \Gamma \vdash \mathbf{free}(x) : P$ . From inversion of the typing rules, we have  $\Theta; \Gamma \vdash \mathbf{free}(x) : \mathbf{free}$  and  $\mathbf{free} \leq P$ . By the subtyping, we have  $\langle P, F \rangle \xrightarrow{\mathbf{free}} \langle Q, C \rangle$  and  $\mathbf{0} \leq Q$  for some Q. We need to find P' and C' such that  $\langle P, C \rangle \xrightarrow{\mathbf{free}} \langle P', C' \rangle$ ,  $\Theta; \Gamma \vdash \mathbf{skip} : P'$ , and  $OK_{n+1}(P', C')$ . Take Q as P' and C as C'. Then,  $\langle P, C \rangle \xrightarrow{\mathbf{free}} \langle P', C' \rangle$  holds, and  $OK_{n+1}(P', C')$  holds from Lemma 3.1. We also have  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  from T-SKIP,  $\mathbf{0} \leq Q$  and T-SUB.
- Case:  $\langle H, R, \mathbf{let} \ x = \mathbf{malloc}() \ \mathbf{in} \ s, n, C \rangle \xrightarrow{\mathbf{malloc}} \langle H', R', [x'/x]s, n-1, C \rangle$ From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{let} \ x = \mathbf{malloc}() \ \mathbf{in} \ s, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{let} \ x = \mathbf{malloc}() \ \mathbf{in} \ s: P, \ OK_n(P,C) \ \mathrm{and} \ consistency(H,R,C)$ . By the inversion of typing rules, we have  $\Theta; \Gamma, x \vdash s: P''$  and  $\mathbf{malloc}; (x)P'' \leq P$  for some P''. By subtyping, we get  $\langle P, C \rangle \xrightarrow{\mathbf{malloc}} \langle Q, F \rangle$  and  $[x'/x]P'' \leq Q$  for some Q.

We need to find P' and C' such that  $\Theta; \Gamma, x' \vdash [x'/x]s : P', \langle P, C \rangle \xrightarrow{\mathbf{malloc}} \langle P', C' \rangle$ , consistency(H', R', C') and  $OK_{n-1}(P', C')$ . Take Q as P' and C as C'. Then  $\langle P, C \rangle \xrightarrow{\mathbf{malloc}} \langle P', C' \rangle$  holds, and then  $OK_{n-1}(P', C')$  and consistency(H', R', C') hold by Lemma 3.1 and Lemma 3.2. From  $\Theta; \Gamma, x \vdash s : P''$  and  $\mathbf{malloc}; (x)P'' \leq P$ , we have  $\Theta; \Gamma, x'' \vdash [x''/x]s : [x''/x]P''$  and  $\mathbf{malloc}; (x)P'' \leq P$ , and then by the definition of subtyping we have  $[x''/x]P'' \leq Q'$  for some Q'. Therefore, we get  $\Theta; \Gamma, x'' \vdash [x''/x]s : Q'$ . Take x'' as x' and Q' as P', then  $\Theta; \Gamma, x' \vdash [x'/x]s : P'$  holds.

• Case:  $\langle H, R, \mathbf{skip}; s, n, C \rangle \to \langle H, R, s, n, C \rangle$ From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{skip}; s, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash \mathbf{skip}; s : P$ ,  $OK_n(P,C)$  and consistency(H,R,C). From the inversion of the typing rules, we get  $\Theta; \Gamma \vdash s : P''$  and  $0; P'' \leq P$ . From the definition of subtyping, we have  $\langle P, C \rangle \Longrightarrow \langle Q, C \rangle$  and  $P'' \leq Q$  for some Q. We need to find P' and C' such that  $\Theta; \Gamma \vdash s : P'$  and  $\langle P, C \rangle \to \langle P', C' \rangle$  and  $OK_n(P', C')$ . Take Q as P' and C as C'. Then  $\langle P, C \rangle \Longrightarrow \langle P', C' \rangle$  holds, and then  $OK_n(P', C')$  and consistency(H, R, C') hold. We also have  $\Theta; \Gamma \vdash s : P'$  from T-Sub,  $\Gamma \vdash s : P''$  and  $P'' \leq Q$ .

