# Chapter 8

# Elliptic and Hyperelliptic Curve Cryptography

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#### 8.1 Introduction

Suppose two parties, Alice (A) and Bob (B), want to send messages between themselves without an eavesdropper Eve (E) reading the messages. Private-key (symmetric) cryptography relies on establishing a known secret between A and B before they can communicate. The term symmetric describes the fact that the information known to A and B is the same, namely the private key. We have seen an example of a private-key system, advanced eneryption standard (AES), in chapter 1. What if, as often happens in practice, it is infeasible for A and B to have a prearranged secret? In the development of cryptography it became apparent that a mechanism for A and B to agree upon a private key over an insecure channel would be important.

The area of cryptography devoted to the ways Alice and Bob can share information without a prearranged secret is called public-key (or asymmetric) cryptography. The term public key refers to the fact that in all current systems, some public piece of information is needed for the encryption to occur. Examples of this public information are the modulus in the famous RSA algorithm [46] or the group generator raised to a power for Diffie – Hellman, described later. The term asymmetric means that the private information known to A and B is different, i.e., A and B each start with information the other does not know. Public-key cryptography was introduced to the world at large in the seminal paper [10] of Whitfield Diffie and Martin Hellman in 1978, although shortly before then these ideas were known to the researchers at the British intelligence agency GCHQ\*. Many other methods of public-key cryptography have since been introduced and current research is still searching for better protocols for the exchange of private keys.

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### 8.2 Diffie – Hellman Key Exchange

Though it was the first method of public-key cryptography known, the Diffie – Hellman key exchange protocol is still used widely and makes up the basis for both elliptic and hyperelliptic curve cryptography. Let G be a finite cyclic group of order n with generator g. The discrete logarithm problem (DLP) for the group G is to determine a given  $g^a$ , where a is a positive integer less than n. The simple idea observed by these researchers is that, if there is a group where performing the group operation is computationally easy, but solving the DLP is hard, then a secret between two parties can be shared. A and B come up with private keys  $k_A$  and  $k_B$  respectively – these are positive integers less than n. A publishes  $g^{k_A}$  and B publishes  $g^{k_B}$ . The shared secret between A and B is  $g^{k_Ak_B}$ , which A computes as  $(g^{k_B})^{k_A}$  and B computes as  $(g^{k_A})^{k_B}$ . Since Eve apparently cannot get  $k_A$  or  $k_B$  without solving a DLP, obtaining the shared secret is hard. It is widely believed that solving the DLP is equivalent to determining  $g^{k_Ak_B}$  given  $g, g^{k_A}, g^{k_B}$ , although this is not known.

The groups originally considered for Diffie–Hellman Key Exchange were large cyclic subgroups of multiplicative groups of finite fields. As seen in Chapter 7, the multiplication in finite fields can be efficiently computed. Unfortunately, the DLP in this case can be solved in time subexponential in the size of the group using an index calculus attack. A result of Victor Shoup [52] says that for an arbitrary group of order n, computing a discrete log will take  $\sqrt{p}$  group operations, where p is the largest prime divisor of n. This result assumes that no structure of the group can be taken advantage of, so groups attackable only in exponential time should exist. Currently, the most suitable groups that provide quick encryption and for which only exponential time attacks are known, come from elliptic and hyperelliptic curves.

# 8.3 Introduction to Elliptic and Hyperelliptic Curves

Elliptic curves have been studied by mathematicians for centuries. Neal Koblitz and Victor Miller independently discovered that their rich structure makes them useful for a wide range of cryptographic applications [26], [38]. This structure can also lead to several attacks, so care must be taken by any would-be cryptographer.

Let **k** be a field. An *elliptic curve* E, over **k**, is a non-singular projective curve of genus 1. For an arbitrary field **k**, E can be thought of as the set of points  $(X,Y) \in \mathbf{k}^2$  that satisfy an equation of the form:

$$Y^2 + a_1XY + a_3Y = X^3 + a_2X^2 + a_4X + a_6$$

where the coeffients  $a_i$  are in  $\mathbf{k}$ , together with a "point at infinity,"  $P_{\infty}$ . We must also assume that the curve defined by the equation is non-singular, which is equivalent to having the partial derivatives of the equation never vanish simultaneously. If the characteristic of  $\mathbf{k}$  is not 2 or 3, we can perform a change of variables to get an equation to the form

$$Y^2 = X^3 + aX + b$$

Here the non-singular condition just says that the cubic  $X^3 + aX + b$  must have distinct roots.

A hyperelliptic curve is a special type of non-singular, projective curve. For our purposes, a *hyperelliptic curve*, of genus  $g \ge 1$  over  $\mathbf{k}$  is the set of points  $(X,Y) \in \mathbf{k}^2$  that satisfy

$$y^2 + h(X)Y = f(X)$$

where h and f are polynomials in  $\mathbf{k}[X]$  with deg(f) = 2g + 1,  $deg(h) \le g$ , together with a point "at infinity",  $P_{\infty}$ . An elliptic curve is just a hyperelliptic curve of genus 1.

To understand why there is a point at infinity, notice that the definition of elliptic or hyperelliptic curves includes the word 'projective'. We consider the curves as living in projective space, say with coordinates (X:Y:Z). The point at infinity is the unique point where the projective curve defined by homogenizing our equation intersects the line Z=0. If the reader has no background in projective geometry, simply think of the point  $P_{\infty}$  as a point infinitely far up the Y-axis that 'compactifies' the curve.

#### 8.4 The Jacobian of a Curve

A priori, a hyperelliptic curve over a field  $\mathbf{k}$ , is a set of points in  $\mathbf{k}^2$  with a special point at infinity. A beautiful fact noticed a long time ago by algebraic geometers is that a group can be attached to each curve. These groups are "made up" of collections of points on the curve and the group law can be performed using only operations in the field  $\mathbf{k}$ . For an elliptic curve, the group consists of the points on the elliptic curve, and the group law can be viewed geometrically. For hyperelliptic curves, the group consists of g-tuples of points on the curve. For both kinds of curves, the DLP is in general very hard to solve.

The group associated to a hyperelliptic curve, C, is a quotient of the larger group called the *degree zero divisor group* of C, denoted  $Div^0(C)$ . This group is made up of elements, D, called divisors, of the form:

$$D = \sum_{P \in C} n_P P$$

where:

- 1. The formal sum is over points  $P = (x_P, y_P)$  on C with coordinates  $x_P, y_P$  in  $\bar{\mathbf{k}}$ , an algebraic closure of  $\mathbf{k}$ . Here  $P_{\infty}$  is included as a point on C.
- 2.  $n_P$  is an integer for each P, with all but finitely many  $n_P = 0$ .
- 3. If there is an element  $\sigma$  of the Galois group of  $\bar{\mathbf{k}}$  over  $\mathbf{k}$  such that  $\sigma P := (\sigma(x_P), \sigma(y_P)) = (x_Q, y_Q) = Q$ , then  $n_Q = n_P$ .
- 4.  $deg(D) = \sum_{P} n_{P} = 0$ .

For readers unfamiliar with Galois groups, an element  $\sigma$ , of the Galois group of  $\bar{\mathbf{k}}$  over  $\mathbf{k}$  is an automorphism of  $\bar{\mathbf{k}}$  that fixes  $\mathbf{k}$ . Thus, for any  $a,b \in \bar{\mathbf{k}}$ , we have  $\sigma(a+b) = \sigma(a) + \sigma(b)$  and  $\sigma(ab) = \sigma(a)\sigma(b)$ . We also have that  $\sigma k = k$  for any  $k \in \mathbf{k}$ .

We add two divisors by adding the coefficients corresponding to each point:

$$\sum_{P} m_P P + \sum_{P} n_P P = \sum_{P} (m_P + n_P) P$$

Notice that the new divisor still satisfies the conditions above.

# 8.4.1 The Principal Subgroup and Jac(C)

Let  $F(X,Y) = Y^2 + h(X)Y - f(X)$  be the polynomial defining the curve C. Let  $p(X,Y) \in \mathbf{k}[X,Y]$  be a polynomial in X and Y with coefficients in  $\mathbf{k}$  that is not divisible by F. We shall get a divisor,  $div(p) \in Div^0(C)$  from this polynomial. For every point  $P = (x_P, y_P)$ , we define  $ord_p(P)$  to be the order at which p vanishes at P. This order has a rigorous definition that is beyond the scope of the book. Loosely speaking, at each point P, we can define something analogous to the Taylor expansion of the function p on C. The order,  $ord_P(p)$ , at which p vanishes at P is then the smallest exponent in the 'Taylor expansion' with a nonzero coefficient. Thus,  $ord_P(p) = 0$  if and only if  $p(x_P, y_P) \neq 0$ . The order of a function can also be computed at  $P_\infty$ , and in fact  $ord_{P_\infty}(p)$  is the unique integer such that  $\sum_{P \in C} ord_P(p) = 0$ .

**Definition 8.1.** The divisor div(p) associated to a polynomial p(X,Y), p not divisible by F, is:

$$\sum_{P} ord_{p}(P)P$$

This divisor satisfies the conditions above to be an element of  $Div^0(C)$ .

We call a divisor principal if it can be written as div(p) - div(q) for two polynomials p, q as above. The set of principal divisors forms a subgroup of  $Div^0(C)$ , denoted Prin(C).

**Definition 8.2.** The quotient group  $Div^0(C)/Prin(C)$ , is called the *Jacobian* of *C* and is denoted Jac(C).

If **k** is a finite field, as it will be for our purposes, then Jac(C) is finite. It is this group that we use for our Diffie – Hellman key exchange.

## **8.5 Computing on** Jac(C)

By the celebrated theorem of Riemann-Roch, which is beyond the scope of this book, we know a lot about the structure of Jac(C). Namely, if C has genus g, every element of  $Div^0(C)$  is equivalent in Jac(C) to exactly one divisor of the form:

$$\sum_{i=1}^{m} P_i - mP_{\infty}$$

where  $P_i = (x_i, y_i)$ ,  $m \le g$ , and  $P_i \ne (x_j, -y_j - h(x_j)) = -P_j$  for  $i \ne j$ . When m = 0, we get the identity element of the group, the divisor with all coefficients equal to zero. Divisors of the above form are called *reduced* divisors. This gives us a method for representing points in Jac(C) as unordered g-tuples of points on the curve over  $\bar{\mathbf{k}}$ . The condition that  $n_P = n_Q$  for Galois conjugates P and Q ensures that every point occurring in a reduced divisor of Jac(C) has coordinates in at most a degree g extension of  $\mathbf{k}$ . Thus, when g = 1 and we have an elliptic curve E, then the group Jac(E) consists of the points on the curve E over  $\mathbf{k}$ .