- Case:  $\langle H, R, *x \leftarrow y, n, C \rangle \rightarrow \langle H', R, \mathbf{skip}, n, C \rangle$ From the assumption  $\Theta; \Gamma \vdash \langle H, R, *x \leftarrow y, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma \vdash *x \leftarrow y : P$ ,  $OK_n(P,C)$  and consistency(H,R,C). From the inversion of typing rules, we have  $0 \leq P$ . We need to find P' and C' such that  $\Theta; \Gamma \vdash \mathbf{skip} : P', \langle P, C \rangle \Longrightarrow \langle P', C' \rangle$  and  $OK_n(P', C')$ . Take P as P' and C as C'. Then  $\langle P, C \rangle \Longrightarrow \langle P', C' \rangle$  holds, and then  $OK_n(P', C')$  and consistency(H', R, C') hold from Lemma 3.1 and Lemma 3.2. We also have  $\Theta; \Gamma \vdash \mathbf{skip} : P'$  from T-SKIP,  $0 \leq P$  and T-SUB.
- Case:  $\langle H, R, \mathbf{let} \ x = y \ \mathbf{in} \ s, n, C \rangle \to \langle H, R', [x'/x]s, n, C \rangle$ From the assumption  $\Theta; \Gamma \vdash \langle H, R, \mathbf{let} \ x = y \ \mathbf{in} \ s, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta; \Gamma, y \vdash \mathbf{let} \ x = y \ \mathbf{in} \ s : P, \ OK_n(P, C)$  and consistency(H, R, C). From the inversion of typing rules, we have  $\Theta; \Gamma, x, y \vdash s : P''$  and  $\mathbf{let} \ x = y \ \mathbf{in} \ P'' \leq P$  for some P''. By subtying, we have  $\langle P, C \rangle \to \langle Q, C \rangle$  and  $[x'/x]P'' \leq Q$  for some Q.

We need to find P' and C' such that  $\Theta; \Gamma, x', y \vdash [x'/x]s : P'$ ,  $\langle P, C \rangle \rightarrow \langle P', C' \rangle$ ,  $OK_n(P', C')$  and consistency(H, R', C'). Take Q as P' and C as C'. Then  $\langle P, C \rangle \Longrightarrow \langle P', C' \rangle$  and  $OK_n(P', C')$  hold. From  $\Theta; \Gamma, x, y \vdash s : P''$  and let x = y in  $P'' \leq P$ , we have  $\Theta; \Gamma, x'', y \vdash [x''/x]s : [x''/x]P''$  and let x'' = y in  $[x''/x]P'' \leq P$ , and then by subtying we have  $[x''/x]P'' \leq Q'$  for some Q'. Therefore, we have  $\Theta; \Gamma, x'', y \vdash [x''/x]s : Q'$ . Take x'' as x' and Q' as P', then  $\Theta; \Gamma, x', y \vdash [x'/x]s : P'$  holds.

- Case:  $\langle H, R, \mathbf{let} \ x = \mathbf{null} \ \mathbf{in} \ s, n \rangle \to \langle H, R', [x'/x]s, n \rangle$ Similar to the above.
- Case:  $\langle H, R, \mathbf{let} \ x = *y \ \mathbf{in} \ s, n \rangle \to \langle H, R', [x'/x]s, n \rangle$ Similar to the above.
- Case:  $\langle H, R, \text{ifnull } (*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\text{null}(*x)} \langle H, R, s_1, n, C \rangle \text{ if } H(R(x)) = \text{null and } \text{const}(*x) \notin C$

From assumption  $\Theta$ ;  $\Gamma \vdash \langle H, R$ , if null (\*x) then  $s_1$  else  $s_2, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta$ ;  $\Gamma \vdash$  if null (\*x) then  $s_1$  else  $s_2: P$ ,  $OK_n(P,C)$  and consistency(H,R,C). From the inversion of typing rules, we have  $\Theta$ ;  $\Gamma \vdash s_1: P_1$ ,  $\Theta$ ;  $\Gamma \vdash s_2: P_2$  and  $(*x)(P_1,P_2) \leq P$ . By subtyping and  $const(*x) \notin C$ , which means  $const(*x) \notin C$ , we get  $\langle P, C \rangle \xrightarrow{null(*x)} \langle Q, C \rangle$  and  $P_1 \leq Q$  for some Q.