It is easy to see that, if we add two reduced divisors  $D_1$  and  $D_2$  in the fashion described above, we are not guaranteed that the new divisor  $D_1 + D_2$  will be reduced. We need a method for finding the unique reduced divisor corresponding to  $D_1 + D_2$ . To do this, we use a different representation for a divisor than the one given above. As before, let  $Y^2 + h(X)Y = f(X)$  be the defining equation for C.

**Definition 8.3.** The Mumford representation of a reduced divisor  $\sum_{i=1}^{m} P_i - mP_{\infty}$ ,  $P_i = (x_i, y_i)$  is the unique pair of polynomials [u(x), v(x)] in  $\mathbf{k}[x]$  that satisfy the following:

- 1.  $u(x) = \prod_{i=1}^{m} (x x_i)$ .
- 2. deg(v) < deg(u) = m.
- 3.  $v(x_i) = y_i$ .
- 4. u(x) divides  $v(x)^2 + h(x)v(x) f(x)$ .

The fact that the Mumford representation is unique follows from the fact that the m coefficients defining v can be determined uniquely by conditions 3 and 4. When m = 0, we have the identity element, and the Mumford representation is [1,0]. Also every pair of polynomials [u(x), v(x)] in  $\mathbf{k}[x]$  satisfying

- 1.  $deg(u) \le g$  and deg(v) < deg(u),
- 2. u(x) divides  $v(x)^2 + h(x)v(x) f(x)$ ,
- 3. u(x) is monic,

corresponds to a unique reduced divisor D. If  $u(x) = \prod_{i=1}^{m} (x - x_i)$ , then the points that make up D are  $P_i = (x_i, v(x_i))$ . Condition 2 will guarantee that that these points are on the curve C.

The benefit of using the Mumford represention for reduced divisors is the following algorithm for computing the sum of two reduced divisors. The algorithm is originally due to Cantor [5] for h(X) = 0, and in its most general form it is due to Koblitz [27]. The proof of the correctness of the algorithm, which we do not cover, can be found in [36].

Algorithm

Input: Two reduced divisors  $D_1 = [u_1, v_1]$  and  $D_2 = [u_2, v_2]$  given in Mumford representation.

Output: A reduced divisor D = [u, v] in Mumford representation that satisfies  $D = D_1 + D_2$  in Jac(C).

- 1.  $d_1 \leftarrow gcd(u_1, u_2) = e_1u_1 + e_2u_2$
- 2.  $d \leftarrow \gcd(d_1, v_1 + v_2 + h) = c_1 d_1 + c_2 (v_1 + v_2 + h)$
- 3.  $s_1 \leftarrow c_1 e_1, s_2 \leftarrow c_1 e_2, s_3 \leftarrow c_2$
- 4.  $u \leftarrow (u_1 u_2)/d^2$
- 5.  $v \leftarrow (s_1u_1v_2 + s_2u_2v_1 + s_3(v_1v_2 + f))/d \mod u$
- 6. **DO**
- 7.  $u' \leftarrow (f vf v^2)/u$
- 8.  $v' \leftarrow (-h v) \mod u'$
- 9.  $u \leftarrow u'$  and  $v \leftarrow v'$
- 10. WHILE  $deg(u) \leq g$
- 11. make u monic by dividing by the leading coefficient
- 12. return [u, v]

A key fact to notice is that all the operations involved in adding the two reduced divisors can be reduced to multiplication, division and addition of polynomials in  $\mathbf{k}[x]$ . Thus, using the techniques for finite field arithmetic given in the previous chapters, we can perform the group operations on Jac(C) quickly. Cantor's algorithm given above is completely general; it works for any hyperelliptic curve over any field. In a practical implementation, properties of the curve and field are used to speed up the algorithm.

## 8.6 Group Law for Elliptic Curves

Computations in the Jacobian of an arbitrary hyperelliptic curve can be complicated. In this section, we give a simple geometric interpretation of the group law for elliptic curves. We give explicit algorithms for adding two points on an elliptic curve. The following chapter covers the various speedups and optimizations in more detail. The reader interested in implementation specifics should consult that chapter.

Let E be an elliptic curve over a field  $\mathbf{k}$ . We assume that the field  $\mathbf{k}$  has characteristic not equal to 2 or 3, so that we can make a change of coordinates to make the defining equation for E of the form

$$Y^2 = X^3 + aX + b$$

with  $a, b \in \mathbf{k}$ . Elliptic curves over characteristic two fields are important for cryptographic uses, but for the geometric description of the group law it is easier to assume  $char(\mathbf{k}) > 3$ .

The map that sends a point P to the reduced divisor  $P - P_{\infty}$  is a bijection between the points on E and Jac(E), the Jacobian of E. We use this bijection and the definition of Jac(E) to give a geometric meaning to the sum of two points. Recall that two divisors  $D_1$  and  $D_2$  are equivalent if  $D_1 = D_2 + div(f) - div(g)$ , where f and g are polynomials in  $\mathbf{k}[X,Y]$  not divisible by  $Y^2 - X^3 - aX - b$ .

For two constants  $m, c \in \mathbf{k}$ , consider the line Y = mX + c in the same plane as the elliptic curve E. By Bezout's theorem, we know that the line intersects E in

exactly three points, if we count the points with appropriate multiplicity. So the function f(X,Y) = Y - mX - c has three (not necessarily unique) points on E where it vanishes. We can then write the divisor of f as

$$div(f) = P_1 + P_2 + P_3 - 3P_{\infty}$$

where  $P_1$ ,  $P_2$  and  $P_3$  are the three (not necessarily unique) points where the line intersects E. The three points can be non-unique in only certain examples, such as when the line lies tangential to the curve E. In this case, the line intersects the curve E in only two actual points, though the tangential point has multiplicity 2. In analogy with the Taylor series, this is because at the tangential point, f and f and

Since  $P_1 + P_2 + P_3 - 3P_{\infty} = (P_1 - P_{\infty}) + (P_2 - P_{\infty}) + (P_3 - P_{\infty})$  is the divisor of a function, it represents the identity in the group Jac(E). Using the given bijection with E, we see that

**Lemma 8.1.** The sum of two points  $P_1$  and  $P_2$  on E is equal to  $-P_3$ , where  $P_3$  is the unique other point on E that intersects the line through  $P_1$  and  $P_2$ .

The question remains of what  $-P_3$  means, i.e., what is the inverse of a point on E? We first notice that our bijection with Jac(E) sends  $P_{\infty}$  to the divisor  $P_{\infty} - P_{\infty}$ , which is the identity of Jac(E). Thus,  $P_{\infty}$  is the identity of E. Now, let  $P = (x_P, y_P)$  be a point on E. Consider the function  $X - x_P$ . This function intersects the curve E at the points  $P = (x_P, y_P)$ ,  $Q = (x_P, -y_P)$ , and  $P_{\infty}$ . The first two interesect points are easy to see, the last one follows from looking at the projectivization of the curve and the line. Thus, in Jac(E), the divisor  $P + Q - 2P_{\infty}$  equals the identity, so Q = -P on E.

The work above gives us the following algorithm for adding two points,  $P_1$  and  $P_2$ . We simply take the unique line through  $P_1$  and  $P_2$ , find the unique other point, (x,y), that is on the elliptic curve and the line, and return P=(x,-y). Remember that if  $P_1=P_2$ , the line through the point should have the same slope as the defining equation for E.

Algorithm

Input: Two points  $P_1 = (x_1, y_1)$  and  $P_2 = (x_2, y_2)$  on the curve E defined by  $Y^2 = X^3 + aX + b$ .

Output: The point  $P = (x, y) = P_1 + P_2$ .

- 1. IF  $P_1$  or  $P_2 = P_{\infty}$  THEN  $P \leftarrow P_2$  or  $P_1$ .
- 2. IF  $P_1 = P_2$
- 3. IF  $y_1 = 0$  THEN  $P \leftarrow P_{\infty}$
- 4.  $\lambda \leftarrow (3x_1^2 + a)/2y_1$
- 5.  $x \leftarrow \lambda^2 2x_1$
- $6. y \leftarrow \lambda (x_1 x_3) y_1$
- 7. IF  $P_1 \neq P_2$
- 8.  $\lambda \leftarrow (y_1 y_2)/(x_2 x_1)$
- 9.  $x \leftarrow \lambda^2 x_1 x_2$
- 10.  $y \leftarrow \lambda(x_1 x_3) y_1$

## 8.7 Techniques for Computations in Hyperelliptic Curves

Optimization of hyperelliptic curve arithmetic is a current area of research with several papers appearing each year in the top cryptography conferences. This section gives a brief survey of the techniques and ideas behind them. The reader interested in implementing one of the methods should consult the references given. As the genus gets larger, the computational costs rise significantly. This computational cost, as well as the existence of subexponential time index calculus attacks on high genus hyperelliptic curves, makes low genus curves the most practical for cryptography.

### 8.7.1 Explicit Formulae

As we showed with elliptic curves, the group law can be implemented using only additions, multiplications and inversions in the base field, **k**. To improve the runtime of Cantor's algorithm, it helps one to have exact formulae for the computations in Cantor's algorithm, i.e., a description of the algorithm in terms of only additions, multiplications and inversions in **k**. Explicit formulae have been completed for genus 2, 3 and 4 hyperelliptic curves. In genus 2, the first work was done by [57], though improved methods have been found by Harley [22], Lange [29], Matsuo, Chao and Tsujii [34], Takahashi [58], and many others. In genus 3, Pelzl et al. [41] generalized work by Kuroki et al. [28] to give the first explicit formulae that work in all positive characteristic. The paper [41] also gives improvements on implementations of genus 2 hyperelliptic curves. A full description of genus 3 formulae can be found in Wollinger's PhD thesis [62]. For genus 4, Pelzl, Wollinger, and Paar gave the first explicit formulae in [42]. Their computations show that genus 4 arithmetic can compete with lower genus curves as far as computation costs are concerned.

# 8.7.2 Projective Coordinates

The operation of inverting elements in a finite field is much more costly than addition or multiplication. This has initiated research into finding ways to trade inversions for extra multiplications, additions, and storage in elliptic and hyperelliptic curve cryptography. If we wanted to compute nP for some element  $P \in Jac(C)$  and  $n \in \mathbb{Z}$  using the standard double-and-add method, we would be forced to use  $O(\log n)$  inversions. By introducing another variable, Z, it is possible to delay performing inversions until the last step of the algorithm. For elliptic curves, this extra coordinate Z is equivalent to storing the point in projective coordinates. For higher genus this is no longer the case, but we still call these coordinates projective, due to the similarity with elliptic curves. Projective coordinates for elliptic curves will be covered in the following chapter of this book. Miyamoto et al. [39] first described

an algorithm for projective coordinates on genus 2 curves. This work has been improved by Lange [31] and others. Projective coordinates have also been described by Fan, Wollinger, and Wang [13] for genus 3 curves.