We need to find P' and C' such that  $\Theta; \Gamma \vdash s_1 : P', \langle P, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P', C' \rangle$  and  $OK_n(P', C')$ . Take Q as P' and C as C'. Then  $\langle P, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P', C' \rangle$  and  $OK_n(P', C')$  hold. We also have  $\Theta; \Gamma \vdash s_1 : P'$  from T-Sub,  $\Theta; \Gamma \vdash s_1 : P_1$  and  $P_1 \leq Q$ .

• Case:  $\langle H, R, \text{ifnull } (*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)} \langle H, R, s_1, n, C \rangle \text{ if } H(R(x)) \neq \text{null and } \text{const}(*x) \notin C$ Similar to the above. • Case:  $\langle H, R, \mathbf{ifnull} \ (*x) \mathbf{then} \ s_1 \mathbf{else} \ s_2, n, C \rangle \xrightarrow{\mathbf{null} (*x)} \langle H, R, s_1, n, C' \rangle \mathbf{if} \ H(R(x)) = \mathbf{null}, \mathbf{const} (*x) \in C \mathbf{and} \ C' = C \cup \{\mathbf{null} (*x)\}$ 

From assumption  $\Theta$ ;  $\Gamma \vdash \langle H, R$ , if null (\*x) then  $s_1$  else  $s_2, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta$ ;  $\Gamma \vdash$  if null (\*x) then  $s_1$  else  $s_2:P$ ,  $OK_n(P,C)$  and consistency(H,R,C). From the inversion of typing rules, we have  $\Theta$ ;  $\Gamma \vdash s_1:P_1$ ,  $\Theta$ ;  $\Gamma \vdash s_2:P_2$  and  $(*x)(P_1,P_2) \leq P$ . By subtyping and  $const(*x) \in C$ , we get  $\langle P, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle Q, C \cup \{\mathbf{null}(*x)\} \rangle$  and  $P_1 \leq Q$  for some Q.

We need to find P' and C' such that  $\Theta; \Gamma \vdash s_1 : P', \langle P, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P', C' \rangle$ ,  $OK_n(P', C')$  and consistency(H, R, C'). Take Q as P' and  $C \cup \{\mathbf{null}(*x)\}$  as C'. Then  $\langle P, C \rangle \xrightarrow{\mathbf{null}(*x)} \langle P', c' \rangle$  holds, and then  $OK_n(P', C')$  and consistency(H, R, C') hold by Lemma 3.1 and Lemma 3.2. We also have  $\Theta; \Gamma \vdash s_1 : P'$  from T-Sub,  $\Theta; \Gamma \vdash s_1 : P_1$  and  $P_1 \leq Q$ .

- Case:  $\langle H, R, \text{ if null } (*x) \text{ then } s_1 \text{ else } s_2, n, C \rangle \xrightarrow{\neg \text{null}(*x)} \langle H, R, s_2, n, C' \rangle \text{ if } H(R(x)) \neq \text{null,}$   $\operatorname{\mathbf{const}}(*x) \in C \text{ and } C' = C \cup \{\neg \text{null}(*x)\}$ Similar to the above proof.
- Case:  $\langle H, R, s_1; s_2, n, C \rangle \rightarrow \langle H', R', s_1'; s_2, n', C' \rangle$

From the assumption  $\Theta$ ;  $\Gamma \vdash \langle H, R, s_1; s_2, n, C \rangle : \langle P, C \rangle$ , we have  $\Theta$ ;  $\Gamma \vdash s_1; s_2 : P$ ,  $OK_n(P, C)$  and consistency(H, R, C). By inversion of typing rules, we have  $\Theta$ ;  $\Gamma \vdash s_1 : P_1$ ,  $\Theta$ ;  $\Gamma \vdash s_2 : P_2$  and  $P_1; P_2 \leq P$  for some  $P_1$  and  $P_2$ .