### 8.7.3 Other Optimization Techniques

Due to the rich structure of hyperelliptic curves, many other techniques exist for performing the group operation. Lange [30], has expanded upon projective coordinates for genus 2 curves in characteristic 2 to give better results. This work introduces several new variables to save on inversions, combining them to also save on other costs. Using special curves can also give remarkable speedups. Certain elliptic curves can be transferred to the Montgomery form, which aids considerably in computations. Gaudry has given a similar form for certain hyperelliptic curves in [19]. In Ref. [2] Bernstein and Lange showed that genus 2 hyperelliptic curves in Gaudry form can even outperform elliptic curves. The fact that hyperelliptic curves need smaller fields to obtain cryptographically secure group sizes is what potentially gives hyperelliptic curves the edge over elliptic curves. Koblitz curves provide another useful way of saving on implementation costs. A nice description of this theory for hyperelliptic curves can be found in [32], while the original idea can be found in Koblitz's work [27]. Additional methods for implementing the hyperelliptic curves will continue to be developed as cryptography in smaller, resource-constrained environments becomes necessary.

## **8.8 Counting Points on** Jac(C)

In this section, we introduce methods for counting how many points there are on a given (hyper)elliptic curve over  $\mathbf{k} = \mathbf{F}_q$ . We begin with Schoof's method [50] for elliptic curves, which reduces the time taken from  $O(q^{1/4+\varepsilon})$  to  $O(\log^8(q))$ . Refinements of this due to Elkies and Atkin [11], [1] reduce this further to  $O(\log^6(q))$ .

Let *E* be an elliptic curve defined over  $\mathbf{F}_q$ . By Hasse's theorem [53], the number of points *n* on it is q+1-t, where  $|t| \leq 2\sqrt{q}$ . Define  $\ell_0$  to be the smallest prime such that

$$\prod \ell > 4\sqrt{q}$$

where the product is over primes  $\leq \ell_0$ . Schoof's idea is to find  $t \pmod{\ell}$  for all these primes, in which case the Chinese remainder theorem determines  $t \pmod{\prod{\ell}}$  and so t (and hence n) exactly, since  $\prod{\ell}$  is larger than the range t is confined to. By the Prime Number theorem,  $\ell_0 = O(\log(q))$ .

If  $\ell = 2$ ,  $n \equiv 1 \pmod{2}$  if and only if E has no point of order 2, which is easy to check. For example, if the curve has equation  $Y^2 = f(X)$ , then the points of order 2 correspond to roots of f, so  $n \equiv 1 \pmod{2}$  if and only if f is irreducible over  $\mathbf{F}_q$ ,

which happens if and only if  $gcd(f(X), X^q - X) = 1$ . Likewise, for each  $\ell$ , there is a polynomial  $f_{\ell}(X)$  whose roots are the *X*-coordinates of points of order  $\ell$ .

Suppose  $\ell > 2$ . We consider the Frobenius mapping  $\phi$  on the points of E over  $\bar{\mathbf{k}}$  defined by  $\phi(x,y) = (x^q,y^q)$  and sending  $P_{\infty}$  to itself. The proof of Hasse's theorem establishes that

$$\phi^2(P) - t\phi(P) + qP = P_{\infty}$$

Let  $q_\ell = q \pmod{\ell}$  and  $t_\ell = t \pmod{\ell}$ . For each  $\tau \in \{0, 1, ..., \ell-1\}$ , we compute the x-coordinates of both  $(x^{q^2}, y^{q^2}) + q_\ell$  and  $\tau(x^q, y^q)$ . Thanks to  $f_\ell$ , these are both rational functions of x and y. Clearing denominators and using the equation of the curve to eliminate any nonlinear powers of y yields an equation of the form a(x) - yb(x) = 0. Substituting this into the curve equation produces a polynomial equation h just in x. Since we are seeking a point of order  $\ell$ , all these calculations, so in particular h, can be taken  $\pmod{f_\ell}$ , which has degree  $O(\ell^2)$ .

To check if h has a solution that is a point of order  $\ell$ ,  $\gcd(h,f_\ell)$  is computed. Only if it is nontrivial do we get a viable value of  $\tau$ , i.e.,  $\tau=\pm t_\ell$ . Either sign is possible since the x-coordinates are the same. A similar analysis of the y-coordinates determines which. This also means that  $\tau$  only need run as far as  $(\ell-1)/2$ . Most of the work is in computing  $x^q, y^q, x^{q^2}, y^{q^2} \pmod{f_\ell}$  and, since  $f_\ell$  is  $O(\ell^2)$  and  $\ell$  is  $O(\log(q))$ , the complexity is polynomial in  $\log(q)$ , namely  $O(\log^8(q))$ .

When  $t^2 - 4q$  is a square  $\pmod{\ell}$ , the Frobenius map has an eigenvalue in  $\mathbf{F}_{\ell}$ , in which case a factor of degree  $(\ell - 1)/2$  of  $f_{\ell}$  can be used, as noted by Elkies. Atkin found a similar method in the case that  $t^2 - 4q$  is not a square  $\pmod{\ell}$ , and together these yield the SEA (Schoof–Elkies–Atkin) algorithm with complexity  $O(\log^6(q))$ .

Now, let C be a hyperelliptic curve of genus g defined over  $\mathbf{F}_q$ . The theory of zeta functions tells us that there exist g complex numbers  $\alpha_1, ..., \alpha_g$  with absolute value  $\sqrt{q}$  such that, if  $N_r$  is the number of points on  $C(\mathbf{F}_{q^r})$ , then

$$N_r = q^r + 1 - \alpha_1^r - \overline{\alpha_1}^r - \dots - \alpha_g^r - \overline{\alpha_g}^r$$

Note that when g = 1 and  $t = \alpha_1 + \overline{\alpha_1}$ , we get Hasse's inequality.

In general,  $N_1,...,N_g$  determine  $\alpha_1,...,\alpha_g$  (and so  $N_r$  for all r). They also determine the order of the group Jac(C), which turns out to be  $\prod_1^g (1-\alpha_i)(1-\overline{\alpha_i}) = \prod_1^g (1+q-\alpha_i-\overline{\alpha_i})$ .

Over finite fields with small characteristic, Satoh's *p*-adic approach [48] is asymptotically faster than the SEA algorithm. It was extended to Characteristic 2 by Skjernaa [55] and Fouquet, Gaudry, and Harley [14] with a memory-efficient version introduced by Vercauteren [61]. Mestre's AGM (arithmetic-geometric mean) method [37] gave the same asymptotic behavior but with a better constant, while Satoh, Skjernaa, and Taguchi [49] gave a quicker method if one allows precomputations.

As regards higher genus curves, Pila [43] gave an impractical generalization of Schoof's algorithm. Satoh's approach does not work well since the Serre–Tate canonical lift of the Jacobian need not be a Jacobian. Mestre's AGM method is only practical in genus  $\leq 2$ .

This led to the introduction of new techniques. Kedlaya [25] used Washnitzer–Monsky cohomology to count points in small, odd characteristic in time

$$O(g^{4+\varepsilon}\log^{3+\varepsilon}(q))$$
.

This was extended to characteristic 2 by Denef and Vercauteren [7] and Vercauteren [60]. Using Dwork cohomology, Lauder and Wan [33] produced a practical method to count points on Artin–Schreier curves. Both these approaches take  $(g^{5+\varepsilon}\log^{3+\varepsilon}(q))$  time.

#### 8.9 Attacks

Having introduced elliptic and hyperelliptic curve cryptography, we now consider potential vulnerabilities of these systems. Over time, researchers have discovered several possible attacks on ECC and HCC that someone looking to implement these systems should be aware of. Avoiding them informs our choice of suitable (hyper)elliptic curve. In general, Shanks' baby-step giant-step method and Pollard's methods (see Sections 9.1 and 9.2) improve on sheer brute force attack by exploiting an idea called the birthday attack to solve discrete logarithm problems in any abelian group. These take on the order of  $\sqrt{n}$  operations, where n is the size of the group (so about  $q^{g/2}$  in the case of a curve of genus g over  $\mathbf{F}_q$ ). Certain (hyper)elliptic curves are vulnerable to other methods, described later in this section, and any user of ECC or HCC should avoid this choice of curve.

## 8.9.1 Baby-Step Giant-Step Attack

Shanks' baby-step giant-step algorithm [3] works for any abelian group G. Let us assume G has prime order n (as is recommended by the results in the next subsection) and that we wish to solve the discrete logarithm problem Q = mP for m. Write  $m = a \lceil \sqrt{n} \rceil + b$  with  $0 \le a, b < \lceil \sqrt{n} \rceil$ . Then  $Q - bP = a \lceil \sqrt{n} \rceil P$ . We make a table of baby steps  $Q - bP, b = 0, 1, ..., \lceil \sqrt{n} \rceil - 1$ , and we then start computing giant steps  $a \lceil \sqrt{n} \rceil P, a = 0, 1, ..., \lceil \sqrt{n} \rceil - 1$ . Once we find a point that also occurred in one of our baby-step tables, we have found a, b and so have solved the DLP.

It should be noted that an exact value for n is not needed, just an upper bound – in fact the method can be adapted to yield n assuming G is cyclic [12]. A drawback of the baby-step giant-step method is the large amount of memory required if n is large (about  $\sqrt{n}$  entries of length  $\log n$ ).

#### 8.9.2 Pollard Rho and Lambda Attacks

Pollard's rho method [45] avoids this by employing a single random walk that eventually self-intersects solving the problem (and that looks like the Greek letter

rho). Pollard's lambda method (or tame kangaroo/wild kangaroo method) uses two random walks, the goal again being to find a collision, but this time with the tame kangaroo laying traps for the wild kangaroo (so that they produce the Greek letter lambda). Various authors [4], [59] have experimented with the parameters of this method. In particular, van Oorschot and Wiener [40] provide a significant speedup by using several kangaroos in parallel.