By IH on  $\langle H, R, s_1, n, C \rangle$  with derivation  $\langle H, R, s_1, n, C \rangle \xrightarrow{\rho} \langle H', R', s'_1, n', C' \rangle$ , we have  $\exists P'_1, C'_1 \text{ s.t. } \Theta; \Gamma \vdash \langle H', R', s'_1, n', C' \rangle : \langle P'_1, C'_1 \rangle \text{ and } \langle P_1, C \rangle \xrightarrow{\rho} \langle P'_1, C'_1 \rangle.$ 

By subtyping we have  $\langle P, C \rangle \xrightarrow{\rho} \langle Q, C_1' \rangle$  and  $P_1'; P_2 \leq Q$  for some Q.

We need to find P' and C' s.t.  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$ ,  $OK_n(P', C')$  and  $\Theta; \Gamma \vdash s_1'; s_2 : P' \rangle$ . Take Q as P' and  $C_1'$  as C',  $\langle P, C \rangle \xrightarrow{\rho} \langle P', C' \rangle$  and  $OK_n(P', C')$  hold. By T-Sub,  $\Theta; \Gamma \vdash s_1'; s_2 : P_1'; P_2$  and  $P_1'; P_2 \leq Q$ , we have  $\Theta; \Gamma \vdash s_1'; s_2 : P'$  holds.

We write  $\langle H, R, s, n, C \rangle \xrightarrow{\rho}$  if there is a transition  $\xrightarrow{\rho}$  from  $\langle H, R, s, n, C \rangle$ .

**Lemma 3.3.** If  $\Theta \vdash \langle H, R, s, n, C \rangle : \langle P, C \rangle$  and  $\langle H, R, s, n, C \rangle \stackrel{\rho}{\Longrightarrow} and \rho \in \{ \mathbf{malloc}, \mathbf{free}, \mathbf{null}(*x), \neg \mathbf{null}(*x) \},$  then there exists P' and C' such that  $\langle P, C \rangle \stackrel{\rho}{\Longrightarrow} \langle P', C' \rangle$ .

*Proof.* Induction on the derivation of  $\Theta$ ;  $\Gamma \vdash \langle H, R, s, n, C \rangle$ :  $\langle P, C \rangle$ .

Proof of Lemma 2.3:

By contradiction. Assume  $\langle H, R, s, n, C \rangle \xrightarrow{\rho} \mathbf{OutOfMemory}$ . Then, n is 0 and  $\rho = \mathbf{malloc}$  from Sem-OutOfMem. From the assumption we have  $\Theta; \Gamma \vdash s : P$  and  $OK_0(P, C)$ . From Lemma 3.3, there exists P' and C' such that  $\langle P, C \rangle \xrightarrow{\mathbf{malloc}} \langle P', C' \rangle$ . However, this contradicts  $OK_0(P, C)$ .

Proof of Theorem 2.1:

We have  $\Theta$ ;  $\emptyset \vdash s: P, \vdash D: \Theta$ ,  $OK_n(P, C)$  and consistency(H, R, C).

Suppose that there exists  $\sigma$  such that  $\langle \emptyset, \emptyset, s, n, C \rangle \xrightarrow{\sigma} \langle H', R', s', n', C' \rangle \xrightarrow{\rho} \mathbf{OutOfMemory}$ . Then, n' = 0 and  $\rho = \mathbf{malloc}$ . From Lemma 2.2, there exists P' and C' such that  $\Theta : \Gamma' \vdash s' : P'$ ,  $\langle P, C \rangle \xrightarrow{\sigma} \langle P', C' \rangle$ , and  $OK_0(P', C')$ ; hence  $\langle H', R', s', 0 \rangle \xrightarrow{\mathbf{malloc}}$ . However, this contradicts Lemma 2.3.