The main idea is as follows. Let P,Q be points in G, an abelian group of order n, such that Q = mP. Let  $f: G \to \{1,...,s\}$  be a function equidistributed in the sense that

$$\sum_{i=1}^{s} || \{ g \in G : f(g) = i \} | -n/s | = O(\sqrt{n})$$

Given a starting point  $g_0 \in G$ , we define a random walk  $g_k = F(g_{k-1})$ , where  $F(g) = g + M_{f(g)}$ . Here  $M_i = a_i P + b_i Q$  for i = 1, ..., s is a set of multipliers. Teske [59] found that s approximately 20 worked best. You set off two kangaroos performing these jumps.

Applying this to two kangaroos, you reach a collision so that  $x_{i1}P + x_{i2}Q = y_{j1}P + y_{j2}Q$ , so that  $(x_{i1} - y_{j1})P = (y_{j2} - x_{i2})Q = (y_{j2} - x_{i2})mP$ . Since  $gcd(x_{i1} - y_{j1}, n) = 1$ , this can then be solved for m.

### 8.9.3 Pohlig-Hellman Attack

The Pohlig–Hellman algorithm [44] reduces the discrete logarithm problem in any abelian group to a subgroup of prime order. Thus, the order of the group we choose (the number of points on the elliptic curve or Jacobian of the hyperelliptic curve) should have a very large prime divisor and we will take our generating point *P* to be of that order.

To see this, suppose that the order of the group  $n = \prod_{i=1}^r p_i^{k_i}$  and that we wish to solve the problem Q = mP for m. Letting  $n' = n/p_1^{k_1}$  and  $m_1 = m \pmod{p_1}$ , we can solve  $Q' = n'Q = m_1P'$  where P' = n'P is a point of prime order  $p_1$  to get  $m_1$ . Then  $m_i = \pmod{p_1^i}$ , i = 2, 3, ... are successively computed as follows. Say  $m_i$  is known and  $m = m_i + cp_1^i$ . Then we know  $Q - m_iP = cp_1^iP = cR$  and R, which has order  $n_i = n/p_1^i$ . So  $c \pmod{p_1}$  is found and we have  $m_{i+1}$ . Once  $m \pmod{p_i^{k_i}}$  is known for all i, the Chinese remainder theorem determines m.

#### 8.9.4 Menezes-Okamoto-Vanstone Attack

The Menezes–Okamato–Vanstone (MOV) attack [35] uses the Weil pairing to embed the group of points on an elliptic curve over  $\mathbf{F}_q$  in the multiplicative group of a larger finite field. If this finite field is only a small degree extension of  $\mathbf{F}_q$ , then we will be vulnerable to index calculus or number field sieve attacks on the discrete

logarithm problem in the multiplicative group of the extension field. This happens in particular with supersingular elliptic curves, where the degree is at the most 6. These curves were favored because addition in them involves fewer operations, but now should be avoided. For supersingular hyperelliptic curves of genus 2, the extension degree is at the most 30 [18].

The way the MOV attack works is as follows. Suppose we wish to solve Q = mP for m, where because of the Pohlig–Hellman attack we are assuming P has prime order n. Let e be the smallest positive integer such that  $q^e \equiv 1 \pmod{n}$ . This ensures that  $\mathbf{F}_{q^e}$  contains primitive nth roots of 1. For supersingular curves, Menezes [35] showed with case-by-case consideration that  $e \leq 6$ . Curves of trace 2 are also bad since n = q + 1 - 2 = q - 1 and so e = 1.

Say e > 1. The Weil pairing is a pairing

$$E(\mathbf{F}_{q^e})/nE(\mathbf{F}_{q^e}) \times E[n] \to \mathbf{F}_{q^e}^*/(\mathbf{F}_{q^e}^*)^n$$

This injects  $\langle P \rangle$  into a subgroup of  $\mathbf{F}_{q^e}^*/(\mathbf{F}_{q^e}^*)^n$  and so maps the discrete logarithm problem over to the multiplicative group of a finite field, where subexponential methods can be used if e is reasonably small. This includes index calculus methods where a set of elements is chosen to act as a factor base. Enge [12] has a form of index calculus for attacking elliptic curve cryptosystems directly, but these are ineffective for large field sizes. The case e=1 is similar but runs into a small technicality involving non-degeneracy of the Weil pairing [3]. Frey and Rück [16] introduced a similar method that uses the Tate pairing.

### 8.9.5 Semaev, Satoh-Araki, Smart Attack

An anomalous elliptic curve is one with exactly q points. The Semaev, Satoh-Araki, and Smart attack [51], [47], [56] maps the group to the additive group of  $\mathbf{F}_q$ , yielding a polynomial-time attack (the other attacks listed here are at best subexponential). The main idea is as follows. Suppose we wish to solve Q = mP in the elliptic curve E over  $\mathbf{F}_q$ . There is a unique smallest complete local ring of characteristic zero,  $\mathbf{Z}_q$ , the q-adic integers, and by Hensel's lemma we can lift P and Q to points defined over  $\mathbf{Z}_q$ , say  $\tilde{P}$  and  $\tilde{Q}$ . Then  $qE(\mathbf{Z}_q)/q^2E(\mathbf{Z}_q) \cong \mathbf{F}_q$  and denoting this map by log we have that  $\log(q\tilde{P}) = m\log(q\tilde{Q})$ . Then solving the discrete logarithm problem in the additive group of a field is trivial, using Euclid to invert  $\log(q\tilde{Q})$ .

# 8.9.6 Attacks employing Weil descent

More recently, Weil descent has been used for some special finite fields. In 2000, Gaudry, Hess, and Smart [20], extending the work of Frey [15] and Galbraith [17], showed how to reduce a discrete logarithm problem in  $E(\mathbf{F}_{q^e})$  to a discrete logarithm

problem in  $Jac(C)(\mathbf{F_q})$  where C is a hyperelliptic curve. The idea is to use the Weil restriction of E, which is an e-dimensional abelian variety over  $\mathbf{F_q}$ , and intersect it with e-1 hyperplanes to obtain C. For example, if  $q=2^{31}$  and e=5, we obtain a curve C of genus at most 16, so it is possible to attack many elliptic curves over  $2^{155}$  this way. The GHS attack has yet to be shown to be effective in practice – in particular none of the ten elliptic curves in the standards is vulnerable to it. Thanks to the work of Gaudry, and later Diem [8], elliptic curves over fields  $\mathbf{F}_{p^n}$ , where both p and p are large, are vulnerable to this method. Diem and Thomé [9] have also introduced an index calculus method for non-hyperelliptic curves of genus 3.

#### 8.10 Good Curves

Putting together the attacks from the previous section leads to design criteria for the underlying elliptic or hyperelliptic curve. To set up an ECC, we should use an elliptic curve over  $\mathbf{F}_q$  and a subgroup of order n where:

- (i) *n* should be prime (Pohlig-Hellman);
- (ii) q should be of the order of 1000 bits to be truly considered secure, but in practice 160 bits is considered equivalent to about 1024-bit RSA and 190 bits to 2048-bit RSA. Thanks to baby-step giant-step and Pollard's methods, these are considered equivalent to 80-bit and 95-bit symmetric cryptosystems respectively;
- (iii) the curve should not be anomalous, so n should not equal q (Semaev, Satoh-Araki, Smart);
- (iv) the smallest positive integer e such that  $q^e \equiv 1 \pmod{n}$  should be large so the curve should not be of trace zero or two nor supersingular (Menezes–Okamoto–Vanstone);
- (v) certain ground fields, e.g.,  $\mathbf{F}_{2^{155}}$ , should be avoided (Weil descent).

The standards provide suitable curves. For example, FIPS 186-2 lists 10 fields and methods to choose elliptic curves that will produce secure cryptosystems.

#### 8.11 Exercises

- 1. Prove that for an elliptic curve, the "chord and tangent" addition rule described in Section 8.6 is the same as the one given in Cantor's algorithm.
- 2. If the characteristic of **k** is not 2, show that any hyperelliptic curve  $C_1$  with equation:

$$Y^2 + h_1(X)Y = f_1(X)$$

is isomorphic to a hyperelliptic curve  $C_2$  with equation:

$$Y^2 = f_2(X)$$

An isomorphism between hyperelliptc curves is a linear map  $(X,Y) \rightarrow (aX + bY, cX + dY)$  such that the points on  $C_1$  are mapped to the points on  $C_2$ .

- 3. Let *E* be the elliptic curve  $y^2 = x^3 + 81x + 103$  defined over  $\mathbf{F}_{1013}$ . Show that it has 962 points. Since 962 factors as  $2 \times 13 \times 37$ , you can use Pohlig–Hellman to solve the following discrete logarithm problem. Let P = (1,728) and Q = (769,175). Find an integer *m* such that Q = mP.
- 4. Let *E* be the same elliptic curve as in Question 3. Find the smallest positive integer *e* (embedding degree) such that with q = 1013 and n = 962,  $q^e \equiv 1 \pmod{n}$ . Convert the discrete logarithm problem of question 1 into one in  $\mathbf{F}_{q^e}$  and estimate how long it will take to solve using index calculus methods.
- 5. Let *E* be the elliptic curve  $y^2 = x^3 + 141x + 30$  defined over  $\mathbf{F}_{1013}$ . Show that this curve is anomalous. Construct an explicit isomorphism between this and the additive group of  $\mathbf{F}_{1013}$ . Using this, if P = (1,292) and Q = (316,412), find an integer *m* such that Q = mP.
- 6. Suppose E is an elliptic curve with equation  $y^2 = x^3 + ax$  over prime field  $\mathbf{F}_p$  with  $p \equiv 3 \pmod{4}$ . Show that it has exactly p+1 points. [Hint: how many points do x and -x together contribute?]. What is the embedding degree? Show how one can map arbitrary ID-strings to points on  $E(\mathbf{F}_p)$  (so that it can be used in identity-based cryptography).

### 8.12 Projects

- 1. To show why Elliptic Curve Cryptography has had such an impact, implement both ECC and RSA and compare their timings. For details on RSA, consult [46]. Remember that for similar security, a key size of 160 bits in ECC is equivalent to 1024-bit RSA. Thus, even if the speed of RSA is better, transmission and storage costs for ECC are lower. For previous results on RSA vs ECC for 8-bit processors, see [21].
- 2. Consider the curve x² + y² = a²(1 + x²y²), where a⁵ ≠ a. Show that this defines an elliptic curve and that every elliptic curve is, possibly over an extension field, isomorphic to such a curve. Figure out explicit rules for addition and point-doubling. A very recent article by Harold Edwards in the Bulletin of the AMS (July 2007) carries out these calculations and more. Bernstein and Lange have suggested that this form could be very good for ECC, ensuring it is better than genus 2 HCC. Test out this claim in practical implementations, comparing this ECC with state-of-the-art HCC as found in papers on Gaudry's and Lange's websites.

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